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MSGP Stormwater Pollution Prevention Plan

for:

TA-09-0214 Metal Fabrication Shop

Triad National Security, LLC (Triad)
Los Alamos National Laboratory

May 2021

Revision 0

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TABLE OF CONTENTS

| | |
|---|-----------|
| PREFACE | 6 |
| 1.0 FACILITY DESCRIPTION | 6 |
| 1.1 Facility Information | 6 |
| 1.2 Stormwater Pollution Prevention Team (PPT)..... | 7 |
| 1.3 Site Description..... | 8 |
| 1.4 General Location Map | 9 |
| 1.5 Site Map | 9 |
| 2.0 POTENTIAL POLLUTANT SOURCES | 9 |
| 2.1 Potential Pollutants Associated with Industrial Activity | 10 |
| 2.2 Spills and Leaks..... | 10 |
| 2.3 Unauthorized Non-Stormwater Discharges | 11 |
| 2.4 Salt Storage | 12 |
| 2.5 Historical Data Summary | 12 |
| 3.0 STORMWATER CONTROL MEASURES | 12 |
| 3.1 Non-Numeric Technology-Based Effluent Limits | 12 |
| 3.1.1 Minimize Exposure | 13 |
| 3.1.2 Good Housekeeping | 14 |
| 3.1.3 Maintenance | 15 |
| 3.1.4 Spill Prevention and Response | 15 |
| 3.1.5 Erosion and Sediment Control..... | 16 |
| 3.1.6 Management of Runoff | 16 |
| 3.1.7 Salt Storage Piles or Piles Containing Salt | 17 |
| 3.2 Numeric Effluent Limitations Based on Effluent Limitations Guidelines | 17 |
| 3.3 Water Quality-Based Effluent Limitations and Water Quality Standards | 17 |
| 4.0 SCHEDULES AND PROCEDURES..... | 17 |
| 4.1 Good Housekeeping | 17 |
| 4.2 Maintenance | 18 |
| 4.3 Spill Prevention and Response | 18 |
| 4.4 Erosion and Sediment Control | 18 |
| 4.5 Employee Training | 18 |
| 4.6 Routine Facility Inspections and Quarterly Visual Assessments | 19 |
| 4.6.1 Routine Facility Inspections..... | 19 |

| | | |
|----------------------|--|-----------|
| 4.6.2 | Quarterly Visual Assessments | 19 |
| 4.7 | Monitoring | 20 |
| 5.0 | DOCUMENTATION FOR ELIGIBILITY CONSIDERATIONS UNDER OTHER FEDERAL LAWS | 24 |
| 5.1 | Endangered Species | 24 |
| 5.2 | Historic Properties | 24 |
| 6.0 | CORRECTIVE ACTIONS AND DEADLINES | 25 |
| 6.1 | Immediate Actions..... | 26 |
| 6.2 | Subsequent Actions | 26 |
| 6.3 | AIM Baseline Status and Triggering Events..... | 26 |
| 6.3.1 | AIM Level 1 | 26 |
| 6.3.2 | AIM Level 2 | 27 |
| 6.3.3 | AIM Level 3 | 27 |
| 6.3.4 | AIM Exceptions | 28 |
| 6.4 | Corrective Action and AIM Documentation..... | 28 |
| 7.0 | ACRONYMS | 29 |
| 8.0 | SWPPP CERTIFICATION | 30 |
| FIGURE A: | GENERAL LOCATION MAP | 31 |
| FIGURE B-1 | FACILITY SITE MAP METAL FABRICATION SHOP | 32 |
| FIGURE B-2 | NEARBY RECEIVING WATERS | 33 |
| FIGURE B-3 | ENDANGERED SPECIES MAP | 34 |
| ATTACHMENT 1: | NOTICE OF INTENT, SUPPORTING DOCUMENTATION, AND UPDATES | 35 |
| ATTACHMENT 2: | SWPPP AMENDMENTS | 36 |
| ATTACHMENT 3: | CERTIFICATION OF NO UNAUTHORIZED STORMWATER DISCHARGES | 37 |
| ATTACHMENT 4: | DULY AUTHORIZED SIGNATORY MEMORANDUM | 38 |
| ATTACHMENT 5: | DISCHARGE MONITORING REPORTS..... | 39 |
| ATTACHMENT 6: | ANNUAL REPORTS..... | 40 |
| ATTACHMENT 7: | ROUTINE FACILITY INSPECTIONS | 41 |
| ATTACHMENT 8: | QUARTERLY VISUAL ASSESSMENTS | 42 |

| | | |
|-----------------------|---|-----------|
| ATTACHMENT 9: | CORRECTIVE ACTION DOCUMENTATION AND CERTIFICATION | 43 |
| ATTACHMENT 10: | SCHEDULED MAINTENANCE LOG | 44 |
| ATTACHMENT 11: | TRAINING DOCUMENTATION | 45 |
| ATTACHMENT 12: | MSGP (OR ACTIVE URL) | 46 |
| ATTACHMENT 13: | THREATENED AND ENDANGERED SPECIES HABITAT MANAGEMENT PLAN FOR LOS ALAMOS NATIONAL LABORATORY | 47 |
| ATTACHMENT 14: | MSGP IPAC TRUST RESOURCES REPORT..... | 48 |
| ATTACHMENT 15: | EPC-CP-PIP-2101, NPDES MULTI-SECTOR GENERAL PERMIT PROGRAM IMPLEMENTATION PLAN | 49 |
| ATTACHMENT 16: | EPC-CP-QP-2108, <i>MSGP ROUTINE FACILITY INSPECTIONS</i> | 50 |
| ATTACHMENT 17: | EPC-CP-QP-022, <i>MSGP CORRECTIVE ACTIONS</i>..... | 51 |
| ATTACHMENT 18: | EPC-CP-QP-2105, <i>MSGP STORMWATER VISUAL ASSESSMENTS</i>..... | 52 |
| ATTACHMENT 19: | EPC-CP-TP-2103, <i>INSPECTING ISCO STORMWATER RUNOFF SAMPLERS AND RETRIEVING SAMPLES</i> | 53 |
| ATTACHMENT 20: | EPC-CP-QP-2106, <i>PROCESSING MSGP STORMWATER SAMPLES</i> | 54 |
| ATTACHMENT 21: | EPC-DO-QP-101, <i>ENVIRONMENTAL REPORTING REQUIREMENTS FOR RELEASES OR EVENTS</i> | 55 |
| ATTACHMENT 22: | EPC-CP-QP-1007, <i>SPILL INVESTIGATION</i> | 56 |
| ATTACHMENT 23: | EPC-CP-QP-2110, <i>MSGP STORMWATER POLLUTION PREVENTION PLAN PREPARATION AND MAINTENANCE</i> | 57 |

TA-09-214 Metal Fabrication Shop STORMWATER POLLUTION PREVENTION PLAN

PREFACE

This Stormwater Pollution Prevention Plan (SWPPP) was developed in accordance with the provisions of the Clean Water Act (33 U.S.C. §§1251 et seq., as amended), and the *United States Environmental Protection Agency (EPA) National Pollutant Discharge Elimination System (NPDES) Multi-Sector General Permit for Stormwater Discharges Associated with Industrial Activity (MSGP)* (U.S. EPA, January 2021) issued by EPA. The SWPPP uses the industry specific permit requirements for *Sector A-Timber Products and Sector AA-Fabricated Metal Products* as a guide. The applicable stormwater discharge permit is EPA General Permit Tracking Number NMR050013 MSGP 2021 [Triad National Security, LLC (Triad)]. Click [here](#) to view contents of the [2021 Multi-Sector General Permit](#).

This SWPPP applies to discharges of stormwater from the operational areas of the TA-09-0214 Metal Fabrication Shop (MFS) at Los Alamos National Laboratory. Los Alamos National Laboratory (also referred to as LANL or the “Laboratory”) is owned by the Department of Energy (DOE), and is operated by Triad. Throughout this document, the term “facility” refers to the TA-09-0214 Shops. The current MSGP expires at midnight on February 28, 2026.

1.0 FACILITY DESCRIPTION

1.1 Facility Information

| | | |
|---|-----------|-----------------|
| Name of Facility: TA-09-0214 Metal Fabrication Shop | | |
| Street: Northeast side of the intersection of Anchor Rd. and R-Site Rd. | | |
| City: Los Alamos | State: NM | ZIP Code: 87545 |
| County: Los Alamos | | |
| NPDES ID (i.e., permit tracking number): NMR050013 MSGP 2021 | | |
| Primary Industrial Activity SIC code, and Sector and Subsector: SIC 3499, Sector A, Subsector A4; Subsector AA1 | | |
| Estimated area of industrial activity at site exposed to stormwater: 0.25 acres | | |
| Discharge Information | | |
| Name(s) of surface water(s)/segment that receives stormwater from your facility: Arroyo de la Delfe (Pajarito Canyon to headwaters) | | |
| Does this facility discharge industrial stormwater directly into any segment of an “impaired water” (see definition in 2021 MSGP, Appendix A)? <input checked="" type="checkbox"/> Yes No | | |
| Pollutants causing the impairment: Total Recoverable Aluminum, Dissolved Copper, Adjusted Gross Alpha, and Polychlorinated Biphenyls (PCBs). | | |

Pollutants causing the impairment (see above) that may be present in industrial stormwater discharges from this Facility: New Facility Aluminum, Copper

Are any of your stormwater discharges subject to effluent limitation guidelines (ELGs) (2021 MSGP Table 1-1)? ☐ Yes ☒ No

If Yes, which guidelines apply? Not applicable.

1.2 Stormwater Pollution Prevention Team (PPT)

The Stormwater PPT for the TA-09-0214 Shops consists of operations and management personnel from the Weapons Facility Operations (WFO), Environmental Protection and Compliance-Compliance Programs (EPC-CP), and a Deployed Environmental Professional (DEP). The EPC-CP representative is responsible for subject matter expertise to ensure Laboratory compliance under the NPDES permit regulations. The team members are selected based on their familiarity with the activities at the facility and the potential impacts of those activities on stormwater runoff.

Specific duties of individual team members within the PPT are listed in the table below.

| Staff Positions | Individual Responsibilities |
|---|--|
| Deployed Environmental Professionals (Primary and Backup): Kelkenny Bileen , EPC-CP, Deployed Environmental Professional | Responsible for the support and oversight of all environmental programs and issues for the yards, buildings and facilities listed within this Plan. The DEP is responsible for training, recordkeeping, and SWPPP revision. The DEP ensures documentation of inspections and other required MSGP records relative to the SWPPP are managed in accordance with the Permit and established document control procedures and that the SWPPP is kept current. The DEP provides technical and regulatory support and regularly communicates with facility and operations personnel, as well as the facility PPT, regarding implementation of the MSGP and this SWPPP. Lastly, the DEP conducts routine facility inspections and if necessary, visual assessments in accordance with the Permit. Identified conditions requiring corrective actions from routine facility inspections are entered into the EPC-CP Corrective Action Report (CAR) database. The DEP is responsible for tracking and updating the status of corrective actions that cannot be implemented immediately. The DEP is also responsible for immediate and timely communication to appropriate facility and operations management personnel to ensure that they are aware of non-compliant issues within the MSGP boundary and that they understand immediate action is required to correct the non-compliance. |
| FOD Manager/Representative: Casey Byrd , Maintenance Manager, MSS-WFO | Responsible for managing the maintenance and operation of all aspects of the yards, buildings and facilities listed within this Plan. The manager shall provide review and ensure coordination with core personnel and the PPT, as appropriate, when tenants within the WFO FOD propose a new process, new site or operation that may be subject to the MSGP. This Manager/Representative is key to ensuring adequate |

| | |
|---|--|
| | communication and coordination of issues regarding implementation of the MSGP and this Plan. |
| EPC-CP Core: Holly Wheeler , MSGP Program Lead, EPC-CP | The MSGP Program Lead is responsible for managing and administering the MSGP Program for all industrial facilities operated by Triad within LANL. The MSGP Program Lead advises and provides guidance to facility or operations personnel on NPDES MSGP regulations/requirements. The MSGP Program Lead also acts as the institutional point of contact for all interactions with the regulatory authority (EPA) and supervises personnel implementing stormwater monitoring requirements for the facility. |
| Operations Manager(s): Levi Ontiveros, LOG-CS, Metals Fabrication Shop (MFS) Superintendent | Responsible for day-to-day operations at the facility. Assists the DEPs and EPC with inspections; spill reporting; implementing, installing and maintaining stormwater controls (also known as Best Management Practices (BMPs); and providing documentation as requested by other team members. The Superintendents are key to ensuring adequate communication and coordination of issues regarding implementation of the MSGP and this Plan. These Superintendents also assist the DEP/EPC-CP with training and/or briefings as requested. |

1.3 Site Description

The Metal Fabrication Shop (MFS) is located at TA-09-0214. (Figures B-1).

The primary operation of this facility is fabrication of metal components for a variety of uses around WFO. Cuts, grinds, and welds piping indoors and occasionally stores metal piping outdoors, which is covered with heavy duty tarps. All metal fabrication is performed indoors and outdoors.

Outdoor activities at the facility include the following:

- Use of loading area for loading and unloading materials and fabricated items.
- Metal storage in designated yard areas, metal pipe racks.
- Shop vehicle and equipment (i.e. forklift) parking.
- Roll-off bins storing scrap metal for recycle.

Outfalls

Outfall 079: Is representative of all stormwater runoff associated with the facility. Stormwater discharges from the facility to Arroyo de la Delfe (Pajarito Canyon to headwaters), which is a tributary of the Rio Grande located approximately 9.5 miles east of the facility.

1.4 General Location Map

The general location map for the facility can be found as Figure A. Figures B-1 contain all site maps and receiving waters associated with stormwater discharges from the TA-09-0214. 100% of the site flows to Arroyo de la Delfe (Pajarito Canyon to head waters). The canyon at this location is an ephemeral stream and eventually flows into the Rio Grande approximately 9.5 miles southeast of the site.

1.5 Site Map

The site maps provided as Figures B-1 illustrate the facility's activities: including facility boundaries, structures, impervious surfaces, industrial activity areas, spills, operational areas, drainage patterns, stormwater controls, monitoring locations, outfalls, and nearby receiving waters.

As required by the 2021 MSGP, the following information specific to the facility is either shown on the site map or contained within additional information provided in this SWPPP.

- **Site boundaries and acreage.** The site covers approximately 0.25 acres (total).
- **Significant structures and impervious surfaces.** MFS is 50 % (primarily due to paved lots and structures).
- **Direction of stormwater flow and site drainage.** Direction of flow is indicated with arrows.
- **Locations of stormwater control measures.** Control measures are identified numerically.
- **Locations of all receiving waters.** Stormwater discharges to Arroyo de la Delfe (Pajarito Canyon to head waters) which is an impaired water. There is no TMDL for Pajarito Canyon. A map of nearby receiving waters is provided as Figure B-2.
- **Locations of potential pollutant sources.**
- **Locations of significant spills or leaks.**
- **Locations of all stormwater monitoring points.**
- **Locations of stormwater inlets and outfalls.**
- This facility is not currently associated with a municipal separate storm sewer system (MS4).
- **Areas of designated critical habitat for endangered or threatened species.** There are none in the direct vicinity of the TA-09-0214. A map for threatened and endangered species within LANL property is included as Figure B-3.
- There are no non-stormwater discharges at the facility (see certification in Attachment 3)
- Locations of the following activities where such activities are exposed to precipitation:
 - loading/unloading areas;
 - locations used for the treatment, storage, or disposal of waste;
 - processing and storage areas;
 - immediate access roads used or traveled by carriers of raw materials, manufactured products, waste material, or by-products used or created by the facility;
 - machinery; and
 - locations and sources of run-on to the site.

2.0 POTENTIAL POLLUTANT SOURCES

Industrial activities that could potentially result in releases of pollutants to the environment are summarized in Section 2.1 below. The site maps for the TA-09-214 Metal Fabrication Shops are in Figure B-1, B-2.

Most industrial activities at the MFS take place indoors, where materials are not exposed to stormwater. Potential stormwater pollutants involve facility materials stored outdoors. These primarily include finished or raw metal stock, scrap metals or metal shavings that may contain residual cutting oils and outdoor activities such as loading/unloading materials at vehicle/forklift parking.

The primary metal storage yard (located on the southwest side of the outdoor lot). The yard contains two covered metal storage racks and a covered bin for the temporary storage of scrap metal for recycling. Large pieces of scrap metal are stored on wooden pallets and kept covered with heavy-duty (28 mil.) tarps. A garbage dumpster and a cardboard recycling dumpster, both covered, are positioned on the south side of the outdoor lot.

Vehicle parking is limited to areas adjacent to the north boundary fence line and east of Building 214. Southwest side of the facility are primarily used to transport metal stock or finished metal products to and from the shop.

2.1 Potential Pollutants Associated with Industrial Activity

Industrial activities that could potentially result in releases to the environment are summarized in 2.1 below by shop.

Covered Metal Raw Material Storage Area (Metal Storage Yard)

Potential pollutants include metals exposed to precipitation (rust).

Covered Metal-Recycle Roll-Off Bin

Potential pollutants include processed metal chips, turnings, small metal pieces, and cutting oil residues (if leakage occurred from the roll-off bin).

Pipe Storage Racks (Metal Storage Yard)

Potential pollutants include metal pipe exposed to precipitation (rust).

Vehicle Parking

Potential pollutants include leakage of fuel, oil, or hydraulic fluids.

Dumpsters Containing Trash and Cardboard

Potential pollutants include trash, debris, plastics, food, and cardboard, which can get blown around the parking lot or carried out of the dumpster by birds or other wildlife.

Solid Waste Management Unit (SWMU) or Consent Order Site

No SWMU is located within the boundary of the MFS.

2.2 Spills and Leaks

Spills and leaks that occurred after March 1, 2021, the issuance date of the 2021 MSGP, are summarized in Attachment 24. Spills and leaks that occurred prior to March 1, 2021, are documented in previous SWPPP revisions.

Information on areas where spills and leaks could occur:

| LOCATION | OUTFALLS (SEE SITE MAP) |
|---|-------------------------|
| Metal Storage Yard and Covered Metal Recycle Roll-Off Bin | 079 |
| Vehicle Parking | 079 |
| Loading and Unloading Operations | 079 |
| Raw Material Metal Storage | 079 |

In the event of any future spill or leak at any of the facility areas, a spill report, documenting the occurrence and the nature of the spill or leak, will be completed. The spill report will be filed promptly upon completion and documentation of the spill clean-up, and will be summarized in this section of the SWPPP.

The probability of spills or releases at the facility is minimized by the application of good housekeeping procedures and appropriate operational methods. Spill protection and clean-up materials are readily available on site. Appropriate response measures for a spill or release of hazardous materials are applied when addressing spills. The specific spill response and cleanup procedures depend on the nature of the spilled material. Specific spill response and reporting procedures for LANL are listed in Section 3.1.4 of this SWPPP.

2.3 Unauthorized Non-Stormwater Discharges

There are no NPDES permitted non-stormwater discharges or unpermitted outfalls associated with the facility. Potential sources of non-stormwater discharges at the facility include the testing of fire hydrants in the area.

The Non-Stormwater Discharge Assessment and Certification is located in Attachment 3. This form certifies that all stormwater outfalls have been evaluated for the presence of non-stormwater discharges. The form is updated whenever a change in possible non-stormwater discharge is determined.

2.4 Salt Storage

No salt storage or piles containing salt are present at the facility. There is no salt storage anticipated for this facility as part of an industrial activity.

2.5 Historical Data Summary

No historical data Summary, New Asset. All Triad sampling data collected at this facility during the previous permit term is contained in the prior SWPPP revision.

3.0 STORMWATER CONTROL MEASURES

Control measures at the facility are designed to minimize the potential for spills, releases, exposure of materials, or any other events that could adversely affect the quality of water and sediment that may be transported out of the area by stormwater runoff.

Proper material management and storage minimize the potential for exposure of precipitation and runoff to potentially hazardous materials. Containers that could be susceptible to spillage or leakage are plainly labeled (e.g., "Used Oil," "Spent Solvents," etc.). Most operations are performed indoors, and materials are stored indoors or outdoors in covered or enclosed structures. The potential for exposure of industrial materials to stormwater is limited primarily to loading/unloading operations at outdoor dock areas and yards, leaks from the transfer of machining oil from TA-09-0214 to the shops, leakage of oil from the metal recycle roll-off bin, or from vehicle parking in the east lots. Adequate secondary containment is provided for oil containing equipment.

3.1 Non-Numeric Technology-Based Effluent Limits

Part 8 of the 2021 MSGP identifies sector-specific requirements for **Sector AA** in addition to the general non-numeric limits outlined in this section. The facility must comply with requirements associated with the primary industrial activities described in Section 1.3 of this SWPPP and any co-located industrial activities as defined in Appendix D of the 2021 MSGP. The sector specific requirements only apply to those areas of the facility where the sector-specific activities occur.

The following sector-specific non-numeric effluent limits are addressed at this facility and identified by shop.

Raw Steel Handling Storage

Most of the handling and all fabrication/processing occurs inside the MFS. All shavings, chips, turnings, and iron dust resulting from fabrication activities are contained in receptacles below each piece of machinery. Receptacles are emptied into bins located throughout the MFS. Once the bins are full of metal chips, turnings and small metal pieces, they, along with larger metal scrap pieces are emptied into the outside covered metal recycle roll-off bins. Excess piping and other metals are either placed on covered elevated racks or on pallets covered with tarps in the southwest metal's storage yard. No wastes are disposed on-site.

Metal Fabricating Areas

All areas are enclosed and maintained daily to ensure all chips, turning, and iron dust is contained. Areas around all machinery are swept and inspected daily for spills. Oil absorbent for dry cleanup is readily available in the event of leakage.

Storage Areas for Raw Metal

The primary outside metal storage area is the raw metal storage area. Some metal or piping may be stored on a pallet covered with tarps within the metal storage yard. Most metal is stored on covered racks. Additional storage area containing pipe storage racks and miscellaneous metal storage, is maintained in a neat. All raw metals stored at the site are covered with tarps.

Metal Working Fluid Storage Area

Cutting and drilling fluids and oils used at the facility are stored in Building 0214. The room is fully enclosed and drums are stored on secondary containment. This area is not exposed to precipitation.

Lubricating Oil and Hydraulic Fluid Operations

All operations occur inside to prevent stormwater contamination. In the case of temporary outdoor storage, secondary containment is utilized for lubrication oils in 55 gallon drums. Metal recycle roll-off bins are covered to prevent stormwater from contacting metal chips and turnings with cutting oil residue.

Spills and Leaks

A detailed description of spill prevention and response procedures is included in Section 3.1.4. The probability of spills or releases at the facility is minimized by the application of good housekeeping procedures and appropriate operational processes. Operational processes include use of drum dollies and drum grapplers on the forklifts for unloading and reloading.

There are no areas at this facility where chemical formulations are sprayed to provide surface protection; and no stormwater discharges associated with this type of activity.

Spills and leaks that occurred after March 1, 2021, the issuance date of the 2021 MSGP, are summarized in Attachment 24. Spills and leaks that occurred prior to March 1, 2021, are documented in previous SWPPP revisions.

3.1.1 Minimize Exposure

Control measures at the facility are designed to minimize the potential for spills, releases, exposure of materials, or other events that could adversely affect water quality and sedimentation/erosion.

Most operations and storage areas are located within structures, so that the potential for exposure to stormwater is limited to the loading/unloading areas, the metal storage yard, and vehicle parking areas. When leaky vehicles or equipment are identified during daily or routine facility inspections, absorbents are applied until the vehicle or equipment is removed from the facility for maintenance or repair. Micro-Blaze® is sprayed on asphalt or concrete after all liquids have been absorbed. Locations for spill cleanup kits and spill response materials are described in Section 3.1.4 of this SWPPP. There are no hazardous waste storage areas associated with the shops. All major wood cutting and metal fabrication activities occur inside. Specific structural controls are listed below:

Roll-off bin for scrap wood

The roll-off bin is equipped with a rolling cover and is kept covered when not in use. The bin and its contents are removed for disposal once the bin becomes approximately 3/4 full.

Spill Control

Craft vehicles are monitored on a regular basis for leaks and checked during monthly routine facility inspections. If spills or leaks are found, absorbent materials are immediately used to contain the leak. The spill procedures listed in Section 3.1.4 is also followed.

Trash Dumpsters

Trash dumpsters (adjacent to the facility) are kept closed when not in use and dumped on a regular basis. Dumpsters are kept in good condition and are repaired or replaced, if needed, by Roads & Grounds.

Covered Metal Recycle Roll-Off Bins

Metal chips and turnings and scrap metal are placed inside covered roll-off bins which are shipped off site for recycle on a routine basis.

Covered Metal and Pipe Storage Racks

Metal raw material, pipe and finished/fabricated metal parts are stored on elevated racks to prevent direct contact with stormwater runoff. Where it is not feasible to store metal materials on covered racks (due to size, weight, etc.), the metal is stored off-ground on pallets and covered with sturdy, 28 mil tarps that are manufactured to last 25 years.

Spill Control

Parking areas are frequently inspected for leaks and checked monthly during routine facility inspections. Oil absorbent is available in the MFS for containment if needed. Forklifts are parked inside on most occasions to reduce the potential for exposure to stormwater. Maintenance on forklifts is performed off site at the TA-09-0028, Heavy Equipment Shop.

Run-off Control

FliterSoxx was installed around the outdoor metal storage yard in 2021 to prevent run-off and to channel all stormwater to the monitored outfall and stormwater controls. This berm manages runoff, prevents offsite sediment migration, and directs flows to the outfall and automated sampler. Whenever these berms need repair, they are identified as a condition requiring corrective action on the routine facility inspection form and entered into the EPC-CP CAR database and repaired.

Trash Dumpsters & Cardboard Recycle Dumpsters

Trash dumpsters and cardboard recycle dumpsters (adjacent to the facility) are kept closed when not in use and dumped on a regular basis. Dumpsters are kept in good condition and are repaired or replaced, if needed, by Roads & Grounds.

3.1.2 Good Housekeeping

Good housekeeping practices specifically applicable to the prevention of stormwater contamination are identified below.

Sweep or vacuum at regular intervals or, alternatively, wash down the area and collect and/or treat, and properly dispose of the washdown water.

Site areas exposed to precipitation, including outfalls, are inspected during periodic walk downs to ensure grounds are kept in an orderly condition. Floatable debris, garbage, waste, sediments and other pollutant-carrying items are removed.

East parking areas are swept monthly with the vacuum sweeper to reduce sediment accumulation.

Recycle wood roll-off bin are inspected and swept monthly or sooner if needed to keep wood silvers and shavings from mobilizing with stormwater.

Trash and debris is disposed of in covered trash dumpsters. The trash dumpsters are serviced by Roads and Grounds on a weekly basis.

Outdoor metal storage areas at the TA-09-0214 are monitored to ensure metal and pipe is stored properly off the ground on storage racks and is covered. Large scrap metal is elevated and stored on pallets or contained inside a covered metal recycle roll-off bin within the metal storage yard at the MFS. Small metal pieces, chips and turnings may be contained in a closed metal drum within a larger roll-off bin or placed in a small covered 30 cubic yard scrap metal roll-off bin. Receptacles used to collect chips, turnings and small metal pieces are emptied into bins within MFS, which are then transferred to the larger bins outside.

Metal recycle roll-off bins are covered and monitored to ensure they are scheduled for pickup by the Material Recycling Facility (MRF) when they are 3/4 full.

Storage sheds, vehicle loading and forklift parking areas are inspected for signs of spills or leaks. All spills and leaks are cleaned-up immediately per Section 3.1.4 of this SWPPP. Government vehicles and equipment found leaking fluids are removed immediately and sent to the Heavy Equipment Shop for maintenance.

3.1.3 Maintenance

Control measures at the facility are kept in effective operating condition by implementing scheduled preventive maintenance, standard operating procedures (SOPs), engineering guidance, and manufacturer's specifications as applicable. If control measures need to be replaced or repaired to maintain compliance with the 2021 MSGP, necessary modifications are made according to the timelines specified in the *Corrective Actions and Deadlines* requirements of Section 6.0 of this SWPPP.

Deficient items (i.e., conditions requiring corrective action) identified during monthly routine facility inspections or other walk downs are documented on the inspection form and entered into the CAR database. All reasonable steps are taken immediately to address any identified condition requiring corrective action. The CAR will remain open until proper maintenance or corrective action has been completed. CAR information along with documentation of repair of control measures is kept on file in Attachment 9 of the SWPPP. Maintenance like monthly sweeping, emptying roll-off bins when 3/4 full.

Note: "All reasonable steps" means that the permittee has responded to the condition(s) triggering the action, such as, cleaning up any exposed material that may be discharged in a storm event (e.g., through sweeping, vacuuming) or making arrangements (i.e., scheduling) for a new stormwater control measure (SCM) to be installed.

3.1.4 Spill Prevention and Response

Spills, leaks, or releases are prevented and minimized by the application of good housekeeping procedures, BMPs, and engineering/administrative controls. Containers that could be susceptible to

spillage or leakage are plainly labeled (e.g., “Used Oil,” “Spent Solvents,” etc.) to encourage proper handling and facilitate rapid response if spills or leaks from these containers should occur. Spill cleanup materials are located inside Building 214 at the MFS. Micro-Blaze® is kept in the DEP office at TA-15-0714.

All spills or releases are reported to EPC-CP by using the spills pager (505) 664-7722. Although incidental spills may be cleaned up by facility personnel, all emergency spills or releases are reported to Emergency Management Division-Emergency Response (EMD-ER) and/or the Facility Duty Officer by calling 667-2400. If fire or explosion is present, or if the potential for such exists, the situation must be reported by dialing 911 from a non-cellular phone or by activating a fire pull box. In the event of a spill, EMD-ER will coordinate appropriate cleanup procedures and EPC-CP will notify the individuals or organizations responsible for completing spill reports and providing information needed to fulfill regulatory reporting requirements.

Unauthorized releases or discharges within industrial facility boundaries are entered into the CAR database in accordance with EPC-CP-QP-022, *MSGP Corrective Actions*. In addition, the completion of an Unplanned Release Report is required in the event of a spill. The report is submitted to EPC-CP personnel and handled according to internal spill record keeping procedures. Spills may be “reportable” (requiring external agency notification) depending on the nature of the spilled material and the location of the release. External agency notification may consist of verbal and/or written notification to the National Response Center, Environmental Protection Agency (Region VI), or the New Mexico Environment Department (NMED). EMD-ER, the FOD and EPC-CP, in accordance with Laboratory and DOE policies and federal and state regulatory reporting requirements, will make the determination for the type of reporting required. EPC-DO-QP-101, *Environmental Reporting Requirements for Releases or Events* is used for this purpose (see Attachment 21).

Copies of internal spill reports are maintained by the responsible organization and in the EPC-CP database. The EPC-CP procedure for spill reporting and response, EPC-CP-QP-1007, *Spill Investigations*, can be found in Attachment 22 of this SWPPP.

3.1.5 Erosion and Sediment Control

The entire outside area around TA-09-0214 are associated with the shop, except for small plots of grass adjacent to the buildings, is paved with asphalt and concrete; therefore, erosion and sediment transport is unlikely. Vacuum sweeping of the west lot at the MFS is done monthly except when snow prevents it. Regular vacuum sweeping reduces sediment accumulation on site and transport of associated pollutants. The potential for sediment migration from the covered raw metal storage areas located west of TA-09-0214 is minimized by the FilterSoxx berm installed around the perimeter of the area. The rock berm along the northern perimeter of the site manages sediment by reducing the potential for offsite sediment migration.

3.1.6 Management of Runoff

Stormwater runoff from the facilities outdoor industrial activity areas is captured by 4 grated storm drains located on the east side of Building 214. In the event of a stormwater backup at the grated (trench) drain west of the shop, the sump-pump discharges stormwater north. This is necessary to prevent the shop from flooding.

Run-on generated from the paved area east of the metal storage yard is diverted around the metal storage yard into the grated storm drains located on the east side of Building 214. Runoff from the metal storage yard is managed by the berm along the fenced perimeter.

The grated drop inlets west of Building 214 are inspected during monthly routine facility inspections and all debris or other obstructions are removed immediately. All onsite and offsite storm drains at the facility connect to a common storm system and common outfall which daylight into a tributary of Pajarito Canyon.

Runoff generated from the MFS is minimal. Gravel mulch covers the entire footprint of the site and acts to infiltrate stormwater and minimize runoff. Runoff is managed by the perimeter rock berm located along the northern and western perimeter of the area.

See the site maps in Figures B-1, B-2 and B-3 and the outfall information provided in Section 1.3 for additional information on the drainage patterns and control measures associated with shops.

3.1.7 Salt Storage Piles or Piles Containing Salt

Salt storage piles or piles containing salt are not stored at this facility.

3.2 Numeric Effluent Limitations Based on Effluent Limitations Guidelines

The TA-09-0214 Shops are classified under **Sector AA-Fabricated Metal Products** and do not meet the industrial category requirements for effluent monitoring as listed in Part 2.1.3 (*Table 2-1 Applicable Effluent Limitations Guidelines*) of the 2021 MSGP.

3.3 Water Quality-Based Effluent Limitations and Water Quality Standards

Impaired waters monitoring is performed annually at the facility as listed in Section 4.7 of this SWPPP. The pollutants monitored can change yearly based on the requirements of the MSGP. The table in Section 4.7 lists the current year (2021) monitoring requirements, constituents and regulatory standards. Refer to Section 4.7 for specific actions that are taken when a water quality standard is exceeded.

Stormwater from the TA-09-0214 Shop discharges to Arroyo de la Delfe (Pajarito Canyon to headwaters). Certain stream reaches within Arroyo de la Delfe (Pajarito Canyon to headwaters) have been identified as impaired waters by the NMED Surface Water Quality Bureau (SWQB). According to the 2020-2022 State of New Mexico Clean Water Act 303b/305b Integrated Report and Final List of Assessed Surface Waters, pollutants causing the impairment are listed as Aluminum, Total Recoverable, Gross Alpha, Adjusted, PCBs, and Copper, Dissolved. EPA has not yet approved or established TMDLs for Arroyo de la Delfe (Pajarito Canyon to headwaters).

4.0 SCHEDULES AND PROCEDURES

Preventative maintenance of control measures used to comply with the Permit effluent limits can avoid situations that result in discharges of pollutants to the environment. Part 6.2.5 of the 2021 MSGP specifies control measures will have a schedule or frequency for maintenance and procedures specifying how maintenance is conducted. Part 6.5 requires documentation of maintenance and repairs including the date(s) of regular maintenance. See Attachment for the Scheduled Maintenance Log.

4.1 Good Housekeeping

See Section 3.1.2 of this SWPPP.

4.2 Maintenance

See Section 3.1.3 of this SWPPP.

4.3 Spill Prevention and Response

See Section 3.1.4 of this SWPPP.

4.4 Erosion and Sediment Control

See Section 3.1.5 of this SWPPP.

4.5 Employee Training

Employee training is essential for effective implementation of the SWPPP and MSGP requirements. The goals for the training program are to ensure that employees: (1) are aware of what happens when pollutants come in contact with stormwater; (2) are familiar with and will implement the requirements of this SWPPP; (3) are capable of preventing spills; (4) respond safely and effectively to an accident when one occurs; (5) recognize when there is an issue with a control measure; (6) recognize when additional control measures are necessary; and (7) identify situations that could lead to stormwater contamination.

Per Part 2.1.2.8 of the 2021 MSGP, training relevant to the SWPPP and MSGP is required for all workers at the facility that work in areas where industrial materials or activities are exposed to stormwater (MSGP sites); workers, managers, and supervisors who are responsible for implementing activities necessary to meet the conditions of this permit (e.g., inspectors, maintenance personnel); and all members of the PPT. Training is designed to ensure these personnel understand the MSGP and SWPPP requirements, as well as their specific responsibilities regarding these requirements.

Training provided and assigned to these personnel cover both the specific control measures used at the facility; along with monitoring, inspection, planning, reporting, and documentation requirements described in this SWPPP. Training will be conducted at least annually. The DEP, Deployed Environment Safety and Health (DESH) Group Leader and Pollution Prevention Team members are responsible for ensuring all appropriate personnel receive this training.

Training activities are documented in accordance with LANL's Training Standards. In cases where training is formalized enough to require specific curricula and reoccurrence, the training activity will be recorded in LANL's official U-TRAIN database. Informal briefings, such as those included in-group safety meetings are not typically recorded in U-TRAIN. Sign-in sheets are used to document attendance and are considered official use only (OUO). All training records will be managed in accordance with P204-1, *Controlled Unclassified Information*. The topics in this SWPPP that are covered in the latest version of the facility-specific annual MSGP training (see Attachment 11) include the following:

- Overview of the SWPPP contents;
- Spill response and cleanup procedures, good housekeeping, maintenance requirements, and material management practices to prevent stormwater pollution;
- The location of all controls on the site required by this permit and how they are maintained;
- The proper procedures to follow with respect to the permit's pollution prevention requirements; and
- When and how to conduct inspections, record applicable findings, and take corrective actions.

4.6 Routine Facility Inspections and Quarterly Visual Assessments

Routine inspections at this facility are conducted and documented monthly in accordance with EPC-CP-QP-2108, *MSGP Routine Facility Inspections* (Attachment 16).

Visual assessments are conducted in accordance with EPC-CP-QP-2105, *MSGP Stormwater Visual Assessments* (Attachment 18).

4.6.1 Routine Facility Inspections

At least once each calendar year, the routine facility inspection is conducted during a period when a stormwater discharge is occurring. A qualified member of the PPT (typically the DEP, a representative from the EPC-CP Storm Water Permitting/Compliance Team or EPC-CP Program Lead) performs the inspection. The 2021 MSGP consolidates the different and separate documentation requirements in the Comprehensive Site Inspection Procedures and Routine Facility Inspection Procedures from the 2008 MSGP. EPC-CP performs at least one routine facility inspection per year at the facility.

Routine inspections will evaluate the following areas, at a minimum:

- Areas where industrial materials or activities are exposed to stormwater;
- Areas identified in the SWPPP and those that are potential pollutant sources;
- Areas where spills and leaks have occurred in the last three years;
- Discharge points [outfalls/Substantially Identical Outfalls (SIDP)]; and
- Control measures used to comply with the effluent limits contained in this permit.

Specific areas of the facility to be inspected are described in Section 2.1 and 2.2.

During routine inspections, the following are examined:

- Industrial materials, residue or trash that may have or could come into contact with stormwater;
- Leaks or spills from industrial equipment, drums, tanks and other containers;
- Offsite tracking of industrial or waste materials, or sediment where vehicles enter or exit the site;
- Tracking or blowing of raw, final or waste materials from areas of no exposure to exposed areas; and
- Control measures needing maintenance, repairs or replacement.

Inspections performed by the PPT member are documented by completing the routine facility inspection form, which identifies all conditions requiring corrective action and other potential stormwater pollution issues that were encountered. All conditions requiring corrective actions identified during the inspection are addressed in accordance with Section 6.0 *Corrective Actions and Deadlines* of this plan. Facility personnel or the DEP may also perform daily, weekly, or other periodic facility surveys (walk downs) between monthly routine facility inspections to ensure compliance with the SWPPP and MSGP. Completed routine facility inspection forms are provided in Attachment 7 of this SWPPP and meet the requirements listed in the 2021 MSGP (Part 3.1.2.).

4.6.2 Quarterly Visual Assessments

Once each quarter, (January-March, April-June, July-September, October-December) a stormwater sample is obtained and visual assessment performed at each outfall, if a measureable storm event occurred. A qualified member of the PPT (DEP, EPC-CP Storm Water Permitting/Compliance team member or MSGP Program Lead) conducts the visual assessment. The visual assessment will be:

- Of a sample in a clean, clear colorless glass or plastic container and examined in a well-lit area;

- On samples collected within the first 30 minutes of an actual discharge from a storm event or as soon as practicable thereafter. Alternatively, document why it was not possible to collect the sample within the first 30 minutes (i.e. adverse conditions, not enough flow, etc.); and
- Conducted at least 72 hours since the last storm event; or document that the 72-hour period is representative of local storm events during the sampling period.

Note: Snowmelt samples need only be collected during a period of measurable discharge.

The visual assessment will assess for the following water quality characteristics: color, odor, clarity, floating solids, settled solids, suspended solids, foam, oil sheen, and other obvious indicators of stormwater pollution.

If a visual assessment is not conducted:

- Document rationale if a visual assessment is unable to be collected in a quarter (no precipitation event or adverse conditions, etc.); and
- Perform an additional assessment during the next qualifying storm event if unable to perform in a particular quarter.

Perform one quarterly assessment during snowmelt discharge (taken during a measurable discharge from the site).

For facilities with SIDP, quarterly visual assessments may be performed at only one of the outfalls, provided that you perform visual inspections on a rotating basis at each substantially identical outfall (SIDP).

The PPT member performing the visual assessment documents potential stormwater pollution problems that were observed during the assessment on the quarterly visual assessment form. Any conditions requiring corrective action identified during the assessment is addressed in accordance with Section 6.0 *Corrective Actions and Deadlines* of this plan. Completed quarterly visual assessments are provided in Attachment 8 of this SWPPP and meet the requirements listed in the 2021 MSGP (Part 3.2.2).

4.7 Monitoring

Analytical monitoring comprising Impaired Waters monitoring for industrial activity identified in Tables 1-1 and 4-1 of the 2021 MSGP is performed annually on stormwater discharges from the site. Pre- and polyfluoroalkyl substances (PFAS) monitoring will occur annually unless it is not detected or is detected below the PFAS screening level for New Mexico. If either of these scenarios occur, PFAS monitoring will cease. Indicator parameters are monitored quarterly. Monitoring occurs when a storm event results in an actual discharge from the site and follows the preceding measurable storm event by at least 72 hours (3 days), unless documented that the storm event is representative of local storm events during the sampling period. For runoff from snowmelt, the monitoring is performed at a time when a measurable discharge from the site occurs.

Monitoring is conducted according to test procedures approved under 40 CFR Part 136. Runoff samples are collected by taking a minimum of one grab sample from a discharge, collected within the first 30 minutes of a measurable storm event. If it is not possible to collect the sample within the first 30 minutes of a measurable storm event, the sample is collected as soon as practicable after the first 30 minutes and documentation is kept with the SWPPP explaining why it was not possible.

LANL is located in a high elevation, semi-arid climate where the majority of rainfall occurs during a period between July and September. Freezing conditions that would prevent runoff from occurring for extended periods may also occur during the winter months. If adverse weather conditions prevent the

collection of a sample according to the relevant monitoring schedule, a sample will be collected during the next qualifying storm event or as soon as practicable.

Monitoring for the MFS occurs at automated sampling station **MSGP07901** as identified in Section 1.5. The automated sampler and outfall location is shown on the site map provided in Figure B-1. For impaired waters pollutants, monitoring is required annually in the first and fourth year of permit coverage. If any pollutant associated with the impairment is detected, annual monitoring will continue.

The primary contributor of pollutants to stormwater discharges from the metal storage, chips and turnings, and cutting oil. Leaks and spills can occur from the product storage area or vehicles in the area.

For impaired waters pollutants monitoring is required annually in the first and fourth year of permit coverage. If any pollutant associated with the impairment is detected, annual monitoring will continue.

If the impaired water constituent value exceeds the New Mexico Water Quality criterion, the Pollution Prevention Team will:

- Review the selection, design, installation, and implementation of control measures to determine if modifications are necessary to meet the effluent limits;
- Implement the necessary modifications within the timeframe specified for corrective action; and
- Continue annual monitoring of the constituent (as required by Part 6.2 of the 2021 MSGP);

For each monitoring event, except snowmelt monitoring, the following information is recorded and maintained through work orders, LANL database systems, and Discharge Monitoring Reports:

- The date, exact place, and time of sampling or measurements;
- The date and duration (in hours) of the rainfall event
- Rainfall total (in inches) for that rainfall event
- The individual(s) who performed the sampling or measurements;
- The date(s) analyses were performed
- The individual(s) who performed the analyses;
- The analytical techniques or methods used; and
- The results of such analyses.

All records of monitoring information, including all calibration and maintenance records are maintained for a minimum period of at least three years from the date the permit expires.

LANL's applicable stormwater monitoring procedures can be found in the following Attachments:

- EPC-CP-TP-2103, *Inspecting Stormwater Runoff Samplers and Retrieving Samples for the MSGP* (Attachment 19); and
- EPC-CP-QP-2106, *Processing MSGP Stormwater Samples* (Attachment 20).

The table on the following page lists the current Summary of Monitoring Requirements. The monitoring values have been modified to reflect New Mexico water quality standards and are based on the most protective water quality standards from the Standards for Interstate and Intrastate Surface Waters (effective on February 28, 2018), 20.6.4.900 NMAC; and as set forth in Part 9.6.2. of the 2021 MSGP.

Summary of Monitoring Requirements

2021 Monitoring Year Requirements

Metal Fabrication Shop – Outfall 079

| Monitored Outfall | Monitoring Requirement | Industrial Sector | Assessment Unit | Analyte | Filtered/ Unfiltered | Regulatory Standard | Units | Regulatory Standard Type | Regulatory Standard Reference |
|-------------------|---|-------------------|-----------------|----------------------|----------------------|---------------------|-------|--------------------------|----------------------------------|
| 079 | Impaired Waters/ Quarterly Benchmark | AA | NM-128.A_16 | Al | F10u ¹ | RO/1100 | ug/L | - | MSGP QBM 2021 |
| | Quarterly Benchmark | AA | - | Zn | F ² | 66 | ug/L | - | MSGP QBM 2021 |
| | Quarterly Benchmark | AA | - | NO3+NO2-N | UF | 0.68 | ug/L | MSGP QBM 2021 | NMR050013 MSGP 2021 Sect. 8.AA.6 |
| | Impaired Waters | - | NM-128.A_16 | Cu | F | RO | ug/L | - | - |
| | Impaired Waters | - | NM-128.A_16 | Total Aroclors | UF | RO | ug/L | - | - |
| | Impaired Waters | - | NM-128.A_16 | Adjusted Gross Alpha | UF | RO | pCi/L | - | - |
| | Annual | - | - | PFOA+ PFOS | - | 0.07 | ug/L | - | NMR050013 MSGP 2021 Sect.9.6.2.1 |

¹F10u – 10 µm filter

²F - 0.45 µm filter

NM=New Mexico

RO=Report Only

Al=Aluminum

Zn= Zinc

Cu=Copper

ug/L=Micrograms per Liter

pCi/L=Picocuries per Liter

MSGP=Multi-Sector General Permit

QBM=Quarterly Benchmark Monitoring

PFOA=Perfluorooctanoic Acid

PFOS=Perfluorooctane Sulfonate

5.0 DOCUMENTATION FOR ELIGIBILITY CONSIDERATIONS UNDER OTHER FEDERAL LAWS

5.1 Endangered Species

The Final Site-Wide Environmental Impact Statement (EIS) for the Operation of Los Alamos National Laboratory (DOE/EIS-0380) was issued in May 2008, and a Record of Decision in September 2008. Stormwater issues and associated pollution prevention requirements and activities at LANL are analyzed in Chapters 4 and 5 of the 2008 Site-Wide EIS. These activities are integrated into environmental reviews on a project-specific level through LANL's Integrated Review Tool (IRT), which incorporates both the Excavation Permit (EX-ID) and Permit Requirements Identification (PR-ID) process. Stormwater issues are identified and pollution prevention activities are implemented during the design and construction phases of all LANL projects, and as part of facility operations, including routine maintenance. LANL staff monitors stormwater pollution prevention compliance at MSGP sites in accordance with Section 4.7 *Monitoring* of this plan. Corrective actions are taken as necessary as described in Section 6.0 *Corrective Actions and Deadlines* of this plan.

Part 5.2.2 of the 2021 MSGP requires areas of designated critical habitat for endangered or threatened species, as applicable, be included in the SWPPP. The *Threatened and Endangered Species Habitat Management Plan for Los Alamos National Laboratory* (LA-UR-17-29454) was last updated in October 2017 (see Attachment 13). This document provides a management strategy for the protection of threatened and endangered species and their habitats on LANL property. The MSGP IPaC Trust Resource Report (see Attachment 14) is also attached for informational purposes.

5.2 Historic Properties

In April 2021, August, 2015 and December 2008, the Cultural Resources Team (using GPS spatial data as well as conducting visual inspections), reviewed the Laboratory industrial sites (see list below) and their associated outfalls and monitoring stations subject to the 2021 Multi-Sector General Permit (Permit #NMR050000) for effects on historic properties. All of these sites were found to be undertakings of no effect and in compliance with Section 106 of the National Historic Preservation Act (i.e., Criterion B).

- TA-09-214 Metal Fabrication Shop
- TA-03-38 Metals Fabrication Shop
- TA-60 Asphalt Batch Plant
- TA-60-1 Heavy Equipment Yard
- TA-60 Material Recycle Facility
- TA-60 Roads and Grounds
- TA-60-2 Warehouse
- TA-16 Stockpile Area

6.0 CORRECTIVE ACTIONS AND DEADLINES

When any of the following conditions occur or are detected during an inspection, Level 1, 2, or 3 additional implementation measures (AIM) monitoring or any other means, this SWPPP (e.g., sources of pollution; spill and leak procedures; non-stormwater discharges; the selection, design, installation and implementation of control measures) is reviewed and revised (as appropriate).

- An unauthorized release or discharge (e.g., spill, leak, or discharge of non-stormwater not authorized by this or another NPDES permit to a water of the U.S.) occurs at the facility;
- A discharge violates a numeric effluent limit;
- Stormwater control measures are not stringent enough for stormwater discharge to be controlled as necessary such that the receiving water of the United States will meet applicable water quality standards or to meet the non-numeric effluent limits in the permit;
- An inspection identifies that a required control measure was never installed, was installed incorrectly or is not being properly operated or maintained; and
- Whenever a visual assessment shows evidence of stormwater pollution.

The purpose is to ensure effluent limits of the 2021 MSGP permit are met and pollutant discharges are minimized.

When any of the following conditions occur, a review of the selection, design, installation, and implementation of control measures is performed to determine if modifications are necessary to meet the effluent limits in this permit:

- Construction or a change in design, operation, or maintenance at the facility significantly changes the nature of pollutants discharged in stormwater from the facility, or significantly increases the quantity of pollutants discharged; or
- The average of 4 quarterly sampling results exceeds an applicable benchmark. If less than 4 benchmark samples have been taken, but the results are such that an exceedance of the 4 quarter average is mathematically certain (i.e., if the sum of quarterly sample results to date is more than 4 times the benchmark level) this is considered a benchmark exceedance, triggering this review (see Section 4.7); or
- If an impaired water constituent exceeds the New Mexico Water Quality criterion (see Section 4.7).

If any of the AIM triggering events (i.e., an annual average exceeds an applicable benchmark threshold) in Parts 5.2.3, 5.2.4, or 5.2.5 occur, PPT members must follow the response procedures described in those parts. An annual average exceedance for a benchmark parameter can occur if:

- 1) The four-quarter annual average for a parameter exceeds the benchmark threshold, or
- 2) Fewer than four quarterly samples are collected, but a single sample or the sum of any sample results within the sampling year exceeds the benchmark threshold by more than four times for a parameter.

There are three AIM levels: AIM Level 1, Level 2, and Level 3. PPT members must respond, as required, to different AIM levels which prescribe sequential and increasingly robust responses when a benchmark exceedance occurs. The corresponding AIM level responses and deadlines described in Parts 5.2.3.1, 5.2.3.2, 5.2.4.1, 5.2.4.2, 5.2.5.1 and 5.2.5.2 must be followed unless the facility qualifies for an exception under Part 5.2.6.

When the review identifies the need to modify the SWPPP, it will be revised within 14 calendar days of completion of the associated condition requiring corrective action.

6.1 Immediate Actions

When a condition requiring corrective action is identified, all reasonable steps necessary to minimize or prevent the discharge of pollutants are immediately taken (i.e. spill clean-up, scheduling repairs) until a permanent solution (if needed) can be implemented. Immediate action means all reasonable steps are taken the same workday or no later than the following workday (when it is too late in the day to take corrective action).

6.2 Subsequent Actions

When additional corrective actions are required (e.g. installing or making operational a new or modified control, completing repairs, ordering BMPs) they will be completed by the next storm event, if possible, or within 14 calendar days (from initial discovery). When it is determined that it is infeasible to complete corrective actions within 14 days, documentation of infeasibility and a schedule for completion of the work is documented in the CAR database, which will be completed no later than 45 days (from initial discovery). When it is determined that corrective actions will exceed 45 days, EPA is notified and provided justification of why actions will exceed the timeframe; and a minimal amount of additional time to complete the work may be approved.

6.3 AIM Baseline Status and Triggering Events

Once the facility is authorized to discharge under the MSGP, it is considered to be in a baseline status for all applicable benchmark parameters required by that facility to be monitored. If an AIM triggering event occurs, the facility may return directly to baseline status once the corresponding AIM-level response and conditions are met.

6.3.1 AIM Level 1

When an annual average exceeds an applicable benchmark threshold, the PPT must immediately review the MSGP SWPPP and the selection, design, installation, and implementation of stormwater control measures to ensure the effectiveness of existing measures and determine if modifications are necessary to meet the benchmark threshold for the parameter that exceeded.

Note: An AIM triggering event is outfall and parameter specific.

After reviewing the SWPPP, additional measures, considering good engineering practices, will be implemented, that will reasonably be expected to bring the exceedance below the parameter's benchmark threshold.

Note: If it is determined that nothing further is required to bring the exceedance below the parameter's benchmark threshold for the next 12-month period, document this in the MSGP CAR database.

All modifications and additional control measures required in response to AIM Level 1 will be implemented within 14 days of identification of an AIM Level 1 exceedance. If doing so within 14 days is infeasible, documentation is entered into the MSGP CAR database as to why it is infeasible. Completion of the response must occur within 45 days.

Note: There is no provision in the 2021 MSGP for exceeding the 45-day time frame for response to AIM Level 1.

An additional four quarters of Benchmark monitoring will occur at the outfall where the parameter exceeded the benchmark threshold for AIM Level 1. This monitoring will begin no later than the next full quarter after all responses and deadlines required by AIM Level 1 have been completed. After four quarters of monitoring, the parameter will either return to baseline (see Section 6.3) if it does not exceed the same benchmark threshold or, another annual average exceeds the benchmark threshold causing the facility to move to AIM Level 2

6.3.2 AIM Level 2

When a second benchmark threshold exceedance occurs at an outfall, the PPT will review the SWPPP and implement additional pollution prevention/good housekeeping SCMs, (considering good engineering practices), beyond those implemented in response to AIM Level 1.

Additional control measures required in response to AIM Level 2 will be implemented within 14 days of identification of the AIM Level 2 exceedance. If it is feasible to implement a measure, but not within 14 days, facility personnel may take up to 45 days to implement the measure. In this case, documentation will be entered into the MSGP CAR database identifying why it was infeasible to implement the control measure within 14 days. EPA may grant an extension beyond 45 days, based on an appropriate demonstration by the operator.

An additional four quarters of benchmark monitoring will occur at the outfall where the parameter exceeded the benchmark threshold for AIM Level 2. This monitoring will begin no later than the next full quarter after all responses and deadlines required by AIM Level 2 have been completed. After four quarters of monitoring, the parameter will either return to baseline (see Section 6.3) if it does not exceed the same benchmark threshold or, the parameter continues to exceed the benchmark threshold causing the facility to move to AIM Level 3.

6.3.3 AIM Level 3

When a third benchmark threshold exceedance occurs at an outfall, facility personnel will install structural source controls (e.g., permanent controls such as permanent cover, berms, and secondary containment), and/or treatment controls (e.g., sand filters, hydrodynamic separators, oil-water separators, retention ponds, and infiltration structures). The controls, treatment technologies, or treatment train installed will be appropriate for the pollutant that triggered AIM Level 3, will be sufficient to bring the exceedance below the benchmark threshold and, will be more rigorous than the SCMs implemented under AIM Level 2. These controls will be installed for the outfall that exceeded the benchmark threshold and SIDPs, unless monitoring of the SIDPs demonstrates AIM Level 3 requirements are not triggered at those discharge points.

A schedule for installing the structural source and/or treatment stormwater control measures will be identified and documented in the MSGP CAR database within 14 days. Control measures in response to AIM Level 3 will be installed within 60 days unless it is not feasible to install them within 60 days. In this case, up to 90 days can be taken provided justification identifying why it is infeasible to install the measure within 60 days is documented in the MSGP CAR database. EPA may grant an extension beyond 90 days, based on an appropriate demonstration by the operator.

An additional four quarters of benchmark monitoring will occur at the outfall where the parameter exceeded the benchmark threshold for AIM Level 3. This monitoring will begin no later than the next full quarter after all responses and deadlines required by AIM Level 3 have been completed. After four quarters of monitoring, the parameter will either return to baseline (see Section 6.3) if it does not exceed the same benchmark threshold or, the facility will remain in AIM Level 3 and EPA may require the facility to apply for an individual permit.

6.3.4 AIM Exceptions

Any AIM Level exceedance may qualify for an exception from specific AIM requirements and continued benchmark monitoring after four quarters of monitoring, provided the requirements to demonstrate qualification of the exception are followed (see Parts 5.2.6.1 through 5.2.6.5 of the permit). These exceptions include the following for benchmark exceedances:

- 1) Solely attributable to natural background pollutant levels;
- 2) Due to run-on;
- 3) Due to an abnormal event;
- 4) Demonstrated to not result in an exceedance of facility-specific value using the national recommended water quality criteria in-lieu of the applicable MSGP benchmark threshold (for aluminum and copper benchmark parameters only); or
- 5) Demonstrated to not result in any exceedance of water quality standards.

Note: There are very specific and complicated documentation requirements and time frames that have to be met to qualify for any of these exceptions. Therefore, any demonstration to qualify for an exception will be coordinated through a representative of the EPC-CP Storm Water Permitting/Compliance Team.

6.4 Corrective Action and AIM Documentation

Upon discovery, conditions requiring corrective action are documented by the DEP or EPC-CP on a Routine Facility Inspection Form and/or entered into the CAR database. The action will be kept open in the database until the issue has been resolved. Documentation of maintenance and repairs of SCMs are kept in Attachment 10 of this SWPPP. Where corrective actions result in changes to procedures or controls documented in this SWPPP, modifications to the SWPPP are made accordingly within 14 calendar days of completing the corrective action(s). LANL procedure EPC-CP-QP-022, *MSGP Corrective Actions* can be found in Attachment 17.

Any AIM Level triggering event will conform to the requirements and time frames provided in Sections 6.3 and 6.3.1 through 6.3.4.

7.0 ACRONYMS

| | |
|------------------------|---|
| AIM | Additional Implementation Measures |
| BMP | Best Management Practice |
| CAR | Corrective Action Report |
| CS | Carpentry Shop |
| DEP | Deployed Environmental Professional |
| DESH | Deployed Environmental Safety and Health |
| DOE | Department of Energy |
| EIS | Environmental Impact Statement |
| ELG | Effluent Limitation Guidelines |
| EMD-ER | Emergency Management Division-Emergency Response |
| EPA | Environmental Protection Agency |
| EPC-CP | Environmental Protection and Compliance – Compliance Programs |
| FOD | Facility Operations Division |
| HAZMAT | Hazardous Materials (Response Group) |
| IPaC | Information for Planning and Consultation |
| LANL or the Laboratory | Los Alamos National Laboratory |
| LANS | Los Alamos National Security |
| LOG-CS | Logistics-Central Shops |
| MFSSSA | Metals Fabrication Shop Satellite Storage Area |
| MFS | Metal Fabrication Shop |
| MRF | Material Recycling Facility |
| MSGP or Permit | Multi-Sector General Permit |
| NMED | New Mexico Environment Department |
| NOI | Notice of Intent |
| NPDES | National Pollutant Discharge Elimination System |
| OUO | Official Use Only |
| PFS | Pipe Fitter’s Shop |
| PPT | Pollution Prevention Team |
| TPH | Total Petroleum Hydrocarbons |
| SCM | Stormwater Control Measure |
| SIDP | Substantially Identical Discharge Points |
| SWMU | Solid Waste Management Unit |
| SWPPP | Stormwater Pollution Prevention Plan |
| URL | Uniform Resource Locator |
| UI | Utilities and Institutional Facilities |
| UIS | Utilities & Infrastructure Support |

8.0 SWPPP CERTIFICATION

STORMWATER POLLUTION PREVENTION PLAN
TA-09-214 Metal Fabrication Shop
Los Alamos National Laboratory

CERTIFICATION STATEMENT

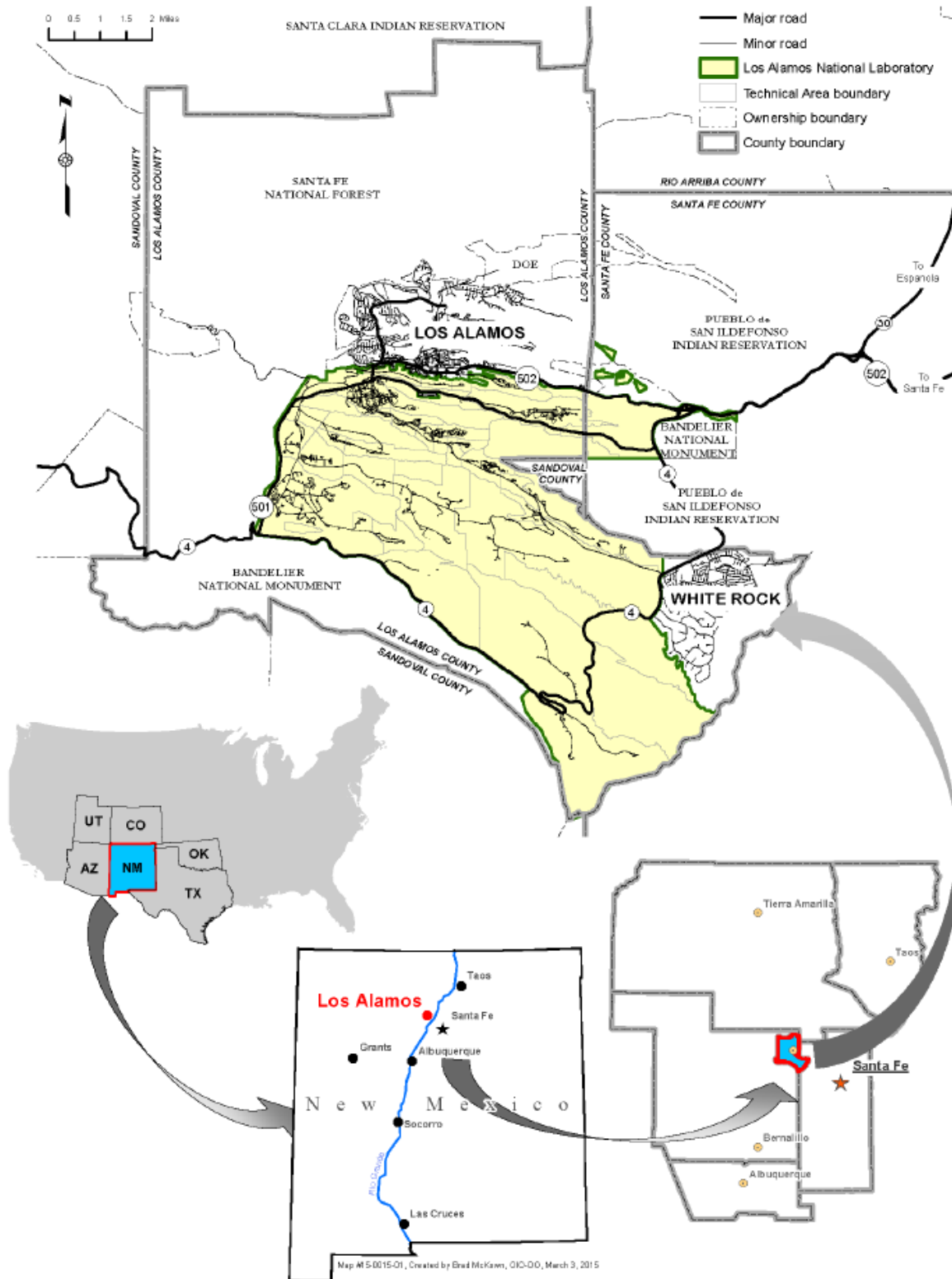
I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

THOMAS SISNEROS
Signature (Affiliate)  Digitally signed by THOMAS SISNEROS
(Affiliate)
Date: 2021.05.20 18:18:36 -06'00'

Date 05/20/21

Thomas Sisneros
Operations Manager 5
WFO-FOD

FIGURE A: GENERAL LOCATION MAP



Map(s)

FIGURE B-1 FACILITY SITE MAP METAL FABRICATION SHOP

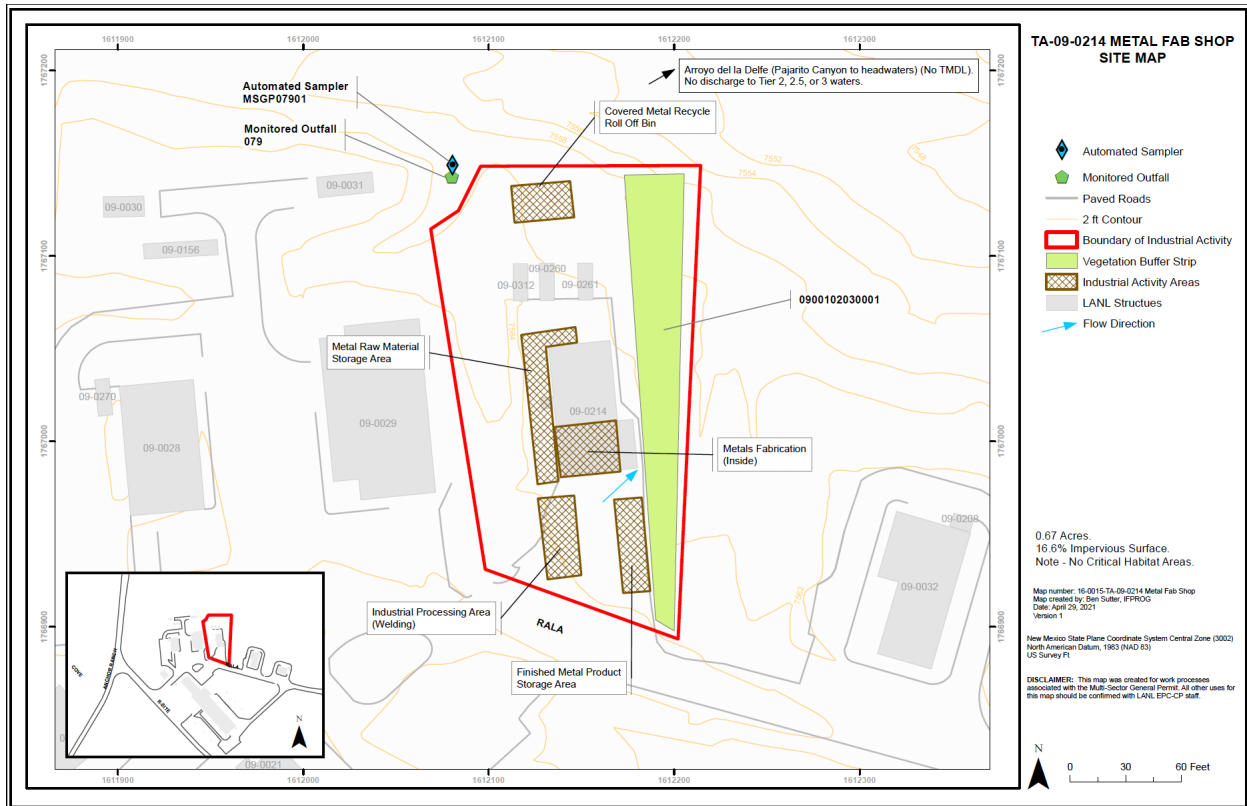


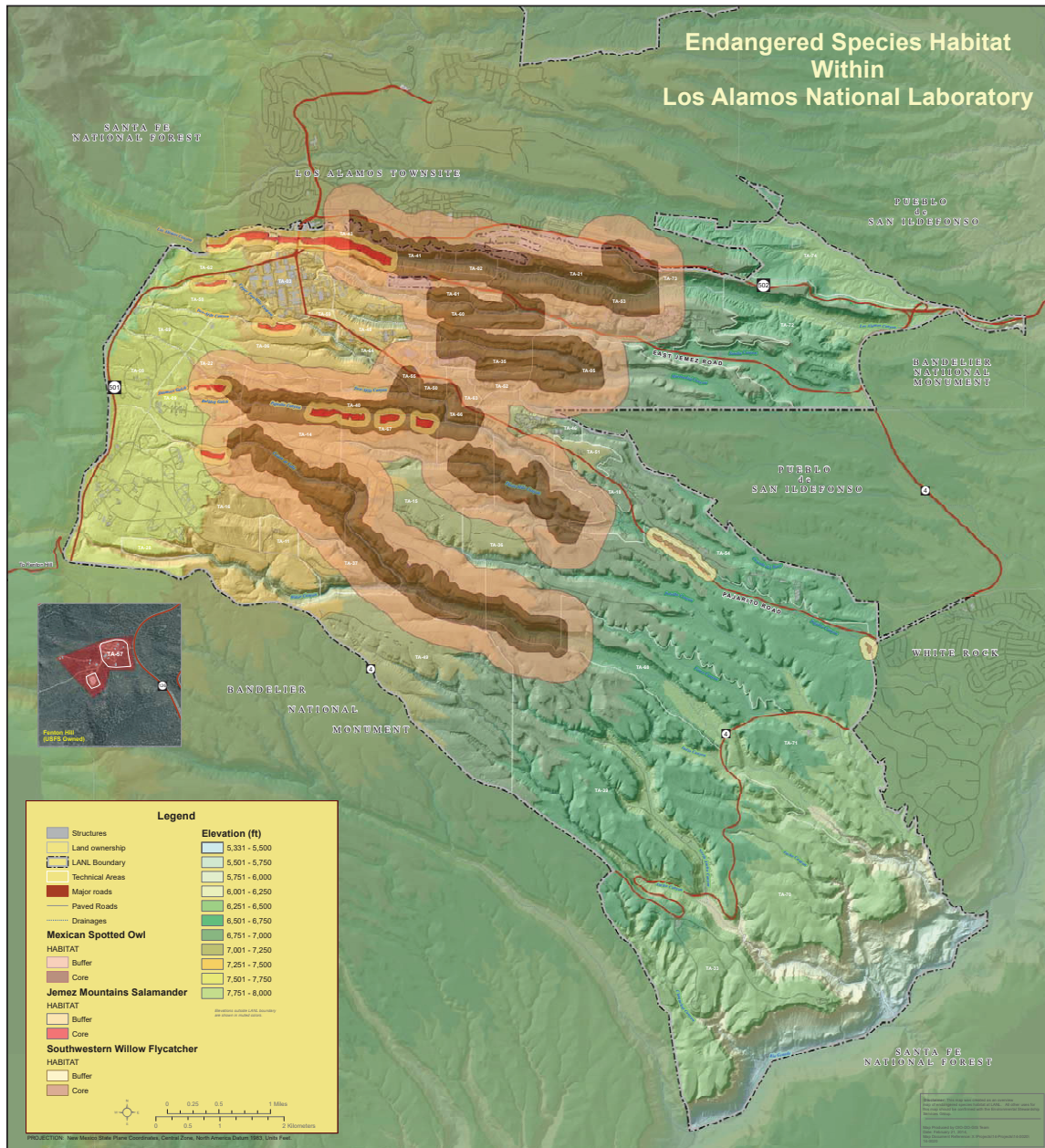
FIGURE B-2 NEARBY RECEIVING WATERS

TA-09-0214 Receiving Waters Map



LANL Employee

FIGURE B-3 ENDANGERED SPECIES MAP



ATTACHMENT 1: NOTICE OF INTENT, SUPPORTING DOCUMENTATION, AND UPDATES

ATTACHMENT 2: SWPPP AMENDMENTS

| Date | Plan Section | Reason for Amendment | Amendment |
|-------------|---------------------|--|--|
| May 2021 | All | MSGP Plan for new industrial facility at TA-09-0214 | New MSGP Plan for Triad, LLC regarding the TA-09-0214 Metals Fabrication Shop. |
| | | | |

ATTACHMENT 3: CERTIFICATION OF NO UNAUTHORIZED STORMWATER DISCHARGES

Unauthorized Non-Storm Water Discharge Assessment and Certification

| | | | |
|---|---|---|--|
| Facility: | TA-09-0214 Metal Fabrication Shop | | |
| Outfalls (including SIOs*) or Other Onsite Drainage Points Observed During the Assessment | Identified Potential Sources of Unauthorized Non-Storm Water Discharge (if applicable) | Description of Assessment Criterion Used | Describe any Required Actions to Control or Eliminate the Discharge |
| 079 | None | Visual evaluation | None |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| Assessor: | | | |
| Print Name: Kelkenny Bileen | Signature: Kelkenny Bileen <small>Digitally signed by Kelkenny Bileen DN: cn=Kelkenny Bileen, o=Environmental Compliance Program, ou=EPC-CP, email=kbileen@lanl.gov, c=US Date: 2021.05.20 18:06:32 -06'00'</small> | Title: Environmental Professional | Date Assessed: 05/20/21 |
| Authorized Signatory: I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information contained therein. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information contained is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations. | | | |
| Print Name: Thomas Sisneros | Signature: THOMAS SISNEROS (Affiliate) <small>Digitally signed by THOMAS SISNEROS (Affiliate) Date: 2021.05.20 18:11:56 -06'00'</small> | Title: Operations Manager 5 | Date Certified: 05/20/21 |

*SIO = Substantially Identical Outfall

ATTACHMENT 4: DULY AUTHORIZED SIGNATORY MEMORANDUM



***Environmental Protection & Compliance
Division***

Los Alamos National Laboratory
PO Box 1663, K490
Los Alamos, NM 87545
505-667-0666

Symbol: EPC-DO: 18-453
LAUR: 18-31574
Date: **DEC 11 2018**

Ms. Anne L. Idsal, Regional Administrator
U.S. Environmental Protection Agency, Region 6
1445 Ross Avenue, Suite 1200
Mail Code: 6RA
Dallas, TX 75202-2733

**Subject: Notification of Triad National Security, LLC, Signatory Officials and
Authorized Representatives for NPDES Permits**

Dear Ms. Idsal:

The purpose of this letter is to provide an update to the U. S. Environmental Protection Agency (EPA) Region 6 on the Triad National Security, LLC delegation of authority for signature of documents associated with the various Los Alamos National Laboratory (LANL) NPDES Permits, pursuant to 40 CFR 122.22(c). This letter supersedes and replaces the signatory authority letter dated March 14, 2018 (ADESH: 18-017).

The positions of Associate Laboratory Director of Environment, Safety, Health & Quality and Safeguards & Security (ESHQSS), and Division Leader of the Environmental Protection & Compliance Division (EPC-DO) are identified as Triad's primary signatory officials under 40 CFR 122.22(a) for certifying and signing permit applications (including Notice of Intents (NOIs)) required under the LANL NPDES Industrial Point Source Outfall Permit (Permit No. NM0028355), the NPDES Storm Water Construction General Permit, the NPDES Multi-Sector General Permit (Permit No. NMR050013), and the NPDES Pesticide General Permit (Permit No. NMG87B113).

The following positions are hereby designated as authorized representatives under 40 CFR 122.22(b) to sign reports, Storm Water Pollution Prevention Plans, Discharge Monitoring Reports, Pesticide Discharge Management Plans, and any other compliance documentation required by the permits:

NPDES Industrial Point Source Outfall Permit (No. NM0028355)

- Positions listed as primary signatory officials above.
- Group Leader or Team Leaders within the Environmental Compliance Programs Group.
- Responsible Facility Operations Director (FOD).

NPDES Construction General Permit:

- Positions listed as primary signatory officials above.
- Group Leader or Team Leaders within the Environmental Compliance Programs Group.
- Cognizant Project Manager, Construction Manager, or Subcontractor Technical Representative for the regulated construction activity.

NPDES Multi-Sector General Permit (ID No. NMR053195)

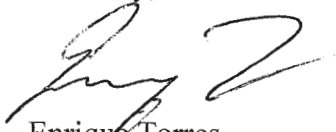
- Positions listed as primary signatory officials above.
- Group Leader or Team Leaders within the Environmental Compliance Programs Group.
- Division Leader, Deputy Division Leader, or Group Leader of the LANL division responsible for the overall operation of the regulated facility or activity.
- Responsible FOD; Deputy FOD, Operations Manager; or Deployed Environment, Safety, & Health Manager responsible for the overall operation of the regulated facility or activity.

NPDES Pesticide General Permit (No. NM687A041)

- Positions listed as primary signatory officials above.
- Group Leader or Team Leaders within the Environmental Compliance Programs Group.

If you have questions, please contact me at (505) 667-7269 or at etorres@lanl.gov.

Sincerely,



Enrique Torres
Division Leader
Environmental Protection & Compliance Division

ET/TWL/MTS:jdm

Attachment(s): None.

Copy: Nancy Williams, USEPA, Region 6, williams.nancy@epa.gov, (E-File)
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Robert Houston, USEPA, Region 6, Houston.robert@epa.gov, (E-File)
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locatesteam@lanl.gov, (E-File)
epc-correspondence@lanl.gov, (E-File)
adesh-records@lanl.gov, (E-File)

ATTACHMENT 5: DISCHARGE MONITORING REPORTS

ATTACHMENT 6: ANNUAL REPORTS

ATTACHMENT 7: ROUTINE FACILITY INSPECTIONS

ATTACHMENT 8: QUARTERLY VISUAL ASSESSMENTS

ATTACHMENT 9: CORRECTIVE ACTION DOCUMENTATION AND CERTIFICATION

ATTACHMENT 11: TRAINING DOCUMENTATION



MSGP Training Overview

Presented by the EPC-CP Stormwater
Permitting/Compliance Team

April 2020



"GOSH, TOTO . . . WATER IN OZ MUST REALLY
BE POLLUTED!"

What is the MSGP?

- A nation-wide general permit
- Authorizes the discharge of stormwater from specific industrial activities to meet Clean Water Act provisions
 - MSGP contains 30 industrial sectors
- EPA is the regulatory authority
 - NM Environment Department is delegated authority to conduct inspections

MSGP Industrial Sectors Within LANL

- LANL (Triad) has 8 of the 30 industrial sectors
 - Asphalt Paving Manufacturing (*Sector D*)
 - Fabricated Metal Products (*Sector AA*)
 - Primary Metals (*Sector F*)
 - Timber Products (*Sector A*)
 - Scrap Recycling (*Sector N*)
 - Steam Electric Generation (*Sector O*)
 - Land Transportation/Warehousing (*Sector P*)
 - Hazardous Waste Treatment, Storage, or Disposal (*Sector K*)
- *UI FOD has facilities in 6 of these sectors.*

What is the Purpose of the MSGP?

- **Minimize** off-site migration of pollutants!
 - Ensure controls are *always* adequate (not just after identification of condition requiring corrective action or exceedance of permit limit).

What are the Key Elements of the MSGP?

- Storm Water Pollution Prevention Plan (SWPPP)
- Storm Water Sampling
- Analytical Monitoring
- Inspections
- Corrective Actions

Key Elements of the MSGP

- SWPPP
 - Facility-specific document identifying how MSGP requirements will be met at the facility
 - All personnel implementing MSGP requirements must be trained to, and understand it
 - Identifies potential pollutant sources
 - Describes stormwater controls used to reduce/eliminate pollutants in discharges
 - Contains procedures the facility uses to comply with terms/conditions of the permit
 - Identifies the Pollution Prevention Team (PPT)

Pollution Prevention Team

- Typically consists of the FOD/Designee, DESH Group Leader, Operations Manager, DEP, and the MSGP Program Lead
- Provides expertise to evaluate changes to the design of controls and facilitates action to resolve identified issues/conditions (i.e., Corrective Action)
- Assists with Stormwater Control Implementation
 - Design, install, and implement control measures (including best management practices) to minimize pollutant discharges and meet effluent limits

Pollution Prevention Team (cont.)

- Stormwater Control Implementation (cont.)
 - Consider the following when selecting and designing control measures
 - Minimizing stormwater contact with potential pollutants
 - Using control measures in combination
 - Assessing the type and quantity of pollutants
 - Minimizing impervious areas and infiltrating runoff onsite
 - Attenuating flow using open vegetated swales and natural depressions
 - Conserving and/or restoring riparian buffers
 - Using treatment interceptors (e.g., vortex separators and sand filters)



MSGP Storm Water Sampling

What triggers a sample?

- A measureable storm event
 - One that results in an actual discharge
 - Proceed an event by at least 72-hours
- EPC-CP Database
 - Rainfall Data/Rain gages
 - Flow intensities at facilities

How are samples collected?

- Automated Samplers
 - Avalanche (refrigerated)
 - Model 3700 (filtered)
- Grab Sample



Avalanche Sampler



- MSGP requires sample collection to follow 40 CFR Part 136
- Some constituents require refrigeration as preservation within 15 minutes

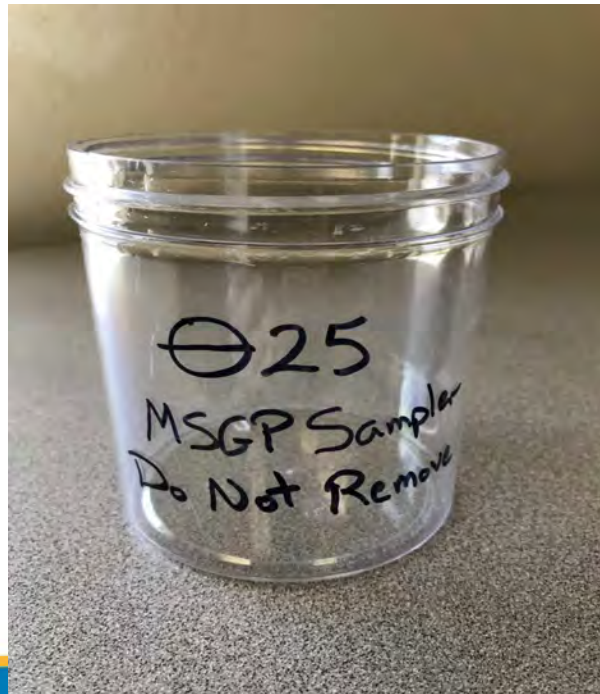
3700 Sampler



- Other constituents require filtering within 15 minutes

Where are samples collected?

- Monitored Outfalls
 - Automated Samplers
- Substantially Identical Outfalls
 - Other outfalls that discharge substantially identical effluent



What types of samples are collected?

- Samples for analysis of monitored constituents
- Samples for field parameters
 - Visual Assessment
 - pH

Collection and Preservation

- Volume collected are based on 40 CFR 136 and identified in the SAP provided by EPC-CP
- Volumes from samplers are transferred to shipping containers (250mL, 500mL, 1L, etc.)
- Filter samples and add preservatives
- SMO ships to off-site analytical laboratory

Visual Assessments

- Examination includes:
 - Odor
 - Color
 - Clarity
 - Floating solids
 - Settled solids
 - Suspended solids
 - Foam
 - Oil sheen
 - Other obvious indicators of storm water pollution



MSGP Analytical Monitoring

Monitoring Requirements

- **Why?**

- To demonstrate that pollutants resulting from industrial activity are not being discharged from the site (*or not exceeding numeric limits*)
- Show effectiveness of stormwater control measures

- **What? Analytical monitoring types**

- Benchmark
- Impaired Waters
- Effluent Limitation Guidelines (ELG)
- **103 Analytical Samples planned for MY20**

Monitoring Requirements

- **How?**

- **40 CFR § 136**

- Defines Clean Water Act analytical methods, sample containers, volumes, preservatives, holding times, and cool samples immediately after collection and store $< 6^{\circ}\text{C}$ (42°F)

- **Laboratories performing analyses for NPDES certified under**

- National Environmental Laboratory Accreditation Program (NELAP)
- DOE Consolidated Audit Program (DOECAP)

- **20.6.4 NMAC - NM Water Quality Standards**

- Applies to Impaired Waters and some Benchmark parameters
- Dissolved metals require 0.45 micron filtration
- Total recoverable Al requires 10 micron filtration

Monitoring Frequency

- **When?**
- **Monitoring season April 1- Nov 30**
 - **2-month quarters**
 - **Once per Quarter**
 - Benchmark monitoring
 - **Once per Year**
 - Impaired Waters
 - Effluent Limitation Guidelines (ELG)

Benchmarks

Parameters are sector-specific – based on industrial activity

| Sector | Industrial Activity | Parameter(s) | Facilities |
|--------|-------------------------------------|--|--|
| A | Timber Products | COD, TSS | TA-3-38 Carpenter Shop |
| AA | Fabricated Metals | Al, Fe, Zn, NO ₂ -+NO ₃ -N | TA-3-38 Metals Fab Shop TA-60-1 Heavy Equipment Yard |
| D | Asphalt Paving | pH, TSS, Oil and Grease | TA-60 Asphalt Batch Plant |
| N | Scrap Recycling | N/A for subsector | TA-60 MRF |
| O | Steam Electric Power | Fe | TA-3-22 Power & Steam Plant |
| P | Land Transportation/ Warehousing | N/A | TA-16 Stockpile Yard TA-60-1 Heavy Equipment Yard TA-60-2 Warehouse TA-60 Roads and Grounds |



New for next permit:

- Universal benchmarks for all sectors: **pH, TSS, COD**
- Fe dropped from Sector AA, O
- Hg and Pb added to Sector P

Benchmark Limits

Benchmark limits provided in permit

- Superseded by NM WQS if different

| Analyte | Field Prep Code | National Benchmark | Chronic Exposure Limit | Acute Exposure Limit | Units | Regulatory Source |
|-----------|-----------------|--------------------|------------------------|----------------------|-------|---------------------------|
| Al* | F10U | 750 | 1010 | 2520 | ug/L | 20.6.4.900 NMAC Subpart I |
| COD | UF | 120 | 120 | 120 | mg/L | NMR053195 Sect 9.6.2.1 |
| Fe | UF | 1000 | 1000 | 1000 | ug/L | NMR053195 Sect 9.6.2.1 |
| Hg | UF | 1.4 | 0.77 | 0.77 | ug/L | 20.6.4.900 NMAC Subpart J |
| NO3+NO2-N | UF | 0.68 | 0.68 | 0.68 | mg/L | NMR053195 Sect 9.6.2.1 |
| Pb‡* | UF | 210 | 2 | 51 | ug/L | 20.6.4.900 NMAC Subpart I |
| pH | UF | 6-9 | 6-9 | 6-9 | SU | NMR053195 Sect 9.6.2.1 |
| TSS | UF | 100 | 100 | 100 | mg/L | NMR053195 Sect 9.6.2.1 |
| Zn*‡ | F | 110 | 76 | 101 | ug/L | 20.6.4.900 NMAC Subpart I |

* NM water quality hardness-based values replace Appendix J as benchmarks.

‡ National benchmark applies to total (unfiltered) result; NM water quality benchmark applies to dissolved (filtered) result.

NM WQS more stringent than benchmark

NM WQS is less stringent than benchmark

Data Evaluation - Benchmarks

- Evaluate the average of 4 quarterly results against the benchmark
- Exceedances: triggers corrective action process
 - average of 4 results $>$ benchmark or
 - average of fewer than 4 results is mathematically certain to exceed benchmark
- If average of 4 $<$ benchmark, discontinue monitoring

Benchmark Exceedances

2016-2018 LANS permit data

| Permitted Facility | Location ID | Analyte Name | Field Prep Code | QBM Sequence No. | Last Mon Sample Date | Actual Result Average | Minimum Possible Average | Report Units | Analysis Results Count | Maximum Adjusted Result | MSGP QBM Exceedance | MSGP QBM Level |
|-----------------------------|-------------|-------------------------------|-----------------|------------------|----------------------|-----------------------|--------------------------|--------------|------------------------|-------------------------|---------------------|----------------|
| TA-3-38 Metals Fab Shop | MSGP00201 | Iron, total | UF | 1 | 06/04/2016 | 2955.0 | 1477.5 | ug/L | 2 | 3640.0 | Predicted | 1000.0 |
| TA-3-38 Metals Fab Shop | MSGP00201 | Iron, total | UF | 2 | 08/04/2016 | 4860.0 | 1215.0 | ug/L | 1 | 4860.0 | Predicted | 1000.0 |
| TA-3-38 Metals Fab Shop | MSGP00201 | Iron, total | UF | 3 | 04/04/2017 | 3914.0 | 1957.0 | ug/L | 2 | 7370.0 | Predicted | 1000.0 |
| TA-3-38 Metals Fab Shop | MSGP00201 | Iron, total | UF | 4 | 10/05/2017 | 1400.0 | 1050.0 | ug/L | 3 | 1520.0 | Predicted | 1000.0 |
| TA-3-38 Metals Fab Shop | MSGP00201 | Iron, total | UF | 5 | 08/02/2018 | 771.0 | 385.5 | ug/L | 2 | 1330.0 | | 1000.0 |
| TA-3-38 Metals Fab Shop | MSGP00201 | Aluminum, total recoverable | F10u | 1 | 08/04/2016 | 1604.333 | 1203.25 | ug/L | 3 | 2770.0 | Predicted | 681.0 |
| TA-3-38 Metals Fab Shop | MSGP00201 | Aluminum, total recoverable | F10u | 2 | 10/05/2017 | 799.75 | 799.75 | ug/L | 4 | 1280.0 | True Value | 681.0 |
| TA-3-38 Metals Fab Shop | MSGP00201 | Aluminum, total recoverable | F10u | 3 | 08/02/2018 | 896.5 | 448.25 | ug/L | 2 | 1550.0 | | 681.0 |
| TA-3-38 Metals Fab Shop | MSGP00201 | Zinc, dissolved | F | 1 | 10/08/2016 | 140.075 | 140.075 | ug/L | 4 | 324.0 | True Value | 76.0 |
| TA-3-38 Metals Fab Shop | MSGP00201 | Zinc, dissolved | F | 2 | 06/01/2017 | 194.5 | 97.25 | ug/L | 2 | 250.0 | Predicted | 76.0 |
| TA-3-38 Metals Fab Shop | MSGP00201 | Zinc, dissolved | F | 3 | 07/05/2018 | 171.933 | 128.95 | ug/L | 3 | 285.0 | Predicted | 76.0 |
| TA-3-38 Metals Fab Shop | MSGP00201 | Zinc, dissolved | F | 4 | 08/02/2018 | 78.0 | 19.5 | ug/L | 1 | 78.0 | | 76.0 |
| TA-3-39 & 102 Metal Shop | MSGP00401 | Iron, total | UF | 1 | 06/27/2016 | 4105.0 | 2052.5 | ug/L | 2 | 6620.0 | Predicted | 1000.0 |
| TA-3-39 & 102 Metal Shop | MSGP00401 | Iron, total | UF | 2 | 05/09/2017 | 4035.0 | 2017.5 | ug/L | 2 | 6650.0 | Predicted | 1000.0 |
| TA-3-39 & 102 Metal Shop | MSGP00401 | Nitrate plus Nitrite Nitrogen | UF | 1 | 08/03/2016 | 1.178 | 0.883 | mg/L | 3 | 2.66 | Predicted | 0.68 |
| TA-3-39 & 102 Metal Shop | MSGP00401 | Nitrate plus Nitrite Nitrogen | UF | 2 | 05/09/2017 | 0.733 | 0.183 | mg/L | 1 | 0.733 | | 0.68 |
| TA-3-39 & 102 Metal Shop | MSGP00401 | Aluminum, total recoverable | F10u | 1 | 04/18/2016 | 9060.0 | 2265.0 | ug/L | 1 | 9060.0 | Predicted | 1699.0 |
| TA-3-39 & 102 Metal Shop | MSGP00401 | Aluminum, total recoverable | F10u | 2 | 05/09/2017 | 2822.667 | 2117.0 | ug/L | 3 | 6570.0 | Predicted | 1699.0 |
| TA-3-39 & 102 Metal Shop | MSGP00401 | Zinc, dissolved | F | 1 | 04/01/2017 | 13.45 | 13.45 | ug/L | 4 | 20.5 | | 101.0 |
| TA-3-22 Power & Steam Plant | MSGP00501 | Iron, total | UF | 1 | 07/01/2016 | 9980.0 | 2495.0 | ug/L | 1 | 9980.0 | Predicted | 1000.0 |
| TA-3-22 Power & Steam Plant | MSGP00501 | Iron, total | UF | 2 | 07/15/2016 | 4450.0 | 1112.5 | ug/L | 1 | 4450.0 | Predicted | 1000.0 |
| TA-3-22 Power & Steam Plant | MSGP00501 | Iron, total | UF | 3 | 04/04/2017 | 7566.0 | 5674.5 | ug/L | 3 | 20700.0 | Predicted | 1000.0 |
| TA-3-22 Power & Steam Plant | MSGP00501 | Iron, total | UF | 4 | 08/07/2017 | 3010.0 | 1505.0 | ug/L | 2 | 3270.0 | Predicted | 1000.0 |
| TA-3-22 Power & Steam Plant | MSGP00501 | Iron, total | UF | 5 | 05/21/2018 | 4620.0 | 2310.0 | ug/L | 2 | 6410.0 | Predicted | 1000.0 |
| TA-3-22 Power & Steam Plant | MSGP00501 | Iron, total | UF | 6 | 08/03/2018 | 269.0 | 134.5 | ug/L | 2 | 367.0 | | 1000.0 |
| TA-3-22 Power & Steam Plant | MSGP00901 | Iron, total | UF | 1 | 06/07/2016 | 4015.0 | 2007.5 | ug/L | 2 | 5240.0 | Predicted | 1000.0 |
| TA-3-22 Power & Steam Plant | MSGP00901 | Iron, total | UF | 2 | 04/01/2017 | 1772.333 | 1329.25 | ug/L | 3 | 3600.0 | Predicted | 1000.0 |
| TA-3-22 Power & Steam Plant | MSGP00901 | Iron, total | UF | 3 | 10/05/2017 | 1573.333 | 1180.0 | ug/L | 3 | 2390.0 | Predicted | 1000.0 |
| TA-3-22 Power & Steam Plant | MSGP00901 | Iron, total | UF | 4 | 08/03/2018 | 1082.5 | 541.25 | ug/L | 2 | 1800.0 | | 1000.0 |
| TA-60 Asphalt Batch Plant | MSGP04301 | Total Suspended Solids (TSS) | UF | 1 | 10/05/2017 | 27.4 | 6.85 | mg/L | 1 | 27.4 | | 100.0 |
| TA-3-38 Carpenter Shop | MSGP07302 | Chemical Oxygen Demand (COD) | UF | 1 | 07/26/2017 | 271.75 | 135.875 | mg/L | 2 | 463.0 | Predicted | 120.0 |
| TA-3-38 Carpenter Shop | MSGP07302 | Chemical Oxygen Demand (COD) | UF | 2 | 08/16/2018 | 101.0 | 50.5 | mg/L | 2 | 202.0 | | 120.0 |
| TA-3-38 Carpenter Shop | MSGP07302 | Total Suspended Solids (TSS) | UF | 1 | 08/16/2018 | 123.683 | 92.763 | mg/L | 3 | 188.0 | | 100.0 |



New: Additional Implementation Measures – Tiered Corrective Action Levels

based on nature and magnitude of benchmark exceedances

- Tier 1
 - a. One Annual Average > benchmark (same as current permit)
 - Average of 4 results exceeds benchmark
 - Average of fewer than 4 results is mathematically certain to exceed benchmark
 - b. One single result > 4X benchmark
- Tier 2
 - a. Two Annual Averages > benchmark
 - b. Two single results > 4x benchmark in 2 year period
 - c. One single result > 8x benchmark
- Tier 3
 - a. Three Annual Averages > benchmark
 - b. Three single results > 4x benchmark in 3 year period
 - c. Two single results > 8x benchmark in 3 year period
 - d. 4 consecutive results are each > benchmark and the average is > 2 times benchmark
- Can discontinue monitoring if the average of 4 results < benchmark
(does not apply to new Universal benchmarks)

Preview of Corrective Action Status with Tiered Corrective Action Levels

2019 Triad permit data

| Permitted Facility | MSGP Station Number | Report Type | Analyte Name | Field Prep Code | QBM Sequence No. | Last Mon Sample Date | Adjusted Result Average | Adjusted Result Minimum Possible Average | Report Units | Analysis Results Count | Minimum Adjusted Result | Maximum Adjusted Result | MSGP QBM Exceedance | MSGP QBM Level | Maximum Adjusted Result > QBM | Tier |
|------------------------------|---------------------|-------------|-------------------------------|-----------------|------------------|----------------------|-------------------------|--|--------------|------------------------|-------------------------|-------------------------|---------------------|----------------|-------------------------------|------|
| TA-3-22 Power & Steam Plant | MSGP00501 | MSGP QBM | Iron, total | UF | 1 | 06/15/2019 | 3783.0 | 1891.5 | ug/L | 2 | 916.0 | 6650.0 | Predicted | 1000.0 | Y | 1b |
| TA-3-22 Power & Steam Plant | MSGP00501 | MSGP QBM | Iron, total | UF | 2 | 08/07/2019 | 54900.0 | 13725.0 | ug/L | 1 | 54900.0 | 54900.0 | Predicted | 1000.0 | Y | 2c |
| TA-3-22 Power & Steam Plant | MSGP00501 | MSGP QBM | Iron, total | UF | 3 | 10/04/2019 | 4610.0 | 1152.5 | ug/L | 1 | 4610.0 | 4610.0 | Predicted | 1000.0 | Y | 3b |
| TA-3-22 Power & Steam Plant | MSGP00901 | MSGP QBM | Iron, total | UF | 1 | 04/23/2019 | 5290.0 | 1322.5 | ug/L | 1 | 5290.0 | 5290.0 | Predicted | 1000.0 | Y | 1b |
| TA-3-22 Power & Steam Plant | MSGP00901 | MSGP QBM | Iron, total | UF | 2 | 08/08/2019 | 3345.0 | 1672.5 | ug/L | 2 | 3220.0 | 3470.0 | Predicted | 1000.0 | Y | 2b |
| TA-3-22 Power & Steam Plant | MSGP00901 | MSGP QBM | Iron, total | UF | 3 | 10/04/2019 | 3620.0 | 905.0 | ug/L | 1 | 3620.0 | 3620.0 | | 1000.0 | Y | |
| TA-3-38 Carpenter Shop | MSGP07401 | MSGP QBM | Chemical Oxygen Demand (COD) | UF | 1 | 10/04/2019 | 54.675 | 54.675 | mg/L | 4 | 0.0 | 106.0 | | 120.0 | N | |
| TA-3-38 Carpenter Shop | MSGP07401 | MSGP QBM | Total Suspended Solids (TSS) | UF | 1 | 10/04/2019 | 78.55 | 78.55 | mg/L | 4 | 21.2 | 114.0 | | 100.0 | Y | |
| TA-3-38 Metals Fab Shop | MSGP00201 | MSGP QBM | Aluminum, total recoverable | F10u | 1 | 04/22/2019 | 222.0 | 55.5 | ug/L | 1 | 222.0 | 222.0 | | 1010.0 | N | |
| TA-3-38 Metals Fab Shop | MSGP00201 | MSGP QBM | Iron, total | UF | 1 | 04/22/2019 | 7550.0 | 1887.5 | ug/L | 1 | 7550.0 | 7550.0 | Predicted | 1000.0 | Y | 1b |
| TA-3-38 Metals Fab Shop | MSGP00201 | MSGP QBM | Nitrate plus Nitrite Nitrogen | UF | 1 | 04/22/2019 | 1.12 | 0.28 | mg/L | 1 | 1.12 | 1.12 | | 0.68 | Y | |
| TA-3-38 Metals Fab Shop | MSGP00201 | MSGP QBM | Zinc, dissolved | F | 1 | 04/22/2019 | 387.0 | 96.75 | ug/L | 1 | 387.0 | 387.0 | | 99.0 | Y | |
| TA-3-38 Metals Fab Shop | MSGP07601 | MSGP QBM | Aluminum, total recoverable | F10u | 1 | 10/04/2019 | 81128.667 | 60846.5 | ug/L | 3 | 896.0 | 241000.0 | Predicted | 1010.0 | Y | 2c |
| TA-3-38 Metals Fab Shop | MSGP07601 | MSGP QBM | Iron, total | UF | 1 | 08/06/2019 | 2365.0 | 1182.5 | ug/L | 2 | 1390.0 | 3340.0 | Predicted | 1000.0 | Y | 1a |
| TA-3-38 Metals Fab Shop | MSGP07601 | MSGP QBM | Iron, total | UF | 2 | 10/04/2019 | 7400.0 | 1850.0 | ug/L | 1 | 7400.0 | 7400.0 | Predicted | 1000.0 | Y | 1b |
| TA-3-38 Metals Fab Shop | MSGP07601 | MSGP QBM | Nitrate plus Nitrite Nitrogen | UF | 1 | 10/04/2019 | 0.656 | 0.492 | mg/L | 3 | 0.393 | 0.82 | | 0.68 | Y | |
| TA-3-38 Metals Fab Shop | MSGP07601 | MSGP QBM | Zinc, dissolved | F | 1 | 10/04/2019 | 470.333 | 352.75 | ug/L | 3 | 135.0 | 1110.0 | Predicted | 99.0 | Y | 2c |
| TA-60 Asphalt Batch Plant | MSGP04301 | MSGP QBM | Total Suspended Solids (TSS) | UF | 1 | 08/07/2019 | 101.0 | 50.5 | mg/L | 2 | 61.0 | 141.0 | | 100.0 | Y | |
| TA-60-1 Heavy Equipment Yard | MSGP02201 | MSGP QBM | Aluminum, total recoverable | F10u | 1 | 04/22/2019 | 14900.0 | 3725.0 | ug/L | 1 | 14900.0 | 14900.0 | Predicted | 1010.0 | Y | 2c |
| TA-60-1 Heavy Equipment Yard | MSGP02201 | MSGP QBM | Aluminum, total recoverable | F10u | 2 | 10/04/2019 | 1596.667 | 1197.5 | ug/L | 3 | 1430.0 | 1860.0 | Predicted | 1010.0 | Y | 1a |
| TA-60-1 Heavy Equipment Yard | MSGP02201 | MSGP QBM | Iron, total | UF | 1 | 07/02/2019 | 4910.0 | 2455.0 | ug/L | 2 | 1300.0 | 8520.0 | Predicted | 1000.0 | Y | 2c |
| TA-60-1 Heavy Equipment Yard | MSGP02201 | MSGP QBM | Iron, total | UF | 2 | 10/04/2019 | 1090.0 | 545.0 | ug/L | 2 | 1080.0 | 1100.0 | | 1000.0 | Y | |
| TA-60-1 Heavy Equipment Yard | MSGP02201 | MSGP QBM | Nitrate plus Nitrite Nitrogen | UF | 1 | 08/06/2019 | 1.131 | 0.848 | mg/L | 3 | 0.742 | 1.48 | Predicted | 0.68 | Y | 1a |
| TA-60-1 Heavy Equipment Yard | MSGP02201 | MSGP QBM | Nitrate plus Nitrite Nitrogen | UF | 2 | 10/04/2019 | 0.642 | 0.161 | mg/L | 1 | 0.642 | 0.642 | | 0.68 | N | |
| TA-60-1 Heavy Equipment Yard | MSGP02201 | MSGP QBM | Zinc, dissolved | F | 1 | 04/22/2019 | 657.0 | 164.25 | ug/L | 1 | 657.0 | 657.0 | Predicted | 99.0 | Y | 1b |
| TA-60-1 Heavy Equipment Yard | MSGP02201 | MSGP QBM | Zinc, dissolved | F | 2 | 10/04/2019 | 114.533 | 85.9 | ug/L | 3 | 82.6 | 148.0 | | 99.0 | Y | |

Tier 1

Tier 2

Tier 3

Impaired Waters

Parameters and limits are receiving-water specific

– CWA 303d/305b Integrated Report is revised by NMED biennially
(next revision due late 2020)

| Assessment Unit | Description | Parameter(s) | Facility |
|--|---|--|---|
| NM-9000.A_047 (perennial flow - chronic exposure risk) | Sandia Canyon (Sigma Canyon to NPDES outfall 001) | Al, Cu, PCBs | TA-3-22 Power & Steam Plant TA-3-38 Carpenter Shop TA-3-38 Metals Fab Shop TA-60 MRF TA-60-1 Heavy Equipment Yard TA-60-2 Warehouse TA-60 Roads and Grounds |
| NM-9000.A_042 (ephemeral flow – acute exposure risk) | Mortandad Canyon (within LANL) | Cu, Hg, PCBs, Adjusted Gross Alpha | TA-60-Asphalt Batch Plant TA-60 Roads and Grounds |
| NM-128.A_01 (ephemeral flow - acute exposure risk) | Canon de Valle (below LANL gage E256) | Adjusted Gross Alpha | TA-16 Stockpile Yard |
| | | | |

Slide 29

Impaired Waters Limits

20.6.4 NMAC – Water Quality Standards

Limits are risk-based by exposure type

| Parameter | Field Prep Code | Chronic Exposure Limit | Acute Exposure Limit | Units | Regulatory Source |
|-------------|-----------------|------------------------|----------------------|-------|--|
| Al | F10U | 1010 | 2520 | ug/L | 20.6.4.900 NMAC Subpart I |
| Cu | F | 7 | 11 | ug/L | 20.6.4.900 NMAC Subpart I |
| Hg | UF | 0.77 | 0.77 | ug/L | 20.6.4.900 NMAC Subpart J |
| Pb | F | 2 | 51 | ug/L | 20.6.4.900 NMAC Subpart I |
| GROSSA-Adj | UF | 15 | 15 | pCi/L | 20.6.4.900 NMAC Subpart J |
| Tot Aroclor | UF | 0.2 | 0.2 | ug/L | 20.6.4.900 NMAC Subpart J/ 20.6.4.12 Subpart E |

Lower WQS limit for chronic exposure

Higher WQS limit for acute exposure

- Any WQS exceedance is a permit violation and triggers the corrective action process
- Current permit – if the parameter is not detected, monitoring may be discontinued
- New: parameter must not be detected for three consecutive years for monitoring to be discontinued**



Exceedances– Impaired Waters

2019 Triad permit data

| Permitted Facility | MSGP Station Number | Report Type | Level Type | Analyte Name | Field Prep Code | Current Mon Status | Last Mon Sample Date | Report Units | Analysis Results Count | Detected Results Count | Minimum Adjusted Result | Maximum Adjusted Result | MSGP I Level | Maximum Adjusted Result > I |
|------------------------------|---------------------|-------------|---------------------------|-----------------------------|-----------------|--------------------|----------------------|--------------|------------------------|------------------------|-------------------------|-------------------------|--------------|-----------------------------|
| TA-3-22 Power & Steam Plant | MSGP00501 | MSGP I | NM 2010 Aqu Chronic 80 mg | Aluminum, total recoverable | F10u | Mon | 4/22/2019 | ug/L | 1 | 1 | 18300 | 18300 | 1010 | Y |
| TA-3-22 Power & Steam Plant | MSGP00501 | MSGP I | 2007 EPA R6 MQL | Aroclor, total | UF | NMM | 4/22/2019 | ug/L | 1 | 0 | 0 | 0 | 0.2 | N |
| TA-3-22 Power & Steam Plant | MSGP00501 | MSGP I | NM 2010 Aqu Chronic 80 mg | Copper, dissolved | F | Mon | 4/22/2019 | ug/L | 1 | 1 | 15.9 | 15.9 | 7 | Y |
| TA-3-22 Power & Steam Plant | MSGP00901 | MSGP I | NM 2010 Aqu Chronic 80 mg | Aluminum, total recoverable | F10u | Mon | 4/23/2019 | ug/L | 1 | 1 | 6550 | 6550 | 1010 | Y |
| TA-3-22 Power & Steam Plant | MSGP00901 | MSGP I | 2007 EPA R6 MQL | Aroclor, total | UF | NMM | 4/23/2019 | ug/L | 1 | 0 | 0 | 0 | 0.2 | N |
| TA-3-22 Power & Steam Plant | MSGP00901 | MSGP I | NM 2010 Aqu Chronic 80 mg | Copper, dissolved | F | Mon | 4/23/2019 | ug/L | 1 | 1 | 11.9 | 11.9 | 7 | Y |
| TA-3-22 Power & Steam Plant | MSGP01201 | MSGP I | NM 2010 Aqu Chronic 80 mg | Copper, dissolved | F | Mon | 7/25/2019 | ug/L | 1 | 1 | 13.5 | 13.5 | 7 | Y |
| TA-3-38 Carpenter Shop | MSGP07401 | MSGP I | NM 2010 Aqu Chronic 80 mg | Aluminum, total recoverable | F10u | Mon | 5/10/2019 | ug/L | 1 | 1 | 728 | 728 | 1010 | N |
| TA-3-38 Carpenter Shop | MSGP07401 | MSGP I | 2007 EPA R6 MQL | Aroclor, total | UF | NMM | 5/10/2019 | ug/L | 1 | 0 | 0 | 0 | 0.2 | N |
| TA-3-38 Carpenter Shop | MSGP07401 | MSGP I | NM 2010 Aqu Chronic 80 mg | Copper, dissolved | F | Mon | 5/10/2019 | ug/L | 1 | 1 | 2.94 | 2.94 | 7 | N |
| TA-3-38 Metals Fab Shop | MSGP00201 | MSGP I | NM 2010 Aqu Chronic 80 mg | Aluminum, total recoverable | F10u | NoRpt | 4/22/2019 | ug/L | 1 | 1 | 222 | 222 | 1010 | N |
| TA-3-38 Metals Fab Shop | MSGP00201 | MSGP I | NM 2010 Aqu Chronic 80 mg | Copper, dissolved | F | NoRpt | 4/22/2019 | ug/L | 1 | 1 | 24.9 | 24.9 | 7 | Y |
| TA-3-38 Metals Fab Shop | MSGP07601 | MSGP I | NM 2010 Aqu Chronic 80 mg | Aluminum, total recoverable | F10u | Mon | 6/17/2019 | ug/L | 1 | 1 | 1490 | 1490 | 1010 | Y |
| TA-3-38 Metals Fab Shop | MSGP07601 | MSGP I | 2007 EPA R6 MQL | Aroclor, total | UF | NMM | 6/17/2019 | ug/L | 1 | 0 | 0 | 0 | 0.2 | N |
| TA-60 Asphalt Batch Plant | MSGP04301 | MSGP I | NM 2010 Lvstk Wtr | Adjusted Gross Alpha | UF | Mon | 7/25/2019 | pCi/L | 1 | 1 | 3.96 | 3.96 | 15 | N |
| TA-60 Asphalt Batch Plant | MSGP04301 | MSGP I | 2007 EPA R6 MQL | Aroclor, total | UF | NMM | 7/25/2019 | ug/L | 1 | 0 | 0 | 0 | 0.2 | N |
| TA-60 Asphalt Batch Plant | MSGP04301 | MSGP I | NM 2010 Aqu Acute 80 mg | Copper, dissolved | F | Mon | 7/25/2019 | ug/L | 1 | 1 | 3.1 | 3.1 | 11 | N |
| TA-60 Asphalt Batch Plant | MSGP04301 | MSGP I | NM 2010 Wldlf Hab | Mercury, total | UF | NMM | 7/25/2019 | ug/L | 1 | 0 | 0 | 0 | 0.77 | N |
| TA-60 MRF | MSGP02901 | MSGP I | NM 2010 Aqu Chronic 80 mg | Aluminum, total recoverable | F10u | Mon | 4/22/2019 | ug/L | 1 | 1 | 816 | 816 | 1010 | N |
| TA-60 MRF | MSGP02901 | MSGP I | 2007 EPA R6 MQL | Aroclor, total | UF | NMM | 4/22/2019 | ug/L | 1 | 0 | 0 | 0 | 0.2 | N |
| TA-60 MRF | MSGP02901 | MSGP I | NM 2010 Aqu Chronic 80 mg | Copper, dissolved | F | Mon | 4/22/2019 | ug/L | 1 | 1 | 41.8 | 41.8 | 7 | Y |
| TA-60 Roads and Grounds | MSGP03101 | MSGP I | NM 2010 Lvstk Wtr | Adjusted Gross Alpha | UF | Mon | 7/25/2019 | pCi/L | 1 | 1 | 0.495 | 0.495 | 15 | N |
| TA-60 Roads and Grounds | MSGP03101 | MSGP I | 2007 EPA R6 MQL | Aroclor, total | UF | NMM | 7/25/2019 | ug/L | 1 | 0 | 0 | 0 | 0.2 | N |
| TA-60 Roads and Grounds | MSGP03101 | MSGP I | NM 2010 Aqu Acute 80 mg | Copper, dissolved | F | Mon | 7/25/2019 | ug/L | 1 | 1 | 8 | 8 | 11 | N |
| TA-60 Roads and Grounds | MSGP03101 | MSGP I | NM 2010 Wldlf Hab | Mercury, total | UF | NMM | 7/25/2019 | ug/L | 1 | 0 | 0 | 0 | 0.77 | N |
| TA-60 Roads and Grounds | MSGP03201 | MSGP I | NM 2010 Aqu Chronic 80 mg | Copper, dissolved | F | Mon | 4/22/2019 | ug/L | 1 | 1 | 5.14 | 5.14 | 7 | N |
| TA-60 Roads and Grounds | MSGP03201 | MSGP I | NM 2010 Aqu Chronic 80 mg | Aluminum, total recoverable | F10u | Mon | 4/30/2019 | ug/L | 1 | 1 | 1380 | 1380 | 1010 | Y |
| TA-60 Roads and Grounds | MSGP03201 | MSGP I | 2007 EPA R6 MQL | Aroclor, total | UF | NMM | 4/30/2019 | ug/L | 1 | 0 | 0 | 0 | 0.2 | N |
| TA-60 Roads and Grounds | MSGP03701 | MSGP I | NM 2010 Aqu Chronic 80 mg | Aluminum, total recoverable | F10u | Mon | 7/26/2019 | ug/L | 1 | 1 | 6580 | 6580 | 1010 | Y |
| TA-60 Roads and Grounds | MSGP03701 | MSGP I | 2007 EPA R6 MQL | Aroclor, total | UF | NMM | 7/26/2019 | ug/L | 1 | 0 | 0 | 0 | 0.2 | N |
| TA-60 Roads and Grounds | MSGP03701 | MSGP I | NM 2010 Aqu Chronic 80 mg | Copper, dissolved | F | Mon | 7/26/2019 | ug/L | 1 | 1 | 3.23 | 3.23 | 7 | N |
| TA-60 Roads and Grounds | MSGP03901 | MSGP I | NM 2010 Aqu Chronic 80 mg | Copper, dissolved | F | Mon | 7/25/2019 | ug/L | 1 | 1 | 7.74 | 7.74 | 7 | Y |
| TA-60 Roads and Grounds | MSGP04201 | MSGP I | NM 2010 Aqu Chronic 80 mg | Aluminum, total recoverable | F10u | Mon | 4/23/2019 | ug/L | 1 | 1 | 2050 | 2050 | 1010 | Y |
| TA-60 Roads and Grounds | MSGP04201 | MSGP I | 2007 EPA R6 MQL | Aroclor, total | UF | NMM | 4/23/2019 | ug/L | 1 | 0 | 0 | 0 | 0.2 | N |
| TA-60 Roads and Grounds | MSGP04201 | MSGP I | NM 2010 Aqu Chronic 80 mg | Copper, dissolved | F | Mon | 4/23/2019 | ug/L | 1 | 1 | 4.75 | 4.75 | 7 | N |
| TA-60-1 Heavy Equipment Yard | MSGP02201 | MSGP I | NM 2010 Aqu Chronic 80 mg | Aluminum, total recoverable | F10u | Mon | 4/22/2019 | ug/L | 1 | 1 | 14900 | 14900 | 1010 | Y |
| TA-60-1 Heavy Equipment Yard | MSGP02201 | MSGP I | 2007 EPA R6 MQL | Aroclor, total | UF | NMM | 4/22/2019 | ug/L | 1 | 0 | 0 | 0 | 0.2 | N |
| TA-60-1 Heavy Equipment Yard | MSGP02201 | MSGP I | NM 2010 Aqu Chronic 80 mg | Copper, dissolved | F | Mon | 4/22/2019 | ug/L | 1 | 1 | 13.4 | 13.4 | 7 | Y |
| TA-60-2 Warehouse | MSGP02601 | MSGP I | NM 2010 Aqu Chronic 80 mg | Aluminum, total recoverable | F10u | Mon | 4/1/2019 | ug/L | 1 | 1 | 2350 | 2350 | 1010 | Y |
| TA-60-2 Warehouse | MSGP02601 | MSGP I | 2007 EPA R6 MQL | Aroclor, total | UF | NMM | 4/1/2019 | ug/L | 1 | 0 | 0 | 0 | 0.2 | N |
| TA-60-2 Warehouse | MSGP02601 | MSGP I | NM 2010 Aqu Chronic 80 mg | Copper, dissolved | F | Mon | 4/1/2019 | ug/L | 1 | 1 | 9.67 | 9.67 | 7 | Y |
| TA-60-2 Warehouse | MSGP07501 | MSGP I | NM 2010 Aqu Chronic 80 mg | Aluminum, total recoverable | F10u | Mon | 4/22/2019 | ug/L | 1 | 1 | 5760 | 5760 | 1010 | Y |
| TA-60-2 Warehouse | MSGP07501 | MSGP I | 2007 EPA R6 MQL | Aroclor, total | UF | NMM | 4/22/2019 | ug/L | 1 | 0 | 0 | 0 | 0.2 | N |
| TA-60-2 Warehouse | MSGP07501 | MSGP I | NM 2010 Aqu Chronic 80 mg | Copper, dissolved | F | Mon | 4/22/2019 | ug/L | 1 | 1 | 37 | 37 | 7 | Y |

Not-detected - discontinue monitoring

WQS Exceedance - violation and corrective action

Slide 31

Effluent Limitation Guidelines

Sector D – Asphalt Batch Plant

| Analyte | Field Prep Code | Daily Min | Daily Max | 30-Day Avg | Units |
|----------------|-----------------|-----------|-----------|------------|-------|
| Oil and Grease | UF | | 15 | 10 | mg/L |
| pH | UF | 6 | 9 | | SU |
| TSS | UF | | 23 | 15 | mg/L |

- Any exceedance is a permit violation and triggers the corrective action process;
 - A follow-up sample must be collected within 30 days or during the next qualifying storm event.
- If follow-up result also exceeds, submit an ELG Exceedance Report to EPA and monitoring moves from annual to quarterly until results return to compliance.

Exceedances- ELG

2019 Triad permit data

| Permitted Facility | MSGP Station Number | Level Type | Analyte Name | Field Prep Code | Last Mon Sample Date | Actual Result Average | Report Units | Analysis Results Count | Detected Results Count | Minimum Adjusted Result | Maximum Adjusted Result | MSGP ELG Exceedance | MSGP ELG Daily Min Level | Minimum Adjusted Result < ELG | MSGP ELG Daily Max Level | Maximum Adjusted Result > ELG | MSGP ELG 30-Day Avg Sequence No. | MSGP ELG 30-Day Avg Level | MSGP ELG 30-Day Avg Adjusted Result | MSGP 30-Day Avg Adjusted Result > ELG |
|---------------------------|---------------------|---|------------------------------|-----------------|----------------------|-----------------------|--------------|------------------------|------------------------|-------------------------|-------------------------|---------------------|--------------------------|-------------------------------|--------------------------|-------------------------------|----------------------------------|---------------------------|-------------------------------------|---------------------------------------|
| TA-60 Asphalt Batch Plant | MSGP04301 | MSGP ELG Daily Max, MSGP ELG 30-Day Avg | Oil and Grease | UF | 07/25/2019 | 1.41 | mg/L | 1 | 0 | 0.0 | 0.0 | N | | | 15.0 | N | 1 | 10.0 | 0.0 | N |
| TA-60 Asphalt Batch Plant | MSGP04301 | MSGP ELG Daily Max, MSGP ELG 30-Day Avg | Total Suspended Solids (TSS) | UF | 07/25/2019 | 141.0 | mg/L | 1 | 1 | 141.0 | 141.0 | Y | | | 23.0 | Y | 1 | 15.0 | 141.0 | Y |
| TA-60 Asphalt Batch Plant | MSGP04301 | MSGP ELG Daily Max, MSGP ELG 30-Day Avg | Total Suspended Solids (TSS) | UF | 08/07/2019 | 101.0 | mg/L | 2 | 2 | 61.0 | 141.0 | Y | | | 23.0 | Y | 2 | 15.0 | 101.0 | Y |
| TA-60 Asphalt Batch Plant | MSGP04301 | MSGP ELG Daily Max, MSGP ELG Daily Min | pH | UF | 08/07/2019 | 9.03 | SU | 2 | 0 | 8.93 | 9.13 | Y | 6.0 | N | 9.0 | Y | | | | |

TSS and pH - 2 exceedances in 2019

- Submitted Exceedance Report to EPA
- Now monitoring quarterly until results return to compliance

Every TSS result at Asphalt Batch Plant since 2011 has exceeded the ELG

Summary

- Consistent pattern of repeated exceedances for the same parameters at most locations
- Need to evaluate the appropriateness and effectiveness of corrective actions
- New AIM Tiered Corrective Action process requires increasingly more prescriptive and robust responses
 - Tier 1 – Review existing controls, add new controls, continue monitoring (same as current requirement)
 - Tier 2 – Implement Sector-specific stormwater controls
 - Tier 3 – Install permanent controls
- LANL's environmental compliance data are published on EPA's Enforcement and Compliance History Online (ECHO) public website. Environmental groups and stakeholders review and assess facility data nationwide to advocate for more stringent permit conditions.



MSGP Routine Facility Inspections

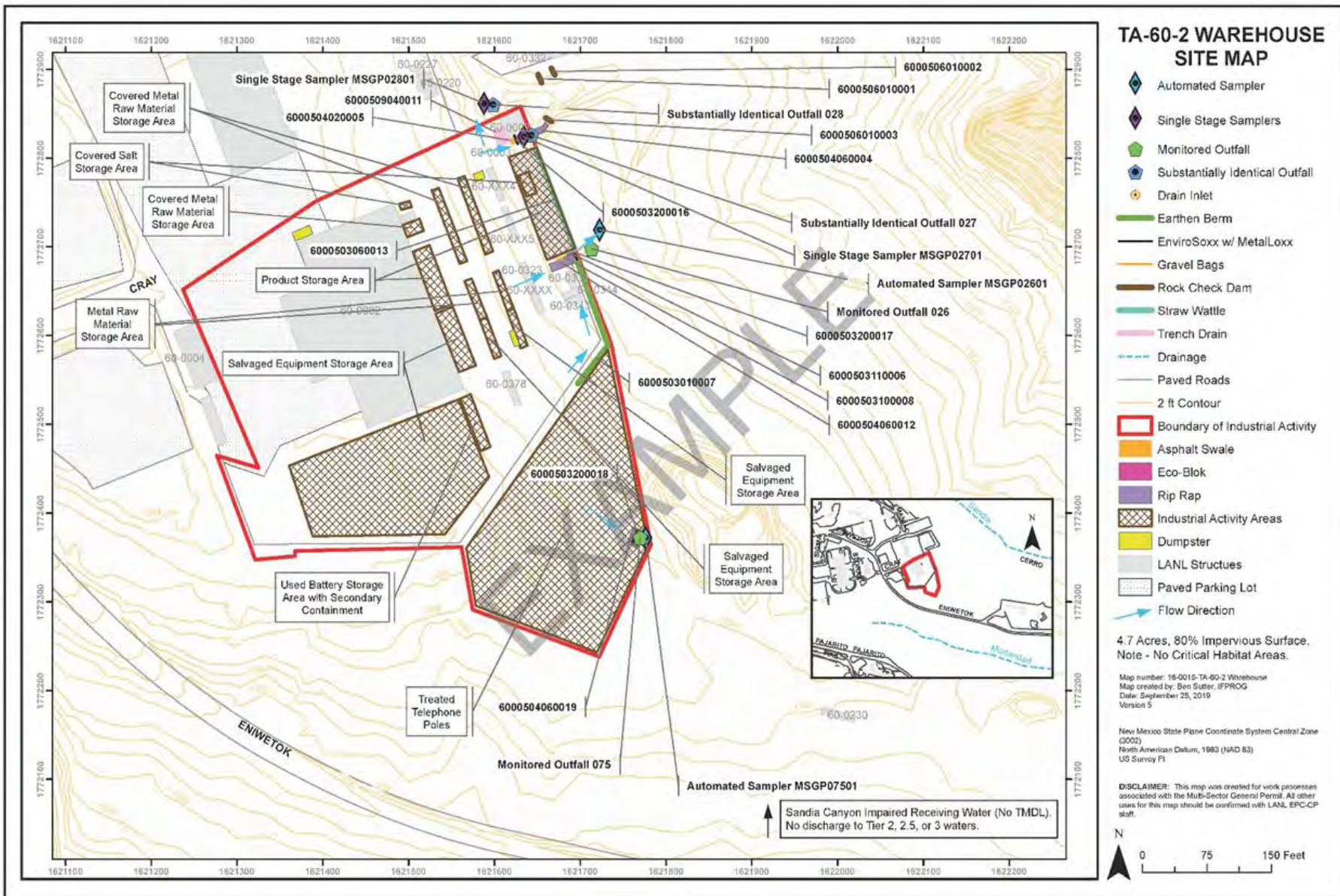
When Do I Perform A Routine Facility Inspection (RFI)?

- 💧 At least quarterly
 - Monthly for areas w/ significant activities and materials exposed to stormwater
- 💧 At least once a calendar year during stormwater discharge
- 💧 Once a calendar year for sites in No Exposure or Inactive status

Where Do I Find Information to Help Me Perform an RFI?



Psst! Look at the SWPPP



What Does An RFI Cover?

- Weather at time of inspection
- Discharges or evidence of discharges from the site
 - New discharges?
 - Evidence of, or potential for pollutants to enter the drainage system?



- Monitored outfalls and Substantially Identical Outfalls (SIOs)
 - Evidence of erosion?
 - Evidence of pollutants in discharge?
 - Flow dissipation devices operating effectively?



What Does An RFI Cover?

- 💧 Stormwater Control Measures
 - 💧 Are they operating effectively?
 - 💧 Are they in need of maintenance, repair, replacement?



Slide 40

What Does An RFI Cover?

- 💧 Industrial areas/activities exposed to stormwater
 - 💧 Includes the site's MSGP Sector of Industrial Activity (e.g. TA-60-2 Warehouse is under Sector P: Land Transportation and Warehousing)
- 💧 Additional activities you must inspect for
 - 💧 Dust generation
 - 💧 Offsite tracking
 - 💧 Housekeeping
 - 💧 Leaks/spills
- 💧 Non-compliances not identified in the above sections
- 💧 Additional Control Measures
- 💧 Signed Certification Statement

Common Issues Found During Inspection



Maintenance Details

Requested: 2/28/2020 12:04:28 PM

Target: 3/31/2020

MSGP Program

Procedure: MSGP Routine Facility
Inspection (EPC-CP-Form-
1020.2)

Priority/Type: Normal / Inspection

RG121.9

Department: Utilities and Infrastructure

TA-60-2 Warehouse

Last PM: 1/23/2020

Project: Routine Facility Inspections
March 2020 (P-MSGP-RI-
5427)

Contact:

Phone:

Reason: 2020 March Inspections

Tasks

| # | Description | Meas. | No | N/A | Yes |
|---|---|--------------------------|--------------------------|--------------------------|--------------------------|
| Weather Information | | | | | |
| 20 | Describe the weather at time of inspection and document the temperature (F). | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Within the Facility Boundary | | | | | |
| 40 | Is the facility free of new discharges of pollutants that have occurred since the last inspection? If "Failed" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 50 | If "No" has a CAR been previously initiated for this new discharge? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 60 | Is the facility free of discharge of pollutants at the time of inspection? If "No" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 70 | Is the facility free of evidence of, or the potential for, pollutants entering the drainage system. If "No" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Outfall Inspection (Identify needed maintenance and repairs, failed control measures that need replacement, or a description of corrective actions in relevant task comment) | | | | | |
| 90 | Monitored Outfall [026] Free of Evidence of Erosion? If "No", describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 100 | Monitored Outfall [026] Flow Dissipation Devices Operating Effectively? If "No", describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 110 | Monitored Outfall [026] Free of Evidence of Pollutants in Discharges and/or Receiving Water? If "No", describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 120 | Monitored Outfall [026] Free of any unauthorized non-stormwater discharges? If "No" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 130 | Monitored Outfall [075] Free of Evidence of Erosion? If "No", describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 140 | Monitored Outfall [075] Flow Dissipation Devices Operating Effectively? If "No", describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 150 | Monitored Outfall [075] Free of Evidence of Pollutants in Discharges and/or Receiving Water? If "No", describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 160 | Monitored Outfall [075] Free of any unauthorized non-stormwater discharges? If "No" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 170 | Substantially Identical Outfall [027] Free of Evidence of Erosion? If "No", describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 180 | Substantially Identical Outfall [027] Flow Dissipation Devices Operating Effectively? If "No", describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 190 | Substantially Identical Outfall [027] Free of Evidence of Pollutants in Discharges and/or Receiving Water? If "No", describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 200 | Substantially Identical Outfall [027] Free of any unauthorized non-stormwater discharges? If "No" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 210 | Substantially Identical Outfall [028] Free of Evidence of Erosion? If "No", describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 220 | Substantially Identical Outfall [028] Flow Dissipation Devices Operating Effectively? If "No" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 230 | Substantially Identical Outfall [028] Free of Evidence of Pollutants in Discharges and/or Receiving Water? If "No", describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 240 | Substantially Identical Outfall [028] Free of any unauthorized non-stormwater discharges? If "No" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Control Measures (Identify needed maintenance and repairs, failed control measures that need replacement, or a description of corrective actions in relevant task comments).

- This is an example of a printed inspection form.
- Forms may be completed electronically through software MC Express.
- Instructions for performing inspection and filling out form are in procedure EPC-CP-QP-023, *MSGP Routine Facility Inspections*

| | | Meas. | No | N/A | Yes |
|---|---|-------|-------------------------------------|--------------------------|--------------------------|
| 260 | Gravel Bags [6000503100008] Control Measure is operating effectively? If "No" describe condition & need for Maintenance, Repair, or Replacement. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 270 | Concrete/Asphalt Channel/Swale [6000504020005] Control Measure is operating effectively? If "No" describe condition & need for Maintenance, Repair, or Replacement. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 280 | Eco-Block [6000503110006] Control Measure is operating effectively? If "No" describe condition & need for Maintenance, Repair, or Replacement. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 290 | Rip Rap [6000504060004] Control Measure is operating effectively? If "No" describe condition & need for Maintenance, Repair, or Replacement. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 300 | Rip Rap [6000504060012] Control Measure is operating effectively? If "No" describe condition & need for Maintenance, Repair, or Replacement. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 310 | Rip Rap [6000504060019] Control Measure is operating effectively? If "No" describe condition & need for Maintenance, Repair, or Replacement. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 320 | Earthen Berm [6000503010007] Control Measure is operating effectively? If "No" describe condition & need for Maintenance, Repair, or Replacement. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 330 | Straw Wattle [6000503060013] Control Measure is operating effectively? If "No" describe condition & need for Maintenance, Repair, or Replacement. | | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 340 | Rock Check Dam [6000506010001] Control Measure is operating effectively? If "No" describe condition & need for Maintenance, Repair, or Replacement. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 350 | Rock Check Dam [6000506010002] Control Measure is operating effectively? If "No" describe condition & need for Maintenance, Repair, or Replacement. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 360 | Rock Check Dam [6000506010003] Control Measure is operating effectively? If "No" describe condition & need for Maintenance, Repair, or Replacement. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 370 | Trench Drain [6000509040011] Control Measure is operating effectively? If "No" describe condition & need for Maintenance, Repair, or Replacement. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 380 | EnviroSoxx w/ MetalLoxx [6000503200016] Control Measure is operating effectively? If "No" describe condition & need for Maintenance, Repair, or Replacement. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 390 | EnviroSoxx w/ MetalLoxx [6000503200017] Control Measure is operating effectively? If "No" describe condition & need for Maintenance, Repair, or Replacement. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 400 | EnviroSoxx w/ MetalLoxx [6000503200018] Control Measure is operating effectively? If "No" describe condition & need for Maintenance, Repair, or Replacement. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Area/Activity exposed to stormwater (Identify needed maintenance or a description of corrective actions in relevant task comment). | | | | | |
| 420 | Material loading/unloading and storage areas: controls adequate (appropriate, effective, and operating)? If "No" describe. | | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 430 | Transfer areas for substances in bulk: controls adequate (appropriate, effective, and operating)? If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 440 | Product/chemical storage areas (raw material): controls adequate (appropriate, effective, and operating)? If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 450 | Liquid tank storage/secondary containment: controls adequate (appropriate, effective, and operating)? If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 460 | Industrial processing and finished product storage areas: controls adequate (appropriate, effective, and operating)? If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 470 | Equipment operation and maintenance areas: controls adequate (appropriate, effective, and operating)? If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 480 | Fueling areas: controls adequate (appropriate, effective, and operating)? If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 490 | Outdoor vehicle and equipment washing areas: controls adequate (appropriate, effective, and operating)? If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 500 | Machinery: controls adequate (appropriate, effective, and operating)? If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 510 | Waste handling and disposal areas: controls adequate (appropriate, effective, and operating)? If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 520 | Erodible areas/construction: controls adequate (appropriate, effective, and operating)? If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 530 | Locations and sources of run-on to the site: controls adequate (appropriate, effective, and operating)? If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 540 | Salt storage piles or pile containing salt: controls adequate (appropriate, effective, and operating)? If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 550 | Dust generation and vehicle tracking: controls adequate (appropriate, effective, and operating)? If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 560 | Housekeeping (Industrial materials/residues/trash in contact with stormwater): controls adequate (appropriate, effective, and operating)? If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 570 | Leaks and spills: controls adequate (appropriate, effective, and operating)? If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

If you need more space, write
"See Labor Report" and
continue notes at end of form

Elk ate wattle. Need to replace.

If your site does not have
an activity, check N/A

| | Meas. | No | N/A | Yes |
|---|-------|--------------------------|--------------------------|--------------------------|
| 580 Sector P [60005-] Vehicle storage/maintenance areas: controls adequate (appropriate, effective, and operating)? If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Non-Compliance | | | | |
| 600 Free of incidents of observed non-compliance not already identified above? If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Additional Control Measures | | | | |
| 620 Are permit requirements satisfied with existing control measure(s)? If "No" describe additional control measures needed. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Labor

| Labor | Assigned | Work Date | Reg Hrs | OT Hrs | Other Hrs |
|-------------|-----------|-----------|---------|--------|-----------|
| Admin, Jane | 2/20/2020 | | | | |

Labor Report

Completed: _____

Report: _____

Signature / Name _____ Date _____ Signature / Name _____ Date _____

I confirm the information as recorded is true, accurate and complete.

CERTIFICATION STATEMENT

"I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations".

(Signatory must meet definition in Section B.11.A, eg. FOD, Ops Mgr, DESH Group Leader, EPC Group Leader)

Print name and title: _____

Signature: _____ Date: _____

Have the Cert Statement signed no more than 14 days after completing the inspection

What Do I Do When I Complete the RFI?

- 💧 Check your work (especially the check boxes)
- 💧 Sign it.....and date/time it
- 💧 Sign the Certification Statement (w/in 14 days of inspection)
- 💧 Give a copy to the MSGP Program (w/in 14 days of inspection)
- 💧 Add it to your SWPPP
- 💧 Enter any issues* (corrective actions) into the Corrective Action Response database

*Anyone can identify potential stormwater issue, not just DEPs or MSGP Program staff





Call the MSGP Program Team when you have questions





MSGP Corrective Actions

Agenda

- Definition of corrective action
- Conditions requiring corrective action
- Immediate corrective action
- Subsequent corrective action
- 45-day extension
- Corrective action documentation

Corrective Action

Definition: Any action taken, or required to be taken, to

- (1) repair, modify, or replace any stormwater control used at the site;
- (2) clean up and dispose of spills, releases, or other deposits found on the site;
- (3) satisfy any permit condition or SWPPP requirement

Conditions Requiring Corrective Action

- Unauthorized release or discharge
- Impaired water quality standards are exceeded (e.g., control measures are inadequately managing stormwater discharges)
- The average of four quarterly sampling results exceeds an applicable benchmark
- Effluent limitation guidelines are exceeded at the Asphalt Batch Plant (Sector D)
- Control measures are not being properly operated and maintained

Conditions Requiring Corrective Action (cont.)

- Visual assessment that shows evidence of stormwater pollution (e.g., color, odor, floating solids, settled solids, suspended solids, foam)
- A regulator during an inspection determines control modification is necessary to meet non-numeric effluent limits
- Facility operations change resulting in an increase in the quantities of pollutants discharged
- Failure to meet any permit condition or those specified in the site specific SWPPP

Conditions Requiring Corrective Action



Slide 54

Conditions Requiring Corrective Action



Slide 55

Immediate Corrective Action

- Shall Immediately act upon identification of an issue
 - Immediately is the same day a condition is found
 - Solely calling or emailing personnel requesting action is not considered to be an immediate response
 - Minimize or prevent the discharge of pollutants until a permanent solution is installed (e.g., absorbents, micro blaze, gravel bags)

Immediate Corrective Action (cont.)

- Clean up all contaminated surfaces to prevent pollutant discharge during subsequent storm events
- Designated staff must be trained and available to provide immediate support
- Basic BMPs and cleanup materials must be readily available on site
- If found after 3:00 pm, action must be taken the next workday

Subsequent Corrective Action

- For minor conditions, immediate action is often sufficient, and no additional action is necessary
- An FSR may be required to initiate a follow up action or permanent solution after the immediate action is completed (e.g., procurement and installation of a new stormwater control measure or SCM)
- Complete the corrective action (e.g., install a new or modified control and make it operational or complete the repair) before the next storm event or within 14 calendar days from the time of discovery
- Any corrective action resulting in a change to a SCM or procedure documented in the SWPPP will require SWPPP modification within 14 days of completing the corrective action

Subsequent Corrective Action (cont.)

- If finalization of CA is infeasible within the 14-day timeline then:
 - Document reasoning in database (e.g., delays in procuring industrial stormwater controls, installation of enclosures, etc.)
 - Provide a schedule for completion of corrective action in database
 - If the completion of a corrective action is anticipated to take more than 45 days from the time of discovery, EPA must be provided a notification of the intention to exceed, rational for the extension and a completion date
 - These time intervals are not grace periods, but are schedules for documenting findings and for making repairs and improvements
 - The permit does not allow corrective actions to remain open indefinitely

45 Day Extension

- If a CA is expected to exceed the 45-day timeframe the DEP shall provide EPC-CP the following information:
 - Rationale for an extension (e.g. an engineered design and installation of an engineered control)
 - A description of the condition requiring corrective action along with a summary of the preliminary steps that have been taken to complete the corrective action
 - A realistic completion date along with a realistic and detailed schedule that includes all outstanding steps required to complete the corrective action
- EPC-CP MSGP staff will prepare and submit to EPA the 45-day exceedance based on the information above

Corrective Action Documentation Recap

- Within 24 hours of discovery enter a description of the condition requiring corrective action and the date the condition was identified in the CAR database
- Document immediate actions taken to minimize or prevent the discharge of pollutants
- Document dates when each corrective action was initiated, completed, or is expected to be completed
- If the corrective action cannot be completed within 14-days, provide a schedule and justification why it is infeasible to complete the necessary installation

Corrective Action Documentation Recap (cont.)

- Spill documentation must describe:
 - Material, location, amount, date/time and the cause of the spill
 - Leaks, spills, or other releases that resulted in discharges of pollutants to waters of the U.S
 - Response actions, date/time cleanup was completed, notifications, staff involved, measures implemented to prevent reoccurrence

Additional Implementation Measures (AIM)

- EPA proposed revisions to the 2015 MSGP's provisions regarding benchmark monitoring exceedances
- There are three AIM levels: AIM Tier 1, Tier 2, and Tier 3
- Operators will be required to respond to different AIM levels with increasingly robust control measures depending on the nature and magnitude of the benchmark threshold exceedance



New Mexico Water Quality Control Commission Compliance

**Spills and Unplanned Releases
Legacy Equipment – Lesson's Learned**

Presentation Overview

- Environmental Reporting Requirements
- Who to Contact in the Event of a Release
- Ways to Prevent Spills
- NPDES MSGP Requirements
- Legacy Equipment – Lessons Learned
- Questions

Spills- Unplanned Releases to the Environment

- Water Quality investigates and evaluates spills throughout LANL to determine if external reporting is required to comply with State and Federal Regulations
 - NMWQCC Regulations, Clean Water Act, CERCLA, EPCRA



Spills- Unplanned Releases to the Environment

- Corrective actions need to be taken for all spills that occur
- There is not a de minimis volume of spilled material that does not need to be addressed



Who to Contact in the Event of a Spill

- Notify Supervisor of Spill Occurrence
- Notify the Roads and Grounds Deployed Environmental Professional
 - Leonard Sandoval
- Notify Water Quality Spills Pager – **664-7722**
- Notify Emergency Operations in the event of an emergency **667-6211**



Spill Prevention and Minimization

- Plan work to eliminate avoidable spills
- Use secondary containment to prevent releases to the environment
- Ensure preventive maintenance on equipment is completed
- Know where spill kits are located and how to use contents
- Know who to contact in the event of a release



NPDES Multi-Sector General Permit (MSGP) Requirements

- Drain fluids from equipment and vehicles that will be decommissioned, and, for any equipment and vehicles that will remain unused for extended periods of time, inspect at least monthly for leaks.
 - At LANL—"an extended period of time" is considered to be 6 months.



Slide 7

Legacy Equipment

Sheep's Foot Compactor-Lesson's Learned

- Compactor discovered on Sigma Mesa-slated to be salvaged
- Diesel was identified to be leaking from equipment
- Initially thought to be empty
 - Actually filled with over 900 gallons of diesel/water



Legacy Equipment

Sheep's Foot Compactor-Lesson's Learned Continued

- Diesel filled compactor presented significant environmental compliance and safety concern
 - SPCC, NMWQCC, Site Safety
- Notify your management and environmental resources to investigate any unknown equipment or equipment suspected to contain potential water contaminants to mitigate safety and environmental issues



Questions?



ATTACHMENT 12: MSGP (OR ACTIVE URL)

A copy of the 2021 MSGP is kept on file with the SWPPP hard copy.

The active URL for the permit is:

<https://www.epa.gov/npdes/stormwater-discharges-industrial-activities-epas-2021-msgp>

**ATTACHMENT 13: THREATENED AND ENDANGERED SPECIES HABITAT MANAGEMENT PLAN FOR
LOS ALAMOS NATIONAL LABORATORY**

LA-UR-17-29454

*Approved for public release;
distribution is unlimited.*

October 2017

Threatened and Endangered Species Habitat Management Plan for Los Alamos National Laboratory



Cover photo: Mexican Spotted Owls at Los Alamos National Laboratory

Prepared by: Environmental Protection and Compliance Division
Resources Management Team
Los Alamos National Laboratory

Prepared for: U.S. Department of Energy, National Nuclear Security Administration,
Los Alamos Field Office

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Contents

| | |
|--|----------|
| Acronyms and Terms | vi |
| I. Threatened and Endangered Species Habitat Management Plan General Overview | 1 |
| 1.0 Introduction..... | 1 |
| 2.0 Role of Site Plans in the HMP | 1 |
| 3.0 Description of Areas of Environmental Interest | 1 |
| 3.1 Definition and Role of Developed Areas in AEI Management | 2 |
| 3.2 General Description of Buffer Areas and Allowable Buffer Area Development | 2 |
| 3.3 Emergency Actions | 2 |
| 4.0 Implementation of Site Plans | 3 |
| 4.1 Roles and Responsibilities | 3 |
| 4.2 If an Activity Does Not Meet Site Plan Guidelines | 4 |
| 4.3 Dissemination of Information | 4 |
| 5.0 Changes in the HMP since Implementation..... | 4 |
| 6.0 Data Management | 5 |
| II. Area of Environmental Interest Site Plan for the Mexican Spotted Owl..... | 5 |
| 1.0 Species Description—Mexican Spotted Owl..... | 5 |
| 1.1 Status..... | 5 |
| 1.2 General Biology | 5 |
| 1.3 Threats..... | 6 |
| 2.0 Impact of Human Activities | 6 |
| 2.1 Introduction..... | 6 |
| 2.2 Impacts on Habitat Quality | 6 |
| 2.2.1 Development | 6 |
| 2.2.2 Ecological Risk | 7 |
| 2.2.3 Disturbance | 7 |
| 2.2.3.1 Pedestrians and Vehicles..... | 7 |
| 2.2.3.2 Aircraft..... | 7 |
| 2.2.3.3 Explosives..... | 8 |
| 2.2.3.4 Other Sources of Noise | 9 |
| 2.2.3.5 Artificially Produced Light..... | 10 |
| 3.0 AEI General Description for Mexican Spotted Owl | 11 |
| 3.1 Method for Identifying a Mexican Spotted Owl AEI | 11 |
| 3.2 Location and Number of Mexican Spotted Owl AEIs | 12 |
| 4.0 AEI Management | 12 |
| 4.1 Overview..... | 12 |
| 4.2 Definition and Role of Occupancy in AEI Management | 12 |
| 4.3 Introduction to AEI Management Guidelines | 12 |
| 4.4 Definition of and Restrictions on Habitat Alterations..... | 13 |
| 4.4.1 Definition of Habitat Alterations | 13 |
| 4.4.2 Fuels Management Practices to Reduce Wildfire Risk | 13 |
| 4.4.3 Utility Corridors..... | 14 |

| | |
|---|-----------|
| 4.4.4 Restrictions on Habitat Alterations | 14 |
| 4.5 Definition of and Restrictions on Disturbance Activities | 15 |
| 4.5.1 Definitions of Disturbance Activities..... | 15 |
| 4.5.2 Activity Table | 17 |
| 4.6 Protective Measures | 17 |
| 5.0 Levels of Development in AEI Core and Buffers..... | 18 |
| 5.1 Allowable Habitat Alteration in the Buffer Areas | 18 |
| III. Area of Environmental Interest Site Plan for the Southwestern Willow Flycatcher | 19 |
| 1.0 Species Description—Southwestern Willow Flycatcher | 19 |
| 1.1 Status..... | 19 |
| 1.2 General Biology | 19 |
| 1.3 Threats..... | 20 |
| 2.0 Impact of Human Activities..... | 20 |
| 2.1 Introduction..... | 20 |
| 2.2 Impacts on Habitat Quality | 21 |
| 2.2.1 Development | 21 |
| 2.2.2 Ecological Risk | 21 |
| 2.2.2.1 Ecorisk Assessment | 21 |
| 2.2.3 Disturbance | 22 |
| 2.2.3.1 Pedestrians and Vehicles..... | 22 |
| 2.2.3.2 Aircraft..... | 22 |
| 2.2.3.3 Explosives..... | 22 |
| 2.2.3.4 Other Sources of Noise | 22 |
| 2.2.3.5 Artificially Produced Light..... | 22 |
| 3.0 AEI General Description for the Southwestern Willow Flycatcher..... | 22 |
| 3.1 Method for Identifying the Southwestern Willow Flycatcher AEI..... | 23 |
| 3.2 Location of the Southwestern Willow Flycatcher AEI..... | 23 |
| 4.0 AEI Management..... | 23 |
| 4.1 Overview..... | 23 |
| 4.2 Definition and Role of Occupancy in AEI Management..... | 23 |
| 4.3 Introduction to AEI Management Guidelines | 24 |
| 4.4 Definition of and Restrictions on Habitat Alterations..... | 24 |
| 4.4.1 Definition of Habitat Alterations | 24 |
| 4.4.2 Fuels Management Practices to Reduce Wildfire Risk..... | 24 |
| 4.4.3 Utility Corridors..... | 24 |
| 4.4.4 Restrictions on Habitat Alterations | 25 |
| 4.5 Definition of and Restrictions on Disturbance Activities | 25 |
| 4.5.1 Definition of Disturbance Activities | 25 |
| 4.5.2 Activity Table | 26 |
| 4.6 Protective Measures | 27 |
| 5.0 Southwestern Willow Flycatcher AEI Description..... | 28 |
| 5.1 Pajarito Canyon Southwestern Willow Flycatcher AEI..... | 28 |

| | |
|--|-----------|
| 5.1.1 Allowable Habitat Alteration in the Buffer Area | 28 |
| IV. Area of Environmental Interest Site Plan for the Jemez Mountains Salamander | 28 |
| 1.0 Species Description—Jemez Mountains Salamander | 28 |
| 1.1 Status..... | 28 |
| 1.2 General Biology | 28 |
| 1.3 Threats..... | 29 |
| 2.0 Impact of Human Activities | 29 |
| 2.1 Introduction..... | 29 |
| 2.2 Impacts on Habitat Quality | 29 |
| 2.2.1 Development | 29 |
| 2.2.2 Pedestrians and Vehicles..... | 29 |
| 2.2.3 Severe Wildland Fire and Wildfire Suppression..... | 30 |
| 2.3 Impacts on Individual Salamanders | 30 |
| 2.3.1 Disease | 30 |
| 2.3.2 Destruction of Individual Salamanders..... | 30 |
| 3.0 AEI General Description for the Jemez Mountains Salamander | 30 |
| 3.1 Method for Identifying a Jemez Mountains Salamander AEI..... | 31 |
| 3.2 Location and Number of Jemez Mountains Salamander AEIs | 32 |
| 4.0 AEI Management..... | 32 |
| 4.1 Overview..... | 32 |
| 4.2 Definition and Role of Occupancy in AEI Management | 32 |
| 4.3 Definition and Role of Developed Areas in AEI Management | 32 |
| 4.4 General Description of Core and Buffer Areas and Allowable Area Development | 33 |
| 4.5 Emergency Actions..... | 33 |
| 4.6 Introduction to AEI Management Guidelines | 33 |
| 4.7 Definition of and Restrictions on Habitat Alterations..... | 33 |
| 4.7.1 Definition of Habitat Alterations | 33 |
| 4.7.2 Fuels Management Practices to Reduce Wildfire Risk..... | 34 |
| 4.7.3 Utility Corridors..... | 34 |
| 4.7.4 Restrictions on Habitat Alterations..... | 34 |
| References Cited..... | 35 |
| APPENDIX..... | 40 |

Figures

| | |
|--|----|
| Figure 1. Process flowchart for determining site plan requirements | 3 |
| Figure A-1. Most recent map of all AEIs at LANL | 41 |

Tables

| | |
|---|----|
| Table 1. Restrictions on Activities in Undeveloped Occupied Mexican Spotted Owl AEIs..... | 17 |
| Table 2. Restrictions on Activities in Undeveloped Occupied Southwestern Willow Flycatcher AEI..... | 27 |
| Table A-1. The Percentage of each Food Type Found in Mexican Spotted Owl Food Remains at LANL..... | 40 |
| Table A-2. Preliminary Light Measurements in ftc for Mexican Spotted Owl Site Plan | 40 |

ACRONYMS AND TERMS

| | |
|--------------|---|
| AEI | area of environmental interest |
| Bd | Batrachochytrium dendrobatidis (Chytrid Fungus) |
| DARHT | Dual-Axis Radiographic Hydrodynamic Test (Facility) |
| dB | decibel |
| dB(A) | A-weighted decibel |
| dB(C) | C-weighted decibel |
| DDT | (dichloro-diphenyl-trichloroethane) |
| DOE | U.S. Department of Energy |
| ESA | Endangered Species Act of 1973 |
| fc | foot candles |
| Field Office | U.S. Department of Energy Los Alamos Field Office |
| FR | Federal Register |
| GIS | geographic information system |
| HMP | Threatened and Endangered Species Habitat Management Plan |
| HVAC | heating, ventilation, and air conditioning |
| LANL | Los Alamos National Laboratory |
| LANS | Los Alamos National Security, LLC |
| NEPA | National Environmental Policy Act of 1969 |
| PCBs | polychlorinated biphenyls |
| TNT | trinitrotoluene(2,4,6-) |
| USFWS | U.S. Fish and Wildlife Service |

I. THREATENED AND ENDANGERED SPECIES HABITAT MANAGEMENT PLAN GENERAL OVERVIEW

1.0 Introduction

Los Alamos National Laboratory's (LANL) Threatened and Endangered Species Habitat Management Plan (HMP) fulfills a commitment made to the U.S. Department of Energy (DOE) in the "Final Environmental Impact Statement for the Dual-Axis Radiographic Hydrodynamic Test Facility Mitigation Action Plan" (DOE 1996). The HMP received concurrence from the U.S. Fish and Wildlife Service (USFWS) in 1999 (USFWS consultation numbers 2-22-98-I-336 and 2-22-95-I-108). This 2017 update retains the management guidelines from the 1999 HMP for listed species, and updates some descriptive information.

2.0 Role of Site Plans in the HMP

The purpose of the HMP is to provide a management strategy for Endangered Species Act (ESA) compliance through the protection of threatened and endangered species and their habitats on LANL property. The HMP consists of site plans for federally listed threatened or endangered species with a moderate or high probability of occurring at LANL. The following federally listed threatened or endangered species currently have site plans at LANL: Mexican Spotted Owl (*Strix occidentalis lucida*), Southwestern Willow Flycatcher (*Empidonax trailii extimus*), and Jemez Mountains Salamander (*Plethodon neomexicanus*). Site plans provide guidance to ensure that LANL operations do not adversely affect threatened or endangered species or their habitats.

The Black-footed Ferret (*Mustela nigripes*) is federally listed as endangered. However, no sightings of Black-footed Ferrets have been reported in Los Alamos County for more than 50 years. In addition, no large prairie dog towns, prime habitat for Black-footed Ferrets, have been observed at LANL. Therefore, there is no site plan for this species.

The New Mexico Meadow Jumping Mouse (*Zapus hudsonius luteus*) and Yellow-billed Cuckoo (*Coccyzus americanus*) do not require a site plan because they do not have breeding habitat on LANL property. In Keller (2015), it was concluded that if any LANL work activities might affect habitat for these two species, those activities would be reviewed for impacts.

3.0 Description of Areas of Environmental Interest

Suitable habitats for federally listed threatened and endangered species have been designated as areas of environmental interest (AEIs). AEIs are geographical units at LANL that are managed for the protection of federally listed species and consist of core habitat areas and buffer areas. The purpose of the core habitat is to protect areas essential for the existence of the specific threatened or endangered species. This includes the appropriate habitat type for breeding, prey availability, and micro-climate conditions. The purpose of buffer areas is to protect core areas from undue disturbance and habitat degradation.

Site plans identify restrictions on activities within the AEIs. The USFWS reviewed allowable activities and provided concurrence that these activities are not likely to adversely affect federally listed species. Activities discussed in site plans include day-to-day activities causing

disturbance (hereafter referred to as “disturbance activities”), such as access into an AEI, and long-term impacts, such as habitat alteration.

3.1 Definition and Role of Developed Areas in AEI Management

Developed areas include all building structures, paved roads, improved gravel roads, paved and unpaved parking lots, and firing sites. The extent of developed areas in each AEI was determined using two methods. First, LANL geographic information system (GIS) analysts placed a 15-m (49-ft) border around all buildings and parking lots. For paved and improved gravel roads, the developed area was defined as the area to a roadside fence, if one exists within 9 m (30 ft) of the road, or 5 m (15 ft) on each side of the road if there is no fence within 9 m (30 ft). If an area of highly fragmented habitat was enclosed by roads, a security fence, or connected buildings, that area was also classified as developed. Developed areas at firing sites were defined as a circle with a 91-m (300-ft) radius from the most centrally located firing pad. Second, LANL GIS analysts overlaid scanned orthophotos onto a map of the Los Alamos area and digitized all areas that appeared developed. These two information sources were overlaid and combined, so that areas classified as developed by either method were considered developed in final maps and analyses. Some areas were confirmed by ground surveys, such as the firing sites.

Developed areas occur in the core and/or buffer of all AEIs. However, developed areas do not constitute suitable habitat for federally listed species. Current ongoing activities in developed areas constitute a baseline condition for the AEIs and are not restricted. New activities, including further development within already existing developed areas, are not restricted unless they impact undeveloped portions of an AEI core. For example, if light or noise from a new office building in a developed area were to raise levels in an undeveloped core area, those light and noise levels would be subject to the guidelines on habitat alterations.

3.2 General Description of Buffer Areas and Allowable Buffer Area Development

The purpose of buffer areas is to protect core areas from undue disturbance or habitat degradation. The current levels of development in buffer and core areas represent baseline conditions for this HMP. No further development is allowed in the core area under the guidelines of this HMP. A limited amount of development is allowed in buffer areas. Under the guidelines of this HMP, individual development projects are limited to 2 ha (5 ac) in size, including a 15-m (49-ft) developed-area border around structures and a 5-m (15-ft) developed-area border around paved and improved gravel roads. Projects greater than 2 ha (5 ac) in size require individual review for ESA compliance (see exceptions for fuels management activities and utility corridor maintenance). New development projects in AEI buffer areas must be reported to Los Alamos National Security, LLC (LANS) biologists for tracking (<http://int.lanl.gov/environment/bio/controls/index.shtml>).

3.3 Emergency Actions

Managers may activate emergency actions if safety and/or property is immediately threatened by something occurring within an AEI (for example, wildfire, water line breakage, etc.). Contact a LANS biologist (<http://int.lanl.gov/environment/bio/controls/index.shtml>), the Environmental Stewardship Group (505-665-8855), or the DOE Los Alamos Field Office (Field Office; 505-667-6819) as soon as possible. If the emergency occurs outside of regular business hours, contact

the Emergency Management Office (505-667-6211); this office will then communicate with the appropriate LANL and DOE Field Office personnel.

4.0 Implementation of Site Plans

4.1 Roles and Responsibilities

LANL's facility managers and operational staff are responsible for ensuring that activities are reviewed for compliance with all applicable site plans. Figure 1 illustrates the process for utilizing site plans. If activities follow approved guidance, there is no requirement for additional ESA regulatory compliance. However, additional National Environmental Policy Act (NEPA), cultural resources, wetlands, or other regulatory compliance actions may be required.

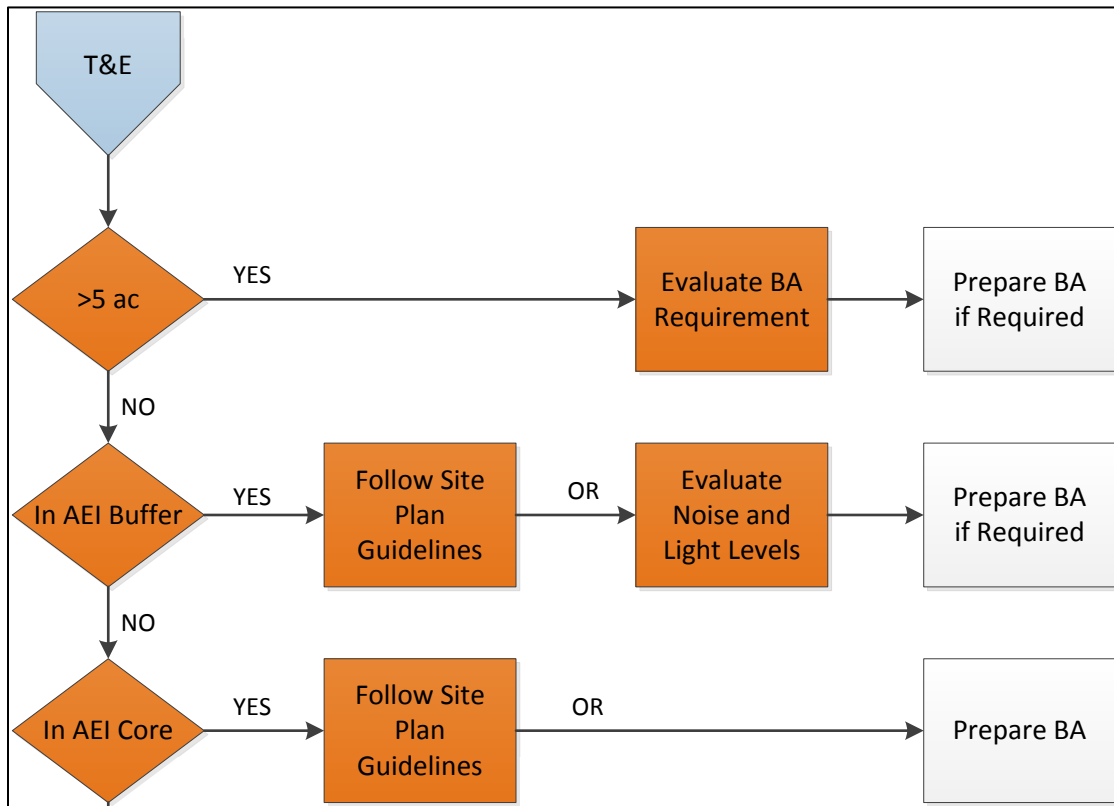


Figure 1. Process flowchart for determining site plan requirements

If an activity or project occurs outside of all LANL AEIs and will not impact habitat within an AEI, it does not have to be reviewed for ESA compliance unless it is a large project. Projects that are larger than 2 ha (5 ac) or cost more than \$5 million require an individual ESA compliance review, even if they are not located within an AEI.

LANL's facility managers are responsible for determining if operations within their geographic and/or programmatic area of responsibility comply with the guidelines in these site plans. Submission of a project into the integrated review tool for a new or modified project is required under Program Description 400 (LANL 2016) and allows managers to identify the requirements within their project area. Deployed environmental professionals and core LANS biologists are

available to support facility managers. If activities follow site plan guidelines, they do not require any additional ESA regulatory compliance action. However, NEPA, cultural resources, wetlands, or other regulatory compliance actions are not addressed in site plans and additional compliance actions may be required. It is the responsibility of the project leader or facility management staff to ensure that all requirements are satisfied. If you have questions, contact biological, cultural, NEPA, or other environmental subject matter experts. Contacts can be found at <http://int.lanl.gov/environment/compliance/ier/index.shtml>.

A single facility may have one or more AEIs within its boundary and the AEIs may be for different species. Some AEIs overlap. In areas where overlap occurs, project managers must follow the guidelines for AEIs of all involved species.

4.2 If an Activity Does Not Meet Site Plan Guidelines

If a project reviewer determines that an activity or project cannot meet the guidelines in applicable site plans, LANS biologists evaluate that activity individually for compliance with the ESA. Results of the evaluation of potential impacts allow LANS biologists to make recommendations to the DOE Field Office Biological Resources Program Manager regarding the need for USFWS consultation. An evaluation may result in 1) a DOE Field Office determination that there is no effect and the activity can proceed, 2) a DOE Field Office suggestion for modifications of the action to avoid adverse effects so that it can proceed, or 3) a DOE Field Office decision to prepare a biological assessment for the activity and submit it to the USFWS for concurrence. Fieldwork and preparation of a biological assessment can take a few months with an additional 2 to 12 months for DOE Field Office review and then final USFWS concurrence.

4.3 Dissemination of Information

Habitat locations of threatened and endangered species are not considered sensitive; however, it is in the best interest of threatened and endangered species to restrict specific knowledge about their locations.

5.0 Changes in the HMP since Implementation

The HMP received concurrence from USFWS and was first implemented in 1999. Since that time, both the Peregrine Falcon (*Falco peregrinus*) and the Bald Eagle (*Haliaeetus leucocephalus*) have been delisted. Site plans for those species have been removed from LANL's HMP. Both species are protected at LANL under the Migratory Bird Treaty Act, and the Bald Eagle is also protected under the Bald and Golden Eagle Protection Act.

In 2005, the USFWS concurred with DOE's proposal for updated Mexican Spotted Owl habitat boundaries based on a revised analysis of Mexican Spotted Owl habitat quality within DOE property around LANL (USFWS consultation number 22420-2006-I-0010).

In 2012, the USFWS concurred with DOE's proposal to modify the habitat boundaries for the Los Alamos Canyon Mexican Spotted Owl AEI due to changes from the fire response activities after the Las Conchas wildfire (USFWS consultation number 02ENNM00-2012-IE-0088).

In 2013, the USFWS concurred with the DOE's new site plan for the Jemez Mountains Salamander and its addition to LANL's HMP (USFWS consultation number 02ENNM00-2014-I-0014).

In 2015, the USFWS concurred with the DOE's addition of the New Mexico Meadow Jumping Mouse and Yellow-billed Cuckoo to LANL's HMP (USFWS consultation number 02ENNM00-2015-I-0538).

In 2017, the USFWS concurred with DOE's proposal to modify the habitat boundaries for the lower section of Water Canyon Mexican Spotted Owl AEI due to habitat degradation resulting from long-term drought and fire effects (USFWS consultation number 02ENNM00-2017-I-0255).

6.0 Data Management

The data used in the implementation of the HMP are stored in a geodatabase at LANL. The current map of all of the AEIs at LANL is in Figure A-1 in the appendix.

II. AREA OF ENVIRONMENTAL INTEREST SITE PLAN FOR THE MEXICAN SPOTTED OWL

1.0 Species Description—Mexican Spotted Owl

1.1 Status

In 1993, the USFWS determined the Mexican Spotted Owl to be a threatened species under the authority of the ESA, as amended (58 Federal Register [FR] 14248). In 1995, the USFWS released its final recovery plan for the owl (USFWS 1995), which was revised in 2012 (USFWS 2012). The USFWS most recently designated critical habitat for Mexican Spotted Owl in 2004 (69 FR 53181).

1.2 General Biology

The Mexican Spotted Owl is found in northern Arizona, southeastern Utah, and southwestern Colorado south through New Mexico, west Texas, and into Mexico. It is the only subspecies of Spotted Owl recognized in New Mexico (USFWS 1995).

The Mexican Spotted Owl generally inhabits mixed conifer and ponderosa pine- (*Pinus ponderosa*; Lawson & C. Lawson) Gambel oak (*Quercus gambelli*; Nutt.) forests in mountains and canyons. High canopy closure, high stand diversity, multilayered canopy resulting from an uneven-aged stand, large mature trees, downed logs, snags, and stand decadence as indicated by the presence of mistletoe are characteristics of Mexican Spotted Owl habitat. Some owls have been found in second-growth forests (i.e., younger forests that have been logged); however, these areas were found to contain characteristics typical of old-growth forests. Mexican Spotted Owls in the Jemez Mountains prefer cliff faces in canyons for their nest sites (Johnson and Johnson 1985). The recovery plan for the Mexican Spotted Owl recommends that mixed conifer and pine-oak woodland types on slopes greater than 40 percent be protected for the conservation of this owl.

A mated pair of adult Spotted Owls may use the same home range and general nesting areas throughout their lives. A pair of owls requires approximately 800 ha (1,976 ac) of suitable nesting and foraging habitat to ensure reproductive success. Incubation is carried out by the female. The incubation period is approximately 30 days and most eggs hatch by the end of May. Most owlets fledge in June, 34 to 36 days after hatching (USFWS 1995). The owlets are “semi-independent” by late August or early September, although juvenile begging calls have been heard as late as September 30. Young are fully independent by early October. The non-breeding season runs from September 1 through February 28. Although seasonal movements vary among owls, most adults remain within their summer home ranges throughout the year.

The diet of Mexican Spotted Owls nesting in canyons consists primarily of woodrats (*Neotoma* spp.) and deer mice (*Peromyscus* spp.) with lesser amounts of rabbits, birds, reptiles, and arthropods (Willey 2013). The relative abundance of prey types in Mexican Spotted Owl pellets collected at LANL are listed in Table A-1 in the appendix. Ganey and Balda (1994) found core areas of individuals (i.e., where owls spent 60 percent of their time) averaged 134 ha (331 ac), and core areas for pairs averaged 160 ha (395 ac).

1.3 Threats

The Mexican Spotted Owl was listed as threatened because of destruction and modification of habitat caused by timber harvest, wildfires, increased predation on owls associated with habitat fragmentation, and a lack of adequate protective regulations.

2.0 Impact of Human Activities

2.1 Introduction

The primary threats to Mexican Spotted Owls on LANL property are 1) impacts to habitat quality from LANL operations and 2) disturbance of nesting owls. This section provides a review and summary of scientific knowledge of the effects of various types of human activities on the Mexican Spotted Owl and provides an overview of the current levels of activities at LANL.

2.2 Impacts on Habitat Quality

2.2.1 Development

The type of habitat used by Mexican Spotted Owls, late seral stage forests with large trees, is usually not found in large quantities near developed areas or near areas that have had recent agricultural or forest product extraction land uses. Therefore, Mexican Spotted Owls are generally not found near developments. Whether it is the development or a lack of suitable habitat that discourages colonization of these areas by Mexican Spotted Owls is unknown.

Areas of LANL vary from remote, undeveloped areas to heavily developed and/or industrialized facilities. Most LANL facilities are situated atop mesas, primarily in the northern and western portion of the DOE property. LANL is bounded by developed residential, industrial, and retail areas along its northern boundary (the town of Los Alamos) and by residential and retail development along a portion of its eastern boundary (the town of White Rock). Three major paved roads traverse LANL from northeast to southwest. Sandia, Pajarito, and Los Alamos canyons have paved roads within AEIs, and several AEIs have dirt roads along at least a portion

of the canyon bottom. AEIs containing paved or dirt roads in the canyon bottoms have not been occupied at LANL (Hathcock et al. 2010).

2.2.2 Ecological Risk

There is no specific information on the impact of chemicals on the Mexican Spotted Owl, although experience with other raptor species suggests that exposure to polychlorinated biphenyls (PCBs), dichloro-diphenyl-trichloroethane (DDT) and its derivatives, and other organophosphate or organochlorine pesticides would probably be harmful. Exposure to other chemicals could also be harmful (Cain 1988).

LANS subject matter experts completed three ecological risk assessments that included the Mexican Spotted Owl between 1997 and 2009. The ecological risk assessment process involves using computer modeling to assess potential effects to animals from chemicals of potential concern that have been detected in the environment. All of the following ecological risk assessments concluded that, on average, no appreciable impact is expected to Mexican Spotted Owls from chemicals of potential concern (Gallegos et al. 1997; Gonzales et al. 2004; Gonzales et al. 2009).

2.2.3 Disturbance

2.2.3.1 Pedestrians and Vehicles

Based on work with other raptors, LANS biologists assume that Mexican Spotted Owls would likely be disturbed by the approach of either pedestrians or vehicles. At an equal distance, pedestrians are frequently more disturbing to raptors than vehicles (Grubb and King 1991). Brown and Stevens (1997) reported that during surveys in Grand Canyon National Park, 22 times more Bald Eagles were found in canyon reaches with low human recreational use compared to reaches with moderate to high human recreational use. Human activity 100 m (328 ft) from Bald Eagle nests in Alaska caused clear and consistent changes in behavior of breeding eagles (Steidl and Anthony 2000).

Swarthout and Steidl (2001) found that both juvenile and adult roosting Mexican Spotted Owls were unlikely to alter their behavior in the presence of a single hiker at distances greater than 55 m (180 ft). Swarthout and Steidl (2003) concluded that cumulative effects of high levels of short-duration recreational hiking near Mexican Spotted Owl nests may be detrimental.

Many canyon bottoms and mesa tops at LANL have dirt roads traversing them. Most of these roads are gated; however, these roads are accessible to LANL employees and some of them are accessible to the public on foot or by bike. LANS biologists found that AEIs are occupied less often if there is recreational access into a canyon (Hathcock et al. 2010).

2.2.3.2 Aircraft

Ground-based disturbances appear to impact raptor reproductive success more than aerial disturbances (Grubb and King 1991). Grubb and Bowerman (1997) concluded that an exclusion of aircraft within 600 m (1,968 ft) of Bald Eagle nest sites would limit Bald Eagle response frequency to 19 percent.

Delaney et al. (1999) found that for Mexican Spotted Owls, chainsaws consistently elicited higher response rates than helicopters at similar distances. Owl flush rates did not differ between nesting and non-nesting seasons. No owls flushed when noise stimuli (helicopter or chainsaws) were at distances greater than 105 m (344 ft). Distance was generally a better predictor of owl response to helicopter overflights than sound level.

LANL is restricted airspace and planes infrequently fly less than 609 m (2,000 ft) above ground level. The County of Los Alamos operates an airport along the northern edge of LANL. The airport is located on the southern rim of Pueblo Canyon. Most flights approach and depart to the east of the airport, over the Rio Grande.

2.2.3.3 Explosives

There is currently no specific information available on the reaction of Mexican Spotted Owls to explosives detonation. Explosive blasts set off 120 to 140 m (393 to 459 ft) from active Prairie Falcon (*Falco mexicanus*) nests caused perched Prairie Falcons to flush from perches 79 percent of the time, and, in 26 percent of the cases, caused incubating Prairie Falcons to flush from nests. Measured sound levels at aerie entrances during blasts ranged from 129 to 141 decibel (dB) (Holthuijzen et al. 1990). Explosives blasting for dam construction 560 to 1,000 m (1,837 to 3,280 ft) from active Prairie Falcon nests caused a change in behavior 26 percent of the time, and birds flushed in 17 percent of all cases. No incubating birds flushed (Holthuijzen et al. 1990). Brown et al. (1999) found little activity change in roosting or nesting Bald Eagles and no population-level impacts from weapons detonations at the Aberdeen Proving Ground. Holthuijzen et al. (1990) found that a 167-g (5.89-oz) charge of Kinestik produced noise levels between 138 and 141 dB at 100 m (328 ft), and that a 500-g (17.6-oz) charge of trinitrotoluene(2,4,6-) (TNT) produced noise levels between 144 and 146 dB at 100 m (328 ft). A 20-kg (44-lb) charge of TNT produced noise levels that measured 163 dB at 100 m (328 ft) (Paakkonen 1991).

Measurements of noise levels during explosives testing were conducted at three locations at LANL using quantities of high explosives ranging from 4.5 to 67.5 kg (10 to 148 lb) of TNT during six shots. Noise levels increased during the test from a background level of 31 A-weighted decibel [dB(A)]¹ to a range between 64 and 71 dB(A) during shots at a distance of 1.8 km (1.1 mi). At a distance of 4.3 km (2.67 mi), noise levels rose from a background range of 35 to 64 dB(A) to a range of 60 to 63 dB(A) (Vigil 1995). At a distance of 6.7 km (4.16 mi), noise levels rose from a background range of 38 to 51 dB(A) to a range of 60 to 71 dB(A) (Burns 1995). LANS biologists estimated that the noise from a shot at the Dual-Axis Radiographic Hydrodynamic Test (DARHT) Facility would be 150 dB(A) at the source and 80 dB(A) at 400 m (1,312 ft) (Keller and Risberg 1995). LANS biologists found that Mexican Spotted Owl AEIs located within the explosives testing buffer area were occupied more frequently than AEIs in other locations (Hathcock et al. 2010). This is likely due to the strict access control in explosives areas that limit human activity and development in the canyon bottoms.

¹ Sound can be measured as decibels (dB), C-weighted dB [dB(C)], or A-weighted dB [dB(A)]. The dB(A) measurement best resembles the response of the human ear by filtering out lower and higher frequency sound not normally heard by the human ear.

2.2.3.4 Other Sources of Noise

Major noise-producing activities at LANL include automobile and truck traffic and noise associated with office buildings, construction activities, a live-fire range, and explosives testing. Noise is also associated with aircraft traffic at the Los Alamos County airport. Construction and maintenance activities involved with operations at LANL are fairly common. In addition, implementation of the 2016 Compliance Order on Consent issued by the New Mexico Environmental Department has resulted in an increased frequency of drilling groundwater monitoring wells in protected habitat at LANL. Also, forest fuels management operations use chainsaws, chippers, and other noise-generating equipment. The 2010 National Pollutant Discharge Elimination System Individual Permit (EPA 2010) issued by the Environmental Protection Agency requires sediment control features such as berms and small rock check dams to be installed at various sites with stormwater runoff; these are sometimes installed in protected habitat. LANS biologists conducted a study of noise levels in canyons and found that the primary sources of noise exceeding 55 dB(A) were cars and trucks. Readings taken near flowing water were up to 11 dB(A) higher than readings taken elsewhere. The average dB(A) in canyons near paved roads ranged from 41 to 62, with maximum values ranging from 62 to 74. Away from paved roads 1.6 km (1 mi) or more, average dB(A) in canyons ranged from 37 to 50, with all but one average below 45. Maximum dB(A) away from paved roads ranged from 38 to 76, 76 dB(A) was measured during a thunder clap (Huchton et al. 1997).

In December 1997, LANS biologists conducted noise measurements at the Los Alamos County airport and in Bayo and Pueblo canyons, including the Los Alamos County Sewage Treatment Facility. Sound levels near the airport runway during the maximum use time (6:30 to 7:30 am) had background values averaging 54 dB(A). Noise during plane arrivals ranged from 47 to 63 dB(A). No measurements were collected during plane take-off. Sound measurements conducted in the bottoms of Pueblo and Bayo canyons ranged from 37 to 40 dB(A) in most areas of the canyon. At the sewage treatment facility parking lot during a working day, the average dB(A) during a 3-minute period was 46 (range 45 to 49). At the intersection of the road going into Pueblo Canyon with State Road 502, the average dB(A) during a 3-minute period was 60 (range 41 to 70).

LANS biologists conducted sound measurements at successive distances from an industrial area near a canyon rim, into the canyon, and to the opposite rim, using a C-weighted decibel (dB(C)) scale (Keller and Foxx 1997). Measurements of noise levels using the dB(C) scale are greater than if measured using the dB(A) scale. The average background noise on the mesa was 65.8 dB(C) [with a range of 43–81 dB(C)]. The average background noise in the canyon bottom was 62.3 dB(C) [with a range of 54–78 dB(C)]. The average background noise at the bottom of the north-facing slope was 53.8 dB(C) [with a range of 48–64 dB(C)]. Measurements were taken mid-day.

LANS biologists measured sound levels from various pieces of construction equipment used at LANL project sites over 5-minute intervals at distances of 6 to 31 m (20 to 100 ft) (Knight and Vrooman 1999). Average values ranged from 58.5 to 80.9 dB(A). Peak values ranged from 75.7 to 155.4 dB(A). Additional data were collected by other LANL operators on specific pieces of construction equipment and on the Security Computer Complex construction site fence perimeter at Technical Area 3 before and during construction (Knight and Vrooman 1999). The average

noise level before construction began was 56.6 dB(A), and the average during construction was 82.1 dB(A).

LANS biologists conducted a series of sound measurements at LANL to investigate background noise levels around AEIs (Vrooman et al. 2000). Background noise levels were significantly higher in daytime than in nighttime. AEIs with greater than a 10 percent developed area in their buffers had significantly higher levels of background noise than undeveloped AEIs. The mean background sound level was 51.3 dB(A) in developed AEIs and 39.6 dB(A) in undeveloped AEIs. The LANL biological resources project review process uses the individual AEI background measurements from Vrooman et al. (2000) to screen project activities for increases more than 6 dB(A) above background.

LANS biologists took sound level measurements of heavy equipment use associated with concrete recycling on Sigma Mesa at LANL in 2004 (Hansen 2004). At this location, background noise levels at two different locations were 55.2 and 58.8 dB(A). Operation of a dump truck hauling and dumping concrete increased noise levels above background by a mean of 22.7 dB(A) at 30 m (98 ft) and 2.4 dB(A) at 80 m (262 ft). Additional sound level measurements were taken in the same general area on Sigma Mesa in 2005 as part of a biological assessment for the operation of an asphalt batch plant (Hansen 2005). Measurements were taken on the north rim of Mortandad Canyon (south of the asphalt batch plant at distances of approximately 30 to 122 m (100 to 400 ft), at the bottom of Mortandad Canyon approximately 183 to 244 m (600 to 800 ft) from the asphalt batch plant, and on the south rim of Mortandad Canyon approximately 305 m (1,000 ft) from the asphalt batch plant. Background noise levels at the various locations ranged from 41.1 to 48.7 dB(A). The only locations with increases greater than 3 dB(A) during operation of the asphalt batch plant were the locations on the north rim of Mortandad Canyon, within 122 m (400 ft) of the asphalt batch plant. Noise from the operation of the asphalt batch plant was not detected in the bottom of Mortandad Canyon or on the south rim.

LANS biologists took sound level measurements around the LANL Biosafety Level 3 laboratory with the heating, ventilation, and air conditioning (HVAC) system on and with it off (Hansen 2009). The area to the north of the Biosafety Level 3 laboratory is developed, the area to the south is not. Background noise levels north of the facility ranged from 53.6 to 57.6 dB(A). Background noise levels south of the facility ranged from 41.6 to 49.7 dB(A). Noise from the HVAC system was detected at 25 m (82 ft) from the facility on both sides, but was not detected at 81 m (266 ft) on the north side, or at 107 m (351 ft) on the south side.

Overall, these studies appear to show that areas adjacent to or within developed areas or paved roads are likely to have daytime average background noise levels between 45 and 63 dB(A). Less disturbed areas are likely to have average background noise levels between 37 and 50 dB(A).

2.2.3.5 Artificially Produced Light

There is no information available on the effects of artificially produced light on Mexican Spotted Owls. Under the Los Alamos County Code, commercial site development plans are reviewed to ensure that lighting serves the intended use of the site while minimizing adverse impacts to adjacent residential property (Section 16-276). Section 16-276 of the County Code includes light source measurement limitations by zoning district. The code allows off-site light to be 0.5 foot candles (fc) in residential areas. By comparison, full moonlight measures 0.1 fc, and a crescent

moon was measured at 0.01 fc. Table A-2 in the appendix presents preliminary light measurements in fc.

Preliminary surveys were conducted for light levels within Los Alamos Canyon at the Omega Reactor (Keller and Foxx 1997). The Omega Reactor was brightly lit for purposes of security; therefore, total light intensity was greater than the average street lighting. Measurements were conducted at a light pole in an open parking lot at the reactor as the source. Trees did not obscure the area. Using the relationship of light intensity reducing as a square of the distance, calculations using the field data indicated that at 30 m (98 ft) from the source, the light levels would be equivalent or nearly equivalent to full moonlight.

3.0 AEI General Description for Mexican Spotted Owl

An AEI consists of two areas—a core and a buffer. The core of the habitat is defined as suitable canyon habitat from rim to rim and 100 m (328 ft) out from the top of the canyon rim. The buffer area is 400 m (1,312 ft) wide extending outward from the edge of the core area. Although adult Mexican Spotted Owls may be found within their home range anytime throughout the year, the primary threat from disturbance to the owls is during the breeding season when owl pairs are tied to their nest sites. Therefore, management of disturbance in Mexican Spotted Owl AEIs is concentrated on the breeding season.

3.1 Method for Identifying a Mexican Spotted Owl AEI

The original location of each Mexican Spotted Owl AEI was identified using a habitat model developed by Johnson (1998) that classified nesting and roosting habitat for Mexican Spotted Owls using topographic characteristics and vegetative diversity. LANS biologists compared the results from the Johnson (1998) model to a different model identifying slopes >40 percent in mixed conifer and ponderosa pine cover types at LANL. Areas identified from the Johnson (1998) model application to LANL that were over five contiguous 30 × 30 m (97 × 98 ft) pixels in size, were above 1,980 m (6,496 ft) in elevation, and that had mixed conifer or ponderosa pine forest cover, were considered suitable Mexican Spotted Owl habitat. Where suitable habitat was identified, AEI core area boundaries were established to include the canyons and 100 m (328 ft) outward from the canyon rims.

An updated Mexican Spotted Owl habitat model was developed and refined for application on LANL property following the Cerro Grande wildfire (Hathcock and Haarmann 2008). This model incorporated finer-scale vegetation characteristics into the Mexican Spotted Owl habitat quality assessment. This model was used to redelineate the boundaries of the Mexican Spotted Owl AEIs at LANL in 2005 following wildfire, drought, and a regional bark beetle outbreak (USFWS consultation number 22420-2006-I-0010).

The new core boundaries were delineated with an area approximately 0.4 km (0.25 mi) from the edge of the nearest suitable habitat, up and down canyon. Core boundaries were established along readily recognizable geologic features or anthropogenic features in the terrain wherever possible to facilitate the ease of identification of core boundaries when in the field.

3.2 Location and Number of Mexican Spotted Owl AEIs

There are currently five Mexican Spotted Owl AEIs on LANL property, each encompassing one or more canyons. In general, the AEI cores are centered in canyons on the western side of LANL. The canyons with AEIs are Cañon de Valle, Water, Pajarito, Los Alamos, Sandia, Mortandad, and Three-Mile.

4.0 AEI Management

4.1 Overview

This AEI management section provides guidelines for LANL operations to reduce or eliminate the threats to Mexican Spotted Owls from 1) habitat alterations that reduce habitat quality and 2) disturbance of breeding or potentially breeding owls. Habitat alterations are considered for all AEIs and for both core and buffer areas. Disturbance activities to owls are considered only for occupied AEIs and only for impacts on core areas. Developed areas (see Part I, Section 3.1) that have ongoing baseline levels of activities and are not suitable habitat for Mexican Spotted Owls have different restrictions than undeveloped core or buffer areas. Therefore, the location of the disturbance activity within the AEI, the occupancy status of the AEI, and the type of activity all affect whether or not the activity is allowable. AEIs for different species may overlap, and an activity must meet the guidelines of all applicable site plans to be allowable.

4.2 Definition and Role of Occupancy in AEI Management

Occupancy simply refers to whether or not an AEI is occupied during a species' period of sensitivity. For Mexican Spotted Owls, the primary concern is to protect the owls from disturbance during the breeding season. Because individuals may colonize suitable habitat, all Mexican Spotted Owl AEIs are treated as though they are occupied from March 1 through August 31 or until surveys show an AEI to be unoccupied. Mexican Spotted Owl surveys are conducted from late March through June. In general, surveys in areas with ongoing or proposed projects are completed by May 15. If a nest is located during surveys, then the AEI can be treated as unoccupied except for the area within a 400 m (1,312 ft) radius of the nest site. Because owls are not as sensitive to disturbance during the non-breeding season, Mexican Spotted Owl AEIs are treated as unoccupied from September 1 to February 28.

The occupancy status of an AEI affects what activities are allowable in the AEI. Although activities causing habitat alterations are restricted in all AEIs, disturbance activities are restricted only in occupied AEIs. The Activity Table (Table 1, Section 4.5.2) provides dates and levels of allowable disturbance activities within occupied Mexican Spotted Owl AEIs under the guidelines of this site plan. Contact a LANS biologist to find out the current occupancy status of an AEI (<http://int.lanl.gov/environment/bio/controls/index.shtml>).

4.3 Introduction to AEI Management Guidelines

Sections 4.4 and 4.5 provide the guidelines for habitat alterations and allowable activities in AEI core and buffer areas. Section 4.4 describes what and where habitat alterations are allowed under the guidelines of this site plan. Section 4.5 describes what, when, and where disturbance activities are allowed in occupied AEIs under the guidelines of this site plan. If an activity does not meet the restrictions given in the guidelines, the activity must be individually reviewed for

ESA compliance. This site plan only provides guidelines for Mexican Spotted Owl AEIs. If an activity is desired in an area with overlapping AEIs, all applicable site plans must be consulted. AEI maps show the location of all AEIs in an area. Section 4.6 describes management practices that should be applied when working or considering work in an AEI. LANS biologists are available to answer questions and provide advice (<http://int.lanl.gov/environment/bio/controls/index.shtml>).

4.4 Definition of and Restrictions on Habitat Alterations

4.4.1 Definition of Habitat Alterations

Habitat alteration includes any action that alters the soil structure, vegetative components necessary to the species, prey quality and quantity, water quality, hydrology, or noise or light levels in undeveloped areas of an AEI. Long term means the alteration lasts for more than one year. For physical disturbances, in general, any activity that can be accomplished by one person with a hand tool is generally not considered habitat alteration; any activity that requires mechanized equipment on a landscape is habitat alteration. An actual activity may take place outside of the AEI and will be considered habitat alteration if consequences of the activity have effects inside the AEI core.

The habitat components most important to Mexican Spotted Owls include vegetative structure, food quality and quantity, and disturbance levels, including noise and light. The forest structure within a canyon designated as a Mexican Spotted Owl AEI is important because it provides roost sites and a suitable habitat for nesting and foraging. Trees along the canyon rim are used for foraging and territorial calling, and they shelter the canyon interior from light and noise disturbances.

A long-term change in light or noise levels within the undeveloped core of an AEI is considered to be a habitat alteration if it increases average noise levels by ≥ 6 dB(A) during any portion of the 24-hour day, or it increases average light levels by ≥ 0.05 fc at night. Changes in noise and light levels are measured at the core area boundary if the source is outside the core area, or at 10 m (33 ft) from the source if the source is inside the undeveloped core area. Impacts of changes in developed areas on undeveloped cores are measured at the developed area boundary if it is within the core, or at the core area boundary if the developed area is outside of the core.

4.4.2 Fuels Management Practices to Reduce Wildfire Risk

The recovery plan for the Mexican Spotted Owl lists stand-replacing wildfires as a primary threat to their habitat and encourages land managers to reduce fuel levels and abate fire risks in ways compatible with owl presence on the landscape (USFWS 1995). Within undeveloped core areas, on slopes >40 percent, in the bottoms of steep canyons, and within 30 m (100 ft) of a canyon rim, thinning of trees <22 cm (9 in) diameter at breast height, treatment of fuels, and prescribed and natural prescribed fires are allowed. Exceptions allowing trees >22 cm (9 in) to be thinned within 30 m (100 ft) of buildings are granted to protect facilities. Large logs (>30 cm [11.8 in] midpoint diameter) and snags should be retained. Thinning within core areas not meeting the characteristics listed above, and in buffer areas, may include trees of any size to achieve 8 m (25 ft) spacing between tree crowns. However, clear cutting is not allowed in undeveloped core areas.

For health and safety reasons, any trees within 30 m (100 ft) of buildings, but outside a developed area, may be thinned to achieve 8 m (25 ft) spacing between crowns. Habitat alterations including thinning are not restricted in developed areas. However, LANS biologists encourage the retention of trees and snags along canyon rims if the rim is in a developed area. Because of the extreme fire danger associated with firing sites and the potential impact of a fire on Mexican Spotted Owl habitat, firing sites and burn areas are treated separately for the purposes of fuels management. Trees within 380 m (1,246 ft) of firing sites and burn areas in both core and buffer areas may be thinned to a 15 m (49 ft) spacing between trees everywhere except on slopes >40 percent or in the bottoms of steep canyons. Any tree over 22 cm (9 in) diameter at breast height within 380 m (1,246 ft) of a firing site may be delimbed to a height of 2 m (6 ft) to help prevent crown fires.

In historically occupied core areas, fuels treatment may not exceed 10 percent of the undeveloped core area and is not allowed within 400 m (1,312 ft) of nesting areas. In occupied core areas, forest management activities must take place during the nonbreeding season (September 1 to February 28) (USFWS 1995). Fuels management activities that are allowable in core areas must be reported to LANS biologists for tracking (<http://int.lanl.gov/environment/bio/controls/index.shtml>).

4.4.3 Utility Corridors

Habitat alterations such as cutting down trees that threaten power lines are allowed within 8 m (26 ft) of either side of an existing utility line in all areas of an AEI (Trujillo and Racine 1995). New utility lines and utility lines requiring clearance of a right-of-way greater than 16 m (52 ft) total must be individually reviewed for ESA compliance. Disturbance activities must follow the guidelines given in the Activities Table (Table 1, Section 4.5.2) for occupied AEIs.

4.4.4 Restrictions on Habitat Alterations

Habitat alterations other than the fuels management practices and utility corridor maintenance described above are not allowed in undeveloped core areas under the guidelines of this site plan. If a project or activity is planned that would alter habitat in an undeveloped core area, it must be individually evaluated for ESA compliance. Habitat alterations in undeveloped buffer areas other than the fuels management activities and utility corridor maintenance described above are restricted to 2 ha (5 ac) in area per project and are subject to other restrictions including light and noise effects in the core (see Section 2.2.3). Projects in the buffer area over 2 ha (5 ac) in size will require individual ESA compliance review.

Habitat alterations in a buffer area other than the fuels management and utility corridor maintenance described above must be reported to LANS biologists for tracking (<http://int.lanl.gov/environment/bio/controls/index.shtml>). There is a cumulative maximum area that can be developed in each AEI's buffer. Once that cumulative area is reached, all habitat alterations in a buffer will require individual ESA reviews for compliance.

4.5 Definition of and Restrictions on Disturbance Activities

4.5.1 Definitions of Disturbance Activities

LANS biologists considered six categories of activities that might cause disturbance in an AEI. Most of the categories were first identified in the document “Peregrine Falcon Habitat Management in the National Forests of New Mexico,” prepared for the United States Forest Service (Johnson 1994). LANS biologists added explosives detonation, other light production, and other noise production to provide the most comprehensive list of activities possible, thereby reducing the need for individual review of activities for ESA compliance. The categories of activities are people, vehicles, aircraft, other light production, other noise production, and explosives detonation. LANS biologists defined low, medium, and high levels of impact for these activities except for explosives detonation. Activity levels for explosives detonation have been designed to follow the guidelines agreed upon by LANL, DOE, and USFWS in the DARHT biological assessment (Keller and Risberg 1995). Restrictions on explosives detonation are described in the definition of the activity, but are not included in the Activity Table (Table 1, Section 4.5.2). These six categories of activities are restricted only in AEIs that are classified as occupied.

People—includes any entry of people into an AEI on foot.

- Low impact is the presence of three or fewer people per project and duration of one day or less during a breeding season.
- Medium impact is the exceedance of either the number of people or the duration criteria.
- High impact is the exceedance of both the number of people and the duration criteria.

Vehicles—includes the entry of any two-axle highway vehicle, all-terrain vehicle, or motorized machinery into an AEI by any route other than a paved road or an improved gravel road.

- Low impact is the presence of two or fewer vehicles per project and duration of one day or less during a breeding season.
- Medium impact is the exceedance of either the number of vehicles or the duration criteria.
- High impact is the exceedance of both the number of vehicles and the duration criteria.

Aircraft—includes the operation of any aircraft below an elevation of 600 m (2,000 ft) above the highest ground level in the local vicinity.

- Low impact is the presence of one single-engine airplane and the duration of one day or less during a breeding season.
- Medium impact is the exceedance of either the number of aircraft or the duration criteria.
- High impact is the exceedance of both the number of aircraft and the duration criteria.

Any use of helicopters, jet airplanes, and propeller airplanes with two or more engines is classified as medium impact or above, depending on duration.

Other Light Production—includes any activity not previously listed that causes additional light to occur in an AEI core area. For example, plans for construction of a new building at the edge of a developed area may call for lighting at night to facilitate nighttime work that impacts an undeveloped core area.

- Low impact is the increase of light intensity by ≤ 0.05 fc and a duration of one night or less per project per breeding season.
- Medium impact is the exceedance of either the intensity or duration criteria.
- High impact is the exceedance of both the intensity and duration criteria.

Measurements for increases in light are taken at the AEI core area boundary closest to the light source if the source is outside the core and at 10 m (33 ft) from the source if the source is inside the core. Light measurements for developed areas are taken at the edge of the developed area if the developed area is within an AEI core or at the closest core boundary if the developed area is outside of an AEI core.

Other Noise Production—includes any activity not previously listed except for explosives detonation that causes additional noise to occur in an AEI. For example, operation of machinery creates noise.

- Low impact is increasing noise levels in an AEI core by 6 dB(A) or less for one day or less per project per breeding season.
- Medium impact is the exceedance of either the level or the duration criteria.
- High impact is the exceedance of both the level and the duration criteria.

Measurements for increases in noise are taken at the AEI core boundary closest to the noise source if the source is outside the core and at 10 m (33 ft) from the source if the source is inside the core. Noise measurements for developed areas are taken at the edge of the developed area if the developed area is within an AEI core or at the closest core boundary if the developed area is outside of an AEI core.

Explosives Detonation—includes the use of high explosives for any purpose. LANS biologists did not define low, medium, and high levels of this activity because of the difficulty of determining levels for a shot before actually doing the shot. For the purpose of explosives detonation near Mexican Spotted Owl AEIs, occupied habitat is defined as the area within 400 m (1,312 ft) of the current year's nest/roost sites or the previous year's nest site if a current site has not been identified. No explosives detonation will take place within 400 m (1,312 ft) of nest/roost sites in occupied habitat between March 1 and August 31. Explosives detonation at night at sites within 400 to 800 m (1,312 to 2,624 ft) of a nest site in occupied habitat is restricted to once a month from March 1 and August 31. There are no restrictions on daytime explosives testing between 400 and 800 m (1,312 to 2,624 ft). There are no restrictions between September 1 and February 28 or in unoccupied habitat. Explosives detonation adjacent to AEIs that have not previously been recorded by LANS biologists as occupied will have no restrictions unless surveys detect Mexican Spotted Owls. Explosives tests not allowed under the guidelines of this site plan must be individually reviewed for ESA compliance.

4.5.2 Activity Table

The dates shown in the Activity Table (Table 1) are the dates between which the activity in the row is restricted under the guidelines of this site plan. All AEIs are considered occupied from March 1 to August 31 or until surveys show an AEI to be unoccupied. If owls are detected, AEIs are considered occupied until August 31 within 400 m (1,312 ft) of the nest site. Consult with LANS biologists to find out occupancy status of AEIs and what locations are within 400 m (1,312 ft) of nest sites (<http://int.lanl.gov/environment/bio/controls/index.shtml>).

Table 1. Restrictions on Activities in Undeveloped Occupied Mexican Spotted Owl AEIs

| | Levels of Impact | Core | Buffer |
|--|------------------|----------------------|----------------------|
| <i>People</i> | | | |
| | Low | No Restrictions* | No Restrictions |
| | Medium | March 1 to August 31 | No Restrictions |
| | High | March 1 to August 31 | No Restrictions |
| <i>Vehicles</i> | | | |
| | Low | No Restrictions | No Restrictions |
| | Medium | March 1 to August 31 | No Restrictions |
| | High | March 1 to August 31 | No Restrictions |
| <i>Aircraft</i> | | | |
| | Low | March 1 to August 31 | No Restrictions |
| | Medium | March 1 to August 31 | March 1 to May 15 |
| | High | March 1 to August 31 | March 1 to August 31 |
| <i>Other Light Production</i> | | | |
| | Low | March 1 to August 31 | No Restrictions** |
| | Medium | March 1 to August 31 | No Restrictions** |
| | High | March 1 to August 31 | No Restrictions** |
| <i>Other Noise Production</i> | | | |
| | Low | March 1 to August 31 | No Restrictions** |
| | Medium | March 1 to August 31 | No Restrictions** |
| | High | March 1 to August 31 | No Restrictions** |
| <i>Explosives Detonation (see text in Section 4.5.1)</i> | | | |

* Entry is restricted in core areas that are occupied within 400 m (1,312 ft) of the nest site from March 1 to August 31. If the current nest has not been located, entry is restricted within 400 m (1,312 ft) of the previous year's nest site.

** Noise or light production in the buffer is restricted if the activity would violate core area restrictions on noise or light.

4.6 Protective Measures

This section provides a list of management practices to apply in Mexican Spotted Owl AEIs.

- Timing of projects must take into account that projects in core areas or projects that violate restrictions for occupied buffer areas must stop on February 28 each year until occupancy status of the AEI is determined.

- Make every reasonable effort to reduce the noise from explosives testing within 800 m (2,624 ft) of occupied habitat. Methods to reduce noise could include contained shots, noise shields in the direction of AEI cores, etc. For night shots, every reasonable effort should be made to limit the amount of light directed into AEI core areas.
- Install signs on dirt roads and trails leading into AEIs labeling them as restricted access areas and provide a contact number for access restrictions.
- Keep disturbance and noise to a minimum.
- Avoid unnecessary disturbance to vegetation (e.g., excessive parking areas or equipment storage areas, off-road travel, materials storage areas, crossing of streams or washes).
- Avoid removal of vegetation along drainage systems and stream channels.
- Avoid all vegetation removals not absolutely necessary.
- Employ appropriate erosion and runoff controls to reduce soil loss. The controls must be put in place and periodically checked throughout the life of projects.
- Revegetate all exposed soils as soon as feasible after construction to minimize erosion.
- Focus development away from undeveloped areas on the western end of the Los Alamos Canyon AEI.

5.0 Levels of Development in AEI Core and Buffers

5.1 Allowable Habitat Alteration in the Buffer Areas

The following quantifications of development and guidance for allowable habitat alteration in buffer areas were published and consulted on in the 1999 version of the HMP. Most AEIs changed in dimensions during the 2005 redelineation of the habitats, and many have experienced additional development under past consultations. Many projects were reviewed and received USFWS concurrence between 1999 and 2017.

The current development status for each of the AEIs is at the end of each AEI description.

Cañon de Valle—In 1999, 16.3 ha (40.3 ac) of the core was developed and 52.2 ha (129 ac) of the buffer was developed. For this AEI, it was recommended that only an additional 25.30 ha (62.5 ac) of the AEI buffer be developed. The 1999 HMP stated that once this cap is reached or a large-scale project is proposed, additional consultation with USFWS would be required. By 2011, 28 ha (69.2 ac) of the core and 84 ha (207.5 ac) of the buffer was developed, with most of the changes due to consultations. The 2017 redelineation of the lower Water Canyon AEI resulted in another reduction of 69 ha (170 ac). The current size of this AEI is 277 ha (685 ac) of core and 524 ha (1295 ac) of buffer habitat. Of that, 21 ha (52 ac) of the current core is developed and 71 ha (176 ac) of the current buffer is developed.

Pajarito—In 1999, 6.7 ha (16.5 ac) of the core was developed and 75.1 ha (186.5 ac) of the buffer was developed. For this AEI, it was recommended that only an additional 35 ha (86.4 ac) of the buffer be developed. The 1999 HMP stated that once the cap is reached or a single large-scale project is proposed, additional consultation with the USFWS would be required. By 2011,

27 ha (66.7 ac) of the core and 89 ha (220 ac) of the buffer was developed, with most of the changes due to consultations. The current size of this AEI is 236 ha (585 ac) of core and 449 ha (1,111 ac) of buffer habitat. Of that, 27 ha (67 ac) of the current core is developed and 89 ha (220 ac) of the current buffer is developed.

Los Alamos—In 1999, 77.16 ha (190 ac) of the core was developed and 167.2 ha (413.1 ac) of the buffer was developed. Because this AEI is heavily developed, additional development was restricted to a few selected areas within the buffer. By 2011, 94 ha (232.2 ac) of the core and 181 ha (447.3 ac) of the buffer was developed, with most of the changes due to consultations. The current size of this AEI is 325 ha (805 ac) of core and 535 ha (1,323 ac) of buffer habitat. Of that, 64 ha (158 ac) of the current core is developed and 129 ha (319 ac) of the current buffer is developed.

Sandia-Mortandad—In 1999, 29 ha (71.7 ac) of the core was developed and 75.1 ha (185.6 ac) of the buffer was developed. For this AEI, LANS biologists recommended only an additional 38.1 ha (94.1 ac) of the buffer be developed before additional USFWS consultations take place. By 2011, 45 ha (111.2 ac) of the core and 83 ha (205.1 ac) of the buffer was developed, with most of the changes due to consultations. The current size of this AEI is 270 ha (669 ac) of core and 371 ha (918 ac) of buffer habitat. Of that, 44 ha (110 ac) of the current core is developed and 83 ha (206 ac) of the current buffer is developed.

Three Mile—In 1999, 3.8 ha (9.4 ac) of the core was developed and 21.5 ha (51.1 ac) of the buffer was developed. For this AEI, LANS biologists recommended only 64.3 ha (158.8 ac) additional area of buffer be developed before additional USFWS consultations take place. By 2011, 12 ha (29.6 ac) of the core and 37 ha (91.4 ac) of the buffer was developed, with most of the changes due to consultations. The current size of this AEI is 131 ha (325 ac) of core and 295 ha (730 ac) of buffer habitat. Of that, 11 ha (29 ac) of the current core is developed and 36 ha (91 ac) of the current buffer is developed.

III. AREA OF ENVIRONMENTAL INTEREST SITE PLAN FOR THE SOUTHWESTERN WILLOW FLYCATCHER

1.0 Species Description—Southwestern Willow Flycatcher

1.1 Status

In 1995, the USFWS designated the Southwestern Willow Flycatcher as a federally endangered species (60 FR 10693). The USFWS most recently designated critical habitat for the Southwestern Willow Flycatcher in 2013 (78 FR 343). The most recent recovery plan for the Southwestern Willow Flycatcher was published in 2002 (USFWS 2002).

1.2 General Biology

The Southwestern Willow Flycatcher is one of four subspecies of the Willow Flycatcher. The historic range of the Southwestern Willow Flycatcher included Arizona, California, Colorado, New Mexico, Texas, Utah, and Mexico. Currently, this flycatcher breeds in riparian habitats from southern California to Arizona and New Mexico, plus southern Colorado, Utah, Nevada,

and far western Texas. In winter it is found in southern Mexico, Central America, and northern South America (USFWS 2002).

Southwestern Willow Flycatchers are present in New Mexico from early May through mid-September and breed from late May through late July (Finch and Kelly 1999; USFWS 2002; Yong and Finch 1997). The flycatcher's nesting cycle is approximately 28 days. Three or four eggs are laid at one-day intervals, and incubation begins when the clutch is complete. The female incubates eggs for approximately 12 days, and the young fledge about 13 days after hatching. Southwestern Willow Flycatchers typically raise one brood per year (USFWS 2002). Because arrival dates vary, northbound migrant Willow Flycatchers (of all subspecies) pass through areas where Southwestern Willow Flycatchers have already begun nesting. Similarly, southbound migrants (of all subspecies) in late July and August may occur where Southwestern Willow Flycatchers are still breeding. Therefore, it is only during a short period of the breeding season (approximately June 15 through July 20) that a Willow Flycatcher seen within Southwestern Willow Flycatcher range is probably of that subspecies (USFWS 2002).

The Southwestern Willow Flycatcher only nests along rivers, streams, and other wetlands. It is found in close association with dense stands of willows (*Salix* spp.), arrowweed (*Pluchea* spp.), buttonbush (*Cephalanthus* spp.), tamarisk (*Tamarix* spp.), Russian olive (*Eleagnus angustifolia* L.), and other riparian vegetation, often with a scattered overstory of cottonwood (*Populus* spp.) (USFWS 2002). The size of vegetation patches or habitat mosaics used by Southwestern Willow Flycatchers varies considerably and ranges from as small as 0.8 ha (1.9 ac) to several hundred hectares (Hatten and Paradzick 2003). The Southwestern Willow Flycatcher nests in thickets of trees and shrubs approximately 2 to 15 m (6 to 49 ft) tall, with a high percentage of canopy cover and dense foliage from 0 to 4 m (0 to 13 ft) above ground. Regardless of the plant species composition or height, occupied sites always have dense vegetation in the patch interior (Allison et al. 2003; USFWS 2002).

The Southwestern Willow Flycatcher is an insectivore. It forages within and occasionally above dense riparian vegetation, taking insects on the wing and gleaning them from foliage. The flycatcher's prey includes flies, bees, wasps, ants, beetles, moths, butterflies, grasshoppers, crickets, dragonflies, damselflies, and spiders (Durst et al. 2008; Wiesenborn and Heydon 2007).

1.3 Threats

The current population of Southwestern Willow Flycatchers in the United States occupies an estimated 1,214 territories (Durst et al. 2006). The distribution of breeding groups is highly fragmented, with groups often separated by considerable distances. This subspecies has suffered declines attributed to extensive loss of its cottonwood-willow habitat and to poor productivity resulting from brood parasitism by Brown-headed Cowbirds (*Molothrus ater*) (USFWS 2002).

2.0 Impact of Human Activities

2.1 Introduction

The primary threats to the Southwestern Willow Flycatcher on LANL property are 1) impacts on habitat quality from LANL operations and 2) disturbance of nesting flycatchers. This section includes a review and summary of the known effects of various types of human activities to the

Southwestern Willow Flycatcher and an overview of the current levels of activities at LANL within species habitat.

2.2 Impacts on Habitat Quality

2.2.1 Development

Throughout the Southwest, riparian habitats are rare and tend to be small and separated by vast expanses of arid lands. The Southwestern Willow Flycatcher has experienced extensive habitat loss and modification resulting from urban and agricultural development, water diversion and impoundment, channelization of waterways, livestock grazing, off-road vehicle and other recreational uses, and hydrological changes resulting from these and other land uses (USFWS 2002). River and stream impoundments, groundwater pumping, and overuse of riparian areas have altered as much as 90 percent of the Southwestern Willow Flycatcher's habitat (USFWS 2002). Loss of cottonwood-willow riparian forests has had widespread impact on the distribution and abundance of bird species associated with that forest. Development may be tolerated if the habitat is left intact.

Because watercourses at LANL tend to be intermittent to ephemeral, riparian habitat is uncommon. There has been extensive degradation of the riparian zone along the Rio Grande caused by feral cattle grazing and flood control operations at Cochiti Lake. There are other riparian/wetland areas on LANL property associated with canyon bottoms, the most significant being the Pajarito wetlands in the lower end of Pajarito Canyon. A major paved road parallels the wetlands area in Pajarito Canyon.

2.2.2 Ecological Risk

There is no specific information on the impact of chemicals on the Southwestern Willow Flycatcher.

2.2.2.1 Ecorisk Assessment

LANS subject matter experts completed two ecological risk assessments between 1997 and 2009 that included the Southwestern Willow Flycatcher. The ecological risk assessment process involves using computer modeling to assess potential effects to animals from chemicals of potential concern that have been detected in the environment. The ecological risk assessments concluded that, in general, there is a small potential for effects to Southwestern Willow Flycatcher from chemicals of potential concern (Gonzales et al. 1998; Gonzales et al. 2009).

An ecotoxicological risk assessment for the Southwestern Willow Flycatcher, centered on the Pajarito wetlands, found that between 7 and 16 percent of 100 hypothetical nest sites examined had hazard indices >1.0 and <10.0 , depending on the foraging scenario (Gonzales et al. 1998). This indicates a small potential for impacts from chemicals. The primary chemicals driving the risk scenario were pentachlorophenol, aluminum, radium-226, calcium, and thorium-228. Aluminum, radium, and thorium are naturally occurring substances in northern New Mexico.

2.2.3 Disturbance

2.2.3.1 Pedestrians and Vehicles

There is no specific information available on the reactions of Southwestern Willow Flycatchers to pedestrians and vehicles. The recovery plan for the Southwestern Willow Flycatcher recommends providing protected areas, reducing unpredictable activities, providing visual barriers, and reducing noise disturbance (USFWS 2002).

2.2.3.2 Aircraft

There is no specific information available on the reaction of Southwestern Willow Flycatchers to aircraft.

LANL lies within restricted airspace and planes infrequently fly less than 609 m (2,000 ft) above ground level. The County of Los Alamos operates an airport along the northern edge of LANL. The airport is located on the southern rim of Pueblo Canyon. Most flights approach and depart to the east of the airport, over the Rio Grande.

2.2.3.3 Explosives

There is no specific information available on the reaction of Southwestern Willow Flycatchers to explosives detonation. The Southwestern Willow Flycatcher AEI is not located close to any explosives testing sites at LANL.

2.2.3.4 Other Sources of Noise

LANS biologists do not have good information on the effects of noise, including machinery operation, on Southwestern Willow Flycatchers. However, Southwestern Willow Flycatchers are probably not as sensitive to disturbance as some other threatened or endangered species (USFWS 2002). For a description of noise levels at LANL, see Part I, Section 2.2.3.

2.2.3.5 Artificially Produced Light

There is no information available on the effects of artificially produced light on Southwestern Willow Flycatchers. Under the Los Alamos County Code, commercial site development plans are reviewed to ensure that lighting serves the intended use of the site while minimizing adverse impacts to adjacent residential property (Section 16-276). Section 16-276 of the County Code includes light source measurement limitations by zoning district. The code allows off-site light to be 0.5 fc in residential areas. By comparison, full moonlight measures 0.1 fc, and a crescent moon was measured at 0.01 fc.

3.0 AEI General Description for the Southwestern Willow Flycatcher

The AEI consists of two types of areas—core and buffer. Core areas represent wetland areas with suitable vegetation for nesting, primarily dense willows. The buffer area is the area within 100 m (328 ft) of core areas. The Southwestern Willow Flycatcher AEI on LANL property consists of two separate core areas. For purposes of this site plan, both core areas and associated buffers are considered one AEI unit.

3.1 Method for Identifying the Southwestern Willow Flycatcher AEI

The core areas were defined by the presence of riparian habitat and suitable wetland vegetation. These areas were identified in 1994 during a survey of wetlands at LANL and mapped using a global positioning system receiver. Wetlands without stands of dense willows at least 2 m (7 ft) tall and 30 m (98 ft) wide were not included in the AEI. The buffer area is the area within 100 m (328 ft) of the core areas.

3.2 Location of the Southwestern Willow Flycatcher AEI

There is one Southwestern Willow Flycatcher AEI on LANL property. It is composed of two core areas with associated buffers. The AEI core areas are located in the bottom of Pajarito Canyon, on the eastern side of LANL adjacent to Pajarito Road and State Road 4.

4.0 AEI Management

4.1 Overview

This AEI management section provides guidelines for LANL operations to reduce or eliminate the threats to the Southwestern Willow Flycatcher from 1) habitat alterations that reduce habitat quality and 2) disturbance of breeding or potentially breeding flycatchers. Habitat alterations are considered for all AEIs and for both core and buffer areas. Disturbance activities to flycatchers are considered only for occupied AEIs and only for impacts on core areas. Developed areas (see Part I, Section 2.3) that have ongoing baseline levels of activities and are not suitable habitat for Southwestern Willow Flycatchers have different restrictions than undeveloped core or buffer areas. Therefore, the location of the disturbance activity within the AEI, the occupancy status of the AEI, and the type of activity all affect whether or not the activity is allowable. AEIs for different species may overlap, and an activity must meet the guidelines of all applicable site plans to be allowable. Protective measures are described as management practices that should be followed when working in AEIs.

4.2 Definition and Role of Occupancy in AEI Management

Occupancy simply refers to whether or not an AEI is occupied during a species' period of sensitivity. For Southwestern Willow Flycatchers, LANS biologists are primarily concerned with protecting the birds from disturbance during the breeding season. Because individuals may colonize suitable habitat, the Southwestern Willow Flycatcher AEI is treated as though it is occupied from May 15 through September 15 or until surveys show an AEI to be unoccupied. Southwestern Willow Flycatcher surveys are conducted during May, June, and July. Because Southwestern Willow Flycatchers migrate south for the winter, the AEI is treated as unoccupied from September 16 to May 14.

The occupancy status of an AEI affects what activities are allowable in the AEI. Although activities causing habitat alterations are always restricted, disturbance activities are restricted only in occupied AEIs. The Activity Table (Table 2, Section 4.5.2) provides dates and levels of disturbance activities allowable in the occupied Southwestern Willow Flycatcher AEI under the guidelines of this site plan. The dates in Table 2 indicate the time period during which the activity is restricted. Contact a LANS biologist to find out the current occupancy status of an AEI (<http://int.lanl.gov/environment/bio/controls/index.shtml>).

4.3 Introduction to AEI Management Guidelines

Sections 4.4 and 4.5 provide the guidelines for habitat alterations and allowable activities in AEI core and buffer areas. The flowchart (see Figure 1) provides a quick reference that should be used to determine if a project or activity will affect an AEI and what sections of the site plan need to be consulted. The section on habitat alterations (Section 4.4) describes what and where habitat alterations are allowed under the guidelines of this site plan. The section and table on allowable activities (Section 4.5 and Table 2) describe what, when, and where disturbance activities are allowed in occupied AEIs under the guidelines of this site plan. If an activity does not meet the restrictions given in the guidelines, the activity must be individually reviewed for ESA compliance. This site plan only provides guidelines for the Southwestern Willow Flycatcher AEI. If an activity is desired in an area with overlapping AEIs, all applicable site plans must be consulted. Section 4.6 describes management practices that should be applied when working or considering work in an AEI. LANS biologists are available to help interpret site plans and answer questions (<http://int.lanl.gov/environment/bio/controls/index.shtml>).

4.4 Definition of and Restrictions on Habitat Alterations

4.4.1 Definition of Habitat Alterations

Habitat alteration includes any action that over the long term alters the soil structure, vegetative components necessary to the species, prey quality and quantity, water quality, hydrology, or noise or light levels in undeveloped areas of an AEI. Long term means the alteration lasts for more than one year. Habitat alteration includes any activity that removes vegetative components important to the Southwestern Willow Flycatcher (primarily trees and shrubs). An actual activity may take place outside of the AEI and will be considered habitat alteration if consequences of the activity have effects inside the AEI core.

The habitat components most important to flycatchers include vegetative structure, food quality and quantity, and disturbance levels, including noise and light. The thickets of certain trees and shrubs along wetlands are important because they provide roost sites and a suitable habitat for nesting and foraging.

4.4.2 Fuels Management Practices to Reduce Wildfire Risk

Thinning within undeveloped buffer areas may include trees of any size to achieve 7.6 m (25 ft) spacing between tree crowns. However, clear cutting is not allowed in undeveloped buffer areas. No fuels management practices are allowed in core areas. Habitat alterations including thinning are not restricted in developed areas.

4.4.3 Utility Corridors

Habitat alterations such as cutting down trees that threaten power lines are allowed within 8 m (26 ft) of either side of an existing utility line in all areas of an AEI (Trujillo and Racine 1995). New utility lines and utility lines requiring clearance of a right-of-way greater than 16 m (52 ft) total must be individually reviewed for ESA compliance. Disturbance activities must follow the guidelines given in the Activities Table (Table 2, Section 4.5.2) for occupied AEIs.

4.4.4 Restrictions on Habitat Alterations

Habitat alterations other than the utility corridor maintenance described above are not allowed in undeveloped core areas under the guidelines of this site plan. Habitat alteration in buffers is limited. If a project or activity is planned that would alter habitat in an undeveloped core area, it must be individually evaluated for ESA compliance. Habitat alterations in a buffer area other than fuels management activities or utility corridor maintenance must be reported to a LANS biologist for tracking (<http://int.lanl.gov/environment/bio/controls/index.shtml>).

4.5 Definition of and Restrictions on Disturbance Activities

4.5.1 Definition of Disturbance Activities

LANS biologists considered five categories of activities that might cause disturbance in an AEI. Most of the categories were first identified in the document “Peregrine Falcon Habitat Management in the National Forests of New Mexico” prepared for the United States Forest Service (Johnson 1994). Other light production and other noise production were included to provide the most comprehensive list of activities possible, reducing the need for individual review of activities for ESA compliance. The categories of activities are people, vehicles, aircraft, other light production, and other noise production. The impact of explosives detonation on this species is not considered here because there are no explosives testing sites within 2 km (1.25 mi) of potential nesting habitat. Low, medium, and high levels of impact for these activities are considered here. The following categories of activities are restricted only in AEIs that are classified as occupied.

People—includes any entry of people into an AEI on foot.

- Low impact is the presence of three or fewer people per project and duration of one day or less during a breeding season.
- Medium impact is the exceedance of either the number of people or the duration criteria.
- High impact is the exceedance of both the number of people and the duration criteria.

Vehicles—includes the entry of any two-axle highway vehicle, all-terrain vehicle, or motorized machinery into an AEI by any route other than a paved road or an improved gravel road.

- Low impact is the presence of two or fewer vehicles per project and duration of one day or less during a breeding season.
- Medium impact is the exceedance of either the number of vehicles or the duration criteria.
- High impact is the exceedance of both the number of vehicles and the duration criteria.

Aircraft—includes the operation of any aircraft below an elevation of 600 m (2,000 ft) above the highest ground level in the local vicinity.

- Low impact is the presence of one single-engine airplane and duration of one day or less during a breeding season.
- Medium impact is the exceedance of either the number of aircraft or the duration criteria.

- High impact is the exceedance of both the number of aircraft and the duration criteria.

Any use of helicopters, jet airplanes, and propeller airplanes with two or more engines is classified as medium impact or above, depending on duration.

Other Light Production—includes any activity not previously listed that causes additional light to occur in an AEI core area (e.g., plans for construction of a new building at the edge of a developed area may call for lighting at night to facilitate nighttime work that impacts an undeveloped core area).

- Low impact is the increase of light intensity by up to 0.05 fc and a duration of one night or less per project per breeding season.
- Medium impact is the exceedance of either the intensity or duration criteria.
- High impact is the exceedance of both the intensity and duration criteria.

Measurements for increases in light are taken at the AEI core area boundary closest to the light source if the source is outside the core, and at 10 m (33 ft) from the source if the source is inside the core. Light measurements for developed areas are taken at the edge of the developed area if the developed area is within an AEI core, or at the closest core boundary, if the developed area is outside of an AEI core.

Other Noise Production—includes any activity not previously listed except for explosives detonation that causes additional noise to occur in an AEI. For example, operation of machinery causes noise.

- Low impact is increasing noise levels in an AEI core by 6 dB(A) or less for one day or less per project per breeding season.
- Medium impact is the exceedance of either the level or the duration criteria.
- High impact is the exceedance of both the level and the duration criteria.

Measurements for increases in noise are taken at the AEI core boundary closest to the noise source if the source is outside the core, and at 10 m (33 ft) from the source if the source is inside the core. Noise measurements for developed areas are taken at the edge of the developed area if the developed area is within an AEI core, or at the closest core boundary if the developed area is outside of an AEI core.

4.5.2 Activity Table

The dates shown in the Activity Table (Table 2) are the dates between which the activity in the row is restricted under the guidelines of this site plan. Disturbance activities are of concern only when Southwestern Willow Flycatchers occupy an AEI. The AEI is always considered occupied between May 15 and September 15, or until surveys show the AEI to be unoccupied. The Southwestern Willow Flycatcher AEI is always considered unoccupied between September 16 and May 14, when flycatchers have migrated for the winter. For occupancy status of an AEI after completion of surveys, contact a LANS biologist (<http://int.lanl.gov/environment/bio/controls/index.shtml>).

Table 2. Restrictions on Activities in Undeveloped Occupied Southwestern Willow Flycatcher AEI

| | Levels of Impact | Core | Buffer |
|-------------------------------------|------------------|------------------------|---------------------|
| <i>People</i> | | | |
| | Low | No Restrictions | No Restrictions |
| | Medium | May 15 to August 15 | No Restrictions |
| | High | May 15 to September 15 | No Restrictions |
| <i>Vehicles</i> | | | |
| | Low | May 15 to September 15 | No Restrictions |
| | Medium | May 15 to September 15 | No Restrictions |
| | High | May 15 to September 15 | No Restrictions |
| <i>Aircraft</i> | | | |
| | Low | No Restrictions | No Restrictions |
| | Medium | May 15 to August 15 | May 15 to August 15 |
| | High | May 15 to September 15 | May 15 to August 15 |
| <i>Other Light/Noise Production</i> | | | |
| | Low | May 15 to September 15 | No Restrictions* |
| | Medium | May 15 to September 15 | No Restrictions* |
| | High | May 15 to September 15 | No Restrictions* |

* Noise or light production in the buffer is restricted if the activity would violate core area restriction on noise or light.

4.6 Protective Measures

This section provides a list of management practices to apply in the AEI.

- No wetland vegetation will be removed outside of developed areas.
- Employ appropriate erosion and runoff controls to reduce soil loss.
- Avoid unnecessary disturbance to vegetation (e.g., excessive parking areas or equipment storage areas, off-road travel, materials storage areas, crossing of streams or washes).
- Avoid removal of vegetation along drainage systems and stream channels.
- Avoid all vegetation removals not absolutely necessary.
- Appropriate erosion controls must be put in place and periodically checked throughout the life of any projects.
- Revegetate all exposed soils as soon as feasible after disturbance to minimize erosion.

5.0 Southwestern Willow Flycatcher AEI Description

5.1 Pajarito Canyon Southwestern Willow Flycatcher AEI

5.1.1 Allowable Habitat Alteration in the Buffer Area

Since the purpose of the buffer area is to help maintain the core area as suitable Southwestern Willow Flycatcher habitat, habitat alteration in the buffer area will be extremely limited. There are two areas in which restrictions on habitat alteration are relaxed.

1. The mesa top of Mesita del Buey. This mesa top can be developed as long as restrictions on impacts to the core area are met.
2. Pajarito Road within the AEI. Mowing of upland vegetation is allowed up to 5 m (15 ft) from Pajarito Road, or to the fence, if the fence is within 9 m (30 ft). Vegetation must cover the roadsides to prevent sediment runoff, so mowed plants should be at least 5 cm (2 in) high. LANS biologists encourage the growth of willow throughout the AEI—even the area along Pajarito Road—to enhance habitat. If, within this area, it is absolutely necessary to remove new willow growth (i.e., to improve visibility for human safety), LANS biologists recommend that only willows at or above the level of the roadway surface be mowed.

IV. AREA OF ENVIRONMENTAL INTEREST SITE PLAN FOR THE JEMEZ MOUNTAINS SALAMANDER

1.0 Species Description—Jemez Mountains Salamander

1.1 Status

The Jemez Mountains Salamander was listed in New Mexico as endangered under the Wildlife Conservation Act of New Mexico in 2006 (NMDGF 2006). In September 2012 the USFWS proposed the Jemez Mountains Salamander as endangered under the ESA (77 FR 56481) and the final listing as endangered was on September 10, 2013 (78 FR 55599).

1.2 General Biology

The Jemez Mountains Salamander is endemic to the Jemez Mountains of north-central New Mexico and is found in Los Alamos, Rio Arriba, and Sandoval counties (Stebbins and Rierner 1950). It is one of two endemic plethodontid salamanders that occur in New Mexico. It occurs predominantly at elevations between 2,130 to 3,430 m (6,988 to 11,254 ft) in mixed-conifer forest with greater than 50 percent canopy cover consisting mainly of Douglas fir (*Pseudotsuga menziesii* [Mirb.] Franco), blue spruce (*Picea pungens* Engelm.), Engelmann spruce (*Picea engelmannii* Parry ex Engelm.), white fir (*Abies concolor* [Gord. & Glend.] Lindl. ex Hildebr.), limber pine (*Pinus flexilis* James), ponderosa pine, and quaking aspen (*Populus tremuloides* Michx.). The ground surface in forest areas has (a) moderate to high volumes of large fallen trees and other woody debris, especially coniferous logs at least 25 cm (10 in) in diameter, particularly Douglas fir, which are in contact with the soil in varying stages of decay from freshly fallen to nearly fully decomposed; or (b) structural features, such as rocks, bark, and

moss mats that provide the species with food and cover. Underground habitat in forest or meadow areas contains interstitial spaces provided by (a) igneous rock with fractures or loose rocky soils, (b) rotted tree root channels, or (c) burrows of rodents or large invertebrates (Degenhardt et al. 1996; 78 FR 9876).

Plethodontid salamanders, which lack both lungs and gills, breathe through the mucous membranes in their mouth and throat and through their moist skin. The Jemez Mountains Salamander is completely terrestrial and does not use standing surface water for any life stage (77 FR 56481). Present in its habitat year-round, the Jemez Mountains Salamander spends most of its life underground, but can be found on the surface when conditions are warm and wet, approximately July through October. During this time, the Jemez Mountains Salamander can be found under rocks, bark, and moss mats, and inside and under logs (Ramotnik 1986, Everett 2003). The Jemez Mountains Salamander eats invertebrates, including ants, mites, and beetles, and is thought to lay its eggs underground (78 FR 9876).

1.3 Threats

Principal threats to habitat include historical fire exclusion and suppression and severe wildland fires; forest composition and structure conversions; post-fire rehabilitation; forest and fire management; roads, trails, and habitat fragmentation; recreation; and disease (77 FR 56482).

2.0 Impact of Human Activities

2.1 Introduction

Primary threats to the Jemez Mountains Salamander on LANL property are impacts to habitat quality or destruction of individual salamanders caused by LANL or Los Alamos County operations. Forested LANL property is also subject to impacts from severe wildland fire and wildfire suppression.

2.2 Impacts on Habitat Quality

2.2.1 Development

Property at LANL varies from remote isolated land to heavily developed and/or industrialized. Most of the large developed areas at LANL are found on mesa tops, generally in the northern and western portion of LANL. The areas of Jemez Mountains Salamander habitat currently most impacted by development occur in Los Alamos Canyon. There is a secondary paved road (West Road) in the bottom of the canyon that exits the canyon on the north-facing slope through Jemez Mountains Salamander habitat. The canyon bottom also contains a recreational ice rink operated by Los Alamos County on an inholding owned by Los Alamos County. Development that reduces the occurrence of primary constituent elements of Jemez Mountains Salamander in core habitat would likely have a negative impact on the species.

2.2.2 Pedestrians and Vehicles

Many canyon bottoms and mesa tops at LANL have dirt roads traversing them. Most of these roads are gated; however, many of these roads are accessible to LANL employees and the public on foot or by bike. Some areas, such as Los Alamos Canyon, are frequently used by hikers and dog owners on active and historic trails that traverse the canyon, through Jemez Mountains

Salamander habitat in places. Maintenance of roads and trails in the habitat may have a negative impact on the species.

2.2.3 Severe Wildland Fire and Wildfire Suppression

Stand-replacing wildfires significantly change forest composition and structure, and reduce canopy cover. Even ground wildfires may reduce the volume of fallen logs and large woody debris. Large areas of historic Jemez Mountains Salamander habitat have been impacted by stand-replacing wildfires associated with current forest stocking conditions, drought, and high temperatures (77 FR 56482). Forested habitats on LANL property are also subject to severe wildland fires. To mitigate wildfire risks, some areas of LANL have been treated for fuels reduction and creation of fuel breaks both pre-emptively and during active wildfire suppression. Both wildfires and wildfire suppression activities can negatively impact the primary constituent elements of Jemez Mountains Salamander core habitat.

2.3 Impacts on Individual Salamanders

2.3.1 Disease

The amphibian pathogenic fungus *Batrachochytrium dendrobatidis* (Bd) was found in a wild-caught Jemez Mountains Salamander in 2003 (Cummer et al. 2005) on the east side of the species' range and again in another Jemez Mountains Salamander in 2010 on the west side of the species' range (77 FR 56482). Bd causes the disease chytridiomycosis, whereby the Bd fungus attacks keratin in amphibians. In adult amphibians, keratin primarily occurs in the skin. The symptoms of chytridiomycosis can include sloughing of skin, lethargy, morbidity, and death. Chytridiomycosis has been linked with worldwide amphibian declines, die-offs, and extinctions, possibly in association with climate change (Pounds et al. 2006). Chytridiomycosis may be a threat to the Jemez Mountains Salamander because this disease is a threat to many other species of amphibians and the pathogen has been detected in the Jemez Mountains Salamander (77 FR 56482).

As part of a cooperative study with the New Mexico Department of Game and Fish between 2007 and 2013, various amphibian species, including the canyon tree frog (*Hyla arenicolor*), western chorus frog (*Pseudacris triseriata*), Woodhouse's toad (*Anaxyrus woodhousii*), tiger salamander (*Ambystoma tigrinum*), and Jemez Mountains Salamander were tested for Bd infection at LANL. To date, all sampling has been negative for Bd infection (Fresquez et al. 2013).

2.3.2 Destruction of Individual Salamanders

During periods of the year when Jemez Mountains Salamanders are on the soil surface, when conditions are warm and wet (generally July to October), they are vulnerable to injury and mortality from soil-disturbing activities, including operation of heavy equipment in core habitat. They also are at risk to be found and collected by people.

3.0 AEI General Description for the Jemez Mountains Salamander

The AEI consists of two areas—a core area and a buffer area. The core habitat is defined as suitable habitat where the Jemez Mountains Salamander occurs or may occur at LANL. The core habitat consists of sections of north-facing slope that contain the required micro-habitat to

support Jemez Mountains Salamander. The buffer area is 100 m (328 ft) wide extending outward from the edge of the core area.

3.1 Method for Identifying a Jemez Mountains Salamander AEI

The first step in identifying potential Jemez Mountains Salamander AEIs at LANL was to use a GIS to model habitat. Early modeling efforts by Hathcock (2008) identified areas of potential habitat and that model was further refined. The following parameters were modeled in the GIS:

- Elevation: 2,150 m (7,000 ft) and above
- Slope: Greater than 20 degrees
- Aspect: north-facing +/- 20 degrees
- Land cover: Mixed conifer
- Land use: Undeveloped
- Modeled habitat is only selected if it is greater than five contiguous 30 × 30 m (98 × 98 ft) pixels in size

Once this habitat layer was developed, a second layer was modeled that examined the level of shade in the habitat, also known as an illumination index. Since the Jemez Mountains Salamander needs cool moist conditions, an illumination index model would further highlight areas where this habitat type may occur or further reinforce the areas selected by the GIS modeling. The illumination index describes the amount and extent of solar radiation reaching the Earth's surface at a given point. This takes into account the topography that may cast shadows. The illumination model was developed using the 5 m (16 ft) resolution digital elevation model hillshade and using the Surface toolbox in ArcToolbox (Environmental Science Research Institute, Redlands, California) using the highest height of the sun on June 21 at 1:00 pm, altitude of 74.4 and Azimuth of 178.4, when the sun would be at its maximum height. These procedures were based on work done by Reilly et al. (2009).

Once this modeling was complete, LANS biologists performed field validation to verify the suitability of the modeled habitat. The goal was to verify that mixed conifer was still the dominant cover class in the selected area. The GIS analysis used data from a landcover map created by McKown et al. (2003). There have been changes in habitat from fire and extreme drought effects since this landcover map was published. Since LANL is on the extreme edge of Jemez Mountains Salamander lower elevational range, a key component in this part of its range is soil moisture content. During field validation, evidence of a moist mixed conifer habitat versus a dry mixed conifer habitat was noted. One of the key indicators used to delimit areas of moist versus dry mixed conifer during the field validation was the presence of white fir (Evans et al. 2011) combined with a high canopy cover.

Field validation of the model occurred in May 2013, or decisions were based on earlier field visits to the sites from other projects. Each field validation consisted of LANS biologists walking down all of the modeled habitat polygons to look for the presence of indicator features. If a polygon of modeled habitat contained white fir, indicating a moist wet conifer type habitat, a high canopy closure, and other signs of high habitat quality such as dead logs, moss, or other

areas that could be used as cover by the Jemez Mountains Salamander, then the polygon was marked for retention in the final core habitat. Polygons that did not contain the necessary habitat requirements were omitted.

After the field validation was complete, the final core habitat boundaries were hand digitized using ArcGIS (Environmental Science Research Institute, Redlands, California) by LANS biologists in and around the validated modeled polygon and areas between polygons, if appropriate. The final identified core habitat at LANL occurs on the north-facing slopes of canyons. Toward the rim of the canyon, the core boundaries end where the mixed conifer ends. In the canyon bottoms, the core boundary extends to the edge of the stream channel. The upstream and downstream core boundaries end where the mixed conifer ends. A buffer habitat was extended around the core to a distance of 100 m (328 ft) outward. The LANL Fenton Hill satellite facility in the Jemez Mountains off of New Mexico Highway 126 is on land leased to DOE by the Santa Fe National Forest. The entire footprint is considered to be developed core habitat for the Jemez Mountains Salamander, since proposed critical habitat is adjacent to the facility.

3.2 Location and Number of Jemez Mountains Salamander AEIs

The identified Jemez Mountains Salamander core habitats were grouped by canyon system into AEIs, which contain contiguous and noncontiguous habitat areas. The largest contiguous section of habitat at LANL is in Los Alamos Canyon. There are two noncontiguous areas of habitat in Two-mile Canyon, four in Pajarito Canyon, one contiguous area in Cañon de Valle, and the entire Fenton Hill footprint.

4.0 AEI Management

4.1 Overview

This AEI management section provides guidelines for LANL operations to reduce or eliminate the threats to the Jemez Mountains Salamander from habitat alterations that reduce habitat quality. Habitat alterations are considered for all AEIs and for both core and buffer areas. Developed areas that have ongoing baseline levels of activities and are not suitable habitat for Jemez Mountains Salamander have different restrictions than undeveloped core or buffer areas. AEIs for different species may overlap, and an activity must meet the guidelines of all applicable site plans to be allowable. Protective measures are described as management practices that should be followed when working in AEIs.

4.2 Definition and Role of Occupancy in AEI Management

Occupancy simply refers to whether or not an AEI is occupied by the Jemez Mountains Salamander. The Los Alamos Canyon AEI is known to be occupied based on past surveys. Surveys for the Jemez Mountains Salamander are known to have a very low detection rate for occupied areas, so at LANL, all AEIs are assumed to be occupied at all times. If needed, site-specific surveys will be conducted by federally permitted LANS biologists.

4.3 Definition and Role of Developed Areas in AEI Management

Developed areas include all building structures, paved roads, improved gravel roads, and paved and unpaved parking lots. The majority of Jemez Mountains Salamander core habitat is in

undeveloped areas, except for the satellite facility at Fenton Hill and a small amount of habitat in Los Alamos Canyon where West Road crosses the habitat. Generally, developed areas will not have restrictions; however, some of the undeveloped sections within the footprint of Fenton Hill may have restrictions because they may contain Jemez Mountains Salamanders when they move to the surface between July and October. Any project that occurs within developed core habitat will be evaluated by LANS biologists for ESA compliance.

4.4 General Description of Core and Buffer Areas and Allowable Area Development

The purpose of buffer areas is to protect core areas from habitat degradation. The current levels of development in buffer and core areas represent baseline conditions for this site plan. No further development is allowed in the core area under the guidelines of this site plan. Any development in a buffer area will be reviewed by LANS biologists to ensure that there are no impacts to the core habitat.

4.5 Emergency Actions

If safety and/or property are immediately threatened by something occurring within an AEI (for example, wildfire, water line breakage, etc.) please contact a LANS biologist (505-665-3366) as soon as possible. If the emergency occurs outside of regular business hours, contact the Emergency Management Office (505-667-6211). This office will then communicate with the appropriate LANS personnel.

4.6 Introduction to AEI Management Guidelines

Section 4.7 provides the guidelines for habitat alterations and allowable activities in AEI core and buffer areas. It describes what and where habitat alterations are allowed under the guidelines of this site plan. If an activity does not meet the restrictions given in the guidelines, the activity must be individually reviewed for ESA compliance. This site plan only provides guidelines for the Jemez Mountains Salamander AEIs. If an activity is desired in an area with overlapping AEIs, all applicable site plans must be consulted. AEI maps show the location of all AEIs in an area. LANS biologists are available to help interpret site plans and answer questions (<http://int.lanl.gov/environment/bio/controls/index.shtml>).

4.7 Definition of and Restrictions on Habitat Alterations

4.7.1 Definition of Habitat Alterations

Habitat alteration includes any action that alters the soil structure, vegetative components necessary to the species, water quality, or hydrology in undeveloped areas of an AEI. An actual activity may take place outside of the AEI and will be considered habitat alteration if consequences of the activity have effects inside the AEI core. Habitat alterations would also include soil pits for soil samples deeper than 15 cm (6 in) using either hand or mechanized augers. Any activity that might disturb the soil will need to be reviewed by LANS biologists.

The habitat components most important to the Jemez Mountains Salamander include soil structure and vegetative structure. The forest structure within an area designated as a Jemez Mountains Salamander AEI is important because it provides the necessary moist, cool microclimate.

4.7.2 Fuels Management Practices to Reduce Wildfire Risk

One of the primary threats to the Jemez Mountains Salamander is wildfire (77 FR 56482), but they also require habitat with a high canopy cover, which makes fuels reduction challenging. Within undeveloped core areas, thinning trees to a level of 80 percent canopy cover or higher is approved. Trees may not be thinned below 80 percent canopy cover without further ESA review by LANS biologists. Large logs on the ground should be left in place and not chipped.

Understory thinning that does not reduce total canopy cover below 80 percent is permitted. Large trees that are felled should be left as large logs on the ground. Smaller trees and understory shrubs that may be thinned should be dispersed and left on-site to aid in soil moisture retention. Thinning activities should not occur during the rainy season between July to October (or when freezing temperatures begin, whichever comes first) when the Jemez Mountains Salamander is found on the surface.

In buffer areas, thinning of trees can occur to the current LANL-approved prescription level (LAAO 2000). LANS biologists are available to provide guidance and mark trees for thinning (<http://int.lanl.gov/environment/bio/controls/index.shtml>).

4.7.3 Utility Corridors

Habitat alterations such as cutting down trees that threaten power lines are allowed within 8 m (26 ft) of either side of an existing electrical utility line at LANL under existing guidelines and engineering controls (Hathcock 2013). This level is approved in all areas of an AEI. New utility lines and utility lines requiring clearance of a right-of-way greater than 16 m (52 ft) total in core habitat must be individually reviewed for ESA compliance.

4.7.4 Restrictions on Habitat Alterations

Habitat alterations other than the fuels management practices and utility corridor maintenance described above are not allowed in undeveloped core areas under the guidelines of this site plan. If a project or activity is planned that would alter habitat in an undeveloped core area, it must be individually evaluated for ESA compliance. Habitat alterations in buffer areas must be reviewed by LANS biologists to ensure that there are no impacts to core habitat.

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APPENDIX

Table A-1. The Percentage of each Food Type Found in Mexican Spotted Owl Food Remains at LANL

| Species | Relative Abundance |
|------------------------|--------------------|
| <i>Neotoma</i> spp. | 26.22 |
| <i>Peromyscus</i> spp. | 10.22 |
| <i>Microtus</i> spp. | 4.44 |
| Gophers | 4.89 |
| Bats | 5.78 |
| Chipmunks | 0.89 |
| Rabbits | 12.89 |
| Shrews | 1.33 |
| Small Mammal | 1.33 |
| Medium Mammal | 1.78 |
| Medium Bird | 8.00 |
| Small Bird | 4.89 |
| Nocturnal Birds | 0.89 |
| Reptiles | 4.89 |
| Arthropods | 11.56 |

Table A-2. Preliminary Light Measurements in ftc for Mexican Spotted Owl Site Plan

| | | Distance from Source | | | |
|-----|-----------------------|----------------------|------|------|------|
| | Source (street light) | 5 m | 10 m | 15 m | 20 m |
| ftc | 3.70 | 2.28 | 1.20 | 0.62 | 0.32 |



ATTACHMENT 14: MSGP IPAC TRUST RESOURCES REPORT

IPaC Information for Planning and Consultation **U.S. Fish & Wildlife Service**

IPaC resource list

This report is an automatically generated list of species and other resources such as critical habitat (collectively referred to as *trust resources*) under the U.S. Fish and Wildlife Service's (USFWS) jurisdiction that are known or expected to be on or near the project area referenced below. The list may also include trust resources that occur outside of the project area, but that could potentially be directly or indirectly affected by activities in the project area. However, determining the likelihood and extent of effects a project may have on trust resources typically requires gathering additional site-specific (e.g., vegetation/species surveys) and project-specific (e.g., magnitude and timing of proposed activities) information.

Below is a summary of the project information you provided and contact information for the USFWS office(s) with jurisdiction in the defined project area. Please read the introduction to each section that follows (Endangered Species, Migratory Birds, USFWS Facilities, and NWI Wetlands) for additional information applicable to the trust resources addressed in that section.

Location

Los Alamos, Sandoval, and Santa Fe counties, New Mexico



Local office

New Mexico Ecological Services Field Office

☎ (505) 346-2525

📠 (505) 346-2542

2105 Osuna Road Ne
Albuquerque, NM 87113-1001

<http://www.fws.gov/southwest/es/NewMexico/>

http://www.fws.gov/southwest/es/ES_Lists_Main2.html

NOT FOR CONSULTATION

Endangered species

This resource list is for informational purposes only and does not constitute an analysis of project level impacts.

The primary information used to generate this list is the known or expected range of each species. Additional areas of influence (AOI) for species are also considered. An AOI includes areas outside of the species range if the species could be indirectly affected by activities in that area (e.g., placing a dam upstream of a fish population even if that fish does not occur at the dam site, may indirectly impact the species by reducing or eliminating water flow downstream). Because species can move, and site conditions can change, the species on this list are not guaranteed to be found on or near the project area. To fully determine any potential effects to species, additional site-specific and project-specific information is often required.

Section 7 of the Endangered Species Act **requires** Federal agencies to "request of the Secretary information whether any species which is listed or proposed to be listed may be present in the area of such proposed action" for any project that is conducted, permitted, funded, or licensed by any Federal agency. A letter from the local office and a species list which fulfills this requirement can **only** be obtained by requesting an official species list from either the Regulatory Review section in IPaC (see directions below) or from the local field office directly.

For project evaluations that require USFWS concurrence/review, please return to the IPaC website and request an official species list by doing the following:

1. Draw the project location and click CONTINUE.
2. Click DEFINE PROJECT.
3. Log in (if directed to do so).
4. Provide a name and description for your project.
5. Click REQUEST SPECIES LIST.

Listed species¹ and their critical habitats are managed by the [Ecological Services Program](#) of the U.S. Fish and Wildlife Service (USFWS) and the fisheries division of the National Oceanic and Atmospheric Administration (NOAA Fisheries²).

Species and critical habitats under the sole responsibility of NOAA Fisheries are **not** shown on this list. Please contact [NOAA Fisheries](#) for [species under their jurisdiction](#).

-
1. Species listed under the Endangered Species Act are threatened or endangered; IPaC also shows species that are candidates, or proposed, for listing. See the [listing status page](#) for more information. IPaC only shows species that are regulated by USFWS (see FAQ).
 2. [NOAA Fisheries](#), also known as the National Marine Fisheries Service (NMFS), is an

office of the National Oceanic and Atmospheric Administration within the Department of Commerce.

The following species are potentially affected by activities in this location:

Mammals

| NAME | STATUS |
|--|-------------------|
| New Mexico Meadow Jumping Mouse <i>Zapus hudsonius luteus</i> Wherever found There is final critical habitat for this species. The location of the critical habitat is not available. https://ecos.fws.gov/ecp/species/7965 | Endangered |

Birds

| NAME | STATUS |
|---|-------------------|
| Mexican Spotted Owl <i>Strix occidentalis lucida</i> Wherever found There is final critical habitat for this species. Your location overlaps the critical habitat. https://ecos.fws.gov/ecp/species/8196 | Threatened |
| Southwestern Willow Flycatcher <i>Empidonax traillii extimus</i> Wherever found There is final critical habitat for this species. The location of the critical habitat is not available. https://ecos.fws.gov/ecp/species/6749 | Endangered |
| Yellow-billed Cuckoo <i>Coccyzus americanus</i> There is proposed critical habitat for this species. The location of the critical habitat is not available. https://ecos.fws.gov/ecp/species/3911 | Threatened |

Amphibians

| NAME | STATUS |
|------|--------|
|------|--------|

Jemez Mountains Salamander *Plethodon neomexicanus* Endangered

Wherever found

There is **final** critical habitat for this species. The location of the critical habitat is not available.

<https://ecos.fws.gov/ecp/species/4095>

Fishes

NAME

STATUS

Rio Grande Silvery Minnow *Hybognathus amarus*

Endangered

There is **final** critical habitat for this species. The location of the critical habitat is not available.

<https://ecos.fws.gov/ecp/species/1391>

Critical habitats

Potential effects to critical habitat(s) in this location must be analyzed along with the endangered species themselves.

This location overlaps the critical habitat for the following species:

NAME

TYPE

Mexican Spotted Owl *Strix occidentalis lucida*

Final

<https://ecos.fws.gov/ecp/species/8196#crithab>

Migratory birds

Certain birds are protected under the Migratory Bird Treaty Act¹ and the Bald and Golden Eagle Protection Act².

Any person or organization who plans or conducts activities that may result in impacts to migratory birds, eagles, and their habitats should follow appropriate regulations and consider implementing appropriate conservation measures, as described [below](#).

1. The [Migratory Birds Treaty Act](#) of 1918.
2. The [Bald and Golden Eagle Protection Act](#) of 1940.

Additional information can be found using the following links:

- Birds of Conservation Concern <http://www.fws.gov/birds/management/managed->

[species/](#)
[birds-of-conservation-concern.php](#)

- Measures for avoiding and minimizing impacts to birds <http://www.fws.gov/birds/management/project-assessment-tools-and-guidance/conservation-measures.php>
- Nationwide conservation measures for birds <http://www.fws.gov/migratorybirds/pdf/management/nationwidestandardconservationmeasures.pdf>

The birds listed below are birds of particular concern either because they occur on the [USFWS Birds of Conservation Concern](#) (BCC) list or warrant special attention in your project location. To learn more about the levels of concern for birds on your list and how this list is generated, see the FAQ [below](#). This is not a list of every bird you may find in this location, nor a guarantee that every bird on this list will be found in your project area. To see exact locations of where birders and the general public have sighted birds in and around your project area, visit the [E-bird data mapping tool](#) (Tip: enter your location, desired date range and a species on your list). For projects that occur off the Atlantic Coast, additional maps and models detailing the relative occurrence and abundance of bird species on your list are available. Links to additional information about Atlantic Coast birds, and other important information about your migratory bird list, including how to properly interpret and use your migratory bird report, can be found [below](#).

For guidance on when to schedule activities or implement avoidance and minimization measures to reduce impacts to migratory birds on your list, click on the PROBABILITY OF PRESENCE SUMMARY at the top of your list to see when these birds are most likely to be present and breeding in your project area.

NAME

BREEDING SEASON (IF A
BREEDING SEASON IS
INDICATED FOR A BIRD ON
YOUR LIST, THE BIRD MAY
BREED IN YOUR PROJECT
AREA SOMETIME WITHIN THE
TIMEFRAME SPECIFIED,
WHICH IS A VERY LIBERAL
ESTIMATE OF THE DATES
INSIDE WHICH THE BIRD
BREEDS ACROSS ITS ENTIRE
RANGE. "BREEDS ELSEWHERE"
INDICATES THAT THE BIRD
DOES NOT LIKELY BREED IN
YOUR PROJECT AREA.)

Bald Eagle *Haliaeetus leucocephalus*

Breeds Dec 1 to Aug 31

This is not a Bird of Conservation Concern (BCC) in this area, but warrants attention because of the Eagle Act or for potential susceptibilities in offshore areas from certain types of development or activities.

<https://ecos.fws.gov/ecp/species/1626>

Black-chinned Sparrow *Spizella atrogularis*

Breeds Apr 15 to Jul 31

This is a Bird of Conservation Concern (BCC) throughout its range in the continental USA and Alaska.

<https://ecos.fws.gov/ecp/species/9447>

Brewer's Sparrow *Spizella breweri*

Breeds May 15 to Aug 10

This is a Bird of Conservation Concern (BCC) only in particular Bird Conservation Regions (BCRs) in the continental USA

<https://ecos.fws.gov/ecp/species/9291>

Golden Eagle *Aquila chrysaetos*

Breeds Jan 1 to Aug 31

This is a Bird of Conservation Concern (BCC) only in particular Bird Conservation Regions (BCRs) in the continental USA

<https://ecos.fws.gov/ecp/species/1680>

Grace's Warbler *Dendroica graciae*

Breeds May 20 to Jul 20

This is a Bird of Conservation Concern (BCC) only in particular Bird Conservation Regions (BCRs) in the continental USA

Gray Vireo *Vireo vicinior*

Breeds May 10 to Aug 20

This is a Bird of Conservation Concern (BCC) throughout its range in the continental USA and Alaska.

<https://ecos.fws.gov/ecp/species/8680>

Lesser Yellowlegs *Tringa flavipes*

Breeds elsewhere

This is a Bird of Conservation Concern (BCC) throughout its range in the continental USA and Alaska.

<https://ecos.fws.gov/ecp/species/9679>

Lewis's Woodpecker *Melanerpes lewis*

Breeds Apr 20 to Sep 30

This is a Bird of Conservation Concern (BCC) throughout its range in the continental USA and Alaska.

<https://ecos.fws.gov/ecp/species/9408>

Long-billed Curlew *Numenius americanus*

Breeds Apr 1 to Jul 31

This is a Bird of Conservation Concern (BCC) throughout its range in the continental USA and Alaska.

<https://ecos.fws.gov/ecp/species/5511>

Long-eared Owl *asio otus*

Breeds Mar 1 to Jul 15

This is a Bird of Conservation Concern (BCC) throughout its range in the continental USA and Alaska.

<https://ecos.fws.gov/ecp/species/3631>

Olive-sided Flycatcher *Contopus cooperi*

Breeds May 20 to Aug 31

This is a Bird of Conservation Concern (BCC) throughout its range in the continental USA and Alaska.

<https://ecos.fws.gov/ecp/species/3914>

Pinyon Jay *Gymnorhinus cyanocephalus*

Breeds Feb 15 to Jul 15

This is a Bird of Conservation Concern (BCC) throughout its range in the continental USA and Alaska.

<https://ecos.fws.gov/ecp/species/9420>

Rufous Hummingbird *elasphorus rufus*

Breeds elsewhere

This is a Bird of Conservation Concern (BCC) throughout its range in the continental USA and Alaska.

<https://ecos.fws.gov/ecp/species/8002>

Virginia's Warbler *Vermivora virginiae*

Breeds May 1 to Jul 31

This is a Bird of Conservation Concern (BCC) throughout its range in the continental USA and Alaska.

<https://ecos.fws.gov/ecp/species/9441>

Willet *Tringa semipalmata*

Breeds elsewhere

This is a Bird of Conservation Concern (BCC) throughout its range in the continental USA and Alaska.

Willow Flycatcher *Empidonax traillii*

Breeds May 20 to Aug 31

This is a Bird of Conservation Concern (BCC) only in particular Bird Conservation Regions (BCRs) in the continental USA

<https://ecos.fws.gov/ecp/species/3482>

Probability of Presence Summary

The graphs below provide our best understanding of when birds of concern are most

likely to be present in your project area. This information can be used to tailor and schedule your project activities to avoid or minimize impacts to birds. Please make sure you read and understand the FAQ "Proper Interpretation and Use of Your Migratory Bird Report" before using or attempting to interpret this report.

Probability of Presence (■)

Each green bar represents the bird's relative probability of presence in the 10km grid cell(s) your project overlaps during a particular week of the year. (A year is represented as 12 4-week months.) A taller bar indicates a higher probability of species presence. The survey effort (see below) can be used to establish a level of confidence in the presence score. One can have higher confidence in the presence score if the corresponding survey effort is also high.

How is the probability of presence score calculated? The calculation is done in three steps:

1. The probability of presence for each week is calculated as the number of survey events in the week where the species was detected divided by the total number of survey events for that week. For example, if in week 12 there were 20 survey events and the Spotted Towhee was found in 5 of them, the probability of presence of the Spotted Towhee in week 12 is 0.25.
2. To properly present the pattern of presence across the year, the relative probability of presence is calculated. This is the probability of presence divided by the maximum probability of presence across all weeks. For example, imagine the probability of presence in week 20 for the Spotted Towhee is 0.05, and that the probability of presence at week 12 (0.25) is the maximum of any week of the year. The relative probability of presence on week 12 is $0.25/0.25 = 1$; at week 20 it is $0.05/0.25 = 0.2$.
3. The relative probability of presence calculated in the previous step undergoes a statistical conversion so that all possible values fall between 0 and 10, inclusive. This is the probability of presence score.

To see a bar's probability of presence score, simply hover your mouse cursor over the bar.

Breeding Season (■)

Yellow bars denote a very liberal estimate of the time-frame inside which the bird breeds across its entire range. If there are no yellow bars shown for a bird, it does not breed in your project area.

Survey Effort (|)

Vertical black lines superimposed on probability of presence bars indicate the number of surveys performed for that species in the 10km grid cell(s) your project area overlaps. The number of surveys is expressed as a range, for example, 33 to 64 surveys.

To see a bar's survey effort range, simply hover your mouse cursor over the bar.

No Data (—)

A week is marked as having no data if there were no survey events for that week.

Survey Timeframe

Surveys from only the last 10 years are used in order to ensure delivery of currently relevant information. The exception to this is areas off the Atlantic coast, where bird returns are based on all years of available data, since data in these areas is currently much more sparse.

Tell me more about conservation measures I can implement to avoid or minimize impacts to migratory birds.

[Nationwide Conservation Measures](#) describes measures that can help avoid and minimize impacts to all birds at any location year round. Implementation of these measures is particularly important when birds are most likely to occur in the project area. When birds may be breeding in the area, identifying the locations of any active nests and avoiding their destruction is a very helpful impact minimization measure. To see when birds are most likely to occur and be breeding in your project area, view the Probability of Presence Summary. [Additional measures](#) or [permits](#) may be advisable depending on the type of activity you are conducting and the type of infrastructure or bird species present on your project site.

What does IPaC use to generate the migratory birds potentially occurring in my specified location?

The Migratory Bird Resource List is comprised of USFWS [Birds of Conservation Concern \(BCC\)](#) and other species that may warrant special attention in your project location.

The migratory bird list generated for your project is derived from data provided by the [Avian Knowledge Network \(AKN\)](#). The AKN data is based on a growing collection of [survey, banding, and citizen science datasets](#) and is queried and filtered to return a list of those birds reported as occurring in the 10km grid cell(s) which your project intersects, and that have been identified as warranting special attention because they are a BCC species in that area, an eagle ([Eagle Act](#) requirements may apply), or a species that has a particular vulnerability to offshore activities or development.

Again, the Migratory Bird Resource list includes only a subset of birds that may occur in your project area. It is not representative of all birds that may occur in your project area. To get a list of all birds potentially present in your project area, please visit the [AKN Phenology Tool](#).

What does IPaC use to generate the probability of presence graphs for the migratory birds potentially occurring in my specified location?

The probability of presence graphs associated with your migratory bird list are based on data provided by the [Avian Knowledge Network \(AKN\)](#). This data is derived from a growing collection of [survey, banding, and citizen science datasets](#).

Probability of presence data is continuously being updated as new and better information becomes available. To learn more about how the probability of presence graphs are produced and how to interpret them, go the Probability of Presence Summary and then click on the "Tell me about these graphs" link.

How do I know if a bird is breeding, wintering, migrating or present year-round in my project area?

To see what part of a particular bird's range your project area falls within (i.e. breeding, wintering, migrating or year-round), you may refer to the following resources: [The Cornell Lab of Ornithology All About Birds Bird Guide](#), or (if you are unsuccessful in locating the bird of interest there), the [Cornell Lab of Ornithology Neotropical Birds guide](#). If a bird on your migratory bird species list has a breeding season associated with it, if that bird does occur in your project area, there may be nests present at some point within the timeframe specified. If "Breeds elsewhere" is indicated, then the bird likely does not breed in your project area.

What are the levels of concern for migratory birds?

Migratory birds delivered through IPaC fall into the following distinct categories of concern:

1. "BCC Rangewide" birds are [Birds of Conservation Concern](#) (BCC) that are of concern throughout their range anywhere within the USA (including Hawaii, the Pacific Islands, Puerto Rico, and the Virgin Islands);
2. "BCC - BCR" birds are BCCs that are of concern only in particular Bird Conservation Regions (BCRs) in the continental USA; and
3. "Non-BCC - Vulnerable" birds are not BCC species in your project area, but appear on your list either because of the [Eagle Act](#) requirements (for eagles) or (for non-eagles) potential susceptibilities in offshore areas from certain types of development or activities (e.g. offshore energy development or longline fishing).

Although it is important to try to avoid and minimize impacts to all birds, efforts should be made, in particular, to avoid and minimize impacts to the birds on this list, especially eagles and BCC species of rangewide concern. For more information on conservation measures you can implement to help avoid and minimize migratory bird impacts and requirements for eagles, please see the FAQs for these topics.

Details about birds that are potentially affected by offshore projects

For additional details about the relative occurrence and abundance of both individual bird species and groups of bird species within your project area off the Atlantic Coast, please visit the [Northeast Ocean Data Portal](#). The Portal also offers data and information about other taxa besides birds that may be helpful to you in your project review. Alternately, you may download the bird model results files underlying the portal maps through the [NOAA NCCOS Integrative Statistical Modeling and Predictive Mapping of Marine Bird Distributions and Abundance on the Atlantic Outer Continental Shelf](#) project webpage.

Bird tracking data can also provide additional details about occurrence and habitat use throughout the year, including migration. Models relying on survey data may not include this information. For additional information on marine bird tracking data, see the [Diving Bird Study](#) and the [nanotag studies](#) or contact [Caleb Spiegel](#) or [Pam Loring](#).

What if I have eagles on my list?

If your project has the potential to disturb or kill eagles, you may need to [obtain a permit](#) to avoid violating the Eagle Act should such impacts occur.

Proper Interpretation and Use of Your Migratory Bird Report

The migratory bird list generated is not a list of all birds in your project area, only a subset of birds of priority concern. To learn more about how your list is generated, and see options for identifying what other birds may be in your project area, please see the FAQ "What does IPaC use to generate the migratory birds potentially occurring in my specified location". Please be aware this report provides the "probability of presence" of birds within the 10 km grid cell(s) that overlap your project; not your exact project footprint. On the graphs provided, please also look carefully at the survey effort (indicated by the black vertical bar) and for the existence of the "no data" indicator (a red horizontal bar). A high survey effort is the key component. If the survey effort is high, then the probability of presence score can be viewed as more dependable. In contrast, a low survey effort bar or no data bar means a lack of data and, therefore, a lack of certainty about presence of the species. This list is not perfect; it is simply a starting point for identifying what birds of concern have the potential to be in your project area, when they might be there, and if they might be breeding (which means nests might be present). The list helps you know what to look for to confirm presence, and helps guide you in knowing when to implement conservation measures to avoid or minimize potential impacts from your project activities, should presence be confirmed. To learn more about conservation measures, visit the FAQ "Tell me about conservation measures I can implement to avoid or minimize impacts to migratory birds" at the bottom of your migratory bird trust resources page.

Facilities

National Wildlife Refuge lands

Any activity proposed on lands managed by the [National Wildlife Refuge](#) system must undergo a 'Compatibility Determination' conducted by the Refuge. Please contact the individual Refuges to discuss any questions or concerns.

THERE ARE NO REFUGE LANDS AT THIS LOCATION.

Fish hatcheries

THERE ARE NO FISH HATCHERIES AT THIS LOCATION.

Wetlands in the National Wetlands Inventory

Impacts to [NWI wetlands](#) and other aquatic habitats may be subject to regulation under Section 404 of the Clean Water Act, or other State/Federal statutes.

For more information please contact the Regulatory Program of the local [U.S. Army Corps of Engineers District](#).

Please note that the NWI data being shown may be out of date. We are currently working to update our NWI data set. We recommend you verify these results with a site visit to determine the actual extent of wetlands on site.

This location overlaps the following wetlands:

FRESHWATER EMERGENT WETLAND

[PEM1C](#)

FRESHWATER FORESTED/SHRUB WETLAND

[PSS1A](#)

RIVERINE

[R4SBA](#)

[R4SBC](#)

A full description for each wetland code can be found at the [National Wetlands Inventory website](#)

Data limitations

The Service's objective of mapping wetlands and deepwater habitats is to produce reconnaissance level information on the location, type and size of these resources. The maps are prepared from the analysis of high altitude imagery. Wetlands are identified based on vegetation, visible hydrology and geography. A margin of error is inherent in the use of imagery; thus, detailed on-the-ground inspection of any particular site may result in revision of the wetland boundaries or classification established through image analysis.

The accuracy of image interpretation depends on the quality of the imagery, the experience of the image analysts, the amount and quality of the collateral data and the amount of ground truth verification work conducted. Metadata should be consulted to determine the date of the source imagery used and any mapping problems.

Wetlands or other mapped features may have changed since the date of the imagery or field work. There may be occasional differences in polygon boundaries or classifications between the information depicted on the map and the actual conditions on site.

Data exclusions

Certain wetland habitats are excluded from the National mapping program because of the limitations of aerial imagery as the primary data source used to detect wetlands. These habitats include seagrasses or submerged aquatic vegetation that are found in the intertidal and subtidal zones of estuaries and

nearshore coastal waters. Some deepwater reef communities (coral or tubercid worm reefs) have also been excluded from the inventory. These habitats, because of their depth, go undetected by aerial imagery.

Data precautions

Federal, state, and local regulatory agencies with jurisdiction over wetlands may define and describe wetlands in a different manner than that used in this inventory. There is no attempt, in either the design or products of this inventory, to define the limits of proprietary jurisdiction of any Federal, state, or local government or to establish the geographical scope of the regulatory programs of government agencies. Persons intending to engage in activities involving modifications within or adjacent to wetland areas should seek the advice of appropriate federal, state, or local agencies concerning specified agency regulatory programs and proprietary jurisdictions that may affect such activities.

NOT FOR CONSULTATION

ATTACHMENT 15: EPC-CP-PIP-2101, NPDES MUTI-SECTOR GENERAL PERMIT

EPC-CP-PIP-2101Revision: **0**

Effective Date: 01/19/2021

Next Review Date: 01/19/2024

Environment, Safety, Health, Quality, Safeguards and Security Directorate**Environmental Protection and Compliance Division – Compliance Programs Group****Program Implementation Plan (PIP)****NPDES Multi-Sector General Permit****Document Owner/Subject Matter Expert:**

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Derivative Classifier: ☒ **Unclassified** or ☐ _____

| | | | |
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| Steven E. Wolfel | EPC-CP | Signature on File | 01-14-21 |

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| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 2 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

REVISION HISTORY

| Document Number and Revision | Effective Date | Description of Changes |
|------------------------------|----------------|--|
| ENV-RCRA-QAPP-MSGP, R0 | 06/03 | New Document. |
| ENV-RCRA-QAPP-MSGP, R1 | 12/05 | Annual review and revision. |
| ENV-RCRA-QAPP-MSGP, R2 | 07/07 | Annual review, incorporated organizational restructure changes. |
| ENV-RCRA-QAPP-MSGP, R3 | 07/09 | Biennial Review and Revision. |
| ENV-RCRA-QAPP-MSGP, R4 | 07/09 | Biennial Review and Revision. |
| ENV-CP-QAPP-MSGP, R5 | 10/13 | Biennial Review and Revision. New format implemented. |
| EPC-CP-PIP-2101, R0 | 01/19/2021 | Initial issue under this document number. It supersedes/replaces ENV-CP-QAPP-MSGP, R5. Changes include revision to the document template, addition of MLs, software requirements, and detail to Section 4.5. |

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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 3 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

Table of Contents

| | | |
|---------|--|----|
| | Table of Contents..... | 3 |
| 1.0 | Purpose | 5 |
| 2.0 | Authority and Applicability | 5 |
| 2.1 | Authority | 5 |
| 2.2 | Applicability | 5 |
| 3.0 | Program Scope..... | 5 |
| 3.1 | Requirements | 5 |
| 3.2 | Description of Work Activities..... | 6 |
| 3.3 | Graded Approach | 6 |
| 3.3.1 | Management Level Determination..... | 6 |
| 3.3.2 | Software Risk Levels..... | 6 |
| 4.0 | Program-Specific Quality Assurance Requirements and Implementing Work Activities | 6 |
| 4.1 | Criterion 1 – Management/Program..... | 6 |
| 4.1.1 | Program Goals..... | 6 |
| 4.1.2 | Roles and Responsibilities..... | 7 |
| 4.1.2.1 | Group Leader | 7 |
| 4.1.2.2 | Storm Water Permitting/Compliance Team Leader | 7 |
| 4.1.2.3 | MSGP Program Lead | 8 |
| 4.1.2.4 | Storm Water Tracking System/Discharge Monitoring Report Manager | 9 |
| 4.1.2.5 | MSGP Personnel..... | 9 |
| 4.1.2.6 | EIM Database Administrator..... | 10 |
| 4.1.2.7 | Corrective Action Reporting Database Administrator | 10 |
| 4.1.2.8 | Maintenance Connection Database Administrator | 10 |
| 4.1.3 | Internal Interfaces..... | 11 |
| 4.1.3.1 | Facility Operations Directors | 11 |
| 4.1.3.2 | Permitted Industrial Activity Facility Owner/Operator | 11 |
| 4.1.3.3 | Deployed Environmental Professional..... | 11 |
| 4.1.3.4 | Sample Management Office | 11 |
| 4.1.4 | External Interfaces | 12 |
| 4.1.4.1 | Environmental Protection Agency | 12 |
| 4.1.4.2 | New Mexico Environmental Department | 12 |
| 4.1.4.3 | National Nuclear Safety Administration/Los Alamos Field Office | 12 |
| 4.1.4.4 | Analytical Laboratory Contractors | 13 |
| 4.2 | Criterion 2 – Management/Personnel Training and Qualification..... | 13 |
| 4.3 | Criterion 3 – Management/Quality Improvement..... | 14 |
| 4.3.1 | Performance Reporting..... | 14 |
| 4.3.2 | Corrective Actions..... | 14 |
| 4.4 | Criterion 4 – Management/Documents and Records | 15 |
| 4.4.1 | Document Control..... | 15 |
| 4.4.2 | Procedures | 15 |
| 4.4.3 | Electronic Media | 15 |
| 4.4.4 | Records Management..... | 16 |

| | | |
|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 4 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

| | | |
|---------|---|----|
| 4.5 | Criterion 5 – Performance/Work Processes..... | 17 |
| 4.5.1 | Work Processes..... | 17 |
| 4.5.2 | Stormwater Pollution Prevention Plans..... | 18 |
| 4.5.3 | Inspections | 18 |
| 4.5.4 | Stormwater Corrective Actions..... | 19 |
| 4.5.4.1 | Responding to Water Quality Exceedances | 19 |
| 4.5.5 | Stormwater Monitoring | 19 |
| 4.5.5.1 | Quality Control Samples | 21 |
| 4.5.6 | Reporting | 22 |
| 4.5.6.1 | Discharge Monitoring Reports | 22 |
| 4.5.6.2 | Annual Reports | 23 |
| 4.6 | Criterion 6 – Performance/Design | 23 |
| 4.7 | Criterion 7 – Performance/Procurement..... | 24 |
| 4.8 | Criterion 8 – Performance/Inspection and Acceptance Testing | 24 |
| 4.9 | Criterion 9 – Assessment/Management Assessment | 24 |
| 4.10 | Criterion 10 – Assessment/Independent Assessment | 24 |
| 4.11 | Suspect/Counterfeit Items Prevention | 25 |
| 4.12 | Safety Software Quality Assurance Requirements for Nuclear Facilities..... | 25 |
| 5.0 | Implementation | 25 |
| 6.0 | Training | 25 |
| 7.0 | Documents and Records..... | 25 |
| 8.0 | Definitions and Acronyms..... | 25 |
| 9.0 | References | 26 |
| 10.0 | Appendices..... | 28 |
| 11.0 | Attachments | 28 |
| 12.0 | Contact Information | 28 |
| | Appendix A: NPDES Multi-Sector General Permit Program Management Level Determination, MLDS-TA-60-324 Rev. 0..... | 29 |
| | Appendix B: Safety/Non-Safety Determination, Categorization, and Software Risk Level (SRL) (Form 2033) for Environmental Information Management System | 33 |
| | Appendix C: Safety/Non-Safety Determination, Categorization, and Software Risk Level (SRL) (Form 2033) for the MSGP Corrective Action Reporting Database | 37 |
| | Appendix D: Safety/Non-Safety Determination, Categorization, and Software Risk Level (SRL) (Form 2033) for Maintenance Connection and Maintenance Connection Express..... | 41 |
| | Attachment 1: Summary of QA Requirements and Program-Level (Local) Work Practices..... | 45 |
| | Attachment 2: MSGP Facilities Associated with Industrial Activities | 48 |

| | | |
|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 5 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

1.0 PURPOSE

This document describes the Program Implementation Plan (PIP) for the National Pollutant Discharge Elimination System (NPDES) Multi-Sector General Permit (MSGP) Program at Los Alamos National Laboratory (LANL or the Laboratory). Performance of the processes and procedures described herein, are done so in accordance with EPC-CP-QAP-001, *Environmental Compliance Programs Quality Assurance Plan*. This PIP provides detail and context regarding the implementation of those work activities generally described in EPC-CP-QAP-001. Work conducted under this program ensures compliance with the MSGP and the Clean Water Act.

2.0 AUTHORITY AND APPLICABILITY

2.1 Authority

This document is issued under the authority of the Environmental Protection and Compliance Division's Compliance Programs Group Leader to direct the management and operation of the MSGP Program.

2.2 Applicability

This PIP applies to personnel performing work by or for the MSGP Program, including but not limited to Triad National Security, LLC (Triad) employees, subcontractors and suppliers at all tiers (in accordance with subcontract documents), students, guests, and associates.

3.0 PROGRAM SCOPE

The MSGP Program is responsible for compliance oversight of LANL's NPDES MSGP, coordination and performance of institutional MSGP stormwater compliance activities, and developing and implementing institutional standards and policies regarding MSGP stormwater management. EPC-CP is the institutional point of contact regarding MSGP environmental compliance interactions with entities outside of LANL (i.e., regulatory agencies, stakeholders, and the public).

3.1 Requirements

The MSGP Program satisfies requirements contained in the following documents:

- EPC-CP-QAP-001, Section 3.3, Table 2
- NPDES MSGP
- Title 40 of the Code of Federal Regulations (CFR) Part 136, Guidelines Establishing Test Procedures for the Analysis of Pollutants
- Title 20, Chapter 6, Part 4 of the New Mexico Administrative Code (NMAC), Standards for Interstate and Intrastate Surface Waters

| | | |
|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 6 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

3.2 Description of Work Activities

Triad will implement the monitoring requirements specified by the most current NPDES MSGP for industrial activities at LANL. The EPC-CP Storm Water Permitting/Compliance Team oversees institutional stormwater compliance related activities at the Laboratory.

3.3 Graded Approach

The following sections provide reference to the applicable Management Level Determinations and Software Risk Level forms.

3.3.1 Management Level Determination

The following Management Level Determinations are applicable to equipment and/or work activities for the MSGP Program (see Appendix A):

- ML-4, per MLDS No.: MLDS-TA-60-324, Revision 0.

3.3.2 Software Risk Levels

The following Software Risk Level Forms are applicable to software used during the performance of the MSGP Program (see Appendix B, C, and D):

- Environmental Information Management (EIM)
- MSGP Corrective Action Reporting Database and corresponding administrative module
- Maintenance Connection and Maintenance Connection Express

4.0 PROGRAM-SPECIFIC QUALITY ASSURANCE REQUIREMENTS AND IMPLEMENTING WORK ACTIVITIES

Based on the Graded Approach results referenced above, this PIP is determined to be consistent with the work activity types covered by EPC-CP-QAP-001, Section 3.3, Table 2. Attachment 1 presents a summary of the work practices (procedures, instructions, etc.) that EPC-CP uses to meet the quality assurance (QA) requirements of SD300/DOE Order 414.1D, Chg. 1.

4.1 Criterion 1 – Management/Program

4.1.1 Program Goals

The MSGP Program supports EPC Division in efforts to protect:

- Public health and environment by implementing rigorous compliance programs designed to assure institutional compliance with state and federal environmental protection regulations;
- Designated uses of the Laboratory's natural resources by applying sound ecological and engineering principles towards mitigation of the Laboratory's impact; and

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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 7 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

- Human health and the environment during emergencies by assuring technical capabilities are available to measure and evaluate unplanned release of hazardous materials into the environment.

Triad complies with the monitoring requirements, such as parameters, frequency of sampling, reporting, etc., set forth in the NPDES MSGP for industrial point source discharges through the Laboratory's MSGP Program. Compliance is demonstrated through the successful implementation of this PIP and applicable procedures.

4.1.2 Roles and Responsibilities

EPC-CP is responsible for the Laboratory's MSGP Program and a description of the group organization, level of authorities, and lines of communication are found within this PIP. The group is organized by program teams under the line management direction of the Group Leader. Teams are cross-functional and focus on specific Program responsibilities, deliverables, or products. Program teams are guided by Team Leaders who have the responsibility to assure that the program is properly implemented. The following sections identify the roles and responsibilities for EPC-CP personnel, contractors, and program interfaces.

4.1.2.1 Group Leader

- Assure that the program has adequate resources (e.g., budget, staffing, etc.) and that qualified staff properly gather and evaluate information submitted to the Environmental Protection Agency (EPA) as required by the MSGP Program.
- Sign Discharge Monitoring Reports (DMR), Annual Reports, Quarterly Visual Assessment Certifications, and change NOIs prior to submittal to the EPA.
- Ensure that program personnel conduct procurements in accordance with P840-1, *Quality Assurance for Procurements*.
- Plan, conduct, and document periodic management assessments and Management Observation and Verifications (MOVs) of MSGP Program activities as required by P328-3 and P328-4.

4.1.2.2 Storm Water Permitting/Compliance Team Leader

- Ensure that program personnel perform the work areas/types associated with the MSGP Program in accordance with the processes, procedures, and requirements specified in this plan.
- Ensure all MSGP Program personnel have the appropriate level of education, experience, and training to perform their job duties.
- Ensure that the most recent versions of the quality-related documents are used for all activities.
- Monitor and trend MSGP Program performance and track deficiencies.

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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 8 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

- Support Facility Operations Directors (FODs) and DEPs with the implementation of corrective actions in a timely manner.
- Sign/submit DMRs, Annual Reports, Quarterly Visual Assessment Certifications, etc.
- Ensure PIP meets minimum specifications for documentation and records required by ADESH-QAP-001, *ADESH Quality Assurance Plan*.
- Conduct periodic reviews of records and documentation for accuracy, applicability, and to ensure compliance.
- Provide oversight and ensure that monitoring requirements are followed in accordance with the MSGP Program.
- Ensure that all required compliance documents are submitted to EPA in accordance with the MSGP.
- Recommend to Group Leader contracting items and services.
- Assist the Group Leader in planning and implementing management assessments and MOVs.
- Identify issues, concerns, or problems that warrant management assessment.
- Oversee resolution and correction of all problems found during management assessments.

4.1.2.3 MSGP Program Lead

- Perform MSGP Program related activities as assigned by the Storm Water Permitting/Compliance Team Leader.
- Engage other team members to support implementation of the MSGP Program.
- Support DEPs and permitted industrial facility owners with the implementation of corrective actions in a timely manner.
- Ensure analytical instruments used in the field are calibrated as per Institutional Procedure P330-2, *Control and Calibration of Measuring and Test Equipment (M&TE)*. Periodically review and update the calibration procedures to ensure permit requirements are met.
- Identify opportunities for process improvement, health and safety enhancement, environmental protection, or other improvements of the program's operations.
- Ensure deficiencies are reported to the Storm Water Permitting/Compliance Team Leader in a timely manner.
- Implement a monitoring program as required by the MSGP.
- Ensure DMRs are prepared and submitted as required by the MSGP Program.
- Review documents for accuracy and completeness to assure that the requirements of the MSGP are met.

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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 9 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

- Oversee data quality assessments prior to submittal of monthly, quarterly, and annual DMRs.
- Ensure procedures for sample handling and control during sample preparation, retrieval and analysis are followed.
- Identify issues, concerns, or problems that warrant management assessment.
- Periodically evaluate corrective actions to determine if there are issues that need to be entered into the Issues Management Tool.
- Oversee preparation, conduct quality review, and submit all required compliance documents (e.g., Notice of Intent (NOI)/Notice of Termination (NOT), DMRs, Annual Reports, and correspondence) to EPA.
- Oversee preparation and conduct quality review of Stormwater Pollution Prevention Plans (SWPPP) coordinated with the responsible organization.

4.1.2.4 Storm Water Tracking System/Discharge Monitoring Report Manager

- Perform MSGP Program related activities as assigned by the Storm Water Permitting/Compliance Team Leader.
- Serve as database administrator for the Storm Water Tracking System (SWTS) and Discharge Monitoring Report modules in EIM.
- Maintain current MSGP station and monitoring requirement configuration content in SWTS.
- Ensure all results from sampling are returned and are eligible for reporting.
- Assist MSGP Program Lead in conducting data quality assurance review.
- Conduct data quality assessments prior to submittal of monthly, quarterly, and annual DMRs.
- Ensure compliance reports (NOI/NOT, DMRs, and Annual Reports) are prepared as required by the MSGP.
- Prepare stormwater DMRs for the Multi-Sector General Permit program.

4.1.2.5 MSGP Personnel

- Perform MSGP Program related activities as assigned by the Storm Water Permitting & Compliance Team Leader.
- Implement approved processes and procedures for any equipment and instrumentation used to collect field data (i.e., visual assessment parameters, temperature, and pH).
- Mentor and train new personnel, as needed.
- Conduct sampling activities in accordance with approved processes and procedures.

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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 10 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

- Perform sample handling and control during sample preparation, retrieval, and analysis in accordance with approved processes and procedures.
- Notify the MSGP Program Lead immediately upon discovery of field parameter(s) (visual assessment parameters, temperature, and/or pH) exceedances.
- Conduct QA check of methods/equipment.
- Procure sampling equipment (i.e., bottles, standards, preservatives) in accordance with P840-1, *Quality Assurance for Procurements*. Order materials and supplies in accordance with LANL protocol.

4.1.2.6 EIM Database Administrator

- Coordinate with the Subcontract Technical Representative (STR) to ensure that formal contracts are in place to support MSGP Program compliance activities.
- Coordinate with the STR to oversee contract analytical laboratories and ensure they follow the DOE Analytical Services Program.
- Coordinate with the STR to ensure that the off-site laboratory participates in the DOE Consolidated Audit Program and that the analytical laboratory has been audited on an annual basis.
- Maintain and administer the database.
- Provide role-related database access.
- Maintain facility and personnel configuration content, permit-defined lists of limited values (LLVs), and e-mail notification distribution lists.
- Ship/transport samples to the correct off-site analytical laboratory for analysis.
- Maintain and administer sampling plans and sample documentation.
- Load analytical data into the EIM database and run auto-validation checks.
- Manage analytical laboratory data packages.

4.1.2.7 Corrective Action Reporting Database Administrator

- Maintain and administer the database.
- Provide role-related database access.
- Maintain facility and personnel configuration content, permit-defined lists of limited values (LLVs), and e-mail notification distribution lists.

4.1.2.8 Maintenance Connection Database Administrator

- Maintain and administer the database.
- Provide role-related database access.

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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 11 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

- Maintain facility and personnel configuration content
- Extract data to support preparation of the MSGP Annual Report.

4.1.3 Internal Interfaces

4.1.3.1 Facility Operations Directors

The FOD provides organizational leadership to ensure that all facility and programmatic activities under their authority are performed in compliance with the MSGP. The FOD is also responsible for establishing an environmental compliance envelope. It is the FOD's responsibility to maintain trained and qualified DEPs and Waste Management Coordinators on staff under their authority.

4.1.3.2 Permitted Industrial Activity Facility Owner/Operator

The permitted industrial activity facility owner/operator is the organization or individual(s) designated by management to oversee the day-to-day operation and maintenance of each facility and its associated stormwater outfalls. The designated owner/operator may be the Facility Operations Manager, Maintenance Manager, or Group Leader that is responsible for the buildings, facilities, and areas where the stormwater outfall is located. The MSGP Program interfaces with the owners/operators to assist in determining appropriate maintenance, corrective actions, inspections, site walks, and monitoring.

4.1.3.3 Deployed Environmental Professional

DEPs are embedded within FODS as assigned by the Deployed Environment Professionals Team Leader. The DEP provides daily environmental oversight, guidance, and support to the FOD and each designated permitted industrial facility owner/operator. The MSGP Program interfaces with the DEPs regularly to coordinate outfall surveys, inspections, site walks, and monitoring. The DEP performs the following MSGP activities.

- Act as a liaison between the industrial operating facilities, the FOD, and EPC-CP.
- Write and update the facility-specific MSGP SWPPP.
- Conduct Routine Facility Inspections.
- Document, update, and coordinate correction of identified conditions requiring corrective actions.
- Identify personnel within industrial operating facilities requiring training.
- Update MSGP facility specific training and present the training annually.

4.1.3.4 Sample Management Office

The EPC-CP SMO is the central point for all analytical laboratory selection, evaluations, sample submittal, and data return. The SMO performs the following activities.

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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 12 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

- Evaluates potential analytical laboratories, prepares analytical statements of work that include requirements, and arrange contracts with selected laboratories for analysis of all samples.
- Accepts samples from field collection personnel, prepares the sample for shipment, ships the samples to the off-site analytical laboratories, and receives the data packages from the laboratories.
- Analytical data is received from analytical laboratories in electronic format and uploaded into a database. Received data is checked for completeness and adherence to contract requirements. After uploading, data undergoes verification and validation for evidence of laboratory contamination, improper analytical method, and other analytical issues, which could potentially affect data quality.
- Field data collected by sample collection personnel is verified and entered into the EIM by SMO personnel when field personnel deliver samples to the SMO.
- If significant verification and validation issues are identified, results are forwarded to and discussed with the responsible program leads.
- Data issues that result from procedural failures, personnel errors, or other failures to follow requirements are documented as issues and corrected according to P322-4, *Issues Management*.

4.1.4 External Interfaces

4.1.4.1 Environmental Protection Agency

The EPA Region 6 issues and administers NPDES Permits in the State of New Mexico. The MSGP Program interfaces with the EPA, as needed, to complete permit applications, support permit development, support public comments and meetings, and ensure compliance with the NPDES MSGP.

4.1.4.2 New Mexico Environmental Department

The New Mexico Environmental Department (NMED) Surface Water Quality Bureau assists the EPA with compliance evaluations, monitoring and Section 401(a), Clean Water Act certification through a joint federal and state agreement. Section 401(a) requires that all federally issued permits are certified by the state in which the discharge occurs and that the effluent limits set forth in the permit issued adheres to state water quality standards. The MSGP Program interfaces with the NMED as needed to ensure compliance with the Permit.

4.1.4.3 National Nuclear Safety Administration/Los Alamos Field Office

The National Nuclear Safety Administration (NNSA)/Los Alamos Field Office is the LANL facility owner and is responsible for providing oversight of LANL operations. It is the responsibility of the Los Alamos Field Office to ensure that the LANL operates in compliance with all state and federal

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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 13 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

regulations. The MSGP Program interfaces with the Los Alamos Field Office as needed to ensure compliance with the Permit.

4.1.4.4 Analytical Laboratory Contractors

An independent off-site analytical laboratory performs analytical services for the MSGP Program. The analytical laboratory is required to participate in the DOE Consolidated Audit Program; maintain positive control of samples, perform analyses for samples received, and report sample results as specified in statements of work and internal procedures. The STR and SMO personnel interface with the off-site analytical laboratory. Interface between MSGP Program personnel and the analytical laboratory is conducted with the STR and SMO oversight, as needed, to ensure that samples are handled correctly and that analytical results are received per the contract requirements.

4.2 Criterion 2 – Management/Personnel Training and Qualification

The Storm Water Permitting/Compliance Team Leader shall determine skills, knowledge, and abilities required to perform MSGP Program work area/type activities. Program personnel will be qualified and trained in accordance with P781-1, *Conduct of Training* and ADESH-TPP-301, *ADESH Training Program Plan*. The Storm Water Permitting/Compliance Team Leader assigns minimum training requirements using a training plan. The Triad Human Resources Division maintains documentation of education qualification. Table 4.2 provides a summary of the qualification and training requirements for the MSGP Program.

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| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 14 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

Table 4.2 Management/Personnel Training and Qualification

| Key Personnel/Role | Qualification Standard | Program Specific Training |
|---|--|----------------------------------|
| Storm Water Permitting/Compliance Team Leader | <ul style="list-style-type: none"> • EPC-CP Manager Qualification Standard • EPC-CP Group Qualification Standard • EPC-CP-QS-2005, Stormwater Inspector Qualification Standard • EPC-CP-QS-2006, Stormwater Pollution Prevention Plan Preparer Qualification Standard • EPC-CP-QS-2007, Stormwater Design Reviewer Qualification Standard | EPC-CP-PIP-2101 |
| MSGP Program Lead, MSGP Personnel | <ul style="list-style-type: none"> • EPC-CP Group Qualification Standard • EPC-CP-QS-2005, Stormwater Inspector Qualification Standard • EPC-CP-QS-2006, Stormwater Pollution Prevention Plan Preparer Qualification Standard • EPC-CP-QS-2007, Stormwater Design Reviewer Qualification Standard* | |
| Discharge Monitoring Report Manager | <ul style="list-style-type: none"> • EPC-CP Group Qualification Standard | |
| Database Administrator | <ul style="list-style-type: none"> • EPC-CP Group Qualification Standard | |
| * As required by job duties. | | |

4.3 Criterion 3 – Management/Quality Improvement

The MSGP Program adheres to the EPC-CP-QAP-001 principles of problem prevention and continuous improvement. The MSGP Program Lead will evaluate improvement opportunities identified by trending and reporting.

4.3.1 Performance Reporting

Personnel involved in activities associated with the MSGP Program are encouraged to provide periodic updates, either verbal or written, to the MSGP Program Lead. The program uses these updates to determine areas that require attention and corrective actions.

4.3.2 Corrective Actions

Corrective actions for all EPC-CP programs and projects are initiated, tracked, corrected, and documented according to P330-6, *Nonconformance Control and Reporting*, P322-4, *Issues Management*, ADESH-QAP-001, *ADESH Quality Assurance Plan*, and Group procedures. A corrective action that meets any of the following criteria will be entered into the Issues Management Tool that will be screened as high, medium, or low.

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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 15 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

- Corrective action was not completed by the expected completion date.
- A schedule is sent to the EPA Region 6 requesting an extension of the 45-day timeframe to complete a corrective action and corrective action was not completed by the required completion date provided in the letter.
- Repeat corrective actions or trends identified by EPC-CP personnel.
- Conditions requiring immediate action, where failure to take action would result in pollutants being released to a water body of the State or an immediate non-compliance with the MSGP.
- Violations identified by the regulatory authority.
- Other issues as deemed necessary by EPC-CP personnel.

4.4 Criterion 4 – Management/Documents and Records

4.4.1 Document Control

Procedures, permits, NOIs, NOTs, reports, and quality affecting correspondence are controlled by the organization's document control system (ESH-AP-007, *Document Control*). As a Best Management Practice (BMP), EPC-CP keeps an approved hard copy of the MSGP as well as all of the reapplication materials associated with the permit.

Controlled copies of EPC documents are located on the Internet:

- <https://edrms.lanl.gov/edrms/?docbase=lanldocs&locateld=0b02a68c800079c1>, all other copies are uncontrolled.

Phone calls, emails, or fax communications are documented and controlled if the content provides direction or results in decisions.

4.4.2 Procedures

Procedures that implement the work area/type scope identified in this PIP will be developed and controlled, as needed, in accordance with ADESH-QAP-001, *ADESH Quality Assurance Plan*, ESH-AP-007, *Document Control*, and EPC-CP-QP-0901, *EPC-CP Quality Procedure to Supplement ESH-AP-007, Document Control*.

4.4.3 Electronic Media

The MSGP utilizes electronic means as necessary to maintain data. Databases used to hold data and generate reports to be used in demonstrating compliance are maintained on a common drive of a server or on a cloud platform. These databases are backed-up daily to minimize potential loss of data. The database administrator(s) control access to these databases, allowing only trained authorized personnel access to the databases.

EIM (<https://www.locusfocus.com/eim/eim.cfm>) is a cloud-based database information system designed in part to support the information management needs of the Laboratory's MSGP. MSGP

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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 16 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

support includes analytical data management, stormwater discharge monitoring reporting, Geographic Information System (GIS) development, and other information management activities as needed.

Maintenance Connection (https://www.maintenanceconnection.com/mcv18/online/mc_login.htm) is a cloud-based computerized maintenance management system, or CMMS, used to manage MSGP field activities such as monitoring station installation and removal, inspections, maintenance, sample collection and retrieval, visual inspections, and information management change controls for data stored in Maintenance Connection and in the SWTS Module in EIM.

The MSGP Corrective Action Reporting (MSGP CAR) database <https://epc.lanl.gov> is a Laboratory-managed Oracle APEX database and associated administration module that tracks corrective action data.

4.4.4 Records Management

Records are maintained and available for auditing in accordance with ADESH-AP-006, *Records Management Plan*. The Storm Water Permitting/Compliance Team generates and retains records to ensure compliance with monitoring and recordkeeping requirements as specified by the Laboratory, DOE, and the EPA. Records kept by the MSGP Program include the following:

- Copy of the MSGP
- Annual Reports
- Discharge Monitoring Reports
- Corrective Action Reports
- Notices of Intent (NOIs) and Notices of Termination (NOTs)
- Reports and certifications required by the MSGP
- Data used for compliance purposes
- Inspection forms
- Logbook entries and/or field forms to document inspection and monitoring activity
- Equipment and instrument calibration and maintenance records
- QA documents
- General correspondence that affects the program (e.g., phone calls, emails, log entries, faxes that provide directions or results in decisions)
- Applicable IWDs
- General MSGP compliance documents (correspondence with regulators and stakeholders, notice of change conditions, etc.)

Analytical data packages are stored in EDRMS and are available for public viewing on the Intellus New Mexico website.

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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 17 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

The DEPs assigned to the FOD in which an industrial facility resides keep, as part of the Stormwater Pollution Prevention Plan, the following records pertaining to that facility.

- Stormwater Pollution Prevention Plan
- Reports and certifications required by the MSGP
- Routine Facility Inspection forms
- Visual Assessment forms
- Corrective Action Reports
- Discharge Monitoring Reports
- Annual Reports

All monitoring data shall be collected in accordance with the requirements specified in the MSGP. Triad submits monitoring results to EPA within 60 days of the end of the monitoring period. All Annual Reports and DMRs must be submitted electronically in accordance with the MSGP. Most information required to be submitted by the MSGP is submitted via EPA's electronic tool CDX electronic reporting website (cdx.epa.gov), unless the permit states otherwise or unless a waiver has been granted.

Triad keeps copies of the following documentation for a period of at least 3 years from the date that LANL's coverage under the MSGP expires or is terminated.

- SWPPP (including any modifications made during the term of the MSGP)
- Additional documentation requirements as identified in Section 5.5 of the MSGP
- All reports and certifications required by the MSGP
- Monitoring data
- Records of all data used to complete the NOI.

4.5 Criterion 5 – Performance/Work Processes

Work that contributes to achieving the quality specifications of the MSGP deliverables, is planned and documented, as described in this document and implementing procedures.

Work is performed according to applicable plans and implementing procedures. The Program Lead provides first line supervision of personnel assigned to program tasks to ensure work is performed to achieve program quality specifications. Before changing a work process that affects the program quality specifications, the Program Lead ensures the same level of planning and review as used in the initial program planning steps.

4.5.1 Work Processes

All work should be regarded as a process. Each process consists of a series of actions and is planned and carried out by qualified workers using specified work processes and equipment under

| | | |
|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 18 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

administrative, technical, and environmental controls established by management to achieve an end result. Workers are the best resource of contributing ideas for improving work processes and will be involved in work process design, process evaluation, and providing the feedback necessary for improvement.

Work is planned and performed using the principles of Integrated Safety Management and is in compliance with P300, *Integrated Work Management for Work Activities*.

4.5.2 Stormwater Pollution Prevention Plans

Stormwater Pollution Prevention Plan (SWPPP) development and implementation by the regulated industrial facility is required for MSGP compliance (refer to Sections 5.0 and 8.0 of the MSGP for general SWPPP requirements and Sector-Specific Requirements for Industrial Activity, and Attachment 2, *MSGP Facilities and Monitored Outfalls Associated with Industrial Activity*). The SWPPP is intended to document the selection, design, and installation of control measures. Additional documentation requirements are intended to document the implementation (including inspection, maintenance, monitoring, and corrective action) requirements identified in the MSGP. The SWPPP is a written assessment of potential sources of pollutants in stormwater runoff and control measures that are implemented at the specific industrial facility to minimize the discharge of pollutants in runoff from the site. These control measures include site-specific BMPs, inspections, employee training, and reporting. The plans and procedures detailed in the SWPPP must be implemented by the facility and updated as necessary, with a copy of the SWPPP kept on-site.

The SWPPP development process involves evaluating regulated industrial activities and requires FOD and Operational support for implementation, improvement, and revision of the plans. EPC-CP personnel follow guidance in EPC Division and Group documents including the most current revision of EPC-CP-QP-2110, *MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance*.

4.5.3 Inspections

The MSGP requires periodic inspection of industrial processes and maintenance of BMPs to assure effectiveness of control measures. The Laboratory has implemented a routine inspection process (e.g., monthly or quarterly) of facilities permitted under the MSGP to support this determination. For information about how to perform a Routine Facility Inspection and how to complete the associated form, refer to the most current revision of EPC-CP-QP-2108, *MSGP Routine Facility Inspections*.

Visual assessments are also required by the MSGP as an important tool for collecting information to determine the effectiveness of controls in preventing potential contaminants from migrating off Laboratory property. Accordingly, field personnel conduct visual assessments for stormwater collected at the monitoring stations or discharged through substantially identical outfalls associated with industrial facilities located throughout the Laboratory. Information recorded documents all observations that are required by the MSGP. For information about how to perform a Visual Assessment and how to complete the associated form, refer to the most current revision of EPC-CP-QP-2105, *MSGP Stormwater Visual Assessments*.

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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 19 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

4.5.4 Stormwater Corrective Actions

It is critical that the Laboratory be able to effectively inspect and maintain the BMPs that have been installed at various locations. Quarterly inspections are completed and provided to the Program Lead for inclusion into the records system. In addition, the Program Lead accompanies the DEPs on the last Routine Facility Inspection of the year. All identified conditions requiring corrective action are summarized in an Annual Report submitted EPA each year. Laboratory management has made an investment in time and materials, in addition to a commitment to minimizing the potential migration of contaminants in stormwater. Report findings are evaluated and in conjunction with facility personnel, BMPs are modified, installed, or removed as necessary. EPC-CP personnel will follow guidance in EPC Division and Group documents including the most current revision of EPC-CP-QP-022, *MSGP Corrective Actions*.

4.5.4.1 Responding to Water Quality Exceedances

Federal stormwater regulations implemented under the Laboratory's MSGP require that corrective action be taken if exceedances of water quality standards or MSGP numeric effluent limits are identified. The identification of a pollutant source(s) contributing to a water quality exceedance is addressed through the creation of a condition requiring corrective action that is entered into the MSGP CAR database in accordance with EPC-CP-QP-022, *MSGP Corrective Actions*. Corrective actions are typically accomplished by modifying, as appropriate, existing BMPs and SWPPPs or installing new BMPs.

When a water quality exceedance occurs, the MSGP Data Administrator assures the analytical data is reviewed and submitted on the required DMR. The Program Lead enters the exceedance as a condition requiring corrective action in the MSGP CAR database. DEPs, and other SWPPP team members then investigate the occurrence, implement corrective action and document all corrective actions taken.

When an exceedance of the MSGP benchmark parameters is detected, the same process is followed as identified for a water quality exceedance above.

4.5.5 Stormwater Monitoring

The MSGP requires stormwater monitoring to address three separate criteria: Quarterly Benchmark, Effluent Limitations, and Impaired Waters. Refer to Attachment 2, *MSGP Facilities Associated with Industrial Activity* for a list of Laboratory sites that have monitoring requirements. Stormwater monitoring is conducted by EPC-CP personnel in accordance with the MSGP, EPC-CP procedures, and the current year MSGP Sampling and Analysis Plan. Considerations to be used for MSGP stormwater monitoring include, but may not be limited to, MSGP requirements, State water quality standards, and Administrative Authority requests.

Quarterly benchmark monitoring is used for determining the effectiveness of stormwater controls and, corrective actions for meeting the requirements of the MSGP. Four benchmark stormwater samples per year are required under the MSGP, but it is not necessary to collect them in consecutive quarters if climatic conditions that prevented quarterly collection are documented (see *Adverse Weather Conditions* in Part 6.1.5 of the MSGP). Stormwater monitoring results are used to

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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 20 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

demonstrate compliance with water quality standards and requirements to evaluate results against benchmark parameters.

Annual Impaired Waters stormwater discharge monitoring of all pollutants for which a waterbody is impaired and for which a standard analytical method exists (see 40 CFR Part 136) is required. The canyons within and surrounding the Laboratory are declared as impaired waters by the New Mexico Environment Department. The pollutants vary from canyon to canyon. The impaired waters pollutants are evaluated and published biannually by NMED in the Clean Water Act §303(d)/305(b) Integrated Report (IR). The pollutants may be discontinued in subsequent annual monitoring if the concentration is below background levels in stormwater or if the constituent is not detected for three consecutive years.

MSGP analytical methods applicable to LANL are consistent with the requirements of 40 CFR Part 136, *Guidelines Establishing Test Procedures for the Analysis of Pollutants*.

Since LANL is located in an area where limited rainfall occurs during parts of the year (i.e., in a semi-arid climate) and has periods of freezing conditions, Triad has identified an alternative monitoring period, as allowed by the Permit, of four quarters as follows for each calendar year.

- April 1-May 31
- June 1-July 31
- August 1-September 30
- October 1-November 30

Documentation of the rationale for no monitoring or inspections due to adverse weather conditions must be included in the facility specific SWPPP. Adverse weather conditions are those that are dangerous or create inaccessibility for personnel, such as local flooding, high winds, or electrical storms, or situations that otherwise make sampling impractical, such as drought or extended frozen conditions.

Compliance is tracked by performing inspections of samplers and other associated equipment, and inspecting BMPs. Adequate records are maintained to demonstrate the operating history of essential instrumentation and equipment.

Triad operates and maintains systems of monitoring, control, and related equipment that are installed or used to achieve compliance with the MSGP and the SWPPP. Backup instrumentation and equipment will be timely deployed in the event of equipment failure.

Instrument calibration is essential for documenting the quality of data obtained with the instrument. Technical work that depends upon the accuracy of data is performed using equipment for which the calibration status and limits of accuracy are known and controlled.

Field team personnel calibrate and perform maintenance procedures on all monitoring and analytical field instruments to ensure accuracy of measurements and maintain appropriate records of such activities. Calibrations are documented as prescribed by procedures or manufacturer's instructions.

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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 21 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

Any persons involved in the preparation, retrieval, and analysis must maintain positive control of samples at all times until sample disposal. Chain of custody responsibilities are summarized in Table 4.5.5-1. EPC-CP personnel follow guidance in EPC Division documents including the most current revision of:

- EPC-CP-TP-2102, Installing, Setting Up, and Operating ISCO Samplers;
- EPC-CP-TP-2103, Inspecting Stormwater Runoff Samplers and Retrieving Samples for the MSGP;
- EPC-CP-QP-2104, Installing, Inspecting, and Maintaining MSGP Single Stage Samplers;
- EPC-CP-QP-2111, Per- and Polyfluoroalkyl Substances (PFAS) Sampling for EPC-CP Surface Water Programs; and
- EPC-CP-QP-2106, Processing MSGP Stormwater Samples.

| Table 4.5.5-1 Chain of Custody | |
|---------------------------------------|---|
| Activity | Responsibility |
| Sample collection and preparation | All persons (other than analytical personnel) performing sample preparation and collection are trained to sample collection procedures and adhere to the chain of custody requirements therein. |
| Analysis | Analytical laboratories performing sample analysis maintain sufficient procedures to ensure positive control of samples as specified in the existing Statement of Work. |
| Storage/Disposal | Analytical laboratories maintain/retained samples and/or sample portions under chain of custody until reanalysis, or ultimate disposal. |

The EPC-CP SMO is the central point of contact for analytical laboratory selection, evaluations, sample submittal, and data return. See Section 4.1.3.3 for SMO roles and responsibilities.

4.5.5.1 Quality Control Samples

The planning and coordination of each sampling event and/or monitoring period may include the following quality control (QC) samples to detect potential sources of sample contamination or to track analytical laboratory performance:

- **Equipment Rinse Blank:** A sample of analyte-free water that is prepared in the field using the appropriate sampling equipment with an aliquot of deionized (DI) or certified contaminant-free water that is processed using applicable field equipment in the same manner as the samples.
- **Field Duplicates:** Two samples taken from and representative of the same population and carried through all steps of the sampling and analytical procedures in an identical manner.

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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 22 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

Duplicate samples are used to assess variance of the total method including sampling and analysis.

- **Trip Blank:** Samples of analyte-free water that are prepared in the laboratory using DI or certified contaminant-free water and preserved as required. Trip blanks are used for volatile organic compound (VOC) samples only. Trip blanks are transported, unopened, to the field with other sample containers, handled like environmental samples and shipped to the analytical laboratory for analysis with the collected samples. VOC samples are not a requirement of the MSGP.
- **Field Blank:** A sample of analyte-free water that is prepared in the field using a clean sample container.

The MSGP Program Lead shall consider and include, at a minimum, the collection of QC samples at the frequencies identified in Table 4.5.5.1-1.

| Table 4.5.5.1-1 Quality Control Sampling Requirements | | |
|--|--------------------------------|---|
| Sample Type | Analysis | Frequency |
| Equipment Rinsate Blank | PFAS, o | At the MSGP Program Lead's discretion. |
| Field Blank and/or Field Duplicate | Includes all analytical groups | 10% of samples or a minimum of one per calendar year. |
| PFAS= Per- and polyfluoroalkyl substances | | |

All QC samples shall be collected in accordance with procedures provided in EPC-CP-QP-3027, Sample Containers, Preservation, and Field Quality Control.

4.5.6 Reporting

4.5.6.1 Discharge Monitoring Reports

DMRs are prepared in accordance with the most recent version of the procedure for generating DMRs using the DMR module in EIM. The DMR module is used to prepare the DMR in two formats: a paper form (EPA Form 3320-1) which may be printed as a hard copy or saved as a PDF, and an electronic comma-separated value file for import into the NetDMR electronic reporting system. The Laboratory is required to submit DMRs to EPA electronically using the NetDMR system and to keep a printed copy with the facility-specific SWPPP.

DMRs are due in the NetDMR system no later than 60 days following each monitoring period. NetDMR is accessed via EPA's Central Data Exchange (CDX) website (<https://cdx.epa.gov/>). The DMR manager may import DMRs into NetDMR; however, a designated EPC Signatory Official or Authorized Representative may only submit the DMRs for NPDES Permits. NetDMR roles and permissions for these functions are described on the NetDMR Support Portal (<https://netdmr.zendesk.com/hc/en-us>).

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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 23 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

4.5.6.2 Annual Reports

The Laboratory is required to submit an annual report electronically to the EPA that includes a summary of the findings from inspections and corrective action documentation. The documentation includes the following:

- Information relative to whether a waiver was granted, by whom, and the date the waiver was approved;
- The NPDES Permit Tracking Number;
- A summary of the past year's routine facility inspection documentation (see Part 3.1.2 of the MSGP);
- A summary of your past years quarterly visual assessment documentation (see Part 3.2.2 of the MSGP);
- A summary of the corrective action documentation over the past year (see Part 4.4 of the MSGP); and
- For a four-sample average benchmark monitoring exceedance, if after reviewing the selection, design, installation, and implementation of the site's control measures and considering whether any modifications are necessary to meet the effluent limits in the permit, personnel determine that no further pollutant reductions are technologically available and economically practicable and achievable in light of best industry practice and the rationale for why it is believed no further reduction are achievable (see Part 6.2.1.2 of the MSGP).
- The annual report is submitted electronically via the NetMSGP program service via EPA's CDX website. The annual report may be submitted on a paper form (EPA Form 6100-28) if the Laboratory has been granted a waiver from electronic reporting by the applicable EPA Regional Office.

4.6 Criterion 6 – Performance/Design

Design activities are conducted and reviewed in accordance with:

- PD340, Conduct of Engineering and Configuration Management for Facility Work;
- P341, Facility Engineering Processes Manual and;
- P342, Engineering Standards.

Design standards under this program include, but are not limited to temporary and permanent BMPs, corrective action measures, and stormwater monitoring support.

Design inputs are specified and approved on a timely basis for making design decisions. Inputs contain the level of detail required to permit the performance of design activities correctly.

Formal design reviews, including design verifications and evaluation of design changes, are conducted to ensure that the design input is correctly incorporated into the design output. Changes

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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 24 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

to design will undergo the same review as the original design. A Professional Engineer must stamp engineered designs.

Verification and validation of the adequacy of designs are conducted before relying on the performance of the design function. Verification and validation are conducted in accordance with implementing procedures.

4.7 Criterion 7 – Performance/Procurement

Items and services required to perform the scope for the MSGP Program are commercial grade in nature and no special procurement requirements or needs are necessary. All procurements of equipment, supplies, and/or services will be made in accordance with P840-1, *Quality Assurance for Procurements*.

4.8 Criterion 8 – Performance/Inspection and Acceptance Testing

Materials and services used in this program will be inspected and/or tested prior to acceptance in accordance with P330-8, *Inspection and Test*. Most supplies used during performance of program activities are commercial grade in nature and require no special acceptance practices or procedures.

4.9 Criterion 9 – Assessment/Management Assessment

The EPC-CP Group Leader conducts management assessments and/or MOV assessments of the MSGP Program work areas/types in accordance with P328-3, *Management Assessment* and P328-4, *Management Observation and Verification*. Assessments are documented and filed as records in accordance with ADESH-AP-006, *Records Management*. Violations of requirements and/or findings from management assessments and MOVs will initiate a nonconformance report in accordance with P330-6 Nonconformance Reporting. Corrective actions to resolve the nonconforming services or processes are tracked and documented in accordance with P322-4, *Issues Management*.

4.10 Criterion 10 – Assessment/Independent Assessment

Independent assessments are those assessments conducted by organizations external to EPC-CP. As required by the SD330, *Los Alamos National Laboratory Quality Assurance Program*, this program may be assessed by outside organizations in accordance with P328-2, *Independent Assessment*.

Annual audits/assessments will be conducted, with input from the Storm Water Permitting/Compliance Team Leader identifying one or more areas of the program to be audited each year. If a violation of requirements is found during an independent audit/assessment, a nonconformance report is initiated in accordance with P330-6, *Nonconformance Control and Reporting*. Corrective actions are tracked and documented in accordance with P322-4, *Issues Management*.

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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 25 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

4.11 Suspect/Counterfeit Items Prevention

Suspect/Counterfeit items (S/CI) are prevented from being purchased by Triad at LANL. Potential S/CI are prevented, detected, reported and investigated in accordance with the procedures defined in the LANL procedure P330-9, Suspect/Counterfeit Items (S/CI).

4.12 Safety Software Quality Assurance Requirements for Nuclear Facilities

This section is only applicable for nuclear facilities in accordance with DOE Order 414.1D, Attachment 1 Contractor Requirements Document (CRD), Section 1.b. As such, this section is not applicable to the NPDES MSGP Program.

5.0 IMPLEMENTATION

The requirements of this document are effective on the date provided on the cover page.

6.0 TRAINING

The required training associated with this document is as follows and is documented in accordance with ADESH-TPP-301, *ADESH Training Program Plan*. Training for EPC-CP MSGP employees, DEPs, and subcontractors must be assigned and tracked using UTrain, the institutional training records management system.

- Self-study of this procedure (required reading) is required for all MSGP Program employees, including subcontractors.

7.0 DOCUMENTS AND RECORDS

The ESHQSS DCRM is the Office of Record for this document and maintains the administrative record. Documents and records must be maintained in accordance with PD1020, *Document Control and Records Management*; ESH-AP-007, *Document Control*; and ADESH-AP-006, *Records Management Plan*.

8.0 DEFINITIONS AND ACRONYMS

Use the LANL *Definition of Terms* and those in SD330.

Use the LANL *Acronym Master List*.

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| BMP | Best Management Practice |
| CFR | Code of Federal Regulations |
| CRD | Contractor Requirements Document |
| DCRM | Document Control and Records Management |
| DEP | Deployed Environmental Professional |
| DMR | Discharge Monitoring Report |
| DOE | Department of Energy |
| ESHQSS | Environment, Safety, Health, Quality, Safeguards, and Security |

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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 26 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

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| EPC-CP | Environmental Protection and Compliance-Compliance Programs |
| EIM | Environmental Information Management |
| ELG | Effluent Limitations Guidelines |
| EPA | Environmental Protection Agency |
| FOD | Facility Operations Director |
| LANL | Los Alamos National Laboratory |
| MSGP | Multi-Sector General Program |
| MOV | Management Observation and Verification |
| NeT | NPDES eReporting Tool |
| NOI | Notice of Intent |
| NOT | Notice of Termination |
| NMED | New Mexico Environmental Department |
| NNSA | National Nuclear Safety Administration |
| NPDES | National Pollutant Discharge Elimination System |
| PIP | Program Implementation Plan |
| QA | Quality Assurance |
| QBM | Quarterly Benchmark Monitoring |
| S/CI | Suspect/Counterfeit Items |
| STR | Subcontract Technical Representative |
| SMO | Sample Management Office |
| SWPPP | Stormwater Pollution Prevention Plan |
| SWTS | Storm Water Tracking Module |

9.0 REFERENCES

The latest document revision, available through LANL's Electronic Document and Records Management System, shall be used unless otherwise specified.

Prime Contract

DOE Order 414.1D, Chg. 1, *Quality Assurance*

NPDES MSGP

40 CFR Part 136, *Guidelines Establishing Test Procedures for the Analysis of Pollutants*

Clean Water Act, Title 33 U.S.C. 1251

20.6 Part 4 NMAC, Standards for Interstate Surface Waters

LANL Documents:

SD330, *Los Alamos National Laboratory Quality Assurance Program*

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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 27 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

P101-17, *Excavation/Fill/Soil Disturbance*

P300, *Integrated Work Management for Work Activities*

P322-4, *Issues Management*

P328-2, *Independent Assessment*

P328-3, *Management Assessment*

P328-4, *Management Observation and Verification*

P330-2, *Control and Calibration of Measuring and Test Equipment (M&TE)*

P330-6, *Nonconformance Control and Reporting*

P330-8, *Inspection and Test*

P330-9, *Suspect/Counterfeit Items (S/CI)*

P340, *Conduct of Engineering and Configuration Management for Facility Work*

P341, *Facility Engineering Process Manual*

P342, *Engineering Standards*

EPC-ES-FSD-001, *Implementing Environmental Requirements*

EPC-CP-FSD-001, *Water Quality*

P781-1 *Conduct of Training*

P840-1, *Quality Assurance for Procurements*

P1040, *Software Quality Management*

PD1020, *Document Control and Records Management*

EPC Documents:

ADESH-AP-006, *Records Management Plan*

ESH-AP-007, *Document Control*

ADESH-TPP-301, *ADESH Training Program Plan*

ADESH-QAP-001, *ADESH Quality Assurance Plan*

EPC-DO-QP-100, *General Field Safety*

EPC-CP-QAP-001, *Environmental Compliance Programs Quality Assurance Plan*

EPC-CP-QAP-901, *EPC-CP Quality Procedure to Supplement ESH-AP-007, Document Control*

ENV-RCRA-QP-026, *PR-ID and EX-ID Review Process*

EPC-CP-QP-022, *MSGP Corrective Actions*

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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 28 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

EPC-CP-QP-2104, *Installing, Inspecting, and Maintaining MSGP Single Stage Samplers*

EPC-CP-QP-2105, *MSGP Stormwater Visual Assessments*

EPC-CP-QP-2106, *Processing MSGP Stormwater Samples*

EPC-CP-QP-2107, *Preparing Discharge Monitoring Reports for the NPDES Multi-Sector General Permit*

EPC-CP-QP-2108, *MSGP Routine Facility Inspections*

EPC-CP-QP-2110, *MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance*

EPC-CP-TP-2102, *Installing, Setting Up, and Operating ISCO Samplers*

EPC-CP-TP-2103, *Inspecting Stormwater Runoff Samplers and Retrieving Samples for the MSGP*

10.0 APPENDICIES

Appendix A: NPDES Multi-Sector General Permit Program Management Level Determination, MLDS-TA-60-324 Rev. 0

Appendix B: Safety/Non-Safety Determination, Categorization, and Software Risk Level (SRL) (Form 2033) for Environmental Information Management System

Appendix C: Safety/Non-Safety Determination, Categorization, and Software Risk Level (SRL) (Form 2033) for the MSGP Corrective Action Reporting Database

Appendix D: Safety/Non-Safety Determination, Categorization, and Software Risk Level (SRL) (Form 2033) for Maintenance Connection and Maintenance Connection Express

11.0 ATTACHMENTS

Attachment 1: Summary of QA Requirements and Program-Level (Local) Work Practices

Attachment 2: MSGP Facilities Associated with Industrial Activity

12.0 CONTACT INFORMATION

Entity: EPC-CP Group Leader

Name: Taunia Van Valkenburg

Telephone: (505) 665-9827

E-mail: tauniav@lanl.gov

Website: <https://int.lanl.gov/org/ddops/aladeshqss/environmental-protection/index.shtml>

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| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 29 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

Appendix A: NPDES Multi-Sector General Permit Program Management Level Determination, MLDS-TA-60-324 Rev. 0

(Page 1 of 4)



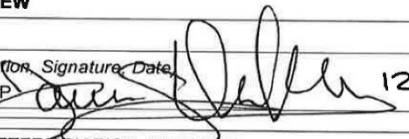
**Multi-Sector Conduct of Engineering
NPDES Construction General Permit Program
Management Level Determination**

MLDS No.: MLDS-TA-60-324

Rev.: 0

Page 1 of

| | | |
|---|--|--|
| 1.0 SYSTEM INFORMATION | | |
| 1.1 TA No.: All | 1.2 Facility No.: All | 1.3 Facility Name: All LANL |
| 1.4 Facility Hazard Category: | | |
| <input type="checkbox"/> Nuclear Facility <input checked="" type="checkbox"/> Nonnuclear Facility | | |
| <input type="checkbox"/> HC-2 <input type="checkbox"/> HC-3 <input type="checkbox"/> Less than HC-3 | <input type="checkbox"/> Chemical High-PSM <input type="checkbox"/> Chemical High-non-PSM <input type="checkbox"/> Chemical Moderate <input checked="" type="checkbox"/> Chemical Low | <input type="checkbox"/> Accelerator <input type="checkbox"/> Firing Range <input type="checkbox"/> Biological <input type="checkbox"/> Explosive |
| 1.5 Operating System ID: WSTWTR | | 1.6 Operating System Name: Waste Water |
| 1.7 System ID: STW | | 1.8 System Name: Storm Water – Multi-Sector General Permit Program |

| | |
|--|--|
| 2.0 SECURITY CLASSIFICATION REVIEW | |
| 2.1 Security Classification: Unclassified | |
| 2.2 DC/RO: (Name, Z Number, Organization, Signature, Date) | |
| Taunia Van Valkenburg, 145666, EPC-CP  12/16/19 | |

| | | |
|---|---|----------------------------|
| 3.0 SYSTEM MANAGEMENT LEVEL DETERMINATION ANALYSIS | | |
| 3.1 Does this system meet one of the criteria below? If "Yes", then check the applicable criteria, insert the safety function(s) and safety analysis reference(s), and go to Section 4.0 and designate the system as ML-1. Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | | |
| <ul style="list-style-type: none"> The system is an SSC of a Hazard Category 2 or 3 Nuclear Facility that performs Documented Safety Analysis (DSA) designated Safety Class (SC) function(s). <input type="checkbox"/> The system is an SSC of an Accelerator Facility that performs Safety Assessment Document (SAD) designated public protection function(s). <input type="checkbox"/> The system is an SSC of a High Hazard Nonnuclear Facility that performs function(s) identified in the Facility Safety Analysis (FSA) for protection of the public. <input type="checkbox"/> | | |
| If "No" is checked then go to Field 3.2 | | |
| No. | SC or public protection functions as defined by Safety Analysis | DSA, SAD, or FSA Reference |
| 3.1-1 | N/A | N/A |
| 3.1-2 | N/A | N/A |
| 3.1-3 | N/A | N/A |
| 3.2 Does this system meet one of the criteria below? If "Yes", then check the applicable criteria, insert the safety function(s) and safety analysis reference(s), and go to Section 4.0 and designate the system as ML-2. Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | | |

LANL


Form No: AP-341-502-FM01, Rev. 6
Form Effective Date: 02/07/18

ES-DO-20-032

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| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 30 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

**Appendix A: NPDES Multi-Sector General Permit Program Management Level Determination,
MLDS-TA-60-324 Rev. 0 (cont.)**

(Page 2 of 4)



Los Alamos
NATIONAL LABORATORY
EST. 1944

Multi-Sector
**NPDES Construction General Permit Program
Management Level Determination**

Conduct of Engineering

MLDS No.: MLDS-TA-60-324 Rev.: 0 Page 2 of

- The system is an SSC of a Hazard Category 2 or 3 Nuclear Facility that performs DSA designated Safety Significant (SS) function(s). ☐
- The system is an SSC of an Accelerator Facility that performs SAD designated worker protection function(s). ☐
- The system is an SSC of a High Hazard Nonnuclear Facility that performs function(s) identified in the FSA for protection of the uninvolved or noninvolved worker. ☐

If "No" is checked then go to Field 3.3.

| No. | SS functions or worker protection functions as defined by Safety Analysis | DSA, SAD, or FSA Reference |
|-------|---|----------------------------|
| 3.2-1 | N/A | N/A |
| 3.2-2 | N/A | N/A |
| 3.2-3 | N/A | N/A |

3.3 Does this system meet one of the criteria below? If "Yes", then check the applicable criteria, insert the function(s) and safety analysis or Facility Management reference(s), and go to Section 4.0 and designate the system as ML-3. Yes ☐ No ☒

LANL

Form No: AP-341-502-FM01, Rev. 6
Form Effective Date: 02/07/18

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| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 31 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

Appendix A: NPDES Multi-Sector General Permit Program Management Level Determination, MLDS-TA-60-324 Rev. 0 (cont.)

(Page 3 of 4)



**Multi-Sector Conduct of Engineering
NPDES Construction General Permit Program
Management Level Determination**

MLDS No.: MLDS-TA-60-324

Rev.: 0

Page 3 of

- The system is an SSC of a Hazard Category 2 or 3 Nuclear Facility that is designated Other Hazard Control (OHC) in the DSA. ☐
- The system is an SSC that performs function(s) for protection of Category I or II Special Nuclear Material (SNM) or Classified Matter as determined by the Facility Management. ☐
- The system is an SSC of a Moderate Hazard Nonnuclear Facility that performs function(s) identified in the FSA for protection of uninvolved or noninvolved worker and the Facility Management requires enhanced engineering, quality, or maintenance support above national codes and standards requirements. ☐
- The system is an SSC that performs important function(s) for compliance with Waste Acceptance Criteria (WAC) for a Waste Receiving Site and as determined by the Facility Management. ☐
- The system is an SSC that performs function(s) for radiation protection that are not covered in the Radiation Protection Safety Management Program (SMP) and are considered important to normal, abnormal, or emergency response by the Facility Management ☐
- The system is an SSC that performs function(s) for environmental protection that are called out in a permit or used to demonstrate environmental compliance that are considered important by the Facility Management. (See discussion below) ☒
- The system is an SSC that performs function(s) that are essential to the facility mission as determined by the Facility Management. ☐

Evaluation. This MLDS is for the overall Multi-Sector General Permit (MSGP) Program at LANL, which is responsible for monitoring the storm water discharges at the outfalls to meet Water Quality Standards. The MSGP Program is responsible for the following:

- Determines inspection requirements, how often to conduct these inspections and what to monitor for;
- Evaluates sample results and compares those results to established effluent limits;
- Provides storm water discharge summary reports to the associated enforcement agencies at a predetermined reporting frequency;
- Works with the enforcement agencies to address identified issues.

In summary, this MLDS is associated with a program and not equipment. There is nothing in the program that would require it to be elevated to ML-3. While the program may rely on equipment to support permit requirements, the equipment (as applicable) should be evaluated separately from the program to determine the appropriate management level.

If "No" is checked then go to Field 3.4

| No. | OHC Functions defined by Safety Analysis or other ML-3 functions as determined by Facility Management | DSA or Facility Management Reference |
|-------|---|--------------------------------------|
| 3.3-1 | Obtain permit coverage (NOI) and modification | N/A |
| 3.3-2 | Permit implementation | N/A |
| 3.3-3 | Compliance inspections | N/A |
| 3.3-4 | Data management | N/A |

LANL

Form No: AP-341-502-FM01, Rev. 6
Form Effective Date: 02/07/18

| | | |
|-----------------------------------|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 32 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

Appendix A: NPDES Multi-Sector General Permit Program Management Level Determination, MLDS-TA-60-324 Rev. 0 (cont.)

(Page 4 of 4)



**Multi-Sector Conduct of Engineering
NPDES Construction General Permit Program
Management Level Determination**

MLDS No.: MLDS-TA-60-324

Rev.: 0

Page 4 of

| | | |
|---|-----------|-----|
| 3.3-5 | Reporting | N/A |
| 3.4 If the System does not meet any of the criteria in fields 3.1, 3.2, or 3.3, then designate the system as ML-4 in Section 4.0. | | |

| 4.0 SYSTEM MANAGEMENT LEVEL DESIGNATION | | | |
|---|-------------------------------|-------------------------------|--|
| ML-1 <input type="checkbox"/> | ML-2 <input type="checkbox"/> | ML-3 <input type="checkbox"/> | ML-4 <input checked="" type="checkbox"/> |

| 5.0 APPROVALS | |
|--|---------------------------------------|
| 5.1 Responsible Engineer (Name, Z Number, Organization, Signature, and Date) | |
| Terrill Lemke, 120092, EPC-CP | <i>Terrill Lemke</i> 4/25/19 |
| 5.2 Verifier (Name, Z Number, Organization, Signature, and Date) | |
| Taunia Van Valkenburg, 145666, EPC-CP | <i>Taunia Van Valkenburg</i> 12/11/19 |
| 5.3 Facility Design Authority Representative (Name, Z Number, Organization, Signature, and Date) | |
| Jason Apperson, 222827, ES-DO | <i>Jason Apperson</i> 12/12/19 |

| 6.0 REVISIONS | | | | | |
|---------------|------|----------------|----|----------|------|
| Rev. No. | Date | Description | RE | Verifier | FDAR |
| 0 | | Original Issue | | | |
| | | | | | |
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LANL

Form No: AP-341-502-FM01, Rev. 6
Form Effective Date: 02/07/18

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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 33 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

Appendix B: Safety/Non-Safety Determination, Categorization, and Software Risk Level (SRL) (Form 2033) for Environmental Information Management System

(Page 1 of 4)



Reference No: _____

Form 2033

The Software Owner RLM must retain completed forms as a record.

Safety/Non-Safety Software Determination, Categorization, and Software Risk Level (SRL) (See Page 5 for Guidance)

| | | | |
|---|---|--|---|
| Part 1: Document the rationale supporting the reasonable probability that the software may be safety software, or risk significant software. | | | |
| 1.1 Excluding personal productivity software that does not provide calculation output (e.g., e-mail software, presentation software), indicate whether the software is or will be used in connection with the design, analysis and/or operation of: <div style="margin-left: 20px;"> <input type="checkbox"/> a nuclear (including radiological) facility (Ref. LANL Nuclear Facility List, Conduct of Operations Resources Website), or <input type="checkbox"/> an accelerator, live-firing range, biological hazard facility, high explosive facility, or moderate- or high- chemical hazard facility as determined using SBP111-1, <i>Facility Hazard Categorization and Documentation</i>; or <input type="checkbox"/> LANL's Essential Functions as described in SEO-COOP-006, <i>LANL NA-LA Continuity of Operations (COOP) Plan</i>. </div> Provide supporting comments (as necessary to document the selection above). | | | |
| Part 2: Document the software information, software application(s) and software function(s). A separate form may be used for each software item or one form may be used for multiple software items. | | | |
| 2.1 Provide software name(s). EIM | 2.2 Provide software version(s). N/A | 2.3 Indicate software owner (SO). John McCann | 2.4 Indicate SO organization. EPC-CP |
| 2.5 Provide a description of the specific facility application(s) to sufficient detail to allow the software to be readily traceable to the point(s) of application within the facility. Include technical area (TA) and building number; or, site-wide or Facility Operating Directorate (FOD)-wide use. Add other descriptive information as required. EIM is a cloud-based software service used by the EPC-CP personnel to support and streamline various activities related to environmental sampling and management, including: sample planning development/documentation, sample tracking/chain-of-custody, quality checks, and reporting. This software can be used by anyone at LANL associated with environmental sampling. | | | |
| 2.6 Indicate System, Structure or Components (SSCs) controlled or affected by the software. Indicate NA if not applicable. N/A 2.6.1 Provide SSC name(s). N/A 2.6.2 Provide functional requirement(s) of the software associated with the SSC. N/A 2.6.3 Provide reference document(s) describing the SSC/software. N/A Provide supporting comments (as required). N/A | | | |
| 2.7 Indicate facility classification (SBP111-1), design, or analysis controlled or affected by the software. Indicate NA if not applicable. N/A 2.7.1 Provide facility classification, design or analysis name. N/A 2.7.2 Provide software functional requirement(s) associated with the facility classification, design or analysis. N/A 2.7.3 Provide reference document(s) describing the facility classification, design, or analysis. N/A Provide supporting comments (as required). N/A | | | |

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| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 34 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

Appendix B: Safety/Non-Safety Determination, Categorization, and Software Risk Level (SRL) (Form 2033) for Environmental Information Management System (cont.)

(Page 2 of 4)

| | |
|-------|--|
| 2.8 | Indicate the hazard control, Safety Management Program (SMP) and or technical safety requirements (TSRs) controlled or affected by the software. Indicate NA if not applicable. N/A |
| 2.8.1 | Provide the hazard control, SMP and/or TSR name. N/A |
| 2.8.2 | Provide the software functional requirement(s) for the hazard control, SMP and/or TSR. N/A |
| 2.8.3 | Provide reference document(s) describing the hazard control, SMP and/or TSR. N/A |
| | Provide supporting comments (as required). N/A |

| | |
|---|---|
| Part 3: Determine whether the software type is (1) safety software; or (2) non-safety software and the associated category for each type. | |
| 3.1 Check one of the following (3.1.1 through 3.1.5) to determine one of the two software types (safety software or non-safety software) and one of the associated 5 categories for each type (i.e. Categories include SSS, SHADS or SMACS for safety software; and, Risk Significant or Commercially Controlled for non-safety software). | |
| Note: If software is determined to be safety software or risk significant software, complete all parts of this form. If software is determined to be commercially controlled software, complete all parts of this form except for Part 4 . | |
| 3.1.1 Safety software: SSS <input type="checkbox"/> | This is software for a nuclear (including radiological) facility that performs, or will perform a safety function as part of a Structure, System, and Component (SSC) and is cited in either (a) a Department of Energy (DOE)-approved documented safety analysis; or, (b) an approved hazard analysis per DOE P 450.4A , <i>Integrated Safety Management Policy</i> and 48 Code of Federal Regulations (CFR) 970.5223-1 , <i>Integration of Environment, Safety, and Health into Work Planning and Execution</i> . This is safety software and is categorized as Safety System Software (SSS). Provide supporting comments (as required). |
| 3.1.2 Safety software: SHADS <input type="checkbox"/> | This is software that is used, or will be used to classify, design, or analyze nuclear (including radiological) facilities. This software is not part of an SSC, but helps to ensure the proper accident or hazards analysis of nuclear (including radiological) facilities or an SSC that performs a safety function. This is safety software and is categorized as Safety and Hazard Analysis Software and Design Software (SHADS). Provide supporting comments (as required). |
| 3.1.3 Safety software: SMACS <input type="checkbox"/> | <div> <input type="checkbox"/> This is software that performs or will perform a hazard control function in support of nuclear (including radiological) facility radiological safety management programs (SMPs) or technical safety requirements (TSRs). This is safety software and is categorized as Safety Management and Administrative Controls Software (SMACS). Provide supporting comments (as required). </div> <div> <input type="checkbox"/> This is software that performs, or will perform a control function in support of a nuclear (including radiological) facility necessary to provide adequate protection from nuclear (including radiological) facility radiological hazards. It supports eliminating, limiting, or mitigating nuclear hazards to workers, the public, or the environment as addressed in 10 CFR 830, <i>Nuclear Safety Management</i>, 10 CFR 835, <i>Occupational Radiation Protection</i>, and the Department of Energy Acquisition Regulation (DEAR) Integrated Safety Management System (ISMS) clause 48 CFR 970.5223-1, <i>Integration of Environment, Safety, and Health into Work Planning and Execution</i>. This is safety software and is categorized as Safety Management and Administrative Controls Software (SMACS). Provide supporting comments (as required). </div> |
| 3.1.4 Non-safety software: Risk Significant <input type="checkbox"/> | This is software that is, or will be used for any of the purposes that safety software is used for only such purposes are in or for an accelerator, live-firing range, biological hazard facility, high explosive facility, or moderate- or high- chemical hazard facility OR, failure of the software would <u>prevent</u> LANL from performing Essential Functions as described in SEO-COOP-006 , <i>LANL NA-LA Continuity of Operations (COOP) Plan</i> . This is non-safety software and is categorized as Risk Significant software. Provide supporting comments (as required). |

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|-----------------------------------|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 35 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

Appendix B: Safety/Non-Safety Determination, Categorization, and Software Risk Level (SRL) (Form 2033) for Environmental Information Management System (cont.)

(Page 3 of 4)




| | |
|---|--|
| <p>3.1.5 Non-safety software: Commercially Controlled <input checked="" type="checkbox"/></p> | <p>This is software that is not, or will not be used for any of the above purposes in 3.1.1–3.1.4. Such software may be acquired (including commercial off the shelf (COTS)) or designed software. Examples of this software include personal productivity software (e.g., Microsoft PowerPoint, Oracle Project Primavera, MS Outlook, etc.) and other types of software (e.g., some business accounting systems, facility personnel comfort temperature monitoring systems). This is non-safety software and is categorized as Commercially Controlled software. Proceed to Part 5. Part 4 is not required.</p> <p>Provide supporting comments (as required).</p> <p>EIM is a cloud-based software tool used to streamline the collection and retention of environmental sampling and analysis data, and meets the Laboratory's obligation to publish all environmental data for public access. While analytical results are made available to anyone (output/customer-side), all approved user interactions (user-side) and software-related activities are controlled through approved procedures (various EPC-CP QPs, and EPC-ES TPs and Guides). While the approved/authorized use of this software item is important to completion of program goals, its use is not consistent with any of the purposes described above in 3.1.1 - 3.1.4. Various LANL Nuclear Facility Documented Safety Analyses (DSAs) discuss sampling within the Hazardous Material Protection Program (HMPP) Safety Management Plan (SMP); however, the DSAs do not explicitly credit any such sampling process or tool (including software) for providing a hazard control function. A failure, modification, or misuse of this software item may cause program-level complications, delays, or operational issues (e.g. sample reporting errors, etc.); however, it is extremely unlikely that such an event (i.e. on its own/without a separate failure of a credited safety system) would adversely effect a facility SSC Safety Function (per 3.1.1), a SSC design analysis (per 3.1.2), an administrative control function (per 3.1.3) as described in any LANL facility DSA, or an COOP Essential Function (per 3.1.4). As such, the EIM software item, as used within the approved EPC-CP scope of work (does not cover any other LANL program/group scope), is considered Non-Safety/Commercially Controlled software.</p> |
|---|--|

| | |
|---|--|
| Part 4: Determine the Software Risk Level (SRL). | |
| <p>4.1 Complete this section for safety software and risk significant software only. Do not complete this section for commercially controlled software. Check only one of the following to determine the SRL. Text shown in [brackets] is applicable to safety software only.</p> | |
| <p>SRL 1 <input type="checkbox"/></p> | <p>4.1.1 This level includes software applications that meet one or more of the following criteria. Failure of the software could:</p> <ul style="list-style-type: none"> ▪ [Compromise a limiting condition for operation]. ▪ [Cause a reduction in the safety margin for a safety SSC that is cited in a DOE approved documented safety analysis.] ▪ Cause a reduction in the safety margin for other systems such as toxic or chemical protection systems that are cited in either (a) a DOE approved documented safety analysis or (b) an approved hazard analysis per DOE P 450.4A, Integrated Safety Management Policy, and the DEAR ISMS clause (48 CFR 970.5223-1, Integration of Environment, Safety, and Health into Work Planning and Execution). ▪ Result in non-conservative safety analysis, design, or misclassification of facilities or SSCs. <p>Provide supporting comments (as required).</p> |
| <p>SRL 2 <input type="checkbox"/></p> | <p>4.1.2 This level includes [safety] software applications that do not meet SRL 1 criteria, but meet one or more of the following criteria:</p> <ul style="list-style-type: none"> ▪ [Safety management databases used to aid in decision making whose failure could impact safety SSC operation.] ▪ Software failure that could result in incorrect analysis, design, monitoring, alarming, or recording of hazardous exposures to workers or the public. ▪ [Software failure could compromise the defense-in-depth capability for a nuclear (including radiological) facility.] <p>Provide supporting comments (as required).</p> |
| <p>SRL 3 <input type="checkbox"/></p> | <p>4.1.3 This level includes software applications that do not meet SRL 2 criteria, but meet one or more of the following criteria. Failure of the software could:</p> <ul style="list-style-type: none"> ▪ Cause a potential violation of regulatory permitting requirements. ▪ Affect environment, safety, health monitoring, or alarming systems. ▪ Affect the safe operation of an SSC. <p>Provide supporting comments (as required).</p> |

| | | |
|-----------------------------------|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 36 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

**Appendix B: Safety/Non-Safety Determination, Categorization, and Software Risk Level (SRL)
(Form 2033) for Environmental Information Management System (cont.)**

(Page 4 of 4)

| | |
|---|--|
| Part 5: Attest to compliant completion, review and approve. A signature is required in 5.1, 5.2 and 5.3 for all completed 2033 Forms. | |
| <p>5.1 As the Software Owner (SO), I have determined the software type, category, and as appropriate, SRL, in accordance with P1040, <i>Software Quality Management</i> and the instructions associated with this form.</p> <p>Provide Name/Z No. (print) John MCCann, 115625</p> | <p>Signature, Date</p> <p> 11-6-2019</p> |
| <p>5.2 As the Software Owner Responsible Line Manager (SO RLM or SRLM), I have reviewed and approve the determination of the software type, category and, as appropriate, SRL for the software as described on this form.</p> <p>Provide Name/Z No. (print) Taunia Van Valkenburg, 145666</p> | <p>Signature, Date</p> <p> 11/6/2019</p> |
| <p>5.3 As the <input checked="" type="checkbox"/> Facility Design Authority Representative (FDAR) for my representative facilities, as the <input type="checkbox"/> LANL Design Authority (DA), or, as the <input type="checkbox"/> Responsible Associate Laboratory Director (RALD), I have reviewed and approve the determination of the software type, category and, as appropriate, SRL for the software as described on this form. Check one.</p> <p>Provide Name/Z No. (print) Jason Apperson, 222827</p> <p>Note: The RALD is authorized to review and approve Form 2033 (rather than the FDAR or DA) for software applications where, as determined by the FDAR or DA, the FDAR or DA does not have the knowledge and/or a reasonable connection to the software.</p> | <p>Signature, Date</p> <p> 11/19/19</p> |

Supporting Comments Continuation Page

As needed, use this space to provide supporting comments. Provide the Form section number that corresponds to the comments.

| | | |
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| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 37 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

Appendix C: Safety/Non-Safety Determination, Categorization, and Software Risk Level (SRL) (Form 2033) for the MSGP Corrective Action Reporting Database

(Page 1 of 4)



Reference No: _____

Form 2033

The Software Owner RLM must retain completed forms as a record.

Safety/Non-Safety Software Determination, Categorization, and Software Risk Level (SRL) (See Page 5 for Guidance)

| | | | |
|--|---|--|--|
| Part 1: Document the rationale supporting the reasonable probability that the software may be safety software, or risk significant software. | | | |
| <p>1.1 Excluding personal productivity software that does not provide calculation output (e.g., e-mail software, presentation software), indicate whether the software is or will be used in connection with the design, analysis and/or operation of:</p> <p><input type="checkbox"/> a nuclear (including radiological) facility (Ref. LANL Nuclear Facility List, Conduct of Operations Resources Website), or</p> <p><input type="checkbox"/> an accelerator, live-firing range, biological hazard facility, high explosive facility, or moderate- or high- chemical hazard facility as determined using SBP111-1, <i>Facility Hazard Categorization and Documentation</i>; or</p> <p><input type="checkbox"/> LANL's Essential Functions as described in SEO-COOP-006, <i>LANL NA-LA Continuity of Operations (COOP) Plan</i>.</p> <p>Provide supporting comments (as necessary to document the selection above).</p> | | | |
| Part 2: Document the software information, software application(s) and software function(s). A separate form may be used for each software item or one form may be used for multiple software items. | | | |
| <p>2.1 Provide software name(s). MSGP Corrective Action Reporting Database and corresponding APEX administrative module</p> | <p>2.2 Provide software version(s). Oracle Fusion Middleware Forms Services 12C and Oracle APEX</p> | <p>2.3 Indicate software owner (SO). Holly Wheeler</p> | <p>2.4 Indicate SO organization. SAE-4</p> |
| <p>2.5 Provide a description of the specific facility application(s) to sufficient detail to allow the software to be readily traceable to the point(s) of application within the facility. Include technical area (TA) and building number; or, site-wide or Facility Operating Directorate (FOD)-wide use. Add other descriptive information as required. The MSGP Corrective Action Reporting (CAR) Database and APEX are software tools used to facilitate the documentation, tracking, and closure of conditions requiring corrective action identified by MSGP Storm Water Permitting and Compliance or DESH personnel. These software tools can be used by anyone at LANL associated with the MSGP Storm Water Program.</p> | | | |
| <p>2.6 Indicate System, Structure or Components (SSCs) controlled or affected by the software. Indicate NA if not applicable. N/A</p> | | | |
| <p>2.6.1 Provide SSC name(s). N/A</p> | | | |
| <p>2.6.2 Provide functional requirement(s) of the software associated with the SSC. N/A</p> | | | |
| <p>2.6.3 Provide reference document(s) describing the SSC/software. N/A</p> | | | |
| <p>Provide supporting comments (as required). N/A</p> | | | |
| <p>2.7 Indicate facility classification (SBP111-1), design, or analysis controlled or affected by the software. Indicate NA if not applicable. N/A</p> | | | |
| <p>2.7.1 Provide facility classification, design or analysis name. N/A</p> | | | |
| <p>2.7.2 Provide software functional requirement(s) associated with the facility classification, design or analysis. N/A</p> | | | |
| <p>2.7.3 Provide reference document(s) describing the facility classification, design, or analysis. N/A</p> | | | |
| <p>Provide supporting comments (as required). N/A</p> | | | |

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| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 38 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

Appendix C: Safety/Non-Safety Determination, Categorization, and Software Risk Level (SRL) (Form 2033) for the MSGP Corrective Action Reporting Database (cont.)

(Page 2 of 4)

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| 2.8 | Indicate the hazard control, Safety Management Program (SMP) and/or technical safety requirements (TSRs) controlled or affected by the software. Indicate NA if not applicable. N/A |
| 2.8.1 | Provide the hazard control, SMP and/or TSR name. N/A |
| 2.8.2 | Provide the software functional requirement(s) for the hazard control, SMP and/or TSR. N/A |
| 2.8.3 | Provide reference document(s) describing the hazard control, SMP and/or TSR. N/A |
| | Provide supporting comments (as required). N/A |

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| Part 3: Determine whether the software type is (1) safety software; or (2) non-safety software and the associated category for each type. | |
| 3.1 Check one of the following (3.1.1 through 3.1.5) to determine one of the two software types (safety software or non-safety software) and one of the associated 5 categories for each type (i.e. Categories include SSS, SHADS or SMACS for safety software; and, Risk Significant or Commercially Controlled for non-safety software). | |
| Note: If software is determined to be safety software or risk significant software, complete all parts of this form. If software is determined to be commercially controlled software, complete all parts of this form except for Part 4 . | |
| 3.1.1 Safety software: SSS <input type="checkbox"/> | This is software for a nuclear (including radiological) facility that performs, or will perform a safety function as part of a Structure, System, and Component (SSC) and is cited in either (a) a Department of Energy (DOE)-approved documented safety analysis; or, (b) an approved hazard analysis per DOE P 450.4A , <i>Integrated Safety Management Policy</i> and 48 Code of Federal Regulations (CFR) 970.5223-1 , <i>Integration of Environment, Safety, and Health into Work Planning and Execution</i> . This is safety software and is categorized as Safety System Software (SSS). Provide supporting comments (as required). |
| 3.1.2 Safety software: SHADS <input type="checkbox"/> | This is software that is used, or will be used to classify, design, or analyze nuclear (including radiological) facilities. This software is not part of an SSC, but helps to ensure the proper accident or hazards analysis of nuclear (including radiological) facilities or an SSC that performs a safety function. This is safety software and is categorized as Safety and Hazard Analysis Software and Design Software (SHADS). Provide supporting comments (as required). |
| 3.1.3 Safety software: SMACS <input type="checkbox"/> | <div> <input type="checkbox"/> This is software that performs or will perform a hazard control function in support of nuclear (including radiological) facility radiological safety management programs (SMPs) or technical safety requirements (TSRs). This is safety software and is categorized as Safety Management and Administrative Controls Software (SMACS). Provide supporting comments (as required). </div> <div> <input type="checkbox"/> This is software that performs, or will perform a control function in support of a nuclear (including radiological) facility necessary to provide adequate protection from nuclear (including radiological) facility radiological hazards. It supports eliminating, limiting, or mitigating nuclear hazards to workers, the public, or the environment as addressed in 10 CFR 830, <i>Nuclear Safety Management</i>, 10 CFR 835, <i>Occupational Radiation Protection</i>, and the Department of Energy Acquisition Regulation (DEAR) Integrated Safety Management System (ISMS) clause 48 CFR 970.5223-1, <i>Integration of Environment, Safety, and Health into Work Planning and Execution</i>. This is safety software and is categorized as Safety Management and Administrative Controls Software (SMACS). Provide supporting comments (as required). </div> |
| 3.1.4 Non-safety software: Risk Significant <input type="checkbox"/> | This is software that is, or will be used for any of the purposes that safety software is used for only such purposes are in or for an accelerator, live-firing range, biological hazard facility, high explosive facility, or moderate- or high- chemical hazard facility OR, failure of the software would <u>prevent</u> LANL from performing Essential Functions as described in SEQ-COOP-006 , <i>LANL NA-LA Continuity of Operations (COOP) Plan</i> . This is non-safety software and is categorized as Risk Significant software. Provide supporting comments (as required). |

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| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 39 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

Appendix C: Safety/Non-Safety Determination, Categorization, and Software Risk Level (SRL) (Form 2033) for the MSGP Corrective Action Reporting Database (cont.)

(Page 3 of 4)

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| <p>3.1.5 Non-safety software: Commercially Controlled <input checked="" type="checkbox"/></p> | <p>This is software that is not, or will not be used for any of the above purposes in 3.1.1–3.1.4. Such software may be acquired (including commercial off the shelf (COTS)) or designed software. Examples of this software include personal productivity software (e.g., Microsoft PowerPoint, Oracle Project Primavera, MS Outlook, etc.) and other types of software (e.g., some business accounting systems, facility personnel comfort temperature monitoring systems). This is non-safety software and is categorized as Commercially Controlled software. Proceed to Part 5. Part 4 is not required.</p> <p>Provide supporting comments (as required).</p> <p>The MSGP CAR Database and APEX are software tools used to track corrective actions from initiation to closure. All approved user interactions and software-related activities are controlled through approved procedures (most directly through EPC-CP-QP-022). While the approved/authorized use of these software items are important to completion of program goals, their use is not consistent with any of the purposes described above in 3.1.1 – 3.1.4. Various LANL Nuclear Facility Documented Safety Analyses (DSAs) mention Quality Improvement within the Quality Assurance (QA) Safety Management Program (SMP). CARs are an important element of any such process within the QA SMP; however, LANL facility DSAs do not explicitly credit any such CAR process or tool (including software) for providing a hazard control function. The failure, modification, or misuse of these software items may cause MSGP program-level complications, delays, or operational issues (e.g. delay or additional effort required to status and close CA items); however, it is extremely unlikely that such an event would adversely effect a facility SSC Safety Function (per 3.1.1), a SSC design analysis (per 3.1.2), an administrative control function (per 3.1.3) as described in any LANL facility DSA, or an COOP Essential Function (per 3.1.4). As such, the MSGP CAR Database and APEX software items are considered Non-Safety/Commercially Controlled software.</p> |
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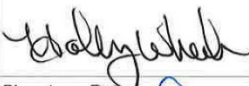
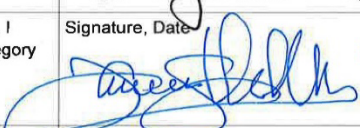

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| Part 4: Determine the Software Risk Level (SRL). | |
| <p>4.1 Complete this section for safety software and risk significant software only. Do not complete this section for commercially controlled software. Check only one of the following to determine the SRL. Text shown in <i>[brackets]</i> is applicable to safety software only.</p> | |
| <p>SRL 1 <input type="checkbox"/></p> | <p>4.1.1 This level includes software applications that meet one or more of the following criteria. Failure of the software could:</p> <ul style="list-style-type: none"> ▪ <i>[Compromise a limiting condition for operation].</i> ▪ <i>[Cause a reduction in the safety margin for a safety SSC that is cited in a DOE approved documented safety analysis.]</i> ▪ Cause a reduction in the safety margin for other systems such as toxic or chemical protection systems that are cited in either (a) a DOE approved documented safety analysis or (b) an approved hazard analysis per DOE P 450.4A, <i>Integrated Safety Management Policy</i>, and the DEAR ISMS clause (48 CFR 970.5223-1, <i>Integration of Environment, Safety, and Health into Work Planning and Execution</i>). ▪ Result in non-conservative safety analysis, design, or misclassification of facilities or SSCs. <p>Provide supporting comments (as required).</p> |
| <p>SRL 2 <input type="checkbox"/></p> | <p>4.1.2 This level includes <i>[safety]</i> software applications that do not meet SRL 1 criteria, but meet one or more of the following criteria:</p> <ul style="list-style-type: none"> ▪ <i>[Safety management databases used to aid in decision making whose failure could impact safety SSC operation.]</i> ▪ Software failure that could result in incorrect analysis, design, monitoring, alarming, or recording of hazardous exposures to workers or the public. ▪ <i>[Software failure could compromise the defense-in-depth capability for a nuclear (including radiological) facility.]</i> <p>Provide supporting comments (as required).</p> |
| <p>SRL 3 <input type="checkbox"/></p> | <p>4.1.3 This level includes software applications that do not meet SRL 2 criteria, but meet one or more of the following criteria. Failure of the software could:</p> <ul style="list-style-type: none"> ▪ Cause a potential violation of regulatory permitting requirements. ▪ Affect environment, safety, health monitoring, or alarming systems. ▪ Affect the safe operation of an SSC. <p>Provide supporting comments (as required).</p> |

Part 5: Attest to compliant completion, review and approve. A signature is required in 5.1, 5.2 and 5.3 for all completed 2033 Forms.

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| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 40 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

**Appendix C: Safety/Non-Safety Determination, Categorization, and Software Risk Level (SRL)
(Form 2033) for the MSGP Corrective Action Reporting Database (cont.)**

(Page 4 of 4)

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| <p>5.1 As the Software Owner (SO), I have determined the software type, category, and as appropriate, SRL, in accordance with P1040, Software Quality Management and the instructions associated with this form.</p> <p>Provide Name/Z No. (print) Holly Wheeler, 118432</p> | <p>Signature, Date</p> <p> 11/06/2019</p> |
| <p>5.2 As the Software Owner Responsible Line Manager (SO RLM or SRLM), I have reviewed and approve the determination of the software type, category and, as appropriate, SRL for the software as described on this form.</p> <p>Provide Name/Z No. (print) Taunia Van Valkenburg, 45666</p> | <p>Signature, Date</p> <p> 11/6/2019</p> |
| <p>5.3 As the <input checked="" type="checkbox"/> Facility Design Authority Representative (FDAR) for my representative facilities, as the <input type="checkbox"/> LANL Design Authority (DA), or, as the <input type="checkbox"/> Responsible Associate Laboratory Director (RALD), I have reviewed and approve the determination of the software type, category and, as appropriate, SRL for the software as described on this form. Check one.</p> <p>Provide Name/Z No. (print) Jason Apperson, 222827</p> <p>Note: The RALD is authorized to review and approve Form 2033 (rather than the FDAR or DA) for software applications where, as determined by the FDAR or DA, the FDAR or DA does not have the knowledge and/or a reasonable connection to the software.</p> | <p>Signature, Date</p> <p> 11/19/19</p> |

Supporting Comments Continuation Page

As needed, use this space to provide supporting comments. Provide the Form section number that corresponds to the comments.

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| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 41 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

Appendix D: Safety/Non-Safety Determination, Categorization, and Software Risk Level (SRL)
(Form 2033) for Maintenance Connection and Maintenance Connection Express
 (Page 1 of 4)



Reference No: _____

Form 2033

The Software Owner RLM must retain completed forms as a record.

Safety/Non-Safety Software Determination, Categorization, and Software Risk Level (SRL)
 (See Page 5 for Guidance)

| | | | |
|---|---|---|---|
| Part 1: Document the rationale supporting the reasonable probability that the software may be safety software, or risk significant software. | | | |
| 1.1 Excluding personal productivity software that does not provide calculation output (e.g., e-mail software, presentation software), indicate whether the software is or will be used in connection with the design, analysis and/or operation of: <ul style="list-style-type: none"> <input type="checkbox"/> a nuclear (including radiological) facility (Ref. LANL Nuclear Facility List, Conduct of Operations Resources Website), or <input type="checkbox"/> an accelerator, live-firing range, biological hazard facility, high explosive facility, or moderate- or high- chemical hazard facility as determined using SBP111-1, Facility Hazard Categorization and Documentation; or <input type="checkbox"/> LANL's Essential Functions as described in SEO-COOP-006, LANL NA-LA Continuity of Operations (COOP) Plan. Provide supporting comments (as necessary to document the selection above). | | | |
| Part 2: Document the software information, software application(s) and software function(s). A separate form may be used for each software item or one form may be used for multiple software items. | | | |
| 2.1 Provide software name(s). Maintenance Connection and Maintenance Connection Express | 2.2 Provide software version(s). N/A | 2.3 Indicate software owner (SO). Terrill Lemke (user) | 2.4 Indicate SO organization. EPC-CP (user org.) |
| 2.5 Provide a description of the specific facility application(s) to sufficient detail to allow the software to be readily traceable to the point(s) of application within the facility. Include technical area (TA) and building number; or, site-wide or Facility Operating Directorate (FOD)-wide use. Add other descriptive information as required. Maintenance Connection and Maintenance Connection Express are software items used by EPC-CP and DESH personnel associated with Storm Water Programs. They are COTS items used to track work activities conducted by the MSGP Storm Water Permitting and Compliance Team. | | | |
| 2.6 Indicate System, Structure or Components (SSCs) controlled or affected by the software. Indicate NA if not applicable. N/A 2.6.1 Provide SSC name(s). N/A 2.6.2 Provide functional requirement(s) of the software associated with the SSC. N/A 2.6.3 Provide reference document(s) describing the SSC/software. N/A Provide supporting comments (as required). N/A | | | |
| 2.7 Indicate facility classification (SBP111-1), design, or analysis controlled or affected by the software. Indicate NA if not applicable. N/A 2.7.1 Provide facility classification, design or analysis name. N/A 2.7.2 Provide software functional requirement(s) associated with the facility classification, design or analysis. N/A 2.7.3 Provide reference document(s) describing the facility classification, design, or analysis. N/A Provide supporting comments (as required). N/A | | | |

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| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 42 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

Appendix D: Safety/Non-Safety Determination, Categorization, and Software Risk Level (SRL) (Form 2033) for Maintenance Connection and Maintenance Connection Express (cont.)

(Page 2 of 4)

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| 2.8 | Indicate the hazard control, Safety Management Program (SMP) and or technical safety requirements (TSRs) controlled or affected by the software. Indicate NA if not applicable. N/A |
| 2.8.1 | Provide the hazard control, SMP and/or TSR name. N/A |
| 2.8.2 | Provide the software functional requirement(s) for the hazard control, SMP and/or TSR. N/A |
| 2.8.3 | Provide reference document(s) describing the hazard control, SMP and/or TSR. N/A |
| | Provide supporting comments (as required). N/A |

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| Part 3: Determine whether the software type is (1) safety software; or (2) non-safety software and the associated category for each type. | |
| 3.1 Check one of the following (3.1.1 through 3.1.5) to determine one of the two software types (safety software or non-safety software) and one of the associated 5 categories for each type (i.e. Categories include SSS, SHADS or SMACS for safety software; and, Risk Significant or Commercially Controlled for non-safety software). | |
| Note: If software is determined to be safety software or risk significant software, complete all parts of this form. If software is determined to be commercially controlled software, complete all parts of this form except for Part 4 . | |
| 3.1.1 Safety software: SSS <input type="checkbox"/> | This is software for a nuclear (including radiological) facility that performs, or will perform a safety function as part of a Structure, System, and Component (SSC) and is cited in either (a) a Department of Energy (DOE)-approved documented safety analysis; or, (b) an approved hazard analysis per DOE P 450.4A, Integrated Safety Management Policy and 48 Code of Federal Regulations (CFR) 970.5223-1, Integration of Environment, Safety, and Health into Work Planning and Execution . This is safety software and is categorized as Safety System Software (SSS). Provide supporting comments (as required). |
| 3.1.2 Safety software: SHADS <input type="checkbox"/> | This is software that is used, or will be used to classify, design, or analyze nuclear (including radiological) facilities. This software is not part of an SSC, but helps to ensure the proper accident or hazards analysis of nuclear (including radiological) facilities or an SSC that performs a safety function. This is safety software and is categorized as Safety and Hazard Analysis Software and Design Software (SHADS). Provide supporting comments (as required). |
| 3.1.3 Safety software: SMACS <input type="checkbox"/> | <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> This is software that performs or will perform a hazard control function in support of nuclear (including radiological) facility radiological safety management programs (SMPs) or technical safety requirements (TSRs). This is safety software and is categorized as Safety Management and Administrative Controls Software (SMACS). Provide supporting comments (as required). </div> <div style="border: 1px solid black; padding: 5px;"> <input type="checkbox"/> This is software that performs, or will perform a control function in support of a nuclear (including radiological) facility necessary to provide adequate protection from nuclear (including radiological) facility radiological hazards. It supports eliminating, limiting, or mitigating nuclear hazards to workers, the public, or the environment as addressed in 10 CFR 830, Nuclear Safety Management, 10 CFR 835, Occupational Radiation Protection, and the Department of Energy Acquisition Regulation (DEAR) Integrated Safety Management System (ISMS) clause 48 CFR 970.5223-1, Integration of Environment, Safety, and Health into Work Planning and Execution. This is safety software and is categorized as Safety Management and Administrative Controls Software (SMACS). Provide supporting comments (as required). </div> |
| 3.1.4 Non-safety software: Risk Significant <input type="checkbox"/> | This is software that is, or will be used for any of the purposes that safety software is used for only such purposes are in or for an accelerator, live-firing range, biological hazard facility, high explosive facility, or moderate- or high- chemical hazard facility OR, failure of the software would <u>prevent</u> LANL from performing Essential Functions as described in SEO-COOP-006, LANL NA-LA Continuity of Operations (COOP) Plan . This is non-safety software and is categorized as Risk Significant software. Provide supporting comments (as required). |

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| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 43 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

Appendix D: Safety/Non-Safety Determination, Categorization, and Software Risk Level (SRL) (Form 2033) for Maintenance Connection and Maintenance Connection Express (cont.)

(Page 3 of 4)

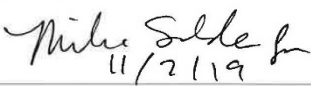


| | |
|---|---|
| <p>3.1.5 Non-safety software: Commercially Controlled <input checked="" type="checkbox"/></p> | <p>This is software that is not, or will not be used for any of the above purposes in 3.1.1–3.1.4. Such software may be acquired (including commercial off the shelf (COTS)) or designed software. Examples of this software include personal productivity software (e.g., Microsoft PowerPoint, Oracle Project Primavera, MS Outlook, etc.) and other types of software (e.g., some business accounting systems, facility personnel comfort temperature monitoring systems). This is non-safety software and is categorized as Commercially Controlled software. Proceed to Part 5. Part 4 is not required.</p> <p>Provide supporting comments (as required).</p> <p>Maintenance Connection and Maintenance Connection Express are COTS items, which have been configured for use in tracking work activities for the MSGP Storm Water Permitting and Compliance Team. All approved user interactions are controlled through approved procedures (QPa). Software-related activities are controlled through the contract LANL has with Maintenance Connection. While the approved/authorized use of these software items is important to completion of program goals, their use is not consistent with any of the purposes described above in 3.1.1 - 3.1.4. Various LANL Nuclear Facility Documented Safety Analyses (DSAs) make mention of Storm Water Monitoring and/or Sampling as part of the Hazardous Material Protection Program (HMPP) Safety Management Plan; however, all such discussion are limited to general facility permitting requirements, and do not mention an specific methods or tools (including software) used by the MSGP Storm Water Permitting and Compliance Team to complete the associated permitting activities. A failure, modification, or misuse of these software items may cause MSGP program-level complications, delays, or operational issues (e.g. work planning issues); however, it is extremely unlikely that such an event would adversely effect a facility SSC Safety Function (per 3.1.1), a SSC design analysis (per 3.1.2), an administrative control function (per 3.1.3) as identified in any LANL facility DSA, or a COOP Essential Function (per 3.1.4). As such, the Maintenance Connection and Maintenance Connection Express software items are considered Non-Safety/Commercially Controlled software.</p> |
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| Part 4: Determine the Software Risk Level (SRL). | |
|--|--|
| 4.1 | Complete this section for safety software and risk significant software only. Do not complete this section for commercially controlled software. Check only one of the following to determine the SRL. Text shown in <i>[brackets]</i> is applicable to safety software only. |
| SRL 1 <input type="checkbox"/> | <p>4.1.1 This level includes software applications that meet one or more of the following criteria. Failure of the software could:</p> <ul style="list-style-type: none"> ▪ <i>[Compromise a limiting condition for operation].</i> ▪ <i>[Cause a reduction in the safety margin for a safety SSC that is cited in a DOE approved documented safety analysis.]</i> ▪ Cause a reduction in the safety margin for other systems such as toxic or chemical protection systems that are cited in either (a) a DOE approved documented safety analysis or (b) an approved hazard analysis per DOE P 450.4A, <i>Integrated Safety Management Policy</i>, and the DEAR ISMS clause (48 CFR 970.5223-1, <i>Integration of Environment, Safety, and Health into Work Planning and Execution</i>). ▪ Result in non-conservative safety analysis, design, or misclassification of facilities or SSCs. <p>Provide supporting comments (as required).</p> |
| SRL 2 <input type="checkbox"/> | <p>4.1.2 This level includes <i>[safety]</i> software applications that do not meet SRL 1 criteria, but meet one or more of the following criteria:</p> <ul style="list-style-type: none"> ▪ <i>[Safety management databases used to aid in decision making whose failure could impact safety SSC operation.]</i> ▪ Software failure that could result in incorrect analysis, design, monitoring, alarming, or recording of hazardous exposures to workers or the public. ▪ <i>[Software failure could compromise the defense-in-depth capability for a nuclear (including radiological) facility.]</i> <p>Provide supporting comments (as required).</p> |
| SRL 3 <input type="checkbox"/> | <p>4.1.3 This level includes software applications that do not meet SRL 2 criteria, but meet one or more of the following criteria. Failure of the software could:</p> <ul style="list-style-type: none"> ▪ Cause a potential violation of regulatory permitting requirements. ▪ Affect environment, safety, health monitoring, or alarming systems. ▪ Affect the safe operation of an SSC. <p>Provide supporting comments (as required).</p> |

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| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 44 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

**Appendix D: Safety/Non-Safety Determination, Categorization, and Software Risk Level (SRL)
(Form 2033) for Maintenance Connection and Maintenance Connection Express (cont.)**

(Page 4 of 4)

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| Part 5: Attest to compliant completion, review and approve. A signature is required in 5.1, 5.2 and 5.3 for all completed 2033 Forms. | |
| <p>5.1 As the Software Owner (SO), I have determined the software type, category, and as appropriate, SRL, in accordance with <u>P1040, Software Quality Management</u> and the instructions associated with this form.</p> <p>Provide Name/Z No. (print) Terrill Lemke, 120092</p> | <p>Signature, Date</p> <p> 11/2/19</p> |
| <p>5.2 As the Software Owner Responsible Line Manager (SO RLM or SRLM), I have reviewed and approve the determination of the software type, category and, as appropriate, SRL for the software as described on this form.</p> <p>Provide Name/Z No. (print) Taunia Van Valkenburg, 145666</p> | <p>Signature, Date</p> <p> 11/2/19</p> |
| <p>5.3 As the <input checked="" type="checkbox"/> <u>Facility Design Authority Representative</u> (FDAR) for my representative facilities, as the <input type="checkbox"/> LANL Design Authority (DA), or, as the <input type="checkbox"/> Responsible Associate Laboratory Director (RALD), I have reviewed and approve the determination of the software type, category and, as appropriate, SRL for the software as described on this form. Check one.</p> <p>Provide Name/Z No. (print) Jason Apperson, 222827</p> <p>Note: The RALD is authorized to review and approve <u>Form 2033</u> (rather than the FDAR or DA) for software applications where, as determined by the FDAR or DA, the FDAR or DA does not have the knowledge and/or a reasonable connection to the software.</p> | <p>Signature, Date</p> <p> 11/19/19</p> |

Supporting Comments Continuation Page

As needed, use this space to provide supporting comments. Provide the Form section number that corresponds to the comments.

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| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 45 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

Attachment 1: Summary of QA Requirements and Program-Level (Local) Work Practices

| Summary of QA Requirements and Program-Level (Local) Work Practices | | |
|--|---|--|
| DOE Order 414.1D/SD 330 Requirements | LANL Work Practice | Local Implementing Procedure or QAP section (if applicable) |
| CRD Attach. 2, 1. Criterion 1 – Management/Program | LANL organization chart; SD100, <i>Integrated Safety Management System Description</i> ; PD100, <i>DOE/NNSA Approved Los Alamos National Laboratory</i> ; 10 CFR 851, <i>Worker Safety and Health Program</i> | EPC-CP organization chart; EPC-DO-QP-100; EPC-CP-IWD-2102 |
| CRD Attach. 2, 2. Criterion 2 – Management/Personnel Training and Qualification | PD781, <i>Training Program Management</i> ; P1040, <i>Software Quality Management</i> | EPC-CP-QAP-001; EPC-CP Manager Qualification Standard EPC-CP Group Qualification Standard EPC-CP-QS-2005; EPC-CP-QS-2006; EPC-CP-QS-2007 |
| CRD Attach. 2, 3. Criterion 3 – Management/Quality Improvement | P101-18, <i>Procedure for Pause/Stop Work</i> ; PD322-4, <i>Issues Management</i> ; PD324, <i>LANL Metrics Program</i> ; P330-6, <i>Nonconformance Control and Reporting</i> | EPC-CP-QAP-001 |
| CRD Attach. 2, 4. Criterion 4 – Management/Document and Records | PD1020, <i>Document Control and Records Management</i> | ADESH-QAP-001; ADESH-AP-006; ESH-AP-007; EPC-CP-QP-0901 |
| CRD Attach. 2, 5. Criterion 5 – Performance/Work Processes | SD100, <i>Integrated Safety Management System Description Document with embedded 10 CFR 851 Worker Safety and Health Program</i> ; PD100, <i>DOE/NNSA Approved Los Alamos National Laboratory</i> ; 10 CFR 851 <i>Worker Safety and Health Program Description</i> ; P151-1, <i>LANL Packaging and Transportation Program Procedure</i> ; PD311, <i>Requirements System and Hierarchy</i> ; | EPC-CP-PIP-2101, <i>NPDES Multi-Sector General Permit Program Implementation Plan</i> ; EPC-CP-TP-2102, <i>Installing, Setting Up, and Operating ISCO Samplers</i> ; EPC-CP-TP-2103, <i>Inspecting ISCO Stormwater Runoff Samplers and Retrieving Samples</i> ; EPC-CP-QP-2104, <i>Installing, Inspecting, and Maintaining MSGP Single Stage Samplers</i> |

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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 46 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

| Summary of QA Requirements and Program-Level (Local) Work Practices | | |
|--|---|--|
| DOE Order 414.1D / SD 330 Requirements | LANL Work Practice | Local Implementing Procedure or QAP section (if applicable) |
| | SD330, <i>Los Alamos National Laboratory Quality Assurance Program</i> ; PD340, <i>Conduct of Engineering for Facility Work</i> ; P315, <i>Conduct of Operations Manual</i> ; P330-2, <i>Control and Calibration of Measuring and Test Equipment (M&TE)</i> ; SD601, <i>Conduct of Research and Development</i> ; PD781, <i>Training Program Management</i> P1040, <i>Software Quality Management</i> | EPC-CP-QP-2105, <i>MSGP Stormwater Visual Assessments</i> ; EPC-CP-QP-2106, <i>Processing MSGP Stormwater Samples</i> ; EPC-CP-QP-2107, <i>Preparing Discharge Monitoring Reports for the NPDES Multi-Sector General Permit</i> ; EPC-CP-QP-2108, <i>MSGP Routine Facility Inspections</i> ; EPC-CP-QP-022, <i>MSGP Corrective Actions</i> ; EPC-CP-QP-2110, <i>MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance</i> EPC-CP-QP-2111, <i>Per- and Polyfluoroalkyl Substances (PFAS) Sampling for EPC-CP Surface Water Programs</i> |
| CRD Attach. 2, 6. Criterion 6 – Performance/Design | <u>For Facility Work:</u> PD340, <i>Conduct of Engineering and Configuration Management for Facility Work</i> ; P341, <i>Facility Engineering Processes Manual</i> ; P342, <i>Engineering Standards</i> ; Engineering Standards Manual; Functional Series documents; Engineering Administrative Procedures <u>For R&D:</u> PD370, <i>Conduct of Engineering for Research and Development (R&D)</i> | No local implementing procedures, LANL Work Practices apply. |
| CRD Attach. 2, 7. Criterion 7 – Performance/Procurement | P840-1, <i>Quality Assurance for Procurements</i> ¹ | No local implementing procedures, LANL Work Practices apply. |
| CRD Attach. 2, 8. Criterion 8 – Performance/Inspection and Acceptance Testing | P330-8, <i>Inspection and Test</i> ³ ; P330-2, <i>Control and Calibration of Measuring and Test Equipment (M&TE)</i> | No local implementing procedures, LANL Work Practices apply. |

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| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 47 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

| Summary of QA Requirements and Program-Level (Local) Work Practices | | |
|---|--|--|
| DOE Order 414.1D / SD 330 Requirements | LANL Work Practice | Local Implementing Procedure or QAP section (if applicable) |
| CRD Attach. 2, 9. Criterion 9 – Assessment/Management Assessment | PD328, <i>LANL Assessment Program</i> ; P328-3, <i>Management Assessment</i> ; P328-4, <i>Management Observation and Verification</i> | ADESH-QAP-001 EPC-CP-QAP-001 |
| CRD Attach. 2, 10. Criterion 10 – Assessment/Independent Assessment | PD328, <i>LANL Assessment Program</i> ; P328-2, <i>Independent Assessment</i> ; P328-4, <i>Management Observation and Verification</i> | No local implementing procedures, LANL Work Practices apply. |
| CRD Attach. 3, Suspect/Counterfeit Items Prevention | P330-9, <i>Suspect/Counterfeit Items (S/CI)</i> ¹ | No local implementing procedures, LANL Work Practices apply. |
| CRD Attach. 4, Safety Software Quality Assurance Requirements for Nuclear Facilities ² | P1040, <i>Software Quality Management</i> ² ; Form 2033, <i>Safety Non-Safety Software Determination, Categorization, and Software Risk Level</i> | No local implementing procedures, LANL Work Practices apply. |
| ¹ S/CI prevention is also integrated into other listed work processes. Application of the S/CI oversight and prevention process is commensurate with the facility/activity hazards and mission impact. The extent of applicability of S/CI prevention for ML-4 items is as described in P840-1, <i>Quality Assurance for Procurements</i> , and P330-9, <i>Suspect/Counterfeit Items (S/CI)</i> . ² DOE Order 414.1D, Chg 1, <i>Quality Assurance</i> , Attachment 1 requires that all software meet the applicable quality assurance requirements in Attachment 2 of DOE Order 414.1D, Chg 1, using a graded approach. LANL uses risk levels to grade safety software and risk significant non-safety software. See P1040, <i>Software Quality Management</i> , for additional detail. ³ For ML-4 items and activities, inspections and tests are performed to extent required by the applicable codes and/or standards. ⁴ Core work practices applicable to R&D are described in SD601, <i>Conduct of Research and Development</i> . | | |


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|--|---------------------|----------------------------|
| NPDES Multi-Sector General Permit | No: EPC-CP-PIP-2101 | Page 48 of 48 |
| | Revision: 0 | Effective Date: 01/19/2021 |

Attachment 2: MSGP Facilities Associated with Industrial Activities

(Page 1 of 1)

| MSGP Facilities Associated with Industrial Activities | | | | | | |
|--|-------------------------------|------------------------------|---------------------------------|---------------|--------------------------------|---------------------|
| Location | Permitted Facility | Operation | Activity | Sector | Assessment Unit | Canyon |
| TA-3-22 | TA-3-22 Power and Steam Plant | Power Plant | Steam Electric Power | O | NM-9000.A_047 | Sandia |
| TA-3-38 | TA-3-38 Carpenter Shop | Timber Products | Fabricated wood products | A | NM-9000.A_047 | Sandia |
| TA-3-38 | TA-3-38 Metals Fab Shop | Metal Shop | Fabricated metal products | AA | NM-9000.A_047 | Sandia |
| TA-16 | Stockpile Area | Materials Storage | Materials Storage | P | NM-128.A_01 | Canyon de Valle |
| TA-60 | TA-60 Asphalt Batch Plant | Asphalt Batch Plant | Asphalt paving | D | NM-9000.A_042 | Mortandad |
| TA-60 | TA-60 MRF | Materials Recycling Facility | Scrap recycling | N | NM-9000.A_047 | Sandia |
| TA-60 | TA-60 Roads and Grounds | Roads and Grounds Facility | Vehicle maintenance and storage | P | NM-9000.A_042 NM-9000.A_047 | Mortandad Sandia |
| TA-60-1 | TA-60-1 Heavy Equipment Yard | Motor Pool | Vehicle maintenance | P | NM-9000.A_047 | Sandia |
| TA-60-2 | TA-60-2 Warehouse | Warehousing | Vehicle fueling | P | NM-9000.A_047 | Sandia |

ATTACHMENT 16: EPC-CP-QP-2108, MSGP ROUTINE FACILITY INSPECTIONS

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|----------------------------|------------------------------|---|
| EPC-CP-QP-2108 | Revision: 0 |  |
| Effective Date: 07/09/2020 | Next Review Date: 07/09/2023 | |

Environment, Safety, Health, Quality, Safeguards, and Security Directorate

Environment Protection and Compliance – Compliance Programs Group

Quality Procedure

MSGP Routine Facility Inspections

Hazard Grading: ☒ Low ☐ Moderate ☐ High/Complex

Usage Level: ☒ Reference ☐ UET ☐ Mixed: UET Sections: _____

Status: ☐ New ☐ Major Revision ☐ Minor Revision

☐ Review w/No Changes ☒ Other: New EPC-CP format & numbering system

Safety Basis: ☒ N/A ☐ USQ ☐ USI Number: _____

Document Author/Subject Matter Expert:

| | | | |
|------------------|---------------|-------------------|----------|
| Name: | Organization: | Signature: | Date: |
| Holly L. Wheeler | EPC-CP | Signature on File | 07-08-20 |

Derivative Classifier: ☒ **Unclassified** or ☐ _____

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|------------------|---------------|-------------------|----------|
| Name: | Organization: | Signature: | Date: |
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| Taunia Van Valkenburg, Group Leader | EPC-CP | Signature on File | 07-09-20 |

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| MSGP Routine Facility Inspections | No: EPC-CP-QP-2108 | Page 2 of 21 |
| | Revision: 0 | Effective Date: 07/09/2020 |

REVISION HISTORY

| Document Number and Revision <i>[Include revision number, beginning with Revision 0]</i> | Effective Date <i>[Document Control Coordinator inserts effective date]</i> | Description of Changes <i>[List specific changes made since the previous revision]</i> |
|--|---|---|
| EPC-CP-QP-023 R0 | 05/17/2018 | New Document. Process formerly part of procedure ENV-RCRA-QP-022 R2, <i>MSGP Corrective Actions</i> . |
| EPC-CP-QP-023 R1 | 03/07/2019 | Added question to inspection form, associated text to document, and renumbered steps. Removed reference to Los Alamos National Security, LLC. Added reference to LANL BMP Manual. Minor edits made. |
| EPC-CP-QP-2108, R0 | 07/09/2020 | Supersedes EPC-CP-QP-023 R1. Reformat to new EPC-CP template, re-number procedure and forms to new EPC-CP procedure numbering system, and other edits. |

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|--|--------------------|----------------------------|
| MSGP Routine Facility Inspections | No: EPC-CP-QP-2108 | Page 3 of 21 |
| | Revision: 0 | Effective Date: 07/09/2020 |

Table of Contents

| | |
|---|----|
| Revision History | 2 |
| 1.0 Introduction | 4 |
| 1.1 Purpose | 4 |
| 1.2 Scope | 4 |
| 1.3 Applicability | 4 |
| 2.0 Roles and Responsibilities..... | 4 |
| 2.1 EPC-CP MSGP Stormwater Permitting and Compliance Team | 5 |
| 2.2 Deployed Environmental Professionals | 5 |
| 2.3 EPC-CP Stormwater Permitting and Compliance Team Leader | 6 |
| 2.4 EPC-CP Group Leader | 6 |
| 2.5 DESH Manager..... | 6 |
| 3.0 Precautions and Limitations | 7 |
| 3.1 Precautions..... | 7 |
| 3.2 Limitations..... | 7 |
| 4.0 Prerequisite Actions..... | 8 |
| 4.1 Planning and Coordination..... | 8 |
| 4.2 Special Tools, Equipment, Parts, and Supplies..... | 8 |
| 5.0 MSGP Routine Facility Inspections | 9 |
| 5.1 Conducting the Inspection | 10 |
| 5.2 Completing the Inspection Form..... | 12 |
| 5.3 Completing the Certification Statement | 13 |
| 6.0 Training | 14 |
| 7.0 Records | 14 |
| 8.0 Definitions and Acronyms..... | 15 |
| 8.1 Definitions | 15 |
| 8.2 Acronyms..... | 15 |
| 9.0 References | 15 |
| 10.0 Attachments | 15 |
| Attachment 1: Screenshot Examples of EPC-CP-QP-2108 R0 Form 1, <i>MSGP Routine Facility Inspection</i> in MC Express..... | 16 |
| Attachment 2: <i>MSGP Routine Facility Inspection</i> Hard Copy Example, EPC-CP-QP-2108 R0 Form 1.... | 19 |

| | | |
|--|--------------------|----------------------------|
| MSGP Routine Facility Inspections | No: EPC-CP-QP-2108 | Page 4 of 21 |
| | Revision: 0 | Effective Date: 07/09/2020 |

1.0 INTRODUCTION

The National Pollutant Discharge Elimination System (NPDES) Multi-Sector General Permit (MSGP), also referred to as the permit, contains specific environmental requirements for inspecting areas of Los Alamos National Laboratory (LANL) covered by the permit. This includes areas where industrial materials or activities are exposed to stormwater, areas identified as potential pollutant sources, areas where leaks and spills have occurred in the past three years, discharge points, and control measures used to comply with the effluent limits of the MSGP.

LANL inspectors and facility personnel are required to perform routine facility inspections for industrial stormwater discharge on LANL areas covered by the MSGP at least quarterly and document observations. Conditions (as described by the MSGP) found during an inspection, requiring a corrective action(s), are managed through EPC-CP-QP-022, *MSGP Corrective Actions*.

1.1 Purpose

Part 3.1 of the MSGP contains specific requirements for conducting and documenting periodic industrial routine facility inspections. This procedure governs the activities of personnel involved in conducting industrial routine facility inspections. It also contains information and specific steps to be used for identifying and documenting conditions in order to meet the permit requirements.

1.2 Scope

Requirements set forth in this document apply to personnel responsible for meeting the permit conditions on behalf of LANL industrial facilities covered by the MSGP. The MSGP requires periodic inspection of facilities and identification, documentation, and reporting of conditions, including those requiring corrective actions.

Inspections conducted under this procedure are documented using the Maintenance Connection Express™ (MC Express) web application on a tablet or notebook style computer. (In the event of electronic hardware or web application failure, personnel may use a printed hard copy to conduct the inspection.)

1.3 Applicability

This procedure applies to Environmental Protection and Compliance—Compliance Programs (EPC-CP) technical staff, Deployed Environmental Professionals (DEPs), and other LANL staff who conduct inspections and monitoring activities at MSGP regulated LANL facilities.

2.0 ROLES AND RESPONSIBILITIES

Specific roles and responsibilities for implementation of requirements contained in this procedure are provided below.

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|--|--------------------|----------------------------|
| MSGP Routine Facility Inspections | No: EPC-CP-QP-2108 | Page 5 of 21 |
| | Revision: 0 | Effective Date: 07/09/2020 |

2.1 EPC-CP MSGP Stormwater Permitting and Compliance Team

EPC-CP MSGP Stormwater Permitting and Compliance personnel are fully knowledgeable of the specific regulatory requirements identified in the MSGP and are responsible for the following:

- Implementing this procedure;
- Performing routine facility inspections the last month or quarter of the year at regulated sites [depending on inspection frequency identified in site-specific Stormwater Pollution Prevention Plans (SWPPPs)];
- Performing “no exposure” site inspections once a year to ensure conditions of the “no exposure” exclusion are met;
- Performing routine facility inspections at inactive sites once a year;
- Identifying issues requiring a corrective action during any of the above inspections or assessments;
- Determining a condition of non-compliance;
- Notifying managers or legal counsel of non-compliances;
- Modifying the site-specific MSGP Routine Facility Inspection Forms (e.g., add or remove Best Management Practices (BMPs));
- Training personnel to use MC Express;
- Performing a quality review of routine facility inspections and “no exposure” site inspections; and
- Assisting customers with issues associated with MC Express.

2.2 Deployed Environmental Professionals

DEPs are responsible for the following:

- Implementing this procedure;
- Knowledgeable of the requirements contained in site-specific SWPPPs within their assigned Facility Operations Directorate (FOD);
- Meeting qualification requirements identified in the Quality Assurance Project Plan EPC-CP-PIP-2101, NPDES *Multi-Sector General Permit Program Implementation Plan*;
- Being trained on EPC-CP-QP-022, *MSGP Corrective Actions*;
- Being trained to *MSGP Routine Inspections OJT*;
- Being familiar with industrial site and facility operations assigned to them so that they minimize sources of pollutants and pro-actively maintain controls to prevent issues that require corrective action;

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|--|--------------------|----------------------------|
| MSGP Routine Facility Inspections | No: EPC-CP-QP-2108 | Page 7 of 21 |
| | Revision: 0 | Effective Date: 07/09/2020 |

3.0 PRECAUTIONS AND LIMITATIONS

3.1 Precautions

The hazard rating for the activities described in this procedure is **LOW** and therefore, does not require an Integrated Work Document (IWD).

Personnel must wear appropriate clothing (e.g., boots, long pants, etc.) to perform work in the field.

Work may be discontinued during periods or conditions that make sites dangerous for worker safety or prevent personnel from safely accessing sites (e.g., weather-related events such as flash floods, flooding, lightning, wildfires, hail, icy roads, deep snow, or LANL operations such as firing shots or burns).

If conditions prevent fieldwork, document the conditions on the work order. Multiple attempts can be documented on the original form. If the target date cannot be met, the field personnel must contact the Program Lead no less than 24 hours before the target date for guidance.

3.2 Limitations

In MC Express, document responses to each question on a work order by clicking the expand arrow located on the right side of the task line and changing the "Complete" or "Failed" line to "Yes." When using a hard copy form, mark the appropriate "Yes" or "No" check box.

Throughout this process, the field personnel will document comments and notations in the "Comments" field of the associated task line. If field personnel need more space, additional comments can be entered in the "Labor Report Update" field (see Section 5.2) when the work order is updated to "Complete" status. When using a hard copy form, document comments on the corresponding task line. If additional space is needed, comments can be entered in the "Labor Report" section at the bottom of the form.

Some terminology varies between the MC Express software and the Maintenance Connection (MC) desktop software.

- The "Reading" field in MC Express is the same field as "Reading Final" in MC desktop and "Meas." on a hard copy (printed) work order.
- The "Complete" option in MC Express is the same as a "Yes" answer; the "Failed" option in MC Express is the same as a "No" answer. MC desktop and hard copy (printed) work orders use "Yes" and "No" terminology.

Click the "Save" bar after all entries for a task line question have been completed and before proceeding to the next task line question. Failure to "Save" results in lost data entries.

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|--|--------------------|----------------------------|
| MSGP Routine Facility Inspections | No: EPC-CP-QP-2108 | Page 8 of 21 |
| | Revision: 0 | Effective Date: 07/09/2020 |

4.0 PREREQUISITE ACTIONS

4.1 Planning and Coordination

1. Schedule work to be completed by the target date appearing on the inspection form or as requested by the MSGP Program Lead if an inspection form is not issued.
2. Inform (e.g., by e-mail) facility contacts (as needed) of the schedule for facility inspection work and locations up to a week (preferred) before but no later than the day before (for minor changes) to be added to the appropriate plan of the day (as necessary).
3. Gather the necessary equipment (see Section 4.2) for the work to be done.
4. Using the Safari or Chrome web browser on a tablet or notebook style computer, log into the MC Express application (<http://express.maintenanceconnection.com>) and confirm that the work order list displayed matches your sites. If the work order lists do not match, contact EPC-CP Data Management personnel for clarification.
5. In MC Express, click on the appropriate work order number to open the work order. The work order will open in the display to the Work Order Summary page.
6. Click on the "Tasks" bar to navigate to the work order Tasks page. See MC Express screen shot examples in Attachment 1.
7. Always log out of MC Express when you have finished work OR if work is interrupted.

4.2 Special Tools, Equipment, Parts, and Supplies

Ensure the following equipment is available.

- Sturdy hiking boots or steel-toed shoes with soles that grip.
- Facility-specific PPE as required by IWD Part II.
- Cell phone (Only government cell phones are allowed in secure areas. See <https://int.lanl.gov/policy/documents/P217.pdf> for requirements for using portable electronic devices on Laboratory property.)
- Copy of this procedure.
- Copy of facility specific SWPPP and map(s) (as needed).
- Current electronic or paper inspection form EPC-CP-QP-2108 R0 Form 1, *MSGP Routine Facility Inspection*.
- LANL issued tablet or notebook style computer with Safari web browser and Blackberry UEM™ app (see <https://int.lanl.gov/policy/documents/P217.pdf> for requirements for using portable electronic devices on Laboratory property).
- Necessary access keys.

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| MSGP Routine Facility Inspections | No: EPC-CP-QP-2108 | Page 9 of 21 |
| | Revision: 0 | Effective Date: 07/09/2020 |

5.0 MSGP ROUTINE FACILITY INSPECTIONS

MSGP routine facility inspections are conducted by the DEP or other qualified facility personnel (as defined in the MSGP or as determined by MSGP Program Lead) during periods when the facility is in operation and during standard operating hours. Results of visual and analytical monitoring for the past year must be considered when planning and conducting an inspection. The inspections are performed on the following facility areas:

- Areas where industrial materials or activities are exposed to stormwater;
- Areas identified in the SWPPP and those that are potential pollutant sources;
- Areas where spills and leaks have occurred in the past;
- Discharge points; and
- Control measures used to comply with the effluent limits contained in the MSGP.

Routine facility inspections are conducted at least quarterly; however, some facilities may conduct monthly inspections (as specified in the facility specific SWPPP). At least once each calendar year, the routine facility inspections must be conducted during a period when stormwater discharge (either rain or snow) is occurring. During the inspection, you must look for the following:

- Industrial materials, residue or trash that may have or could come into contact with stormwater;
- Leaks or spills from industrial equipment, drums, tanks and other containers;
- Offsite tracking of industrial or waste materials, or sediment where vehicles enter or exit the site;
- Tracking or blowing of raw, final or waste materials from areas of “no exposure” to exposed areas; and
- Control measures that need replacement, maintenance or repair.

Conditions requiring corrective action identified during an inspection, monitoring, or other means must be entered into the MSGP Corrective Action Report database by the DEP(s), EPC-CP stormwater personnel and/or other qualified facility personnel (as defined in the MSGP or as determined by MSGP Program Lead). Follow the process in EPC-CP-QP-022, *MSGP Corrective Actions* to address issues found during an inspection.

If the industrial facility is inactive and unstaffed and there are no industrial materials or activities exposed to stormwater, routine inspections may not be required. A determination of whether a facility is inactive or unstaffed is made in coordination with stormwater personnel from EPC-CP, as there are specific documentation and certification requirements that have to be met prior to discontinuing routine inspections. Such a facility is only required to conduct an annual site inspection.

If the industrial facility is eligible for a “no exposure” exclusion, routine inspections are no longer required. A condition of “no exposure” exists when all industrial materials and activities are

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| MSGP Routine Facility Inspections | No: EPC-CP-QP-2108 | Page 10 of 21 |
| | Revision: 0 | Effective Date: 07/09/2020 |

protected by a storm resistant shelter (e.g., moved to an indoor location) to prevent exposure to rain, snow, snowmelt, and/or runoff. A determination of whether a facility is eligible for “no exposure” status is made in coordination with stormwater personnel from EPC-CP, as there are specific documentation and certification requirements that have to be met prior to discontinuing routine inspections. Such a facility is only required to conduct an annual site evaluation and recertification every five years.

5.1 Conducting the Inspection

See Attachment 1 for screen shot examples of EPC-CP-QP-2108 R0 Form 1, *MSGP Routine Facility Inspection* in MC Express. See Attachment 2 for an example of the inspection form in hard copy format. **Questions will be answered “Yes/Complete” or “No/Failed” unless the instructions specify “N/A” may also be used.**

NOTE: Each item number listed in red font below corresponds to a red numbered box on both screenshots and hard copy format.

- [1] **ITEM 1:** Observe the weather at time of inspection. Document the weather and temperature in the “Comments” field of the task line (e.g., Temp. 78°F, sunny, wind less than 5mph).
- [2] **ITEM 2:** Observe and document the facility is free of **previously** unidentified discharges from and/or pollutants that have occurred **since the last inspection**. Describe any new discharges and the specific location in the “Comments” field of the task line.
- [3] **ITEM 3:**
IF the response to **ITEM 2** is “Yes”,
THEN answer this task line as “N/A”.
OR
IF the response to **ITEM 2** is “No”,
THEN answer this task line as “Yes” and document the corrective action previously initiated for the discharge.
- [4] **ITEM 4:** Check the facility is free of discharges of pollutants at the time of inspection. Describe any pollutant discharge and the specific location in the “Comments” field of the task line.
- [5] **ITEM 5:** Check the facility is free of evidence of pollutants entering the drainage system OR the potential for pollutants entering the drainage system. Describe any discharge or potential discharge and the specific location in the “Comments” field of the task line.
- [6] **ITEM 6:** Check the outfall does not have any **new** evidence of erosion **since the last inspection**. Describe any erosion observed in the “Comments” field of the task line.

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| MSGP Routine Facility Inspections | No: EPC-CP-QP-2108 | Page 11 of 21 |
| | Revision: 0 | Effective Date: 07/09/2020 |



- [7] **ITEM 7:** Check all flow dissipation devices are operating effectively and are not in need of repair. Describe any non-functional status of devices in the “Comments” field of the task line (e.g., repair berm, replace rip rap, etc.).
- [8] **ITEM 8:** Check the outfall is free of evidence of pollutants in the discharge and/or the receiving water. Describe any pollutants observed in the “Comments” field of the task line (e.g., sediment from nearby erosion, etc.).
- [9] **ITEM 9:** Check the outfall is free of unauthorized non-stormwater discharges. Describe any unauthorized discharges observed in the “Comments” field of the task line (e.g., street sweeper emptied contents at Outfall 001, etc.).
- [10] Repeat Steps 6 through 9 for each outfall shown on the work order, if the location has more than one outfall.
- [11] **ITEM 10:** Check each control measure is operating effectively. Describe any non-operational condition of the control measure (e.g., erosion, damage, etc.,) and if the control measure needs maintenance, repair, or replacement in the “Comments” field of the task line.
 - [a] Determine if additional controls are necessary, or that existing controls are insufficient and require replacement with a different type of control.
 - [b] The DEPs are responsible for the selection and oversight of proper installation of appropriate control measures per guidance provided in the LANL Stormwater BMP Manual.
- [12] Repeat Step 11 for each control measure shown on the work order, if the location has more than one control measure.
- [13] **ITEM 11:** Check each sector of NPDES specified industrial area/activity is inspected for exposure to stormwater (e.g., metal fabrication; foundry operations; power generation; asphalt production; fabricating timber products; material recycling; warehouse and transportation activity; treatment and storage of hazardous waste).
 - [a] Determine if the control measures associated with each industrial area/activity are appropriate for the activity, effectively controlling stormwater exposure, and operating.
 - [b] Describe any non-operational condition of the control(s) and needed maintenance or a description of corrective actions in the “Comments” field of the task line.
 - [c] For industrial activities that do not occur at the facility, select “N/A” on that task line.
- [14] Repeat Step 13 for each industrial area/activity shown on the work order, if the facility has more than one sector of NPDES specified industrial area/activity.

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| MSGP Routine Facility Inspections | No: EPC-CP-QP-2108 | Page 12 of 21 |
| | Revision: 0 | Effective Date: 07/09/2020 |

- [15] **ITEM 12:** Check the facility is free of any incidence of non-compliance not documented elsewhere on the inspection form. Describe any additional incidences of non-compliance in the “Comments” field of the task line.
- [16] **ITEM 13:** Check the facility meets the MSGP requirements with existing control measures. Describe any additional control measures needed to comply with the Permit.
- [17] After all task lines have been completed, make sure you have clicked the “Save” bar at the bottom of the page.

5.2 Completing the Inspection Form

See Attachment 1 for completing EPC-CP-QP-2108 R0 Form 1 in MC Express and Attachment 2 for a hard copy example.

- [1] Ensure the inspection form has been filled out completely.
- [2] Click the “Back” arrow button  in the upper left hand corner to exit the work order Tasks page and return to the Work Order Summary page.
- [3] Click the checkered flag  in the upper right corner of the work order Summary page to open the Work Order Status Update page. MC Express auto-populates the date and time fields.

CAUTION

MC Express automatically changes the work order status to “Closed.”

- [4] **ITEM 14:** Click on the expand arrow located on the right side of the “New Status” field and select “Completed” from the available dropdown menu.
 - [a] Ensure the date and time that is auto-populated are the date and time that the **work was completed** and **not the date/time the form was filled out**.
 - [b] IF work needs to be performed over multiple days, THEN note the date and time the work began in the Labor Report field.
 - [c] To update the date or time, click the “Date” field and make necessary adjustments using the available timestamp application. Click “Set” to apply changes.
 - [d] IF using a hard copy form, THEN write the date and time the work was completed.
- [5] **ITEM 15:** The field personnel must type or write his/her name in the “Labor Report Update” field.
- [6] Additional notes, observations, or site conditions not documented in a task line “Comments” field can be documented in the “Labor Report Update” field.


| | | |
|--|--------------------|----------------------------|
| MSGP Routine Facility Inspections | No: EPC-CP-QP-2108 | Page 13 of 21 |
| | Revision: 0 | Effective Date: 07/09/2020 |

- [7] Scroll down the page to the “Signature” bar and click the expand arrow on the left side of the bar to open the “Signature” field.
 - [a] **ITEM 16:** Capture an electronic signature by drawing with a finger on the tablet screen.

NOTE: The mouse must be used to sign electronically when using MC Express on a desktop screen (not a tablet).
 - [b] If using a hard copy form, the field personnel will sign his/her name and write in the date of when the form was signed.
 - [c] By electronically signing the work order, field personnel certifies that the information submitted is “true, accurate, and complete.”
- [8] Click on the “Save” bar at the bottom of the page to close the “Signature” field.

5.3 Completing the Certification Statement

Follow Steps 1 through 5 in this section if the inspection form was completed electronically (see Attachment 1). If the inspection form was completed on a hard copy form, skip to Step 6.

- [1] Using the Chrome web browser on a desktop computer, navigate to <http://www.maintenanceconnection.com>. Log into the MC desktop application using your login credentials.
- [2] Click “Open” in the tool bar at the top of the page to open the MC module selections. Click on the “Work Orders” module.
- [3] Click on the “Search” tab at the top left of the page.
 - [a] Enter the work order number in the “Search Value” field.
 - [b] Click the arrow to the right of the “Search Value” field to open the work order in the right split screen.
- [4] Click on the “Report” tab at the top of the page and click the “Work Order Statement” sub-tab.
- [5] Click the Tools drop down menu  in the top right corner of the page.
 - [a] Select “Print” from the options.
 - [b] When the print dialog box opens, select the print options as appropriate for your local printer.
- [6] **ITEM 17:** Obtain a printed name and title, signature, and date on the certification statement.

The certification statement will be signed no more than 14 days after completion of the inspection and a copy sent to the EPC-CP Program Lead or designee.

| | | |
|--|--------------------|----------------------------|
| MSGP Routine Facility Inspections | No: EPC-CP-QP-2108 | Page 14 of 21 |
| | Revision: 0 | Effective Date: 07/09/2020 |

- [a] The routine facility inspection form must be certified with a signature from a manager that meets the definition of a signatory in MSGP Permit Section B.11.A (e.g., FOD, Operations Manager, DSESH Group Leader, EPC-CP Group Leader, EPC-CP Team Lead).
- [b] The manager is certifying the information submitted is “true, accurate, and complete” by signing the inspection form.
- [7] Attach the completed, signed, and certified inspection form to the facility SWPPP.
- [8] Submit a copy of the completed form to the MSGP Program Lead.

6.0 TRAINING

The following personnel require training before implementing this procedure.

- DESH Group and Team Leaders
- EPC-CP MSGP stormwater compliance personnel
- DEPs
- Other personnel identified as being required to conduct stormwater assessments as part of their job duties

All EPC-CP personnel that execute the activities specified in this procedure must meet the minimum qualification and training requirements for their position as identified EPC-CP-PIP-2101, NPDES *Multi-Sector General Permit Program Implementation Plan*. This will include “self-study” (required reading) for this procedure as assigned and documented in accordance with ADOSH-TTP-301, *ADESH Training Program Plan*.

Contract personnel that execute the activities specified in this procedure will be qualified and trained as required by the Exhibit D and Exhibit F. In addition, contract personnel will be required to complete “self-study” (required reading) of this procedure.

7.0 RECORDS

MSGP Routine Facility Inspection forms are signed and certified by individual LANL facilities. These completed forms are maintained in the facility’s SWPPP and managed by the facility’s document management system. The MSGP team may retain a copy for reference purposes.

Below are records generated as a result of implementing this procedure. Records generated are identified by title and type.

| Record Title | QA Record | Non-QA Record |
|---|-------------------------------------|--------------------------|
| EPC-CP-QP-2108 R0 Form 1, <i>MSGP Routine Facility Inspection</i> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

| | | |
|--|--------------------|----------------------------|
| MSGP Routine Facility Inspections | No: EPC-CP-QP-2108 | Page 15 of 21 |
| | Revision: 0 | Effective Date: 07/09/2020 |

8.0 DEFINITIONS AND ACRONYMS

8.1 Definitions

See LANL [Definition of Terms](#).

Best Management Practice (BMP) – Schedules of activities, prohibitions of practices, maintenance procedures, and other management practices to prevent or reduce the pollution of “waters of the United States.” BMPs also include treatment requirements, operating procedures, and practices to control plant site runoff, spillage or leaks, sludge or waste disposal, or drainage from raw material storage (*40 CFR Part 122.2*).

Control Measure – Any BMP or other method (including effluent limitations) used to prevent or reduce the discharge of pollutants to waters of the United States.

8.2 Acronyms

See LANL Acronym Master List.

| | |
|------------|---|
| BMP | Best Management Practice |
| EPC-CP | Environmental Protection and Compliance – Compliance Programs |
| DEP | Deployed Environmental Professional |
| DESH | Deployed Environment, Safety, and Health |
| FOD | Facility Operations Director |
| LANL | Los Alamos National Laboratory |
| MC | Maintenance Connection |
| MC Express | Maintenance Connection Express |
| MSGP | Multi-Sector General Permit |
| NPDES | National Pollutant Discharge Elimination System |
| SWPPP | Stormwater Pollution Prevention Plan |

9.0 REFERENCES

Federal Register, Final National Pollutant Discharge Elimination System (NPDES) General Permit for Stormwater Discharges from Industrial Activities. Federal Register: June 16, 2015, Volume 80, Number 115.

Los Alamos National Laboratory Storm Water BMP Manual

10.0 ATTACHMENTS

Attachment 1: Screenshot Examples of EPC-CP-QP-2108 R0 Form 1, *MSGP Routine Facility Inspection* in MC Express

Attachment 2: EPC-CP-QP-2108 R0 Form 1, *MSGP Routine Facility Inspection* Hard Copy Example

| | | |
|--|--------------------|----------------------------|
| MSGP Routine Facility Inspections | No: EPC-CP-QP-2108 | Page 16 of 21 |
| | Revision: 0 | Effective Date: 07/09/2020 |

Attachment 1: Screenshot Examples of EPC-CP-QP-2108 R0 Form 1, *MSGP Routine Facility Inspection in MC Express*

(Page 1 of 3)

Work Order Tasks Page (Section 5.1, Steps 1-5)

The screenshot shows the 'MC Express' mobile application interface. At the top, a blue header bar contains a back arrow, the text 'MC Express', and a menu icon. Below the header, a white bar displays 'WORK ORDER: MSGP-RI-52112' and 'Tasks' with a search icon. A 'Tasks' dropdown menu is visible. The main content area is titled 'Weather Information' and lists five tasks, each with a flag icon, a number in a red box, and a description. Task 1 is 'Describe the weather at time of inspection and document the temperature (F°)'. Task 2 is 'Is the facility free of previously unidentified discharges from and/or pollutants that have occurred since the last inspection If "No" describe.'. Task 3 is 'If "No" has a CAR been previously initiated for this new discharge?'. Task 4 is 'Is the facility free of discharge of pollutants at the time of inspection? If "No" describe.'. Task 5 is 'Is the facility free of evidence of, or the potential for, pollutants entering the drainage system. If "No" describe.'. Each task has a download icon on the right. At the bottom, a blue bar contains a 'Refresh' button, a grid icon, and a 'List' button.

Work Order Tasks Page (Section 5.1, Steps 6-9)

The screenshot shows the 'MC Express' mobile application interface. At the top, a blue header bar contains a back arrow, the text 'MC Express', and a menu icon. Below the header, a white bar displays 'WORK ORDER: MSGP-RI-52112' and 'Tasks' with a search icon. A black bar with white text reads 'Outfall Inspection (identify needed maintenance and repairs, failed control measures that need replacement, or a description of corrective actions in relevant task comment)'. The main content area lists five tasks, each with a flag icon, a number in a red box, and a description. Task 6 is 'Free of Evidence of Erosion? If "No", describe. Asset: [074] Monitored Outfall'. Task 7 is 'Flow Dissipation Devices Operating Effectively? If "No", describe. Asset: [074] Monitored Outfall'. Task 8 is 'Free of Evidence of Pollutants in Discharges and/or Receiving Water? If "No", describe. Asset: [074] Monitored Outfall'. Task 9 is 'Free of any unauthorized non-stormwater discharges? If "No" describe. Asset: [074] Monitored Outfall'. Each task has a download icon on the right. At the bottom, a blue bar contains a 'Refresh' button, a grid icon, and a 'List' button.

| | | |
|--|--------------------|----------------------------|
| MSGP Routine Facility Inspections | No: EPC-CP-QP-2108 | Page 17 of 21 |
| | Revision: 0 | Effective Date: 07/09/2020 |

Attachment 1: Screenshot Examples of EPC-CP-QP-2108 R0 Form 1, *MSGP Routine Facility Inspection* in MC Express (cont.)

(Page 2 of 3)

Work Order Tasks Page (Section 5.1, Step 11)

MC Express

WORK ORDER: MSGP-RI-52112

Tasks

Control Measures (identify needed maintenance and repairs, failed control measures that need replacement, or a description of corrective actions in relevant task comments).

- 180**
Control Measure is operating effectively? If "No" describe condition & need for Maintenance, Repair, or Replacement.
Asset: [0300503040002] Asphalt Berm
- 190**
Control Measure is operating effectively? If "No" describe condition & need for Maintenance, Repair, or Replacement.
Asset: [0300504060001] Rip Rap
- 200**
Control Measure is operating effectively? If "No" describe condition & need for Maintenance, Repair, or Replacement.
Asset: [0300503200004] EnviroSoxx w/ MetalLoxx

Refresh List

Work Order Tasks Page (Section 5.1, Step 13)

MC Express

WORK ORDER: MSGP-RI-52112

Tasks

Area/Activity exposed to stormwater (identify needed maintenance or a description of corrective actions in relevant task comment).

- 220**
Material loading/unloading and storage areas: controls adequate (appropriate, effective, and operating)? If "No" describe.
- 230**
Transfer areas for substances in bulk: controls adequate (appropriate, effective, and operating)? If "No" describe.
- 240**
Product/chemical storage areas (raw material): controls adequate (appropriate, effective, and operating)? If "No" describe.
- 250**
Liquid tank storage/secondary containment: controls adequate (appropriate, effective, and operating)? If "No" describe.

Refresh List

| | | |
|--|--------------------|----------------------------|
| MSGP Routine Facility Inspections | No: EPC-CP-QP-2108 | Page 18 of 21 |
| | Revision: 0 | Effective Date: 07/09/2020 |

Attachment 1: Screenshot Examples of EPC-CP-QP-2108 R0 Form 1, *MSGP Routine Facility Inspection in MC Express (cont.)*

(Page 3 of 3)

Work Order Tasks Page (Section 5.1, Steps 15 and 16)

MC Express

WORK ORDER: MSGP-RI-52112

Tasks

Non-Compliance

400

12 Free of incidents of observed non-compliance not already identified above? If "No" describe.

Additional Control Measures

420

13 Are permit requirements satisfied with existing control measure(s)? If "No" describe additional control measures needed.

Refresh List

Work Order Status Update Page (Section 5.2, Steps 4-6)

MC Express

WORK ORDER: MSGP-RI-52112

Status Update

Issued / Completed

New Status 14

Completed

Date

1/23/2019 10:39 AM

Percent Complete 100%

Labor Report Update 15

Select Comments to Add.....

Jane Doe

Cancel Save

Work Order Status Update Page (Section 5.2, Step 7)

MC Express

WORK ORDER: MSGP-RI-52112

Status Update

Signature 16

(Remove)

Jane Doe

Cancel Save

| | | |
|--|--------------------|----------------------------|
| MSGP Routine Facility Inspections | No: EPC-CP-QP-2108 | Page 19 of 21 |
| | Revision: 0 | Effective Date: 07/09/2020 |

Attachment 2: MSGP Routine Facility Inspection Hard Copy Example, EPC-CP-QP-2108 R0 Form 1
(Page 1 of 3)

Los Alamos National Laboratory

Work Order MSGP-RI-52112

MSGP Routine Inspection
Printed 1/23/2019 - 12:45 PM (Duplicate Copy)

Maintenance Details

Requested By: Admin, Jane on
1/23/2019 12:30:00 PM

Target: 12/31/2020

Priority/Type: / Inspection

 MSGP Program
RG121.9

Taken By: Banar, Alethea

Department: Utilities and Infrastructure

 TA-3-38 Carpenter Shop

Procedure: MSGP Routine Facility
Inspection (EPC-CP-
QP-2108 R0 Form 1)

Last PM: N/A

Contact: Admin, Jane
Phone: 123-4567

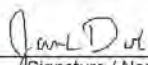
Reason: Example MSGP Routine Facility Inspection

Tasks

| # | Description | Meas. | No | N/A | Yes |
|---|---|-------|--------------------------|--------------------------|--------------------------|
| Weather Information | | | | | |
| 1 20 | Describe the weather at time of inspection and document the temperature (F): | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Within the Facility Boundary | | | | | |
| 2 40 | Is the facility free of previously unidentified discharges from and/or pollutants that have occurred since the last inspection? If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 3 50 | If "No" has a CAR been previously initiated for this new discharge? | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 4 60 | Is the facility free of discharge of pollutants at the time of inspection? If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 5 70 | Is the facility free of evidence of, or the potential for, pollutants entering the drainage system. If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Outfall Inspection (identify needed maintenance and repairs, failed control measures that need replacement, or a description of corrective actions in relevant task comment) | | | | | |
| 6 90 | Monitored Outfall [074] Free of Evidence of Erosion? If "No", describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 7 100 | Monitored Outfall [074] Flow Dissipation Devices Operating Effectively? If "No", describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 8 110 | Monitored Outfall [074] Free of Evidence of Pollutants in Discharges and/or Receiving Water? If "No", describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 9 120 | Monitored Outfall [074] Free of any unauthorized non-stormwater discharges? If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 130 | Substantially Identical Outfall [073] Free of Evidence of Erosion? If "No", describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 140 | Substantially Identical Outfall [073] Flow Dissipation Devices Operating Effectively? If "No", describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 150 | Substantially Identical Outfall [073] Free of Evidence of Pollutants in Discharges and/or Receiving Water? If "No", describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 160 | Substantially Identical Outfall [073] Free of any unauthorized non-stormwater discharges? If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Control Measures (identify needed maintenance and repairs, failed control measures that need replacement, or a description of corrective actions in relevant task comments). | | | | | |
| 180 | Asphalt Berm [0300503040002] Control Measure is operating effectively? If "No" describe condition & need for Maintenance, Repair, or Replacement. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 190 | Rip Rap [0300504060001] Control Measure is operating effectively? If "No" describe condition & need for Maintenance, Repair, or Replacement. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 200 | EnviroSoxx w/ MetalLoxx [0300503200004] Control Measure is operating effectively? If "No" describe condition & need for Maintenance, Repair, or Replacement. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Area/Activity exposed to stormwater (identify needed maintenance or a description of corrective actions in relevant task comment). | | | | | |
| 220 | Material loading/unloading and storage areas: controls adequate (appropriate, effective, and operating)? If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 230 | Transfer areas for substances in bulk: controls adequate (appropriate, effective, and operating)? If "No" describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

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|--|--------------------|----------------------------|
| MSGP Routine Facility Inspections | No: EPC-CP-QP-2108 | Page 20 of 21 |
| | Revision: 0 | Effective Date: 07/09/2020 |

Attachment 2: MSGP Routine Facility Inspection Hard Copy Example, EPC-CP-QP-2108 R0 Form 1
(cont.)
 (Page 2 of 3)

| | | | | |
|---|---|--------------------------|--------------------------|--------------------------|
| 240 | Product/chemical storage areas (raw material): controls adequate (appropriate, effective, and operating)? If "No" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 250 | Liquid tank storage/secondary containment: controls adequate (appropriate, effective, and operating)? If "No" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 260 | Industrial processing and finished product storage areas: controls adequate (appropriate, effective, and operating)? If "No" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 270 | Equipment operation and maintenance areas: controls adequate (appropriate, effective, and operating)? If "No" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 280 | Fueling areas: controls adequate (appropriate, effective, and operating)? If "No" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 290 | Outdoor vehicle and equipment washing areas: controls adequate (appropriate, effective, and operating)? If "No" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 300 | Machinery: controls adequate (appropriate, effective, and operating)? If "No" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 310 | Waste handling and disposal areas: controls adequate (appropriate, effective, and operating)? If "No" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 320 | Erodible areas/construction: controls adequate (appropriate, effective, and operating)? If "No" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 330 | Locations and sources of run-on to the site: controls adequate (appropriate, effective, and operating)? If "No" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 340 | Salt storage piles or pile containing salt: controls adequate (appropriate, effective, and operating)? If "No" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 350 | Dust generation and vehicle tracking: controls adequate (appropriate, effective, and operating)? If "No" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 360 | Housekeeping (Industrial materials/residues/trash in contact with stormwater): controls adequate (appropriate, effective, and operating)? If "No" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 370 | Leaks and spills: controls adequate (appropriate, effective, and operating)? If "No" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 380 | Sector A [03005-] Wood processing, transport or treated wood storage areas: controls adequate (appropriate, effective, and operating)? If "No" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Non-Compliance | | | | |
| 12 | 400 Free of incidents of observed non-compliance not already identified above? If "No" describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Additional Control Measures | | | | |
| 13 | 420 Are permit requirements satisfied with existing control measure(s)? If "No" describe additional control measures needed. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Labor Report | | | | |
| 14 | Completed: 1/23/2019 10:39:00 AM | | | |
| 15 | Report: [Additional notes, observations, or site conditions not documented in Task Line Comments field] | | | |
| | Jane Doe | | | |
| 16 |  | 1/23/2019 | | |
| | Signature / Name | Date | Signature / Name | Date |
| I confirm the information as recorded is true, accurate and complete. | | | | |

| | | |
|--|--------------------|----------------------------|
| MSGP Routine Facility Inspections | No: EPC-CP-QP-2108 | Page 21 of 21 |
| | Revision: 0 | Effective Date: 07/09/2020 |

**Attachment 2: MSGP Routine Facility Inspection Hard Copy Example, EPC-CP-QP-2108 R0 Form 1
(cont.)**

(Page 3 of 3)

CERTIFICATION STATEMENT

"I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations".

(Signatory must meet definition in Section B.11.A, eg., FOD, Ops Mgr, DESH Group Leader, EPC Group Leader)

17 Print name and title: _____

Signature: _____ Date: _____

EPC-CP-QP-2108 R0 Form 1

ATTACHMENT 17: EPC-CP-QP-022, *MSGP CORRECTIVE ACTIONS*

EPC-CP-QP-022Revision: **3**

Effective Date: 12/20/2018

Next Review Date: 12/20/21

Environment, Safety, Health, and Quality, Safeguards and Security Directorate
Environmental Protection and Compliance Division – Compliance Programs
Quality Procedure

MSGP Corrective Actions

Document Owner/Subject Matter Expert:

| | | | |
|---------------|---------------|-------------------|----------|
| Name: | Organization: | Signature: | Date: |
| Holly Wheeler | EPC-CP | Signature on File | 12-19-18 |

Derivative Classifier: ☒ **Unclassified**

| | | | |
|---------------|---------------|-------------------|----------|
| Name: | Organization: | Signature: | Date: |
| Jacob Meadows | EPC-CP | Signature on File | 12-19-18 |

Approval Signatures:

| | | | |
|---------------------------|---------------------|-------------------|----------|
| Subject Matter Expert: | Organization: | Signature: | Date: |
| Holly Wheeler | EPC-CP | Signature on File | 12-19-18 |
| Responsible Line Manager: | Organization: | Signature: | Date: |
| Terrill Lemke | EPC-CP Team Leader | Signature on File | 12-20-18 |
| Responsible Line Manager | Organization | Signature: | Date: |
| Taunia Van Valkenburg | EPC-CP Group Leader | Signature on File | 12-20-18 |

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|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 2 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

Revision History

| Document Number and Revision <i>[Include revision number, beginning with Revision 0]</i> | Effective Date <i>[Document Control Coordinator inserts effective date]</i> | Description of Changes <i>[List specific changes made since the previous revision]</i> |
|--|---|---|
| 0 | 08/10 | New Document. |
| 1 | 11/10 | Incorporated EPC-CP-QP-062 <i>MSGP Routine Inspections</i> into this document. |
| 2 | 01/13 | Biennial revision, new template implemented. |
| EPC-CP-QP-022 R3 | 12/202018 | Revision to reflect new 2015 MSGP requirements. New procedure format was used and organizational changes made. This document replaces ENV-RCRA-QP-022, R2, which was split into EPC-CP-QP-023, R0, MSGP Industrial Stormwater Routine Facility Inspections, and EPC-CP-QP-022, R3, MSGP Corrective Actions. |

| | | |
|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 3 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

Table of Contents

| | |
|---|----|
| Revision History | 2 |
| Table of Contents | 3 |
| 1.0 Introduction | 5 |
| 1.1 Purpose | 5 |
| 1.2 Scope | 5 |
| 1.3 Applicability | 5 |
| 2.0 Precautions and Limitations | 5 |
| 3.0 Prerequisite Actions..... | 6 |
| 3.1 Planning and Coordination..... | 6 |
| 3.2 Tools and Equipment | 6 |
| 4.0 Roles and Responsibilities..... | 6 |
| 4.1 EPC-CP MSGP Stormwater Personnel | 6 |
| 4.2 Deployed Environmental Professionals | 7 |
| 4.3 EPC-CP Storm Water Team Leader..... | 8 |
| 4.4 EPC-CP Group Leader | 8 |
| 4.5 DESH Manager..... | 8 |
| 4.6 Facilities Operations Director..... | 9 |
| 5.0 Process Description | 9 |
| 5.1 Identifying Conditions Requiring Corrective Actions | 9 |
| 5.2 Corrective Action Deadlines and Documentation..... | 10 |
| 5.2.1 Immediate Action..... | 10 |
| 5.2.2 Subsequent Action | 11 |
| 5.2.3 Corrective Action Documentation | 11 |
| 5.3 Effect of Corrective Action | 12 |
| 5.4 Substantially Identical Outfalls..... | 13 |
| 5.5 Spills..... | 13 |
| 5.6 Allowable Non-Stormwater Discharges | 13 |
| 5.7 Entering a Condition Requiring Corrective Action | 14 |
| 5.8 Updating Corrective Actions | 18 |
| 5.9 Validation of Corrective Actions..... | 18 |
| 5.10 Issues Management | 19 |
| 5.11 Notifications for New and Overdue Corrective Actions..... | 19 |
| 6.0 Training | 20 |
| 7.0 Records | 20 |
| 8.0 Definitions and Acronyms..... | 21 |
| 8.1 Definitions | 21 |
| 8.2 Acronyms..... | 21 |
| 9.0 References | 22 |
| 10.0 Attachments | 23 |
| Attachment 1 – Screenshot Example of CAR Database..... | 24 |
| Attachment 2 – Lists of Limited Values in the CAR Database | 27 |
| Attachment 3 – Example New Corrective Action Finding Notification | 29 |

| | | |
|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 4 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

| | |
|--|----|
| Attachment 4 – Example Weekly Notification of Outstanding Corrective Action Findings | 30 |
| Attachment 5 – Example Outstanding Corrective Action Report..... | 31 |

| | | |
|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 5 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

1.0 INTRODUCTION

The National Pollutant Discharge Elimination System (NPDES) Multi-Sector General Permit (MSGP) contains specific environmental requirements for identifying, implementing, documenting and reporting conditions requiring corrective actions. Laboratory personnel (the Deployed Environmental Professionals (DEPs) and Environmental Protection and Compliance Division – Compliance Programs (EPC-CP) Storm Water Team (also referred to as EPC-CP MSGP stormwater personnel) are required to perform routine facility inspections and document all conditions requiring corrective actions found on an inspection form (see EPC-CP-QP-023). Conditions requiring corrective actions can be identified during facility walk-downs, normal daily operations, and/or analytical data evaluations, and can be identified by facility personnel, the DEP or EPC-CP MSGP stormwater personnel.

1.1 Purpose

This procedure governs the activities of Laboratory personnel working at Los Alamos National Laboratory (LANL) involved in identifying, implementing, documenting and entering a condition requiring corrective action, including a permit limit exceedance, into the MSGP Corrective Action Report (CAR) Findings database or CAR database. Part 4.4 of the MSGP contains specific documentation requirements relative to corrective actions. This procedure satisfies these requirements.

1.2 Scope

Requirements set forth in this document apply to personnel responsible for meeting the permit conditions on behalf of LANL industrial sites covered by the MSGP. This permit requires periodic inspection of sites and identification, implementation, documentation, tracking and reporting of conditions requiring corrective actions.

1.3 Applicability

This procedure applies to the EPC-CP MSGP stormwater personnel and DEPs who conduct stormwater inspections and monitoring activities at permitted MSGP sites within LANL.

2.0 PRECAUTIONS AND LIMITATIONS

- 2.1 The hazard level for field activities and office work described in this procedure is a **LOW hazard** rating and does not require an Integrated Work Document (IWD).
- 2.2 Inspections or walk-downs may be discontinued during periods or conditions that make sites dangerous for worker safety or prevent personnel from safely accessing sites (e.g., weather-related events such as flash floods, flooding, lightning, wildfires, hail, icy roads, deep snow, or LANL operations such as firing shots or open burning).

| | | |
|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 6 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

3.0 PREREQUISITE ACTIONS

3.1 Planning and Coordination

DEPs and EPC-CP MSGP stormwater personnel require a CAR database user account (https://msgp-car.lanl.gov/forms/frmservlet?config=msgp_car). Facility Operations Directors (FODs), Deployed Environment, Safety, and Health (DESH) Managers and Operations (Ops) Managers can request a read-access account by contacting the EPC-CP MSGP data administrator for access.

3.2 Tools and Equipment

Tools and equipment for documenting inspections and updating the CAR database include the following:

- LANS issued tablet or notebook style computer with Safari web browser and Blackberry UEM™ app. (see <https://int.lanl.gov/policy/documents/P217.pdf> for requirements on using portable electronic devices on Laboratory property), and
- Access to the CAR database.

Tools and equipment for field work associated with performing inspections and site walk-downs are listed below.

- Sturdy hiking boots or steel or composite toed shoes with soles that grip (some sites require steel or composite toed shoes).
- Safety glasses if required by site.
- Cell phone (only government cell phones with batteries removed are allowed in secure areas.) See <https://int.lanl.gov/policy/documents/P217.pdf> for requirements on using portable electronic devices on Laboratory Property.)
- Copy of this procedure.
- Copy of facility specific Stormwater Pollution Prevention Plan (SWPPP) and map(s) (as needed).
- Necessary access.
- Stockpile of temporary stormwater controls (Best Management Practices [BMPs], e.g., inlet protection, absorbent pads for spills, gravel bags, S-Fence, wattles, etc.)

4.0 ROLES AND RESPONSIBILITIES

Specific roles and responsibilities for implementation of requirements contained in the MSGP are provided below.

4.1 EPC-CP MSGP Stormwater Personnel

EPC-CP MSGP stormwater personnel will be fully knowledgeable of the specific regulatory requirements identified in the MSGP. Additional responsibilities are listed below.

| | | |
|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 7 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

- Implement this procedure;
- Oversee the corrective action process;
- Identify conditions requiring corrective action during internal routine facility inspections, “no exposure” assessments, and/or facility walk-downs performed by them, or during evaluation of monitoring data when permit limits are exceeded;
- Perform a quality review of conditions requiring corrective action submitted in the CAR database;
- Notify managers and/or legal counsel of non-compliances;
- Assist DEPs and other customers with issues associated with the CAR database;
- Prepare and submit 45-day exceedance notification to Region 6, Environmental Protection Agency (EPA) containing information provided by the DEP;
- Prepare and submit the Annual Report summarizing all conditions requiring corrective action for the year in EPA’s electronic NPDES eReporting tool (NeT);
- Prepare management requested metrics relative to conditions requiring corrective action;
- Provide information to the Issues Management Coordinator (IMC) for entering water quality exceedances and other permit violations into the Issues Management (IM) tool; and
- Train personnel to use the CAR database.

4.2 Deployed Environmental Professionals

DEPs will be fully knowledgeable of the site-specific SWPPP for their assigned sites and corrective action requirements identified in the MSGP. In addition, they shall be appropriately trained to meet the job qualifications identified in the *Quality Assurance for Stormwater Multi-Sector General Permit for Industrial Activities Program* (ENV-CP-QAPP-MSGP) and shall be familiar with the regulatory requirements identified in the MSGP, demonstrated by achieving a satisfactory score on the *MSGP Routine Facility Inspections* on-the-job training course #53040. Further, they shall be familiar with facility operations and controls to minimize potential pollutant sources and proactively maintain controls in an attempt to prevent conditions that require corrective action.

The DEPs are responsible for implementing this procedure. They will identify conditions requiring corrective actions observed at their industrial sites and enter them into the CAR database. DEPs act as liaison between the FOD, DESH Manager and facility/operations personnel to ensure all corrective actions are addressed appropriately by overseeing maintenance and/or installation of additional controls, as needed. DEPs are responsible for ensuring corrective action(s) is completed per MSGP requirements and the corrective action timeline (see Sections 5.2.1 and 5.2.2 of this procedure). They will also provide timely updates to the CAR database for closure or update of corrective actions as they are implemented.

When permit limits are exceeded, DEPs are responsible for identifying the source and maintaining existing controls or implementing additional controls, as necessary, to prevent further exceedances.

| | | |
|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 8 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

If the DEP or EPC-CP MSGP stormwater personnel determine that additional controls are necessary, or that existing controls are insufficient and require replacement with a different type of control, the DEPs are responsible for the selection and oversight of proper installation of appropriate control measures per guidance provided in the [LANL Stormwater BMP Manual](#).

DEPs will notify the EPC-CP MSGP data administrator or MSGP Program Lead of key personnel changes (FOD, DESH Manager, Ops Manager, DEP) to ensure automated CAR status notifications are distributed to the appropriate personnel.

CAUTION

Failure to appropriately control pollutant discharges can result in fines and penalties.

Implementing the same control measure numerous times without an improvement in minimization of off-site pollutants is an indication that the control measure is not stringent enough to meet Technology-Based or Water Quality-Based effluent limits identified in the MSGP. Per the MSGP, documentation is required in the SWPPP that justified the selection, design, installation and implementation of a control measure to ensure effluent limits are met.

4.3 EPC-CP Storm Water Team Leader

The EPC-CP Storm Water Team Leader (or team leader) is responsible for compliance oversight relative to the MSGP. The team leader will ensure resources needed to implement the regulatory requirements identified in the MSGP are identified and environmental risks are assessed. Upper management will be notified of these resources or environmental risks, as deemed necessary. In the event there is a dispute regarding the regulatory requirements contained in the MSGP, the Team Leader will make the final determination of the required action. The Team Leader will notify upper management of instances of non-compliance with the permit.

4.4 EPC-CP Group Leader

The EPC-CP Group Leader or designee is responsible for ensuring there are adequate resources to implement the regulatory requirements identified in the MSGP. The group leader also acts as the duly authorized signatory that certifies the Annual Report or Routine Facility Inspections conducted by EPC-CP personnel. The group leader will notify upper management of instances of non-compliance with the permit or other identified environmental risk.

4.5 DESH Manager

The DESH Manager shall work with programmatic entities and the FOD to identify resources for their industrial sites to ensure permit requirements can be implemented. The DESH Manager is responsible for the performance of DEPs under their management. They also provide oversight for ensuring that industrial sites are complying with the MSGP and are responsible for notifying upper management of instances of non-compliance with the permit or other identified environmental risk they become aware of.

| | | |
|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 9 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

4.6 Facilities Operations Director

The FOD provides organizational leadership to ensure that all facility and programmatic activities under their authority are performed in compliance with the MSGP. The FOD is also responsible for establishing an environmental compliance envelope. It is the FOD's responsibility to maintain trained and qualified DEPs and Waste Management Coordinators (WMCs) on staff.

5.0 PROCESS DESCRIPTION

Requirements regarding corrective actions are described in Part 4 of the MSGP. These requirements and conditions are summarized in this section and directly correspond to data fields and lists of values available in the CAR database.

5.1 Identifying Conditions Requiring Corrective Actions

Deployed Environmental Professional (DEP)

- [1] **IF** any of the following conditions are identified,
THEN review and revise, as appropriate, the selection, design, installation, and implementation of control measures in the SWPPP to eliminate the condition and prevent recurrence in the future:
- An unauthorized release or discharge (e.g., spill, leak, or discharge of non-stormwater not authorized by the MSGP [see Section 5.6 of this procedure for a description of allowable discharges]);
 - An inspection or evaluation of the facility by an EPA official and/or local or State entity, determines that modification to the control measures are necessary to meet the non-numeric effluent limits in the MSGP;
 - It is observed during the routine facility inspection, facility walk-down, and/or the quarterly visual assessment that the control measures are not being properly operated and maintained;
 - Construction or a change in design, operation, or maintenance at the facility significantly changes the nature of pollutants discharged in stormwater from the facility, or significantly increases the quantity of pollutants discharged;
 - The average of four quarterly sampling results exceeds an applicable benchmark. If less than four benchmark samples have been taken, but the results are such that an exceedance of the four quarter average is mathematically certain, (i.e., if the sum of quarterly sample results to date is more than four times the benchmark level) this is considered a benchmark exceedance;
 - If effluent limitation guidelines are exceeded at the Asphalt Batch Plant (Sector D); or
 - If impaired water quality standards are exceeded.

| | | |
|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 10 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

DEP and/or EPC-CP MSGP stormwater personnel

- [2] Enter all conditions requiring a corrective action into the EPC-CP MSGP CAR database.

DEP and/or Facility Personnel

- [3] Take immediate action to mitigate the condition requiring a corrective action.
- [4] If needed, follow the permit timeline and process for individual corrective actions that require extensive maintenance.
- [5] Any person authorized to conduct work at LANL can identify a potential stormwater issue. If this occurs, they will:
 - [a] Contact the DEP or EPC-CP MSGP stormwater personnel.
 - [b] The DEP or EPC-CP MSGP stormwater personnel will determine if a condition exists that requires a corrective action.

5.2 Corrective Action Deadlines and Documentation

Specific deadlines for taking corrective action and required documentation are provided in the subsections below.

5.2.1 Immediate Action

DEP and/or Facility Personnel

- [1] **IF** a condition exists that requires corrective action, as described in Section 5.1 [1], **THEN** take the following action immediately (on the same day the condition is found):
 - [a] All reasonable steps necessary to minimize or prevent the discharge of pollutants until a permanent solution is installed and made operational.
 - [b] Clean up any contaminated surfaces so that material will not discharge during subsequent storm events.
 - [c] Minimize or prevent the discharge of pollutants until a permanent solution (if necessary) is installed and made operational.
 - [d] Any corrective action resulting in a change to a stormwater control or procedure (documented in the SWPPP) requires modification of the SWPPP within 14 calendar days of completing corrective action work.

NOTE

For minor conditions, immediate action is often sufficient and no additional action is necessary.

- [2] **IF** a condition is identified at a time in the work day when it is too late to initiate corrective action (i.e., 3:00 pm or later), **THEN**:

| | | |
|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 11 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

- [a] Corrective action must begin no later than the following work day.
- [b] Implement the requirements identified in Section 5.2.1 [1] above.

CAUTION

Solely calling or e-mailing personnel requesting action to be taken is not considered taking immediate action. Entering a Facility Service Request (FSR) is appropriate if it formally starts the work process to address the condition. Temporary BMPs still need to be put in place to minimize or prevent off-site migration of pollutants, especially if a storm event is likely.

5.2.2 Subsequent Action

DEP and/or Facility Personnel

- [1] **IF** additional action is required,
THEN:
 - [a] Complete the corrective action (e.g., install a new or modified control and make it operational or complete the repair) before the next storm event or within 14 calendar days from the time of discovery.
 - [b] Any corrective action resulting in a change to a stormwater control or procedure documented in the SWPPP requires modification of the SWPPP within 14 calendar days of completing corrective action work.
- [2] **IF** completion of the corrective action is infeasible within the 14-day timeframe,
THEN:
 - [a] Document the reasoning in the database.
 - [b] Provide a schedule for completion of the corrective action in the database.

NOTE

Completion of the corrective action cannot exceed 45 days from the time of discovery without having to notify EPA. These time intervals are not grace periods, but are schedules considered reasonable for documenting finding(s) and for making repairs and improvements. They are included in the MSGP to ensure that the conditions prompting the need for these repairs and improvements are not allowed to persist indefinitely. In no instance will the corrective action remain open indefinitely (Part 4.3.2 of the MSGP).

5.2.3 Corrective Action Documentation

DEP and/or EPC-CP

- [1] Document existence of any of the conditions listed in Section 5.1 [1] of this procedure in the CAR database within 24 hours of becoming aware of such condition (or if identified late in the work day, by the following work day).

| | | |
|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 12 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

[2] Include the following information in the documentation:

- Description of the condition triggering the need for corrective action review. For any spills or leaks, include the following information:
 - a description of the incident including material, date/time, amount, location, and reason for spill;
 - any leaks, spills or other releases that resulted in discharges of pollutants to waters of U.S., through stormwater or otherwise;
- Date the condition was identified; and
- Description of immediate actions taken (Part 4.3.1 of the MSGP) to minimize or prevent the discharge of pollutants. For any spills or leaks, include response actions, the date/time clean-up was completed, notifications made (if any), and staff involved. Also include any measures taken to prevent the reoccurrence of such releases (Part 2.1.2.4 of the MSGP).

[3] Provide the dates when each corrective action was initiated and completed (or is expected to be completed).

- [a] If applicable, document why it is infeasible to complete the necessary installations or repairs within the 14-day timeframe, and
- [b] Document your schedule for installing the controls and making them operational as soon as practicable after the 14-day timeframe.
- [c] **IF** EPA must be notified regarding an extension of the 45-day timeframe, **THEN** the DEP must document the rationale for an extension.

EPC-CP MSGP stormwater personnel

[4] Prepare and submit 45-day exceedance notifications based on information entered into the CAR database by the DEPs.

DEP

[5] Ensure that the information in the CAR database is kept up-to-date, to include the following:

- [a] a thorough description of the nature of the condition requiring corrective action,
- [b] corrective action(s) taken and/or outstanding,
- [c] the steps and schedule for completing a corrective action (if not completed within 14 days), and
- [d] rationale for why the corrective action cannot be completed within 45-days.

5.3 Effect of Corrective Action

When the condition requiring corrective action is a permit violation (e.g., non-compliance with an effluent limit or exceedance of a water quality standard), correcting it does not remove the original

| | | |
|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 13 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

violation. Additionally, failing to take corrective action in accordance with Part 4 of the MSGP is an additional permit violation.

NOTE

The EPA will consider the appropriateness and promptness of corrective action in determining enforcement responses to permit violations (Part 4.5 of the MSGP).

5.4 Substantially Identical Outfalls

When the condition requiring corrective action is associated with an outfall that has been identified as a “substantially identical outfall” (see Parts 3.2.3 and 6.1.1 of the MSGP), a review will assess the need for corrective action for all related substantially identical outfalls. Any necessary changes to control measures that affect these other outfalls will be made before the next storm event if possible, or as soon as practicable following that storm event. Any condition requiring corrective action(s) will be addressed within the timeframes set forth in Part 4.3 of the MSGP (also see Section 5.2 of this procedure).

5.5 Spills

DEP and/or Facility Personnel

- [1] Clean up all leaks or spills immediately and enter into the CAR database.
 - [a] If the spill is immediately cleaned up, and controls are implemented to prevent further leakage, the condition requiring corrective action can be closed.

5.6 Allowable Non-Stormwater Discharges

The following are allowable non-stormwater discharges authorized by the MSGP:

- Discharges from emergency/unplanned fire-fighting activities;
- Fire hydrant flushing;
- Potable water, including water line flushing;
- Uncontaminated condensate from air conditioners, coolers/chillers, and other compressors and from the outside storage of refrigerated gases or liquids;
- Irrigation drainage;
- Landscape watering provided all pesticides, herbicides, and fertilizer have been applied in accordance with the approved labeling;
- Pavement wash waters where no detergents or hazardous cleaning products are used (e.g., bleach, hydrofluoric acid, muriatic acid, sodium hydroxide, nonylphenols), and wash waters do not come into contact with oil and grease deposits, sources of pollutants associated with industrial activities (see Part 5.2.3 of the MSGP), or any other toxic or hazardous materials, unless residues are first cleaned up using dry clean-up methods (e.g., applying absorbent

| | | |
|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 14 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

material and sweeping, using hydrophobic mops/rags) and you have implemented appropriate control measures to minimize discharges of mobilized solids and other pollutants (e.g., filtration, detention, settlement);

- Routine external building washdown/power wash water that does not use detergents or hazardous cleaning products (e.g., those containing bleach, hydrofluoric acid, muriatic acid, sodium hydroxide, nonylphenols);
- Uncontaminated ground water or spring water;
- Foundation of footing drains where flows are not contaminated with process materials; and
- Incidental windblown mist from cooling towers that collects on rooftops or adjacent portions of your facility, but not intentional discharges from the cooling tower (e.g., “piped” cooling tower blowdown or drains).

5.7 Entering a Condition Requiring Corrective Action

To enter a condition requiring corrective action into the CAR database, perform the steps in this section.

Enter clear, complete, and concise language. Correct grammar, punctuation, and spelling errors.

Select the appropriate value from each pull-down menu that applies to the condition requiring corrective action. This information will be used to populate a report that will be submitted to the EPA and is extracted from the database to populate automatic e-mail notifications to managers. Therefore, it is critical that all information entered into the CAR database is correct.

DEP or EPC-CP MSGP stormwater personnel

- [1] Using internet explorer, access the CAR database at https://msgp-car.lanl.gov/forms/frmservlet?config=msgp_car.
- [2] From the main screen, click on “Enter New Corrective Action.”
 - [a] Select the “Corrective Action Header” tab.
 - [b] Enter the following (refer to Attachment 1 for data entry screenshot cross reference to **Item numbers in red** listed below):
 - **Item 1:** Name of facility by clicking on the “List” tab and selecting a facility (refer to Attachment 2 for a list of available facilities).
 - **Item 2:** Date/Time problem was identified (mm/dd/yyyy hh:mm) (*the inspection date or the date you first become aware of the issue*).

There must be a space between the date (mm/dd/yyyy) and the time (hh:mm).

All dates and times will be entered as mm/dd/yyyy hh:mm in 24-hr (military time) format. Time is tracked to document whether immediate action was taken, whether the issue was documented within 24 hours, and the specific time interval before a corrective action is completed and closed (see Section 5.2 of

| | | |
|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 15 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

this procedure for corrective action deadlines). Do not leave time as 00:00 (the system default) unless the action occurred at midnight.

- **Item 3:** Date/Time of Notification to EPC-CP (mm/dd/yyyy hh:mm) *(the date the condition is entered into the CAR database or verbal or written notification is provided to the EPC-CP MSGP Program Lead. Conditions reported by verbal or written notification must still be entered into the CAR database.)*

The existence of any of the conditions listed in Section 5.1 of this procedure must be documented in the CAR database within 24 hours of becoming aware of such condition (or if identified late in the work day, by the following work day).

- **Item 4:** FOD Responsible for CA (Name & Org) by clicking in the box. FOD designations (for example “STO”) and the associated name list will pop up. Select the appropriate FOD.

Contact the EPC-CP MSGP Program Lead at 667-1312 or hbenson@lanl.gov if the FOD name or organization is incorrect, so this can be corrected.

- **Item 5:** Describe Specific Evaluation Location (for example, “Northeast corner of Building TA-3-66.”)
- **Item 6:** Inspector Z-Number by clicking in the box, which will populate with the Z number of the person who is logged into the database and performing entry. In most instances, the DEP will be identified as the inspector.
- **Item 7:** Person Identifying Condition Z-Number by clicking in the box, which will populate with the Z number of the person who is logged into the database and performing entry. If the person identifying the condition is someone other than the inspector, enter that person’s Z-number.

Any person authorized to conduct work at LANL can identify a potential stormwater issue. If this occurs, they will contact the DEP or EPC-CP MSGP stormwater personnel who will determine if a condition exists that requires corrective action.

- **Item 8:** Status defaults to “A new corrective action” without making a selection. In the event a condition is entered that is determined to not require corrective action, this status can be changed to “Void” by clicking in the box and selecting from the Status list. The decision to assign a status of “Void” is at the discretion of EPC-CP MSGP stormwater personnel and reserved for EPC-CP use.
- **Item 9:** If the Status is changed to “Void,” enter a clear rationale for voiding the record.
- **Item 10:** Once all of the above information is entered correctly, click “Save” and go to Step 3.

All boxes identified with a red asterisk are “required fields” meaning the form cannot be saved unless these fields are completed. For the purpose of fulfilling

| | | |
|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 16 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

corrective action documentation requirements (see Section 5.2.3 of this procedure), all applicable fields are required fields.

The system will automatically assign a Corrective Action Report identification (ID) number and move to the “Corrective Action Details” tab.

[c] Select the “Corrective Action Details” tab.

[d] Enter the following:

- **Item 11:** Identify the condition triggering the need for this review by clicking on the “List” button and selecting the appropriate condition or, if none of the available conditions fit the issue, selecting “Other” and entering a description of the condition (refer to Attachment 2 for a list of available conditions/finding descriptions).

These conditions are described in Section 5.1 of this procedure. Qualified personnel (EPC-CP MSGP stormwater personnel and DEPs) must be knowledgeable of these conditions and select the correct one when entering an issue. If there is uncertainty about which condition applies, refer to the definitions in Section 8.1 of this procedure or contact the MSGP Program Lead at 667-1312 or hbenson@lanl.gov for clarification prior to selecting “Other.”

- **Item 12:** If the condition in Item 11 is set to “Other,” enter a description of the condition in this field.
- **Item 13:** Briefly describe the nature of the problem identified during the inspection (e.g., erosion, damage to a BMP, trash, spill, etc.) and the specific evaluation location (e.g., at TA-60 Roads and Grounds).

Spills or other emergency conditions meeting the criteria for corrective action (identified in Parts 4.1 and 4.2 of the MSGP) will require documentation in the CAR database even though the condition was not identified during an inspection.

- **Item 14:** Enter how the problem was identified by clicking on the “List” button and selecting the appropriate option, or if none of the available options fit, selecting “Other.”
- **Item 15:** If “Other” is selected for Item 14, enter a description of how the problem was identified in this field.
- **Item 16:** Enter a description of the condition requiring corrective action, or identify action to be taken to eliminate or further investigate the problem (e.g., describe modifications or repairs to control measures, work conducted to address the condition or to be scheduled in the future, etc.) or if no modifications are needed, the basis for that determination. Include relevant dates and facts when updating this field as the corrective action progresses.
- **Item 17:** Indicate whether the problem was identified at a Substantially Identical Outfall (see Section 5.4 of this procedure) by typing “Y” for yes and “N” for no.

| | | |
|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 17 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

- **Item 18:** If the answer to Item 17 is “Y,” enter the associated SIO(s) in this field. If the answer to Item 17 is “N,” leave this field blank. SIOs are identified in the site-specific SWPPPs. For assistance with identifying SIOs contact the MSGP Program Lead.
- **Item 19:** If the answer to Item 17 is “Y,” describe how the corrective action taken is appropriate for all SIOs (see Section 5.4 of this procedure), document any additional corrective action(s) needed for any of the SIOs, or document why no additional action is needed for the SIOs. If the answer to Item 17 is “N,” leave this field blank.
- **Item 20:** Did/will the corrective action require modification to the SWPPP? Type in “Y” for yes and “N” for no (see Section 5.1 of this procedure for conditions that require SWPPP review and revision).
- **Item 21:** Date/Time Corrective Action was initiated (mm/dd/yyyy hh:mm).
The duration between the Date/Time problem was identified and Date/Time corrective action was initiated is used to determine whether “immediate action” was taken (see Section 5.2.1 of this procedure). Immediate action is a requirement of the MSGP and therefore, will be documented in accordance with permit requirements.
- **Item 22:** Date/Time corrective action was completed **OR** expected completion Date/Time (mm/dd/yyyy hh:mm).
If the corrective action has not been completed, enter an expected completion date and time. The system will not allow entry of a date in both locations.
The duration between the Date/Time Problem was Identified and Date/Time corrective action was completed or the Date/Time Problem was identified and expected completion Date/Time is used to determine whether “subsequent action” timeframes and documentation requirements were/are being met, and to forecast where a 45-day exceedance notification to EPA is required (see Section 5.2.3 of this procedure). When information is incorrect or not entered, the MSGP data administrator or Program Lead will contact the originator and request correction(s).
- **Item 23:** If the corrective action is not or will not be completed within 14 days, provide the status of the corrective action at the end of the 14 day timeframe, the rationale for why it is infeasible to complete the corrective action within 14 days, and describe any remaining steps (including timeframe/schedule associated with each step) necessary to complete the corrective action.
- **Item 24:** Date EPA notified of intent to exceed 45 Days (mm/dd/yyyy hh:mm) is to be completed by EPC-CP MSGP stormwater personnel to document submittal of notification letter.
- **Item 25:** Once all of the above information is entered correctly, click “Save” so the corrective action information is retained.

| | | |
|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 18 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

- [3] **IF** there are additional conditions to enter requiring corrective action, as described in Section 5.1 [1],
THEN perform these steps:
 - [a] Return to the “Corrective Action Header” tab.
 - [b] Click the “Enter New Corrective Action” button in the lower left hand corner of the screen.
 - [c] Click “Back to Record Selection” to return to the list of saved conditions requiring corrective action on the initial screen (if desired).

5.8 Updating Corrective Actions

DEP or EPC-CP MSGP stormwater personnel

- [1] Access the CAR database at https://msgp-car.lanl.gov/forms/frmservlet?config=msgp_car.
 - [a] On the main screen, scroll down to the corrective action number to be edited.
 - [b] Click “Edit.”
- [2] Navigate to the desired field, and input the updated information. Most changes will occur relative to updating the status, schedule, and dates of corrective actions.
- [3] Click “Save” to save all changes to the information.

5.9 Validation of Corrective Actions

EPC-CP MSGP stormwater personnel

- [1] Access the CAR database at https://msgp-car.lanl.gov/forms/frmservlet?config=msgp_car.
- [2] Ensure information entered into the CAR database is correct.
 - [a] Check all entered fields for a condition requiring corrective action to ensure that information is clear, correct, and concise.
 - [b] **IF** not,
THEN notify the DEP of the information that needs to be changed.
 - [c] The DEP is responsible for ensuring all information is validated before generating the annual report.
- [3] **IF** the identified condition requiring corrective action is a repeat of a previous condition or if it is determined not to be a condition requiring corrective action,
THEN
 - [a] Under “Status,” select “Void.”
 - [b] The “Void” designation allows MSGP stormwater personnel to manually exclude this information in the annual report.

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| MSGP Corrective Actions | EPC-CP-QP-022 | Page 19 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

5.10 Issues Management

EPC-CP MSGP stormwater personnel or DEPs use the IM tool as the institutional performance issues and tracking system for identified quality assurance (QA) affecting issues. A QA affecting issue includes, but is not limited to, the following conditions.

- Exceedance of a water quality standard.
- Exceedance of an effluent limitation (i.e., at the Asphalt Batch Plant).
- Repeat conditions requiring corrective actions or trends identified by EPC-CP MSGP stormwater personnel.
- Conditions requiring immediate action, where failure to take action would result in pollutants being released to waters of the state.
- Immediate non-compliance with the MSGP.
- Violations identified by the regulatory authority.

The MSGP Program Lead periodically evaluates a summary of open conditions requiring corrective actions in the CAR database. Using the above conditions, the MSGP Program Lead or DEP determines which corrective actions, if any, will be transferred into the IM tool.

DEP or EPC-CP MSGP stormwater personnel

- [1] **IF** an issue needs to be entered into the IM tool,
THEN send the following information to the EPC Division IMC for entry into the IM tool:
- Organization responsible for the issue/problem;
 - A description of the nature of the condition identified and what needs to be done to address it;
 - Regulatory citation for the non-compliance;
 - Issues Responsible Manager (IRM);
 - Action, actionee, and due date for each issue; and
 - Whether the issue was identified internal or external to LANL.

5.11 Notifications for New and Overdue Corrective Actions

- [1] When a new condition requiring corrective action is entered into the CAR database, the FOD, Ops Manager, DESH Manager, inspector (usually the DEP) and EPC-CP MSGP stormwater personnel and managers are notified automatically by e-mail on the evening of the day the corrective action was entered.
- [2] Automated e-mail notifications will be sent during the corrective action process depending on the length of time it will take to close.
- [3] A notification will be sent out:

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|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 20 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

- When a new corrective action is entered into the database (see Attachment 3); and
- Weekly notifications of outstanding (open) corrective actions (see Attachment 4).

Each notification contains a hyperlink to a web-based report containing a list of all open issues and timeline status where final corrective actions have not been completed (see Attachment 5) by the FOD. The report contains the FOD, Facility, unique Corrective Action identification number assigned by the CAR database, the person identifying the condition, the date the issue was identified, the date corrective action was initiated, the projected completion date, and a color-coded count (corresponding to the Corrective Action deadlines in Section 5.2 of this procedure) of the number of days to take action and the number of days the issue has been open, and the issue/problem description.

These notifications serve to apprise recipients of the status of open conditions requiring corrective actions and to provide sufficient time for MSGP stormwater personnel to provide documentation to EPA at the 45-day deadline. This will assist the FOD, DESH Managers, Ops Managers, and the DEPs with keeping track of conditions requiring corrective actions.

6.0 TRAINING

The following personnel require training before implementing this procedure:

- EPC-CP Group Leader and Team Leader;
- EPC-CP MSGP stormwater personnel;
- DEPs; and
- Other LANL or subcontract personnel identified as being required to conduct stormwater inspections, or other assessments and enter conditions requiring corrective actions into the CAR database as part of their job duties.

For EPC-CP MSGP stormwater personnel, the training method for this procedure is “self-study” (reading). DEPs shall achieve a satisfactory score on Training Course 53040, *MSGP Routine Facility Inspections OJT*. Other participating groups may require training documentation pursuant to local procedures.

Personnel performing this procedure will be familiar with the most current version of the following procedure:

- [ENV-CP-QAPP-MSGP, Multi-Sector General Permit for Industrial Activities Program](#)

7.0 RECORDS

Conditions requiring corrective actions are contained within the CAR database. DEPs will retain documentation substantiating these conditions, corrective actions, and timelines reported in the CAR database (e.g., e-mails, FSRs, Work Orders, etc., as appropriate). These documents shall be made available to EPC-CP upon request.

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| MSGP Corrective Actions | EPC-CP-QP-022 | Page 21 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

8.0 DEFINITIONS AND ACRONYMS

See [LANL Definition of Terms](#).

8.1 Definitions

Best Management Practice (BMP)—Schedules of activities, prohibitions of practices, maintenance procedures, and other management practices to prevent or reduce the pollution of “waters of the United States.” BMPs also include treatment requirements, operating procedures, and practices to control plant site runoff, spillage or leaks, sludge or waste disposal, or drainage from raw material storage. (40 CFR Part 122.2)

Control Measure—Any BMP or other method (including effluent limitations) used to prevent or reduce the discharge of pollutants to waters of the United States.

Numeric effluent limitation—The degree of effluent reduction attainable by the application of the best practicable control technology currently available (see 40 CFR Part 443.12). For LANL, numeric effluent limitations apply only to the Asphalt Batch Plant (Sector D) (see Table 1-1 of the MSGP). Constituents with limitations for Sector D include Total Suspended Solids, pH, and oil and grease (see Table 8.D-2 of the MSGP).

Note: Exceedance of a numeric effluent limitation is a violation of the MSGP (see Part 4.1 of the MSGP).

Non-numeric effluent limitations—Per Part 2.1.2 of the MSGP, these include minimizing exposure, good housekeeping, maintenance, spill prevention and response, erosion and sediment controls, management of runoff, salt storage controls, employee training, elimination of non-stormwater discharges, and minimizing dust generation and vehicle tracking of industrial materials.

Unauthorized release or discharge—The release of any liquid or solid substance (within the boundary of an MSGP site) that is not an allowable non-stormwater discharge (see Section 5.6). Examples are hydraulic oil, gasoline, diesel, powdered concrete, concrete washout, steam condensate line leaks, etc.

Impaired water quality exceedance—Exceedance of a New Mexico water quality standard. These standards are specified in the New Mexico Administrative Code, Title 20, Chapter 6, Part 4, *Standards for Interstate and Intrastate Surface Waters*.

Note: Industrial stormwater discharges must be controlled as necessary to meet applicable water quality standards within the State of New Mexico (see Part 2.2.1 of the MSGP).

8.2 Acronyms

See LANL *Acronym Master List*.

| | |
|-----|---------------------------------|
| BMP | Best Management Practice |
| CA | Corrective Action |
| CAR | Corrective Action Report |
| EPA | Environmental Protection Agency |

| | | |
|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 22 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

| | |
|--------|---|
| EPC-CP | Environmental Protection and Compliance-Compliance Programs |
| DEP | Deployed Environmental Professional |
| DESH | Deployed Environmental, Safety and Health |
| ID | Identification |
| IM | Issues Management |
| IMC | Issues Management Coordinator |
| IRM | Issues Responsible Manager |
| IWD | Integrated Work Document |
| FOD | Facility Operations Director |
| FSR | Facility Service Request |
| HEY | Heavy Equipment Yard |
| LANL | Los Alamos National Laboratory |
| MSGP | Multi-Sector General Permit |
| N | No |
| NPDES | National Pollutant Discharge Elimination System |
| Ops | Operations |
| P | Procedure |
| PD | Program Description |
| QA | Quality Assurance |
| QP | Quality Procedure |
| SD | System Description |
| STO | Science and Technology Operations |
| SWPPP | Stormwater Pollution Prevention Plan |
| 40 CFR | Title 40 of the Code of Federal Regulations |
| WMC | Waste Management Coordinator |
| Y | Yes |

9.0 REFERENCES

- *Final National Pollutant Discharge Elimination System (NPDES) General Permit for Stormwater Discharges from Industrial Activities.* Federal Register: June 16, 2015, Volume 80, Number 115.
- [Unites States Environmental Protection Agency \(EPA\) National Pollutant Discharge Elimination System \(NPDES\) Multi-Sector General Permit For Stormwater Discharges Associated With Industrial Activity \(MSGP\)](#)
- [Los Alamos National Laboratory Storm Water BMP Manual](#)

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|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 23 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

- [PD100, DOE/NNSA Approved Los Alamos National Laboratory 10 CFR 857 Worker Safety and Health program Description](#)
- [SD100, Integrated Safety Management System](#)
- [P101-18, Procedure for Pause/Stop Work](#)
- [EPC-CP-QP-023, MSGP Routine Facility Inspections](#)

10.0 ATTACHMENTS

Attachment 1: Screenshot Example of CAR Database

Attachment 2: Lists of Limited Values in the CAR Database

Attachment 3: Example New Corrective Action Finding Notification

Attachment 4: Example Weekly Notification of Outstanding Corrective Action Findings

Attachment 5: Example Outstanding Corrective Action Report

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|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 24 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

Attachment 1 – Screenshot Example of CAR Database

Page 1 of 3

Corrective Action Header tab

MSGP_CORRECTIVEACTIONREPORT

Corrective Action Header Corrective Action Details

NPDES MSGP CORRECTIVE ACTION REPORT Id. Number : 1150 (Assigned by computer)

1 * Name of Facility : TA-60-1 Heavy Equipment Yard List

2 * Date problem was identified : 05/19/2017 09:00 * Date of Notification to EPC-CP : 05/19/2017 12:00 3

4 * FOD Responsible for CA (Name & Org) : UI Erickson Andrew W

5 Describe Specific Evaluation Location : Trench drain east of the high bay that drains to the oil water separ

6 * Inspector Z-Number : 123456 Doe, Jane EPC-CP

7 * Person Identifying Condition Z-Number : 123456 Doe, Jane EPC-CP

Date Format Must be entered as MM/DD/YYYY HH24:MI

8 Status: 1 A new corrective action ? Annual Report ID (s):

9 Void Comments:

* required fields.

10

Enter New Corrective Action Back To Record Selection Save Cancel

Prev Rec. Next Rec. Print Summary

| | | |
|-------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 25 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

Attachment 1 – Screenshot Example of CAR Database (cont.)

Page 2 of 3

Corrective Action Details tab

Action Edit Query Block Record Field Help Window

MSGP_CORRECTIVEACTIONREPORT

Corrective Action Header Corrective Action Details

*3. Identify the condition triggering the need for this review: If other, (describe here):

11 Control measures not properly operated or maintained 12

*4. Briefly describe the nature of problem identified: (e.g., Erosion problem identified during inspection).

13 The trench drain east of the high bay at TA-60 HEY that drains to an oil/water separator was not draining during a precipitation event. This is a repeat issue that was previously identified on 3/22/2017 (see CAR #1067), when discharge resulted in an oily sheen at SIO 025.

*6. How problem was identified: If other, (describe here):

14 Other (describe) : During monitoring after a storm event 15

*7. Description of corrective action taken or to be taken to eliminate or further investigate the problem (e.g., describe modifications, repairs to control measures, analyses to be conducted, etc.) or if no modifications are needed, basis for that determination:

16 On 05/19/2017, HEY personnel pumped water from the trench drain into storage tanks to prevent overflow and release and removed sediment from the trench drain and placed into drums. An on-site supervisor submitted FSR to unclog the line was submitted. Documentation of actual maintenance done on the trench drain and oil/water separator is required to close this corrective action. Additional controls may need to be implemented

17 8. Was the problem identified at an outfall that is Substantially Identical? Yes/No : ☒ Y

18 9. Which SIO Affected? 021, 023, 024, and 025

19 10. If yes, provide documentation of how corrective action taken is appropriate for all related SIOs:

5/19/2017: Temporarily pumping water will prevent discharge from reaching the SIOs. 6/5/2017: Unclogging the trench drain and maintenance on the oil/water separator will prevent unauthorized discharges such as oil.

20 * 11. Did/will this corrective action require modification of your SWPPP ? Yes/No : ☒ Y

21 * 12. Date corrective action initiated (MM/DD/YYYY HH24:MI): 05/19/2017 14:00 OR expected completion :

22 * 13. Date corrective action completed (MM/DD/YYYY HH24:MI): 06/05/2017 16:00

23 14. If corrective action is not or will not be completed within 14 days of discovery, describe any remaining steps and the formal schedule necessary to complete the corrective action:

MSS and subcontractor are scheduled for 06/05/2017 AM to unclog trench drain and perform maintenance on the oil/water separator. Schedule exceeded 14 days due to no standing maintenance contract on the oil/water separator being in place. Standing maintenance contract is now in place.

24 15. Date EPA Notified of Intent to Exceed 45 Days (MM/DD/YYYY HH24:MI):

* required fields

25

| | | |
|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 26 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

Attachment 1 – Screenshot Example of CAR Database (cont.)

Page 3 of 3

Full Text for Item 16: Description of Corrective Action Taken or to be Taken

On 05/19/2017, HEY personnel pumped water from the trench drain into storage tanks to prevent overflow and release. Sediment was also removed from the trench drain and placed into drums. An on-site supervisor submitted an FSR to unclog the line. Documentation of actual maintenance done on the trench drain and oil/water separator is required to close this corrective action. Additional controls may need to be implemented until maintenance is complete to ensure that oil is not discharged into the drainage channel north of the site. In addition, the SWPPP must be modified to identify the preventative maintenance schedule and include the procedure for conducting it. On 05/30/2017, the SWPPP was modified to include a quarterly maintenance schedule and a procedure for routine maintenance on the oil/water separator. On 06/05/2017, MSS jet-routed the drain to remove the clog and a subcontractor performed maintenance on the oil/water separator.

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| MSGP Corrective Actions | EPC-CP-QP-022 | Page 27 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

Attachment 2 – Lists of Limited Values in the CAR Database

Page 1 of 2

Name of Facility (*Item 1 on Attachment 1 Screenshot*)

Valid MSGP Facilities

Find:

| Msgp_Facility_Desc |
|---|
| TA-14-23 OBOD |
| TA-15-185 PHERMEX |
| TA-15-313 Machine Shop |
| TA-16-0388 Burning Ground |
| TA-16-0399 Burning Ground |
| TA-22-52 Machine Shop |
| TA-3-22 Power & Steam Plant |
| TA-3-30 Warehouse |
| TA-3-32 Metal Shop |
| TA-3-34 Metal Shop |
| TA-3-38 Carpenter Shop |
| TA-3-38 Metals Fab. Shop |
| TA-3-39 & 102 Metal Shop |
| TA-3-66 Sigma Facility |
| TA-33-113 Machine Shop |
| TA-33-39 Machine Shop |
| TA-35-125 Machine Shop |
| TA-35-2 Machine Shop |
| TA-36-8 Minie |
| TA-39-57 OBOD |
| TA-39-6 OBOD |
| TA-46-31 Machine Shop |
| TA-46-77 Machine Shop |
| TA-48-8 Machine Shop |
| TA-50-37 WCRRF |
| TA-50-54 Metal Shop |
| TA-50-69 WCRRF |
| TA-53-16 Machine Shop |
| TA-53-18 Machine Shop |
| TA-53-2 Machine Shop |
| TA-53-22 Machine Shop |
| TA-53-26 Machine Shop |
| TA-53-39 Shop and Storage Building |
| TA-54 Area G |
| TA-54 Area L |
| TA-54 Maintenance Facility W |
| TA-54 RANT |
| TA-55 Plutonium Facility |
| TA-55-314 Warehouse |
| TA-60 Asphalt Batch Plant |
| TA-60 MRF |
| TA-60 Roads and Grounds |
| TA-60-1 Heavy Equipment Yard |
| TA-60-2 Warehouse |
| TA-63 Transuranic Waste Facility |
| TA-9-28 Heavy Equipment Maintenance Operations Facility |

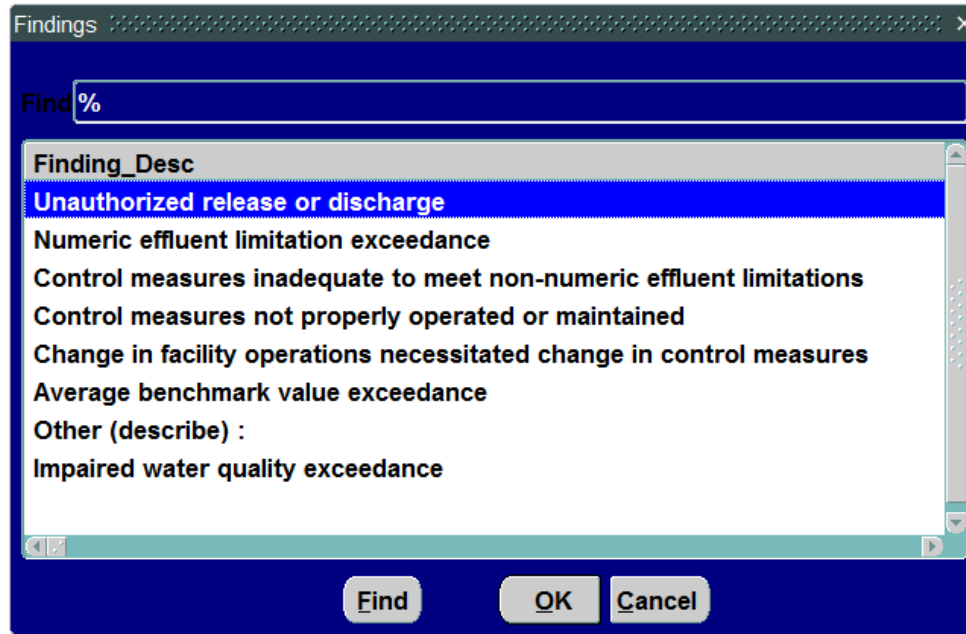
Find OK Cancel

| | | |
|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 28 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

Attachment 2 – Lists of Limited Values in the CAR Database (cont.)

Page 2 of 2

Finding Description/Condition Triggering Need for Review (*Item 11 on Attachment 1 Screenshot*)

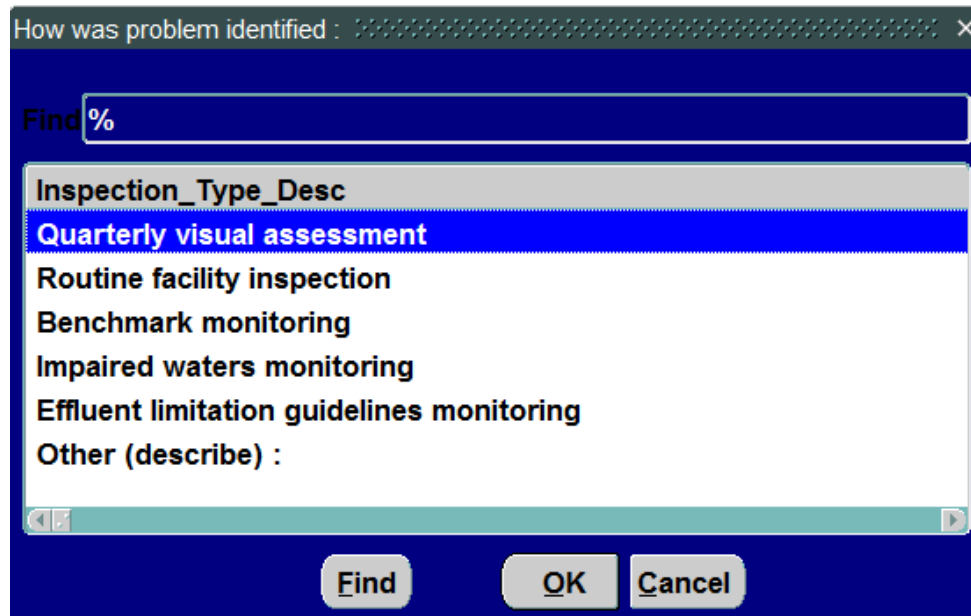


The screenshot shows a dialog box titled "Findings". It has a search bar at the top with the text "Find %". Below the search bar is a list box with the title "Finding_Desc". The list contains the following items:

- Unauthorized release or discharge** (highlighted in blue)
- Numeric effluent limitation exceedance
- Control measures inadequate to meet non-numeric effluent limitations
- Control measures not properly operated or maintained
- Change in facility operations necessitated change in control measures
- Average benchmark value exceedance
- Other (describe) :
- Impaired water quality exceedance

At the bottom of the dialog box are three buttons: "Find", "OK", and "Cancel".

Inspection Type/How Problem was Identified (*Item 14 on Attachment 1 Screenshot*)



The screenshot shows a dialog box titled "How was problem identified :". It has a search bar at the top with the text "Find %". Below the search bar is a list box with the title "Inspection_Type_Desc". The list contains the following items:

- Quarterly visual assessment** (highlighted in blue)
- Routine facility inspection
- Benchmark monitoring
- Impaired waters monitoring
- Effluent limitation guidelines monitoring
- Other (describe) :

At the bottom of the dialog box are three buttons: "Find", "OK", and "Cancel".

| | | |
|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 29 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

Attachment 3 – Example New Corrective Action Finding Notification

Page 1 of 1

From: MSGPCorrectiveActionDB@esp-esh-as01.lanl.gov [mailto:MSGPCorrectiveActionDB@esp-esh-as01.lanl.gov]

Sent: Friday, January 19, 2018 10:00 PM

To:

Cc:

Subject: New Corrective Action finding relative to the NPDES MSGP Program

This email is generated automatically by the National Pollutant Discharge Elimination System (NPDES) Multi-Sector General Permit (MSGP) Corrective Action Report (CAR) database to provide notification of discovery of a new condition requiring corrective action. As the recipient of this notification, you are responsible for immediately taking all reasonable steps necessary to minimize or prevent the discharge of pollutants until a permanent solution is installed and made operational.

“Immediately” requires initial action on the same day a condition is found. However, if a problem is identified at a time in the work day when it is too late to initiate corrective action (after 2 P.M.), the initiation must begin no later than the following work day.

Documentation of newly identified conditions requiring corrective action must occur within 24 hours of discovery, evidenced by entry into the CAR database.

At TA-50-37 WCRRF on 01/17/18, a condition requiring a corrective action was observed and a corrective action report was generated per the 2015 Multi-Sector General Permit requirements for stormwater controls at industrial sites. The condition(s) requiring a corrective action(s) is/are listed below.

CA#: 1296 located at TA-50-37 WCRRF.

Person Identifying Condition: DOE JANE

Description of finding: Unauthorized release or discharge

Condition requiring corrective action: Forklift was leaking hydraulic fluid

Description of the corrective action taken or to be taken to eliminate the condition or further investigation: On 1/17/2018 prior to the start of work the operator noticed the forklift was leaking hydraulic fluid from the line to the mast. Approximately 4 to 6 oz leaked onto the asphalt. The Operation Center was notified and the WMC and ENV. The Nuc Operators placed spill pads under the leak. FSR#182723 was entered to repair forklift and apply microblaze. At 1702 MSS personnel applied micro blaze to the spill. On 1/18/2018 the WMC collected all spill pads and managed them accordingly.

Status: The corrective action was initiated on 01/17/2018 and was completed on 01/17/2018.

Click [HERE](#) to access the list of MSGP corrective action(s) not yet completed for EWMO.

Click [HERE](#) to access the list of all MSGP corrective action(s) not yet completed.

The ESH Deployed Environmental Professional (DEP) assigned to your organization/area is (are) Jane Doe.

The color legend on the linked reports corresponds to the following schedule for corrective action completion as required by the 2015 MSGP:

You must complete the corrective action within 14 calendar days of discovery.

If completion of final corrective actions within 14 days is not feasible, the reason(s) must be documented and a description of steps required and formal schedule for completion, which must be done as soon as practicable after the 14-day timeframe, but not longer than 45 days after discovery. The reasons, steps and schedule for completion must be entered into the CAR database.

If the completion of corrective action will exceed the 45-day timeframe, you must take the **minimum** additional time necessary, provided that you notify Region 6 of the Environmental Protection Agency:

- **of your intent to exceed 45 days,**
- **your rationale for an extension, and**
- **a completion date.**

To assist the preparation of this notification, as a responsible individual, you must contact the EPC-CP Project Lead at 667-1312 for any corrective action that remains open 35 days or more, and provide a formal status of the progress for each corrective action. By day 40, the DEP must provide the EPC-CP Project Lead the rationale for potentially exceeding the required 45-day timeframe and a proposed completion date for each associated corrective action. The DEP must also amend the rationale and completion date in the CAR database.

An extension request must be submitted to Region 6 of the U.S. Environmental Protection Agency by EPC-CP personnel prior to day 45 for final corrective actions not completed or estimated to be completed within 45 days of discovery.

The responsible individual must ensure compliance with the proposed completion schedule.

These intervals are not considered grace periods, but are defined schedules to ensure the conditions requiring corrective action do not persist indefinitely.

Where corrective actions result in changes to controls or any procedures documented in the facility's Storm Water Pollution Prevention Plan (SWPPP), the DEP must modify the SWPPP accordingly within 14 calendar days of completing corrective action work.

| | | |
|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 30 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

Attachment 4 – Example Weekly Notification of Outstanding Corrective Action Findings

Page 1 of 1

From: MSGPCorrectiveActionDB@esp-esh-as01.lanl.gov [mailto:MSGPCorrectiveActionDB@esp-esh-as01.lanl.gov]

Sent: Monday, January 01, 2018 10:00 PM

To:

Cc:

Subject: Weekly Notification of Outstanding NPDES MSGP Corrective Action finding(s)

This email is generated automatically by the National Pollutant Discharge Elimination System (NPDES) Multi-Sector General Permit (MSGP) Corrective Action Report (CAR) database to provide notification of discovery of a new condition requiring corrective action. As the recipient of this notification, you are responsible for immediately taking all reasonable steps necessary to minimize or prevent the discharge of pollutants until a permanent solution is installed and made operational.

“Immediately” requires initial action on the same day a condition is found. However, if a problem is identified at a time in the work day when it is too late to initiate corrective action (after 2 P.M.), the initiation must begin no later than the following work day.

Documentation of newly identified conditions requiring corrective action must occur within 24 hours of discovery, evidenced by entry into the CAR database.

At TA-3-38 Carpenter Shop , 1 total MSGP stormwater corrective action(s) has (have) not been completed.

At TA-3-38 Metals Fab. Shop , 1 total MSGP stormwater corrective action(s) has (have) not been completed.

At TA-60-1 Heavy Equipment Yard , 7 total MSGP stormwater corrective action(s) has (have) not been completed.

At TA-60-2 Warehouse , 4 total MSGP stormwater corrective action(s) has (have) not been completed.

Click [HERE](#) to access the list of MSGP corrective action(s) not yet completed for UI.

Click [HERE](#) to access the list of all MSGP corrective action(s) not yet completed.

The ESH Deployed Environmental Professional (DEP) assigned to your organization/area is (are) Jane Doe :John Doe.

The color legend on the linked reports corresponds to the following schedule for corrective action completion as required by the 2015 MSGP:

You must complete the corrective action within 14 calendar days of discovery.

If completion of final corrective actions within 14 days is not feasible, the reason(s) must be documented and a description of steps required and formal schedule for completion, which must be done as soon as practicable after the 14-day timeframe, but not longer than 45 days after discovery. The reasons, steps and schedule for completion must be entered into the CAR database.

If the completion of corrective action will exceed the 45-day timeframe, you must take the **minimum** additional time necessary, provided that you notify Region 6 of the Environmental Protection Agency:

- **of your intent to exceed 45 days,**
- **your rationale for an extension, and**
- **a completion date.**

To assist the preparation of this notification, as a responsible individual, you must contact the EPC-CP Project Lead at 667-1312 for any corrective action that remains open 35 days or more, and provide a formal status of the progress for each corrective action. By day 40, the DEP must provide the EPC-CP Project Lead the rationale for potentially exceeding the required 45-day timeframe and a proposed completion date for each associated corrective action. The DEP must also amend the rationale and completion date in the CAR database.

An extension request must be submitted to Region 6 of the U.S. Environmental Protection Agency by EPC-CP personnel prior to day 45 for final corrective actions not completed or estimated to be completed within 45 days of discovery.

The responsible individual must ensure compliance with the proposed completion schedule.

These intervals are not considered grace periods, but are defined schedules to ensure the conditions requiring corrective action do not persist indefinitely.

Where corrective actions result in changes to controls or any procedures documented in the facility's Storm Water Pollution Prevention Plan (SWPPP), the DEP must modify the SWPPP accordingly within 14 calendar days of completing corrective action work.

| | | |
|--------------------------------|---------------|----------------------------|
| MSGP Corrective Actions | EPC-CP-QP-022 | Page 31 of 31 |
| | Revision: 3 | Effective Date: 12/20/2018 |

Attachment 5 – Example Outstanding Corrective Action Report

Page 1 of 1




EPC-CP MultiSector General Permit (MSGP)
Corrective Action Report Findings
Final Corrective Actions Not Yet Complete (as of 02/01/2018)

| FOD | RAD | MSGP Facility | CA# | Person Identifying Condition | Date Problem Identified | Corrective Action Initiated Date | Days to Take Action | Projected Completion Date | Projected Days until Completion | Days Open (since Discovery) | EPA Notified of Intent to Exceed 45 Days | Problem Description |
|-----------------|----------|--------------------------|------|------------------------------|-------------------------|----------------------------------|---------------------|---------------------------|---------------------------------|-----------------------------|--|--|
| UI | DOE JOHN | TA-3-38 Carpenter Shop | 1298 | DOE JANE | 01/31/18 | | ! | 02/02/18 | 1 | 1 | | Tarp was totally torn off of the stack of metal posts at the southwest corner of the storage yard. |
| | DOE JOHN | TA-3-38 Metals Fab. Shop | 1299 | DOE JANE | 01/31/18 | | ! | 02/02/18 | 1 | 1 | | A pile of gravel (from a torn gravel bag) is directly east of the trench drain. |
| Total Findings: | | | | | | | | | | | 2 | |

Legend

| | | | |
|---|---|---|--|
| ! | Action must be taken and documented in CAR. | 3 | Indicates immediate action was not taken (i.e., <=2 days of discovery) |
| | Within 14 days of discovery | | Between 35 and 44 days of discovery |
| | Between 15 and 34 days of discovery | | 45 days of discovery or greater |

ATTACHMENT 18: EPC-CP-QP-2105, *MSGP STORMWATER VISUAL ASSESSMENTS*

| | | |
|----------------------------|------------------------------|---|
| EPC-CP-QP-2105 | Revision: 0 |  |
| Effective Date: 05/12/2020 | Next Review Date: 05/12/2023 | |

Environment, Safety, Health, Quality, Safeguards, and Security Directorate
Environment Protection and Compliance – Compliance Programs Group
Quality Procedure

MSGP Stormwater Visual Assessments

Hazard Grading: ☒ Low ☐ Moderate ☐ High/Complex

Usage Level: ☒ Reference ☐ UET ☐ Mixed: UET Sections: _____

Status: ☐ New ☐ Major Revision ☐ Minor Revision

☐ Review w/No Changes ☒ Other: New EPC-CP format and numbering system

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|---|--------------------|----------------------------|
| MSGP Stormwater Visual Assessments | No: EPC-CP-QP-2105 | Page 2 of 19 |
| | Revision: 0 | Effective Date: 05/12/2020 |

REVISION HISTORY

| Document Number and Revision <i>[Include revision number, beginning with Revision 0]</i> | Effective Date <i>[Document Control Coordinator inserts effective date]</i> | Effective Date <i>[Document Control Coordinator inserts effective date]</i> |
|--|---|--|
| ENV-RCRA-QP-064, R0 | 7/09 | New document <i>MSGP Storm Water Visual Inspections</i> . |
| ENV-RCRA -QP-064, R1 | 3/10 | Clarifications and added attachments. |
| ENV-RCRA -QP-064, R2 | 2/12 | Biennial review/revision |
| EPC-CP-QP-064, R0 | 10/04/2017 | This document replaces ENV-RCRA-QP-064 R2. Converted into new format, and new organization name, clarified steps, updated attachments. |
| EPC-CP-QP-064, R1 | 10/09/2018 | Removed requirement to conduct visual assessment on filtered samples. Updated form to match text. |
| EPC-CP-QP-2105, R0 | 05/12/20 | Supersedes EPC-CP-QP-064, R1. Reformat to new EPC-CP template. Re-number procedure and forms to new EPC-CP procedure numbering system. |

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|---|--------------------|----------------------------|
| MSGP Stormwater Visual Assessments | No: EPC-CP-QP-2105 | Page 3 of 19 |
| | Revision: 0 | Effective Date: 05/12/2020 |

Table of Contents

| | |
|--|----|
| Revision History | 2 |
| 1.0 Introduction | 4 |
| 1.1 Purpose | 4 |
| 1.2 Scope | 4 |
| 1.3 Applicability | 4 |
| 2.0 Precautions and Limitations | 5 |
| 2.1 Precautions..... | 5 |
| 2.2 Limitations..... | 5 |
| 3.0 Prerequisite Actions..... | 5 |
| 3.1 Planning and Coordination..... | 5 |
| 3.2 Special Tools, Equipment, Parts, and Supplies..... | 6 |
| 4.0 Visually Assessing Stormwater | 7 |
| 4.1 Documenting Sample Information | 7 |
| 4.2 Assessing Parameters | 8 |
| 4.3 Completing the Visual Assessment Form..... | 10 |
| 4.4 Completing the Certification Statement | 11 |
| 5.0 Training | 11 |
| 6.0 Records | 11 |
| 7.0 Definitions and Acronyms..... | 12 |
| 7.1 Definitions | 12 |
| 7.2 Acronyms..... | 13 |
| 8.0 References | 13 |
| 9.0 Attachments | 13 |
| Attachment 1: Screenshot Examples of EPC-CP-QP-2105 R0 Form 1, MSGP Visual Assessment in MC Express..... | 14 |
| Attachment 2: EPC-CP-QP-2105 R0 Form 1, MSGP Visual Assessment Hard Copy Example | 18 |

| | | |
|---|--------------------|----------------------------|
| MSGP Stormwater Visual Assessments | No: EPC-CP-QP-2105 | Page 4 of 19 |
| | Revision: 0 | Effective Date: 05/12/2020 |

1.0 INTRODUCTION

Los Alamos National Laboratory (LANL) through Environmental Protection and Compliance—Compliance Programs (EPC-CP) conducts stormwater monitoring activities required pursuant to the National Pollutant Discharge Elimination System (NPDES), Multi-Sector General Permit (MSGP). The MSGP requires LANL to monitor stormwater runoff from industrial sites relative to potential pollutants.

1.1 Purpose

This procedure describes the process for conducting visual assessments of stormwater from permitted outfall locations where LANL conducts stormwater monitoring activities for compliance under the MSGP.

1.2 Scope

Requirements set forth in this document apply to LANL industrial facilities covered by the MSGP. These facilities include, a warehouse, several metal fabrication areas/shops, a heavy equipment yard, an asphalt batch plant, roads and grounds, a foundry, a power plant, a material recycling facility and a carpenter shop. Inspection waivers may be granted by EPC-CP for adverse weather conditions and unstaffed or inactive sites.

At least once each MSGP monitoring quarter an unfiltered stormwater sample must be collected from each discharge point covered by the MSGP and site-specific Stormwater Pollution Prevention Plan (SWPPP). The sample must be visually inspected for water quality characteristics. Stormwater samples are collected with an automated sampler, single stage sampler, or by taking a grab sample. Visual assessments are **not** performed on filtered stormwater.

Visual assessments conducted under this procedure are documented using the Maintenance Connection Express™ (MC Express) web application on a tablet or notebook style computer. In the event of electronic hardware or web application failure, personnel may use a printed hard copy to document the work.

1.3 Applicability

This procedure applies to the EPC-CP technical staff and subcontractor personnel (as applicable) who conduct stormwater visual assessments during or after measurable storm events at MSGP outfalls.

A measurable storm event is identified in Section 6.1.3 of the MSGP as one “that results in an actual discharge from your site that follows the preceding measurable storm event by at least 72 hours (three days).”

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|---|--------------------|----------------------------|
| MSGP Stormwater Visual Assessments | No: EPC-CP-QP-2105 | Page 5 of 19 |
| | Revision: 0 | Effective Date: 05/12/2020 |

2.0 PRECAUTIONS AND LIMITATIONS

2.1 Precautions

The hazard level for the activities described in this procedure is **LOW**, therefore an Integrated Work Document (IWD) Part I is not required. If required by a Facility Operations Division (FOD), an IWD Part II (2101 Form) will address any site-specific requirements and training for the FOD.

Personnel must wear appropriate clothing (e.g., boots, long pants, etc.) to perform work in the field.

Work may be discontinued during periods or conditions that make sites dangerous for worker safety or prevent personnel from safely accessing sites (e.g., weather-related events such as flash floods, flooding, lightning, wildfires, hail, icy roads, deep snow, or LANL operations such as firing shots or burns).

If conditions prevent field work, document the conditions on the work order. Multiple attempts can be documented on the original form. If the target date cannot be met, the field personnel must contact the Program Lead no less than 24 hours before the target date for guidance.

2.2 Limitations

In MC Express, document responses to each question on a work order by clicking the expand arrow located on the right side of the task line and changing the “Complete” or “Failed” line to “Yes”. When using a hard copy form, mark the appropriate check box.

Throughout this process, the field personnel will document comments and notations in the “Reading” field of the associated task line. Additional comments not documented in a “Reading” field can be entered in the “Comments” field of the same task line. If field personnel need more space, additional comments can be entered in the “Labor Report Update” field (see Section 4.3) when the work order is updated to “Complete” status. When using a hard copy form, document comments on the corresponding task line. If additional space is needed, comments can be entered in the “Labor Report” section at the bottom of the form.

Some terminology varies between the MC Express software and the Maintenance Connection (MC) desktop software.

- The “Reading” field in MC Express is the same field as “Reading Final” in MC desktop and “Meas.” on a hard copy (printed) work order.
- The “Complete” option in MC Express is the same as a “Yes” answer; the “Failed” option in MC Express is the same as a “No” answer. MC desktop and hard copy (printed) work orders use “Yes” and “No” terminology.

3.0 PREREQUISITE ACTIONS

3.1 Planning and Coordination

1. Schedule work to be completed by the target date appearing on the work order(s) or as requested by the MSGP Program Lead if a work order is not issued.

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|---|--------------------|----------------------------|
| MSGP Stormwater Visual Assessments | No: EPC-CP-QP-2105 | Page 6 of 19 |
| | Revision: 0 | Effective Date: 05/12/2020 |

2. As specified in the IWD Part II (if applicable), inform (e.g., by e-mail) facility contacts and/or Deployed Environmental Professional (DEP) of the schedule for work and locations up to a week (preferred) before but no later than the day before (for minor changes) so work is added to the appropriate plan of the day.
3. Gather the required equipment (see Section 3.2) for the work to be done.
4. Using the Safari or Chrome web browser on a tablet or notebook style computer, log into the MC Express application (<http://express.maintenanceconnection.com>) and confirm that the work order list displayed matches your sites. If the work order lists do not match, contact EPC-CP Data Management personnel for clarification.
5. In MC Express, click on the appropriate work order number to open the work order. The work order will open in the display to the Work Order Summary page.
6. Click on the “Tasks” bar to navigate to the work order Tasks page. See MC Express screen shot examples in Attachment 1.
7. Always log out of MC Express when you have finished work OR work is interrupted.

3.2 Special Tools, Equipment, Parts, and Supplies

Ensure the following equipment is available in the field vehicle:

- Safety glasses
- Nitrile gloves
- Sturdy hiking boots or steel toed shoes with soles that grip
- Other facility specific personal protective equipment as required by the FOD
- Cell phone (only government cell phones are allowed in secure areas) (See <https://int.lanl.gov/policy/documents/P217.pdf> for requirements for using portable electronic devices on Laboratory property.)
- Current copy of this procedure
- Current copy of the IWD(s) Part II (as needed)
- Site map(s) (as needed)
- Current electronic work order or paper inspection form
- EPC-CP MSGP Sampling and Analysis Plan (SAP) most recent revision for the current monitoring year OR program specific monitoring plan
- Government issued electronic tablet with Safari web browser and Blackberry UEMTM app. (See <https://int.lanl.gov/policy/documents/P217.pdf> for requirements for using portable electronic devices on Laboratory property.)
- Necessary access and station keys

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|---|--------------------|----------------------------|
| MSGP Stormwater Visual Assessments | No: EPC-CP-QP-2105 | Page 7 of 19 |
| | Revision: 0 | Effective Date: 05/12/2020 |

- Access to accurate time measurement
- Clean replacement sample bottles (clear glass or clear poly)
- Paper towels

4.0 VISUALLY ASSESSING STORMWATER

Stormwater visual assessments are determined at a sampling station based on the current year SAP. See Attachment 1 for screen shot examples of EPC-CP-QP-2105 R0 Form 1, *MSGP Visual Assessment* in MC Express. See Attachment 2 for an example of the form in hard copy format.

NOTE: Each item number listed in red font below corresponds to a red numbered box on both screenshots and hard copy format.

4.1 Documenting Sample Information

- [1] Take the sample bottle with water out of the automated sampler or single stage jar off the ground or fill a clear sample bottle with a grab sample and wipe off exterior.
 - [a] Grab samples will be collected during daylight hours in a wide-mouth clear glass or plastic container within 30 minutes of discharge from a storm event.
- [2] **ITEM 1:** Document the monitoring period by entering Apr-May, Jun-Jul, Aug-Sep, or Oct-Nov.
 - [a] IF the stormwater discharge collected is from a rain event from the previous monitoring period and the visual assessment is made in the following monitoring period,
THEN document monitoring period on the inspection to correspond to the period in which the rain event took place.
- [3] **ITEM 2:** Check the date and time stormwater discharge began and document by entering the date in the following formats: MM/DD/YY or MM-DD-YY. Time must be entered in 24-hour format.
 - [a] IF the discharge date/time is not available (e.g., precipitation report) when the visual is performed in the field,
THEN leave this Task Line incomplete and complete when the information is available.
- [4] **ITEM 3:** Check the date and time the sample was collected and document by entering the date in the following formats: MM/DD/YY or MM-DD-YY. Time must be entered in 24-hour format.
 - [a] IF the collection date/time is not available (e.g., precipitation report) when the visual is performed in the field,
THEN leave this Task Line incomplete and complete when the information is available.

| | | |
|---|--------------------|----------------------------|
| MSGP Stormwater Visual Assessments | No: EPC-CP-QP-2105 | Page 8 of 19 |
| | Revision: 0 | Effective Date: 05/12/2020 |

- [5] **ITEM 4:** Check the date and time stormwater was visually assessed and document by entering the date in the following formats: MM/DD/YY or MM-DD-YY. Time must be entered in 24-hour format.
- [6] **ITEM 5:** Describe the nature of the discharge (e.g., rain, snowmelt, hail) and the TOTAL amount of precipitation in inches from the event.
 - [a] IF the total amount of precipitation is not available (e.g., precipitation report) when the visual is performed in the field,
THEN leave this Task Line incomplete and complete when the information is available.
- [7] **ITEM 6:** Check the sample was collected in the first 30 minutes of discharge and document.
 - [a] IF it is not possible to collect the sample within the first 30 minutes of discharge,
THEN the sample must be collected as soon as practicable after the first 30 minutes.
 - [b] The field inspector will document the reason a sample could not be collected within the first 30 minutes (e.g., lightning hazard, flooding).

4.2 Assessing Parameters

While conducting the visual assessment, personnel will attempt to relate any evidence of stormwater pollution that is observed in the sample to a pollutant source on the site. A cleanup of the site can be conducted if the pollutant source is known and well defined. Refer to EPC-CP-QP-2109, *MSGP Corrective Actions* for specific steps to document, track, and report conditions of potential stormwater pollution.

- [1] **ITEM 7:** Observe the color of the discharge in the sample container. Document by describing the color.
- [2] **ITEM 8:** Observe any odors detected from sample. Document by describing the odor (e.g., musty, sewage, sulfur, sour, solvents, petroleum/gas).
- [3] **ITEM 9:** Observe the clarity of the discharge. Document by describing the clarity (e.g., slightly cloudy, cloudy, opaque).

NOTE 1: Clarity is described as the depth in which you can look-into or through water. For example, an individual can see through a clear glass of clean water in daylight. Generally, the clarity of the water is a good visual indicator of the purity of water. If the water is poor in clarity there is most likely suspended solids throughout the water.
- [4] **ITEM 10:** Observe any floating solids in the discharge. Document by describing the floating solids.

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|---|--------------------|----------------------------|
| MSGP Stormwater Visual Assessments | No: EPC-CP-QP-2105 | Page 9 of 19 |
| | Revision: 0 | Effective Date: 05/12/2020 |

NOTE 2: Careful examination will determine whether the solids are raw materials (e.g., product used to fabricate something, or ingredients used in a formulation) or waste materials (e.g., shavings, woodchips and sawdust, trash).

- [5] **ITEM 11:** Observe any settled solids in the sample. Document by describing the settled solids (e.g., sediment, vegetation, fine, coarse).

NOTE 3: Settled solids may be an indicator of unstable ground cover combined with a high intensity stormwater runoff event.

- [6] **ITEM 12:** Observe any suspended solids in the sample. Document by describing the suspended solids (e.g., vegetation, ash, sediment, fine, coarse).

NOTE 4: Most often suspended solids include fine sediment. This may be an indication of an unstable channel with eroding banks. Some water may appear to be colored because of relatively fine particulate material in suspension such as sediment.

- [7] **ITEM 13:** Check the sample is free of foam. Gently shake the sample container. Document by describing any bubbles in or on the surface of the water and the color of the foam.

[a] IF it is determined that foam is caused by a pollutant, THEN complete the visual assessment and contact the EPC-CP MSGP Program Leader **immediately following completion of the visual assessment.**

[b] Follow-up action is required within 24 hours (see EPC-CP-QP-2109).

- [8] **ITEM 14:** Check the sample is devoid of any oil sheen. Document by describing the thickness and consistency (e.g., flecks, globs).

[a] IF an oil sheen is present, THEN contact the EPC-CP MSGP Program Leader **immediately following completion of the visual assessment.**

[b] Document in the Labor Report (**ITEM 17**) the source of the oil sheen, if existing BMPs are effective in mitigation of potential pollutants, and if a new BMP needs to be installed.

[c] Follow-up action is required within 24 hours (see EPC-CP-QP-2109).

- [9] **ITEM 15:** Check the discharge is free of any other indicators of stormwater pollution not described in any other task line above.

- [10] IF there are any potential sources of pollutants observed on site, THEN document the following and contact the EPC-CP MSGP Program Lead within 24 hours of identification:

- Potential sources;

| | | |
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| MSGP Stormwater Visual Assessments | No: EPC-CP-QP-2105 | Page 10 of 19 |
| | Revision: 0 | Effective Date: 05/12/2020 |



- Indicate if there are Best Management Practices (BMPs) on site;
- Evaluate whether the BMPs are working correctly or need maintenance;
- Evaluate whether implementation of additional BMPs are needed to address the observed contaminant.

[11] Contact the FOD, DEP, and EPC-CP MSGP representative to inform them of the situation.

NOTE 5: Refer to EPC-CP-QP-2109, *MSGP Corrective Actions* for specific steps to document, track, and report conditions of potential stormwater pollution.

[12] After all task lines have been completed, make sure you have clicked the “Save” bar at the bottom of the page.

4.3 Completing the Visual Assessment Form

- [1] Ensure the inspection form has been filled out completely including information not available during the field inspection (e.g., date/time of discharge, date/time of sample collection, total precipitation amount).
- [2] Click the “Back” arrow button  in the upper left-hand corner to exit the work order Tasks page and return to the Work Order Summary page.
- [3] Click the checkered flag  in the upper right corner of the Work Order Summary page to open the Work Order Status Update page. MC Express auto-populates the date and time fields.

CAUTION

MC Express automatically changes the work order status to “Closed.”

- [4] **ITEM 16:** Click on the expand arrow located on the right side of the “New Status” field and select “Completed” from the available dropdown menu.
 - [a] Ensure the date and time that is auto-populated is the date and time that the **work was completed** and **not the date/time the form was filled out**.
 - [b] IF work is performed over multiple days, THEN note the date and time the work began in the Labor Report field.
 - [c] To update the date or time, click the “Date” field and make necessary adjustments using the available timestamp application. Click “Set” to apply changes.
 - [d] IF using a hard copy form, THEN write the date and time the work was completed.
- [5] **ITEM 17:** The field personnel must type or write his/her name in the “Labor Report Update” field.

| | | |
|---|--------------------|----------------------------|
| MSGP Stormwater Visual Assessments | No: EPC-CP-QP-2105 | Page 11 of 19 |
| | Revision: 0 | Effective Date: 05/12/2020 |

- [6] Any additional notes, observations, or site conditions not documented in a task line “Reading” or “Comments” field can be documented in the “Labor Report Update” field.
- [7] Scroll down the page to the “Signature” bar and click the expand arrow on the left side of the bar to open the “Signature” field.
 - [a] **ITEM 18:** Capture an electronic signature by drawing with a finger on the tablet screen. The Lead Inspector is certifying that the information submitted is “true, accurate, and complete” by electronically signing the work order.

NOTE: The mouse must be used to sign electronically when using MC Express on a desktop screen (not a tablet).
 - [b] If using a hard copy form, the field personnel will sign his/her name and the date of when the form was signed.
 - [c] By signing either electronically or on hard copy, the field personnel is certifying that the information submitted is “true, accurate, and complete”.
- [8] Click on the “Save” bar at the bottom of the page to close the “Signature” field.

4.4 Completing the Certification Statement

EPC-CP will send completed visual assessment forms to the DEPs at the end of each quarter that will contain a certification statement in the cover memorandum. The duly authorized signatory may sign and date this certification statement rather than the certification line associated with each attached form. However, the memorandum and associated completed forms must remain together.

5.0 TRAINING

All EPC-CP personnel that execute the activities specified in this procedure must meet the minimum qualification and training requirements for their position as identified EPC-CP-PIP-2101, NPDES Multi-Sector General Permit Program Implementation Plan. This will include “self-study” (required reading) for this procedure as assigned and documented in accordance with ADESH-TPP-301, *ADESH Training Program Plan*. Other participating LANL groups may require training to local procedures and document completion of training.

Contract personnel that execute the activities specified in this procedure will be qualified and trained as required by the Exhibit D and Exhibit F. In addition, contract personnel will be required to complete “self-study” (required reading) of this procedure.

6.0 RECORDS

EPC-CP is the Office of Record for this document. It must be maintained in accordance with [PD1020](#), *Document Control and Records Management* and ADESH-AP-006, *Records Management Plan*. Records generated by this document will be submitted to the Records Management designated point of contact or document manager for document management.

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|---|--------------------|----------------------------|
| MSGP Stormwater Visual Assessments | No: EPC-CP-QP-2105 | Page 12 of 19 |
| | Revision: 0 | Effective Date: 05/12/2020 |

Below are records generated as a result of implementing this procedure. Records generated are identified by title and type.

| Record Title | QA Record | Non-QA Record |
|---|-------------------------------------|--------------------------|
| EPC-CP-QP-2105 R0 Form-1, <i>MSGP Visual Assessment</i> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

7.0 DEFINITIONS AND ACRONYMS

7.1 Definitions

See LANL [Definition of Terms](#).

Adverse weather conditions – Weather that prohibits collection of samples such as local flooding, high winds, hurricanes, tornadoes, electrical storms, etc. Could also include drought, extended frozen conditions, etc.

Best Management Practices (BMPs) – Schedules of activities, practices, prohibitions of practices, structures, vegetation, maintenance procedures, and other management practices to prevent or reduce pollution. BMPs also include treatment requirements, operating procedures, and practices to control facility site runoff, spillage or leaks, sludge or waste disposal, or drainage from raw material storage.

Clarity – Clearness or cleanness of appearance. This includes the visual observation of suspended sediment.

Color – Unpolluted water will be clear and colorless. Color must not be confused with clarity.

Floating solids – Particulate material floating on the surface of the water. Examples include raw or waste materials and common trash.

Foam – An accumulation of fine frothy bubbles formed in or on the surface of water. A mass of bubbles of air in a matrix of liquid film.

Measurable storm event – Precipitation that results in an actual discharge from your site that follows the preceding measurable storm event by at least 72 hours (3 days).

Odor – The property or quality of waters that affects or stimulates the sense of smell. Examples of odors that may be present are burnt oil, petroleum hydrocarbon, sewage, diesel, sulfuric, or detergent odors.

Oil sheen – The presence of rainbow-like colors glistening on the surface of a liquid. The color of oil sheen will vary dependent on thickness and consistency.

Settled solids – Settled particulate material i.e., heavier than water. Examples include sand, gravel, metal turnings, and glass.

Suspended solids – Particulate materials that are floating between the bottom of the sample and the surface of the water.

| | | |
|---|--------------------|----------------------------|
| MSGP Stormwater Visual Assessments | No: EPC-CP-QP-2105 | Page 13 of 19 |
| | Revision: 0 | Effective Date: 05/12/2020 |

Unstaffed and Inactive Sites – A facility maintaining certification with the SWPPP that it is inactive and unstaffed and visual examinations are not required.

7.2 Acronyms

See LANL [Acronym Master List](#).

| | |
|------------|---|
| BMP | Best Management Practice |
| DEP | Deployed Environmental Professional |
| EPC-CP | Environmental Protection and Compliance – Compliance Programs |
| FOD | Facility Operations Division |
| IWD | Integrated Work Document |
| LANL | Los Alamos National Laboratory |
| MC | Maintenance Connection |
| MC Express | Maintenance Connection MC Express web application |
| MSGP | Multi-Sector General Permit |
| NPDES | National Pollutant Discharge Elimination System |
| SAP | Sampling and Analysis Plan |
| SWPPP | Stormwater Pollution Prevention Plan |

8.0 REFERENCES

EPC-CP-QP-2109, MSGP Corrective Actions

EPC-CP-PIP-2101, NPDES Multi-Sector General Permit Program Implementation Plan

ADESH-TPP-301, ADESH Training Program Plan

ADESH-AP-006, Records Management Plan

PD1020, Document Control and Records Management

9.0 ATTACHMENTS

Attachment 1: Screenshot Examples of EPC-CP-QP-2105 R0 Form 1, MSGP Visual Assessment in MC Express

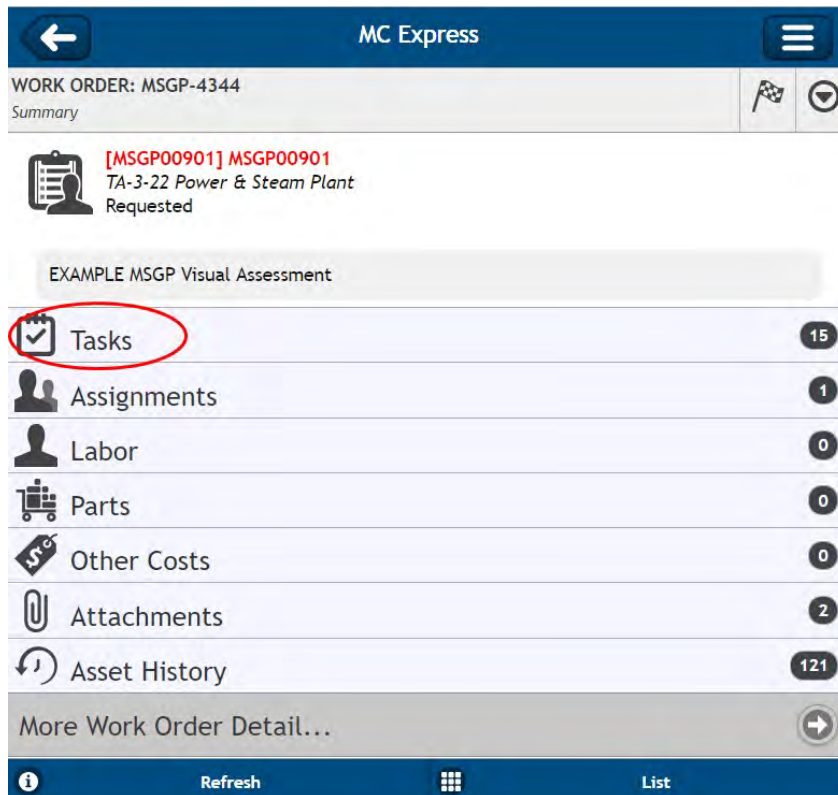
Attachment 2: EPC-CP-QP-2105 R0 Form 1, MSGP Visual Assessment Hard Copy Example

| | | |
|---|--------------------|----------------------------|
| MSGP Stormwater Visual Assessments | No: EPC-CP-QP-2105 | Page 14 of 19 |
| | Revision: 0 | Effective Date: 05/12/2020 |

Attachment 1: Screenshot Examples of EPC-CP-QP-2105 R0 Form 1, MSGP Visual Assessment in MC Express

(Page 1 of 4)

Work Order Summary Page (Section 3.1, Steps 5 and 6)



The screenshot displays the MC Express interface for a work order summary. At the top, the header shows 'MC Express' with a back arrow and a menu icon. Below the header, the work order number 'MSGP-4344' is displayed, followed by a 'Summary' tab. The main content area shows the work order title 'TA-3-22 Power & Steam Plant Requested' and a list of tasks. The 'Tasks' item is circled in red. Below the tasks list, there is a section for 'EXAMPLE MSGP Visual Assessment' and a list of other work order details including Assignments, Labor, Parts, Other Costs, Attachments, and Asset History. The bottom of the screen features a navigation bar with 'Refresh' and 'List' buttons.

| Task | Count |
|---------------|-------|
| Tasks | 15 |
| Assignments | 1 |
| Labor | 0 |
| Parts | 0 |
| Other Costs | 0 |
| Attachments | 2 |
| Asset History | 121 |

| | | |
|---|--------------------|----------------------------|
| MSGP Stormwater Visual Assessments | No: EPC-CP-QP-2105 | Page 15 of 19 |
| | Revision: 0 | Effective Date: 05/12/2020 |

Attachment 1: Screenshot Examples of EPC-CP-QP-2105 R0 Form 1, MSGP Visual Assessment in MC Express (cont.)

(Page 2 of 4)

Work Order Tasks Page – Documenting Sample Information (Section 4.1, Steps 2-7)

MC Express

WORK ORDER: MSGP-4344

Tasks

The result of this VA applies to associated SIOs as defined in the SWPPP, where applicable.

Sample information

| | | |
|----|--|---|
| 30 | Document the monitoring Period (e.g., Apr-May) | ↓ |
| 40 | Document the Date/Time Discharge began in the "Reading" field of this line (using mm/dd/yy hh:mm format). | ↓ |
| 50 | Document the Date/time sample collected in the "Reading" field of this line (using mm/dd/yy hh:mm format). | ↓ |
| 60 | Document the Date/time sample visually assessed in the "Reading" field of this line (using mm/dd/yy hh:mm format). | ↓ |
| 70 | Document the nature of discharge (e.g., rain, snowmelt). Document the TOTAL amount (in) in the "Reading" field of this line. | ↓ |
| 80 | Sample collected in first 30 minutes of discharge? If "Failed" or unknown, provide a reason. | ↓ |

Refresh List

MC Express

WORK ORDER: MSGP-1423

Edit Task

30 Document the monitoring Period (e.g., Apr-May)

Reading

Jun-July

Initials

Failed?

No

Not Applicable?

No

Complete?

Yes

Comments

Cancel Save

| | | |
|---|--------------------|----------------------------|
| MSGP Stormwater Visual Assessments | No: EPC-CP-QP-2105 | Page 16 of 19 |
| | Revision: 0 | Effective Date: 05/12/2020 |

Attachment 1: Screenshot Examples of EPC-CP-QP-2105 R0 Form 1, MSGP Visual Assessment in MC Express (cont.)



















(Page 3 of 4)

Work Order Tasks Page – Assessing Parameters (Section 4.2, Steps 1-9)

MC Express

WORK ORDER: MSGP-4344
Tasks

Parameters

| | | |
|---|--|---|
|  110 7 | Is sample colorless? If "Failed", describe. |  |
|  120 8 | Is sample odorless? If "Failed", provide description (e.g. musty, sewage, sulfur, sour, solvent, petroleum/gas) |  |
|  130 9 | Is sample clear? If "Failed", provide description (e.g., slightly cloudy, cloudy, opaque). |  |
|  140 10 | Is sample free of floating solids? If "Failed", describe if raw or waste material(s) in the comments of this line. |  |
|  150 11 | Is sample free of settled solids? If "Failed", provide description (e.g., fine, coarse). |  |
|  160 12 | Is sample free of suspended solids? If "Failed", provide description (e.g., fine, coarse). |  |
|  170 13 | Is sample foamless after gently shaking? If "Failed" describe foam color and location (e.g., 'on the surface' or 'in the sample'). |  |
|  180 14 | Is sample devoid of an oil sheen? If "Failed", describe color and thickness (e.g. flecks, globs). |  |
|  190 15 | Is sample free of other obvious indicators of pollution? If "Failed", describe. |  |

Refresh **List**

| | | |
|---|--------------------|----------------------------|
| MSGP Stormwater Visual Assessments | No: EPC-CP-QP-2105 | Page 17 of 19 |
| | Revision: 0 | Effective Date: 05/12/2020 |

Attachment 1: Screenshot Examples of EPC-CP-QP-2105 R0 Form 1, MSGP Visual Assessment in MC Express (cont.)

(Page 4 of 4)

Work Order Status Update Page – Completing the Form (Section 4.3, Steps 4-7)

The screenshot shows the 'MC Express' mobile application interface for updating a work order status. The header bar is blue with a back arrow, 'MC Express' text, and a menu icon. Below the header, the work order number 'MSGP-4344' and the title 'Status Update' are displayed. The main form area includes several sections: 'Issued / Completed' with a clipboard icon; 'New Status' with a red box labeled '16' next to a dropdown menu showing 'Completed'; 'Date' with a calendar icon and a text field showing '6/19/2018 10:48 AM'; 'Percent Complete' with a slider set to 100%; and 'Labor Report Update' with a red box labeled '17' next to a dropdown menu showing 'Select Comments to Add.....'. At the bottom, there is a text field with 'Jane Admin' and a navigation bar with 'Cancel' and 'Save' buttons.

Work Order Status Update Page (Section 4.3, Step 7)

The screenshot shows the 'MC Express' mobile application interface for updating a work order status, specifically the signature section. The header bar is blue with a back arrow, 'MC Express' text, and a menu icon. Below the header, the work order number 'MSGP-4344' and the title 'Status Update' are displayed. The main form area includes a 'Signature' section with a red box labeled '18' next to a signature field. Below the signature field, there is a '(Remove)' link. At the bottom, there is a navigation bar with 'Cancel' and 'Save' buttons.

| | | |
|---|--------------------|----------------------------|
| MSGP Stormwater Visual Assessments | No: EPC-CP-QP-2105 | Page 18 of 19 |
| | Revision: 0 | Effective Date: 05/12/2020 |

Attachment 2: EPC-CP-QP-2105 R0 Form 1, MSGP Visual Assessment Hard Copy Example
(Page 1 of 2)

Los Alamos National Laboratory

Work Order MSGP-4344

MSGP Monitoring Stations
Printed 6/19/2018 - 10:55 AM (Duplicate Copy)

Maintenance Details

| | | |
|---|---|--|
| Requested By: Admin, Jane on 6/7/2018 10:51:00 AM | Target: 12/31/2018 | MSGP Program RG121.9 TA-3-22 Power & Steam Plant Monitored Outfall (009) MSGP00901 |
| Procedure: MSGP Visual Assessment (EPC-CP-QP-2105 R0 Form 1) | Priority/Type: / Inspection | |
| Last PM: 5/5/2010 | Department: Utilities and Infrastructure | |
| Reason: EXAMPLE MSGP Visual Assessment | | |
| Special Instructions: | | Contact: Admin, Jane Phone: 123-4567 |

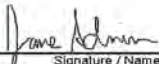
Tasks

| # | Description | Meas. | No | N/A | Yes |
|---|--|-------|--------------------------|--------------------------|--------------------------|
| The result of this VA applies to associated SIOs as defined in the SWPPP, where applicable. | | | | | |
| Sample Information | | | | | |
| 1 30 | Document the monitoring Period (e.g., Apr-May) | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 2 40 | Document the Date/Time Discharge began in the "Reading" field of this line (using mm/dd/yy hh:mm format). | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 3 50 | Document the Date/time sample collected in the "Reading" field of this line (using mm/dd/yy hh:mm format). | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 4 60 | Document the Date/time sample visually assessed in the "Reading" field of this line (using mm/dd/yy hh:mm format). | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 5 70 | Document the nature of discharge (e.g., rain, snowmelt). Document the TOTAL amount (in) in the "Reading" field of this line. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 6 80 | Sample collected in first 30 minutes of discharge? If "Failed" or unknown, provide a reason. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Parameters | | | | | |
| 7 110 | Is sample colorless? If "Failed", describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 8 120 | Is sample odorless? If "Failed", provide description (e.g. musty, sewage, sulfur, sour, solvent, petroleum/gas) | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 9 130 | Is sample clear? If "Failed", provide description (e.g., slightly cloudy, cloudy, opaque). | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 10 140 | Is sample free of floating solids? If "Failed", describe if raw or waste material(s) in the comments of this line. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 11 150 | Is sample free of settled solids? If "Failed", provide description (e.g., fine, coarse). | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 12 160 | Is sample foamless after gently shaking? If "Failed" describe foam color and location (e.g., 'on the surface' or 'in the sample'). | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 13 170 | Is sample devoid of an oil sheen? If "Failed", describe color and thickness (e.g. flecks, globs). | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 14 180 | Is sample free of other obvious indicators of pollution? If "Failed", describe. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Labor Report

16 **Completed:** 6/19/2018 10:48:00 AM

17 **Report:** Jane Admin

18  6/19/2018

Signature / Name Date Signature / Name Date

I confirm the information as recorded is true, accurate and complete.

| | | |
|---|--------------------|----------------------------|
| MSGP Stormwater Visual Assessments | No: EPC-CP-QP-2105 | Page 19 of 19 |
| | Revision: 0 | Effective Date: 05/12/2020 |

Attachment 2: EPC-CP-QP-2105 R0 Form 1, MSGP Visual Assessment Hard Copy Example (cont.)
(Page 2 of 2)

CERTIFICATION STATEMENT

"I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations".


(Signatory must meet definition in Section B.11.A, eg. FOD, Ops Mgr, DESH Group Leader, EPC Group Leader)

19 Print name and title: _____

Signature: _____ Date: _____

EPC-CP-QP-2105 R0 Form 1

**ATTACHMENT 19: EPC-CP-TP-2103, *INSPECTING ISCO STORMWATER RUNOFF SAMPLERS AND
RETRIEVING SAMPLES***

| | | |
|----------------------------|------------------------------|---|
| EPC-CP-TP-2103 | Revision: 0 |  |
| Effective Date: 02/24/2020 | Next Review Date: 02/24/2023 | |

Environment, Safety, Health, Quality, Safeguards, and Security Directorate

Environment Protection and Compliance – Compliance Programs Group

Technical Procedure

Inspecting ISCO Stormwater Runoff Samplers and Retrieving Samples

Hazard Grading: ☐ Low ☒ Moderate ☐ High/Complex

Usage Level: ☒ Reference ☐ UET ☐ Mixed: UET Sections: _____

Status: ☐ New ☐ Major Revision ☐ Minor Revision

☐ Review w/No Changes ☒ Other: New EPC-CP format and numbering system

Safety Basis: ☒ N/A ☐ USQ ☐ USI Number: _____

Document Author/Subject Matter Expert:

| | | | |
|------------------|---------------|-------------------|------------|
| Name: | Organization: | Signature: | Date: |
| Holly L. Wheeler | EPC-CP | Signature on File | 02-20-2020 |

Derivative Classifier: ☒ **Unclassified** or ☐ _____

| | | | |
|------------------|---------------|-------------------|------------|
| Name: | Organization: | Signature: | Date: |
| Steven E. Wolfel | EPC-CP | Signature on File | 02-19-2020 |

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| | | | |
|-----------------------|---------------|-------------------|------------|
| EPC-CP Reviewer: | Organization: | Signature: | Date: |
| Terrill W. Lemke | EPC-CP | Signature on File | 02-19-2020 |
| EPC-CP RLM: | Organization: | Signature: | Date: |
| Taunia Van Valkenburg | EPC-CP | Signature on File | 02-24-2020 |

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| Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples | No: EPC-CP-TP-2103 | Page 2 of 27 |
| | Revision: 0 | Effective Date: 02/24/2020 |

REVISION HISTORY

| Document Number and Revision <i>[Include revision number, beginning with Revision 0]</i> | Effective Date <i>[Document Control Coordinator inserts effective date]</i> | Description of Changes <i>[List specific changes made since the previous revision]</i> |
|--|---|---|
| ENV-RCRA-QP-047, Rev. 0 | 03/11 | New Document. |
| ENV-RCRA-QP-047, Rev. 1 | 02/13 | Annual Review and Revision |
| EPC-CP-QP-047, Rev. 2 | 09/06/2017 | Review and revision. Updated document to new template and new group name. Clarified steps. Modified inspection form EPC-CP-Form-1010. Added crosswalk to electronic form in MC Express. |
| EPC-CP-TP-2103 R0 | 02/24/2020 | Supersedes EPC-CP-QP-047 R2. Reformat to new EPC-CP template. Re-number procedure and forms to new EPC-CP procedure numbering system. Minor edits. |

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| Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples | No: EPC-CP-TP-2103 | Page 3 of 27 |
| | Revision: 0 | Effective Date: 02/24/2020 |

Table of Contents

| | |
|--|----|
| Revision History | 2 |
| 1.0 Introduction | 4 |
| 1.1 Purpose | 4 |
| 1.2 Scope | 4 |
| 1.3 Applicability | 4 |
| 2.0 Precautions and Limitations | 5 |
| 2.1 Precautions..... | 5 |
| 2.2 Limitations..... | 5 |
| 3.0 Prerequisite Actions..... | 6 |
| 3.1 Planning and Coordination..... | 6 |
| 3.2 Performance Documents | 6 |
| 3.3 Special Tools, Equipment, Parts, and Supplies..... | 7 |
| 4.0 Inspecting the Sampler and Sample Retrieval..... | 8 |
| 4.1 Inspecting the Sampler..... | 8 |
| 4.1.1 On Arrival | 8 |
| 4.1.2 Water Collection Information | 9 |
| 4.1.3 Water Retrieval Information | 10 |
| 4.1.4 On Departure | 10 |
| 4.1.5 Equipment Specific Tasks..... | 11 |
| 4.1.6 Maintenance Information..... | 12 |
| 4.1.7 Bottle Information | 12 |
| 4.2 Retrieving Samples | 13 |
| 4.3 Removing Stormwater Samples from the field | 15 |
| 4.4 Completing the Inspection Form..... | 15 |
| 5.0 Training | 16 |
| 6.0 Records | 16 |
| 7.0 Definitions and Acronyms..... | 17 |
| 7.1 Definitions | 17 |
| 7.2 Acronyms..... | 17 |
| 8.0 References | 17 |
| 9.0 Attachments | 18 |
| Attachment 1: Screenshot Examples of EPC-CP-TP-2103 R0 Form 1, ISCO Sampler Inspection and Sample Retrieval in MC Express..... | 19 |
| Attachment 2: EPC-CP-TP-2103 R0 Form 1, <i>ISCO Sampler Inspection and Sample Retrieval</i> Hard Copy Example | 25 |
| Attachment 3: Sample Retrieval Flow Diagram..... | 27 |

| | | |
|--|--------------------|----------------------------|
| Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples | No: EPC-CP-TP-2103 | Page 4 of 27 |
| | Revision: 0 | Effective Date: 02/24/2020 |

1.0 INTRODUCTION

Los Alamos National Laboratory (LANL) through Environmental Protection and Compliance-Compliance Programs (EPC-CP) conducts stormwater monitoring activities required pursuant to the National Pollutant Discharge Elimination System (NPDES), Multi-Sector General Permit (MSGP) at LANL. The MSGP requires LANL to monitor stormwater runoff from industrial sites relative to potential pollutants.

1.1 Purpose

This procedure describes the process for inspecting ISCO automated samplers and retrieving stormwater runoff samples from outfall locations where LANL conducts stormwater monitoring pursuant to NPDES MSGP requirements. This procedure may also be used for other Associate Laboratory Directorate of Environment, Safety, Health, Quality, Safeguards, and Security (ESHQSS) stormwater monitoring activities as needed.

1.2 Scope

The discharge of stormwater from specified industrial sites at LANL is regulated under the NPDES MSGP. The Laboratory's MSGP requires qualitative and quantitative stormwater monitoring (e.g., sample collection) to evaluate the effectiveness of control measures. Automated ISCO samplers coupled with liquid level actuators are used at MSGP monitoring stations and in support of other stormwater monitoring programs. Refrigerated (Avalanche®) and/or non-refrigerated (Model 3700) samplers are deployed and configured with multi-battery arrays, solar panels, and surge protectors.

Field personnel are required to inspect the sampling station while retrieving water samples during MSGP stormwater monitoring periods and at other intervals determined by the program or as directed by the MSGP Program Lead.

Inspections and sample retrieval conducted under this procedure should be documented using the Maintenance Connection Express™ (MC Express) web application on a tablet or notebook style computer. (In the event of electronic hardware or web application failure, personnel may use a printed hard copy to conduct inspection and sample retrieval.)

1.3 Applicability

This procedure applies to the EPC-CP technical staff and subcontractor personnel (as applicable) conducting activities at automated stormwater sampling stations used for monitoring industrial stormwater discharge under the MSGP or other stormwater monitoring programs.

The MSGP Program Lead is primarily responsible for this procedure. EPC-CP personnel are appointed responsibility for a subset of sampling stations. Other stormwater monitoring programs or projects utilizing this procedure will refer to program or project specific roles and responsibilities.

| | | |
|--|--------------------|----------------------------|
| Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples | No: EPC-CP-TP-2103 | Page 5 of 27 |
| | Revision: 0 | Effective Date: 02/24/2020 |

2.0 PRECAUTIONS AND LIMITATIONS

2.1 Precautions

The hazard level of the activities in this procedure is **MODERATE**. Hazards in the work described in this procedure are controlled thorough a site specific Integrated Work Document (IWD) Part I. The IWD Part II (Form 2101) addresses site specific requirements and training by the Facility Operations Division (FOD).

Personnel performing steps in this procedure that involve electrical equipment **MUST** be trained to LANL electrical safety standards as prescribed in the IWD before performing those steps.

Personnel must wear appropriate clothing (e.g., boots, long pants, etc.) to perform work in the field.

Work may be discontinued during periods or conditions that make sites dangerous for worker safety or prevent personnel from safely accessing sites (e.g., weather-related events such as flash floods, flooding, lightning, wildfires, hail, icy roads, deep snow, or LANL operations such as firing shots or burns).

In the event of pest infestation (e.g., wasp or rat nests), do not attempt to remove the pest yourself. Call LANL Pest Control to coordinate the removal of the pest(s).

If conditions prevent field work, document the conditions in the Labor Report Update field on the form and notify the Program Lead or designee within 24 hours. Multiple attempts can be documented on the original form. If the target date cannot be met, the field personnel must contact the Program Lead no less than 24 hours before the target date for guidance.

2.2 Limitations

In MC Express, document responses to each question on a work order by clicking the expand arrow located on the right side of the task line and changing the “Complete” or “Failed” or “N/A” line to “Yes”. When using a hard copy form, mark the appropriate check box.

Throughout this process, the field personnel will document comments and notations in the “Reading” field of the associated task line. Additional comments not documented in a “Reading” field can be entered in the “Comments” field of the same task line. If field personnel need more space, additional comments can be entered in the “Labor Report Update” field (see Section 4.10) when the work order is updated to “Complete” status. When using a hard copy form, document comments on the corresponding task line. If additional space is needed, comments can be entered in the “Labor Report” section at the bottom of the form.

Some terminology varies between the MC Express software and the Maintenance Connection desktop software.

- The “Reading” field in MC Express is the same field as “Reading Final” in Maintenance Connection desktop and “Meas.” on a hard copy (printed) work order.

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| Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples | No: EPC-CP-TP-2103 | Page 6 of 27 |
| | Revision: 0 | Effective Date: 02/24/2020 |

- The “Complete” option in MC Express is the same as a “Yes” answer; the “Failed” option in MC Express is the same as a “No” answer. Maintenance Connection desktop and hard copy (printed) work orders use “Yes” and “No” terminology.

3.0 PREREQUISITE ACTIONS

3.1 Planning and Coordination

1. Ensure that field personnel have access to accurate time measurement at the Site. When at the site, the clock time on the ISCO sampler must be set to Mountain Standard Time (MST) at all times, with no daylight saving time adjustment.
2. Schedule work to be completed by the target date appearing on the work order(s) or as requested by the MSGP Program Lead if a form is not issued.
3. Obtain any necessary additional paperwork before conducting this work, including IWD’s, and excavation permits (as necessary).
4. As specified in the IWD, inform (e.g., by e-mail) facility contacts and/or Deployed Environmental Professional of the schedule for sampler work and locations up to a week before (preferred), but no later than the day before (for minor changes) so work may be added to the appropriate plan of the day.

NOTE: For some FODs like the Utilities and Institutional Facilities FOD, MSGP stormwater monitoring activities are on a standing plan of the day. However, this must be requested each year at the beginning of the monitoring season.

5. Gather the required equipment (see Section 3.3) for the work to be done.
6. Using the Safari or Chrome web browser on a tablet or notebook style computer, navigate to <http://express.maintenanceconnection.com> and select English from the available dropdown menu.
7. Log into the MC Express application (<http://express.maintenanceconnection.com>) and confirm that the work order list displayed matches your sites. If the work order lists do not match, contact EPC-CP Data Management personnel for clarification.
8. In MC Express, click on the appropriate work order number to open the work order. The work order will open in the display to the Work Order Summary page.
9. Click on the “Tasks” bar to navigate to the work order Tasks page. See MC Express screen shot examples in Attachment 1.
10. Always log out of MC Express when you have finished work OR if work is interrupted.

3.2 Performance Documents

Personnel performing this procedure will be familiar with the most current versions of the following plans and operation manuals if this equipment is utilized. Copies of the following are not required to be on the job site.

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| Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples | No: EPC-CP-TP-2103 | Page 7 of 27 |
| | Revision: 0 | Effective Date: 02/24/2020 |

- EPC-CP MSGP Sampling and Analysis Plan (SAP) most recent revision for the current monitoring year OR project specific monitoring plan;
- ISCO 3700 Portable Samplers Installation and Operation Guide;
- ISCO Avalanche® Installation and Operation Guide; or
- ISCO 701 pH/Temperature Module Installation and Operation Guide (if equipped at a station).

3.3 Special Tools, Equipment, Parts, and Supplies

Ensure the following equipment is available.

- Safety glasses;
- Sturdy hiking boots or steel toed shoes (as needed) with soles that grip and other required facility specific Personal Protective Equipment;
- Nitrile gloves;
- Leather gloves;
- Cell phone (only government cell phones are allowed in secure areas). (See <https://int.lanl.gov/policy/documents/P217.pdf> for requirements for using portable electronic devices on Laboratory property);
- Copy of this procedure;
- Copy of the IWD;
- EPC-CP MSGP SAP most recent revision for the current monitoring year OR project specific monitoring plan;
- Site Map(s) (as needed);
- Current electronic or paper inspection form EPC-CP-TP-2103 Form 1, *MSGP ISCO Sampler Inspection and Sample Retrieval*;
- Government issued electronic tablet with Safari or Chrome web browser and Blackberry UEM™ app. (See <https://int.lanl.gov/policy/documents/P217.pdf> for requirements for using portable electronic devices on Laboratory property);
- Water Sample Collection and Processing Log/Field Chain of Custody (SCPL) (see EPC-CP-QP-2106);
- Access to accurate time measurement;
- Necessary access and station keys;
- Insulated hand tools;
- Charged spare battery(s);

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| Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples | No: EPC-CP-TP-2103 | Page 8 of 27 |
| | Revision: 0 | Effective Date: 02/24/2020 |

- Battery voltage tester;
- Clean spare tubing (pump, suction, discharge types, sampler specific);
- Certified clean replacement sample bottles (glass and poly);
- Spare/replacement sampler parts (liquid level actuator, distributor arm);
- Shovel;
- Wooden stakes;
- Plastic wire “zip” ties;
- Coolers with ice or Blue Ice®;
- Paper Towels;
- Marker pen (permanent, waterproof);
- Ball point pen;
- Re-sealable zipper storage bags (e.g., Ziploc®);
- Custody seals; and
- 0.45 micron filter (where applicable).

4.0 INSPECTING THE SAMPLER AND SAMPLE RETRIEVAL

Inspection of ISCO samplers is performed weekly during the sampling season. Samples retrieved are determined at a sampling station based on the current year SAP. See Attachment 1 for screen shot examples of EPC-CP-TP-2103 R0 Form 1, *ISCO Sampler Inspection and Sample Retrieval* in MC Express. See Attachment 2 for an example of the form in hard copy format.

NOTE: Each ITEM number listed in **red font** below corresponds to a **red numbered box** on both screenshots (Attachment 1) and hard copy format (Attachment 2).

4.1 Inspecting the Sampler

4.1.1 On Arrival

- [1] Remove the top cover from the sampler.
- [2] **ITEM 1:** Check and document the sampler is ON and its condition upon arrival. Explain any non-functional status.
 - [a] IF a sampler has been inactivated (e.g., sample collection completed) prior to this inspection but continues to appear on the inspection form, THEN answer this task line question “N/A.”
 - [b] Subsequent questions regarding the inactive sampler may be left unanswered in this section.

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| Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples | No: EPC-CP-TP-2103 | Page 9 of 27 |
| | Revision: 0 | Effective Date: 02/24/2020 |

- [3] **ITEM 2:** Check and document the ISCO programming displays the following.
 - [a] ISCO 3700 sampler display should indicate “Sampler Inhibited”
 - [b] Avalanche sampler display should indicate “Program Disabled”
 - [c] Document messages other than those in [a] and [b] (e.g., “Done X samples,” “sampler off,” etc.,).
- [4] IF there is no indication of flow and the sampler triggered due to a non-flow event, THEN describe why the sampler triggered (e.g., animal, tumbleweed, etc.,).
- [5] **ITEM 3:** Check and document the sampler is set to the correct MST +/- no more than 1 minute. Do **NOT** use Daylight Savings Time.
 - [a] IF the sampler is set incorrectly, THEN reprogram for the correct MST.
 - [b] Describe the work performed and correction applied (e.g., “ISCO clock was X minutes slow”).
- [6] If the location has more than one sampler, complete Steps 1 through 5 for each sampler.

4.1.2 Water Collection Information

- [1] Don nitrile gloves and safety glasses.
- [2] Remove the center section from the sampler.
- [3] **ITEM 4:** Document evidence of storm water flow at the sampling location by describing the evidence of flow (e.g., sediment or vegetation movement, erosion, standing water).
 - [a] IF the sampler did not trip but there is evidence of flow, THEN document the date and time storm water discharge began from the precipitation report.
 - [b] IF the sampler tripped or collected storm water, THEN document the date/time stamp from the sampler (or from the precipitation report if the sampler did not record a date/time stamp).
- [4] **ITEM 5:** Document that storm water is collected.
 - [a] Document if the water is taken by grab sample.
 - [b] Complete the Bottle Information (**ITEM 20**) in Section 4.1.7.
 - [c] Follow the steps in thru Section 4.2 Step 16 to retrieve samples.
- [5] **ITEM 6:** For Avalanche samplers only, record the current refrigerator temperature in degrees Celsius (°C) when water is collected.

| | | |
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| Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples | No: EPC-CP-TP-2103 | Page 10 of 27 |
| | Revision: 0 | Effective Date: 02/24/2020 |

- [a] IF unable to review the temperature,
THEN check “No” and describe the condition (e.g., dead battery, electrical short).
- [6] **ITEM 7:** For Avalanche samplers equipped with an ISCO pH and Temp Module, check and document a pH measurement was taken on the collected water.
 - [a] Record the pH measurement taken at the time Bottle 1 was filled as “Average:Minimum:Maximum.”
 - [b] IF unable to review the pH,
THEN check “No” and describe the condition (e.g., damaged meter).

4.1.3 Water Retrieval Information

- [1] **ITEM 8:** Check and document whether a sample volume was retrieved from the sampler and taken off site.
 - [a] Record the estimated total volume in liters (L) or milliliters (ml) **taken off site**.
- [2] **ITEM 9:** Check and document whether a visual assessment of the water was performed (refer to EPC-CP-QP-2105).
 - [a] Do **NOT** conduct a visual assessment on a filtered sample. Record “Filtered sample.”

4.1.4 On Departure

WARNING

You MUST be trained to LANL electrical safety standards as prescribed in the IWD before performing Steps 2 and 3.

- [1] Prepare yourself in accordance with the IWD for electrical work (e.g. wear safety glasses and leather gloves, use insulated tools, no jewelry or anything metal hanging from body, etc.,).
- [2] **ITEM 10:** Check that all cable and electrical connections are attached and firmly tightened (not loose) upon departure.

NOTE: Connections may work loose over time due to temperature changes and if there are dis-similar metals at the connection points. The loose connections can introduce voltage spikes, which inherently cause current spikes that may result in blown fuses.

 - [a] IF the cables require replacement, connections require tightening, or other maintenance performed,
THEN describe the work performed (e.g., “tightened connectors on battery).
 - [b] IF maintenance cannot be completed at the time of inspection,

| | | |
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| Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples | No: EPC-CP-TP-2103 | Page 11 of 27 |
| | Revision: 0 | Effective Date: 02/24/2020 |

THEN describe the condition (e.g. cables chewed through by animal) and follow-up work needed (e.g., replace cables).

- [3] **ITEM 11:** Use a voltage meter to check the power supply.
 - [a] Record the voltage of the battery(ies) in volts (V).
 - [b] Document if battery voltage is acceptable upon departure from the site (≥ 11.7 for non-floating charged batteries at ISCO 3700 samplers and ≥ 11.0 for floating-charged batteries at Avalanche samplers).
 - [c] Replace a battery with a charged battery when the voltage is not acceptable.
 - [d] Check the voltage of the solar panel if access can be gained to the weather protected terminal covers on the back of the panel.
- [4] Contact the program Electrical Safety Officer if any issues with wiring or batteries cannot be resolved on site.

4.1.5 Equipment Specific Tasks

- [1] **ITEM 12:** Check and document the sampler passes the diagnostic test. (Refer to EPC-CP-TP-2102 or sampler Operator's Guide for instructions on running a diagnostics test.)
 - [a] IF a sampler has been inactivated (e.g., sample collection completed) prior to this inspection but continues to appear on the inspection form, THEN answer this task line question as "N/A." Subsequent questions regarding this sampler may be left unanswered in this section.

CAUTION

Only reset the pump counts after replacing the internal pump tubing.

- [2] IF the internal pump tubing has reached or exceeded the preset pump counts (500,000 for ISCO 3700s, 1,000,000 for Avalanches), THEN replace the pump tubing and reset the pump counts.
- [3] **ITEM 13:** Check and document the sample tubing is free or clear of debris.
 - [a] Clear obstructions as needed and document maintenance performed.
- [4] Check the physical condition of sample tubing and vent tubing.
 - [a] Replace tubing as needed and document maintenance performed.
- [5] **ITEM 14:** Check and document the sample tubing has passed a suction test.
- [6] **ITEM 15:** Check and document the sampler is ON prior to departing the site.
- [7] **ITEM 16:** Check and document the liquid level actuator has been set to "Latch" prior to departing the site.

| | | |
|--|--------------------|----------------------------|
| Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples | No: EPC-CP-TP-2103 | Page 12 of 27 |
| | Revision: 0 | Effective Date: 02/24/2020 |

- [a] IF the sampler tripped and requires reset of the sampling program,
THEN reset the actuator by toggling the switch to “Reset” and back to “Latch.”
- [8] **ITEM 17:** Check and document the ISCO programming displays the following.
 - [a] ISCO 3700 sampler display should indicate “Sampler Inhibited.”
 - [b] Avalanche sampler display should indicate “Program Disabled.”
 - [c] Reprogram the sampler as needed and document maintenance performed.
- [9] Replace and secure the sampler top cover and secure the sampler shelter (if sampler is in a shelter).
- [10] If the location has more than one sampler, complete Steps 1 through 11 for each sampler.

4.1.6 Maintenance Information

- [1] **ITEM 18:** Document maintenance completed while on site that is not documented elsewhere on the work order by describing the work performed.

NOTE: Maintenance items may include (but are not limited to) site clearing, installing new or additional equipment, removing equipment, animal/pest mitigation, problems with equipment location, etc.
- [2] IF a battery was replaced,
THEN record the voltage of the new battery and the battery identification number.
 - [a] IF the battery does not have an identification number,
THEN:
 - Contact the MSGP Program Lead to have one assigned.
 - Paint or write the number in a permanent manner on the battery.
- [3] **ITEM 19:** Document if maintenance is needed that was not completed while on site and that is not documented elsewhere on the work order.
 - [a] Describe on the work order the follow-up maintenance needed.
 - [b] When the maintenance has been complete, describe the actions taken to complete the work on the original work order.
 - [c] Record the maintenance completion date and time on the original work order.

4.1.7 Bottle Information

- [1] **ITEM 20:** Document water collected by recording the following information for each bottle by position number in the carousel.

| | | |
|--|--------------------|----------------------------|
| Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples | No: EPC-CP-TP-2103 | Page 13 of 27 |
| | Revision: 0 | Effective Date: 02/24/2020 |

- Date (MM/DD/YY or MM-DD-YY) and time the ISCO collected water,
 - Volume (L or ml) of water in the bottle,
 - Type of bottle (e.g. G for glass, P for poly),
 - Specific ISCO displayed message, if present.
- [2] IF the sampler(s) did not trigger,
THEN answer the task line question as “N/A” for Bottle #1 of each sampler and leave the other Bottle task lines unanswered.
- [3] IF a sampler has been inactivated (e.g., sample collection completed) prior to this inspection but continues to appear on the inspection form,
THEN answer the task line question as “N/A”. Subsequent questions regarding this sampler may be left unanswered in this section.
- [4] Proceed to Section 4.4 if no water was collected.

4.2 Retrieving Samples

Refer to the flow diagram in Attachment 3 as an aid in determining sample retrieval.

- [1] Don nitrile gloves and safety glasses.
- [2] Add up the estimated volume of water collected in the sampler.
- [3] Check that the estimated total volume of water in glass and poly matches the required volume for the specific location identified in the MSGP SAP.
- NOTE 1:** The volume of water required to complete analytical may vary by monitored location.
- [a] IF the sample volume is sufficient to fulfill all analytical requirements,
THEN continue to Step 4.
- [b] IF the sample volume is sufficient to fulfill part of the analytical requirements,
THEN consult the prioritization order on the MSGP SAP to determine which analytical to fulfill,
OR contact the MSGP Data Manager. Continue to Step 4 but retrieve only the volume needed.
- [c] IF the collected sample will NOT fulfill the minimum required volume for any analytical,
THEN:
- Complete a Visual Assessment if the sample is not filtered (refer to EPC-CP-QP-2105),
 - Record estimated total volume (L or ml) retrieved as “0” in **ITEM 8**,

| | | |
|--|--------------------|----------------------------|
| Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples | No: EPC-CP-TP-2103 | Page 14 of 27 |
| | Revision: 0 | Effective Date: 02/24/2020 |

- Return all water to the ground at the sampling location,
- Skip to Step 11.

CAUTION

ISCO Avalanche samplers are programmed to cool samples to 4°C. If water is collected and the refrigerator temperature reads higher than 6°C, **do not** retrieve samples that require ICE preservation. Samples do not meet preservation requirements.

- [4] Remove filled and partially filled bottles from the carousel one at a time.
- [5] For samples to be retrieved,
 - [a] Immediately place lids onto the sample bottles.
 - [b] Securely seal the lids.
 - [c] Place a custody seal on each bottle.
- [6] Write the following on each retrieved sample bottle.
 - Date and time collected (e.g., recorded by the ISCO sampler)
 - Sampler Location number
- [7] Conduct a Visual Assessment on a non-filtered sample (refer to EPC-CP-QP-2105).
- [8] Record estimated total volume (L or ml) retrieved in **ITEM 8**.
- [9] Place retrieved sample bottles in a cooler with blue ice (or equivalent).
- [10] Return any excess stormwater collected that exceeded the amount required to the ground at the location collected.
- [11] Install new certified clean sample bottles in the carousel to replace retrieved bottles.
 - [a] The number and type of bottles may vary. Ensure bottles match the configuration specified in the MSGP SAP.
- [12] Replace the 0.45-micron filter as needed.

NOTE 2: Consult the most current revision of the MSGP SAP for specifics.
- [13] IF the sampler is turned OFF for the quarter but new certified clean sample bottles and/or the filter have not been replaced,
THEN note this as follow-up maintenance required in **ITEM 19**.
- [14] Replace and secure the center section of the sampler.
- [15] If the location has more than one sampler, complete Section 4.1.7 thru Section 4.2 for each sampler.
- [16] Return to Section 4.1.2, Step 5.



| | | |
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| Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples | No: EPC-CP-TP-2103 | Page 15 of 27 |
| | Revision: 0 | Effective Date: 02/24/2020 |

4.3 Removing Stormwater Samples from the field

- [1] Transport retrieved samples and corresponding SCPL (see EPC-CP-QP-2106) to the EPC-CP Stormwater Program Laboratory at TA-59-1.
- [2] Sign and date/time the SCPL and place it with the samples in the refrigerator.
- [3] Ensure custody seal is intact on each sample bottle.
- [4] Refer to EPC-CP-QP-2106, *Processing MSGP Stormwater Samples* for processing and submitting samples for shipping to the SMO.
- [5] Ensure the EPC-CP Stormwater Program Laboratory door is locked upon exit.

4.4 Completing the Inspection Form

See Attachment 1 for completing the form in MC Express and Attachment 2 for a hard copy example.

- [1] After all task lines have been completed, make sure you have clicked the “Save” bar at the bottom of the page.
- [2] Click the “Back” arrow button  in the upper left hand corner to exit the work order Tasks page and return to the Work Order Summary page.
- [3] Click the checkered flag  in the upper right corner of the Work Order Summary page to open the Work Order Status Update page. MC Express auto-populates the date and time fields.

CAUTION

MC Express automatically changes the work order status to “Closed.”

- [4] **ITEM 21:** Click on the expand arrow located on the right side of the “New Status” field and select “Completed” from the available dropdown menu.
 - [a] Ensure the date and time auto-populated are the date and time the **work was completed** and **not the date/time the form was filled out**.
 - [b] IF work is performed over multiple days, THEN note the date and time the work began in the Labor Report field.
 - [c] To update the date or time, click the “Date” field and make necessary adjustments using the available timestamp application. Click “Set” to apply changes.
 - [d] IF using a hard copy form, THEN write the date and time the work was completed.
- [5] **ITEM 22:** The field personnel must type or write his/her name in the “Labor Report Update” field.

| | | |
|--|--------------------|----------------------------|
| Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples | No: EPC-CP-TP-2103 | Page 16 of 27 |
| | Revision: 0 | Effective Date: 02/24/2020 |

- [6] Additional notes, observations, or site conditions not documented in a task line “Reading” or “Comments” field can be documented in the “Labor Report Update” field.
- [7] Scroll down the page to the “Signature” bar and click the expand arrow on the left side of the bar to open the “Signature” field.
 - [a] **ITEM 23:** Capture an electronic signature by drawing with a finger on the tablet screen.
NOTE: The mouse must be used to sign electronically when using MC Express on a desktop screen (not a tablet).
 - [b] If using a hard copy form, the field personnel will sign his/her name and date when the form is signed.
 - [c] The field personnel is certifying that the information submitted is “true, accurate, and complete” by electronically signing work order.
- [8] Click on the “Save” bar at the bottom of the page to close the “Signature” field.
- [9] IF completing a hard copy,
THEN return the form to the MSGP Program Lead.

5.0 TRAINING

Personnel performing steps in this procedure that involve electrical equipment **MUST** be trained to LANL electrical safety standards as prescribed in the IWD before performing those steps.

All EPC-CP personnel that execute the activities specified in this procedure must meet the minimum qualification and training requirements for their position as identified EPC-CP-PIP-2101, NPDES Multi-Sector General Permit Program. This will include “self-study” (required reading) for this procedure as assigned and documented in accordance with ADESH-TPP-301, *ADESH Training Program Plan*. Other participating LANL groups may require training documentation pursuant to local procedures.

Contract personnel that execute the activities specified in this procedure will be qualified and trained as required by the Exhibit D and Exhibit F. In addition, contract personnel will be required to complete “self-study” (required reading) of this procedure.

6.0 RECORDS

EPC-CP is the Office of Record for this document and must be maintained in accordance with [PD1020](#), *Document Control and Records Management* and ADESH-AP-006, *Records Management Plan*. Records generated by this document will be submitted to the Records Management designated point of contact or document manager for document management.

Below are records generated as a result of implementing this procedure. Records generated are identified by title and type.

| | | |
|--|--------------------|----------------------------|
| Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples | No: EPC-CP-TP-2103 | Page 17 of 27 |
| | Revision: 0 | Effective Date: 02/24/2020 |

| Record Title | QA Record | Non-QA Record |
|---|-------------------------------------|--------------------------|
| EPC-CP-TP-2103 R0 Form 1, <i>ISCO Sampler Inspection and Sample Retrieval</i> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

7.0 DEFINITIONS AND ACRONYMS

7.1 Definitions

See LANL [Definition of Terms](#).

7.2 Acronyms

See LANL [Acronym Master List](#).

| | |
|------------|---|
| °C | Degrees in Celsius |
| EPC-CP | Environmental Protection and Compliance-Compliance Programs |
| FOD | Facility Operations Division |
| IWD | Integrated Work Document |
| L | Liter |
| LANL | Los Alamos National Laboratory |
| MC Express | Maintenance Connection MC Express web application |
| ml | Milliliter |
| MSGP | Multi-Sector General Permit |
| MST | Mountain Standard Time |
| NPDES | National Pollutant Discharge Elimination System |
| SAP | Sampling and Analysis Plan |
| SCPL | Sample Collection and Processing Log/Field Chain of Custody |
| V | Volts |

8.0 REFERENCES

EPC-CP-QP-2105, MSGP Stormwater Visual Assessments

EPC-CP-QP-2106, Processing MSGP Stormwater Samples

EPC-CP-TP-2102, Installing, Setting Up, and Operating ISCO Samplers

EPC-CP-PIP-2101, NPDES Multi-Sector General Permit Program Implementation Plan

ADESH-TPP-301, ADESH Training Program Plan

ADESH-AP-006, Records Management Plan

PD1020, Document Control and Records Management

| | | |
|--|--------------------|----------------------------|
| Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples | No: EPC-CP-TP-2103 | Page 18 of 27 |
| | Revision: 0 | Effective Date: 02/24/2020 |

9.0 ATTACHMENTS

Attachment 1: Screenshot Examples of EPC-CP-TP-2103 R0 Form 1, *ISCO Sampler Inspection and Sample Retrieval* in MC Express

Attachment 2: EPC-CP-TP-2103 R0 Form 1, *ISCO Sampler Inspection and Sample Retrieval* Hard Copy Example

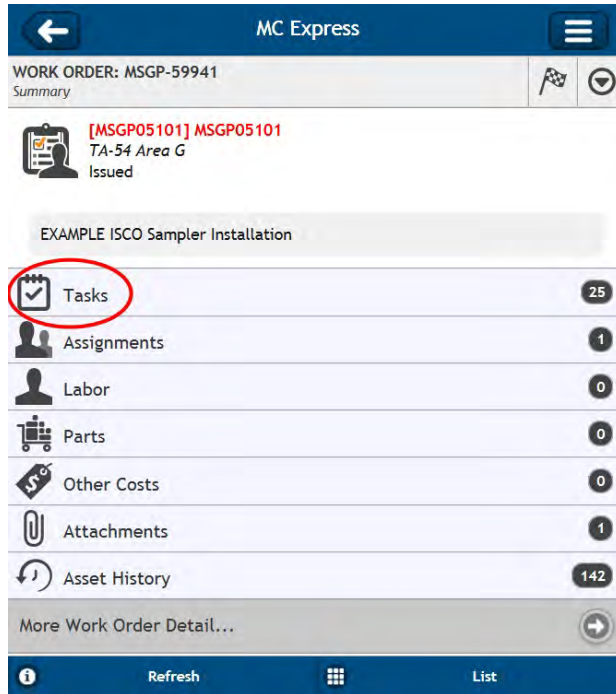
Attachment 3: Sample Retrieval Flow Diagram

| | | |
|---|--------------------|----------------------------|
| Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples | No: EPC-CP-TP-2103 | Page 19 of 27 |
| | Revision: 0 | Effective Date: 02/24/2020 |

Attachment 1: Screenshot Examples of EPC-CP-TP-2103 R0 Form 1, ISCO Sampler Inspection and Sample Retrieval in MC Express

(Page 1 of 6)

Work Order Summary Page (Section 3.1, Steps 8 and 9)



| | | |
|---|--------------------|----------------------------|
| Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples | No: EPC-CP-TP-2103 | Page 20 of 27 |
| | Revision: 0 | Effective Date: 02/24/2020 |

Attachment 1: Screenshot Examples of EPC-CP-TP-2103 R0 Form 1, *ISCO Sampler Inspection and Sample Retrieval* in MC Express (cont.)

(Page 2 of 6)

Work Order Tasks page - On Arrival (Section 4.1.1, Steps 2-5)

MC Express

WORK ORDER: MSGP-59941

Tasks

ON ARRIVAL

- 1** 20 Is sampler ON and functioning properly upon arrival?
Asset: [210C01437] ISCO 3700 Sampler
- 2** 30 Does the sampler display "Sampler Inhibited"? If No, record specific message(s).
Asset: [210C01437] ISCO 3700 Sampler
- 3** 40 Is sampler time delta < 1 min (MST)? If No, record adjustment
Asset: [210C01437] ISCO 3700 Sampler
- 50 Is sampler ON and functioning properly upon arrival?
Asset: [210J01522] ISCO Avalanche Sampler
- 60 Does the Avalanche display "Program Disabled"? If No, record specific message(s).
Asset: [210J01522] ISCO Avalanche Sampler
- 70 Is sampler time delta < 1 min (MST)? If No, record adjustment
Asset: [210J01522] ISCO Avalanche Sampler

Refresh List

MC Express

WORK ORDER: MSGP-59941

Edit Task

20 Is sampler ON and functioning properly upon arrival?
[210C01437] ISCO 3700 Sampler

Reading

Sampler knocked over by bear, power disconnected

Initials

Failed?

Yes

Not Applicable?

No

Complete?

No

Comments

Cancel Save

| | | |
|--|--------------------|----------------------------|
| Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples | No: EPC-CP-TP-2103 | Page 21 of 27 |
| | Revision: 0 | Effective Date: 02/24/2020 |

Attachment 1: Screenshot Examples of EPC-CP-TP-2103 R0 Form 1, *ISCO Sampler Inspection and Sample Retrieval* in MC Express (cont.)

(Page 3 of 6)

Work Order Task Page – Water Collection Information and Water Retrieval Information (Sections 4.1.2, Steps 3-6 and 4.1.3, Steps 1 and 2)

The screenshot displays the MC Express app interface for Work Order MSGP-59941. The 'Tasks' section is active, showing two main categories: 'Water Collection Information' and 'Water Retrieval Information'. Each category contains a list of tasks with associated flags and step numbers.

Water Collection Information:

- Task 90 (Flag 4):** Is there evidence of flow? If YES (but no water collected), describe and record date/time of discharge.
- Task 100 (Flag 5):** Is any water collected? If YES, complete Bottle Information section.
- Task 110 (Flag 6):** If water was collected, record current refrigerator temperature (C). Asset: [210J01522] ISCO Avalanche Sampler.
- Task 120 (Flag 7):** If water was collected, record the pH measurement corresponding to the sample date/time: AVERAGE:.... Asset: [211C01137] ISCO pH and Temp Module.

Water Retrieval Information:

- Task 140 (Flag 8):** Was sample volume RETRIEVED? If Yes, record total volume retrieved.
- Task 150 (Flag 9):** Was a Visual Assessment performed? If Yes, complete the MSGP Visual Assessment form (EPC-CP-TP-064).

The bottom navigation bar includes 'Refresh' and 'List' buttons.

Work Order Task Page – On Departure (Sections 4.1.4, Steps 2 and 3)

The screenshot displays the MC Express app interface for Work Order MSGP-59941, specifically the 'ON DEPARTURE' section. It lists two tasks related to the departure process.

On Departure Tasks:

- Task 170 (Flag 10):** Are electrical connections secure?
- Task 180 (Flag 11):** Record voltage of battery(ies) powering sampler. Voltage(s) >=11.7V?

The bottom navigation bar includes 'Refresh' and 'List' buttons.

| | | |
|--|--------------------|----------------------------|
| Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples | No: EPC-CP-TP-2103 | Page 22 of 27 |
| | Revision: 0 | Effective Date: 02/24/2020 |

Attachment 1: Screenshot Examples of EPC-CP-TP-2103 R0 Form 1, *ISCO Sampler Inspection and Sample Retrieval* in MC Express (cont.)

(Page 4 of 6)

Work Order Task Page – Equipment Specific Tasks (Sections 4.1.5, Steps 1-8)

MC Express

WORK ORDER: MSGP-59941

Tasks

Equipment specific tasks

- 200**
Does the sampler pass the ISCO diagnostics test?
Asset: [210C01437] ISCO 3700 Sampler
- 210**
Is intake tubing free/clear of debris?
Asset: [210C01437] ISCO 3700 Sampler
- 220**
Does sample tubing pass suction test?
Asset: [210C01437] ISCO 3700 Sampler
- 230**
Is sampler on upon departure?
Asset: [210C01437] ISCO 3700 Sampler
- 240**
Has the actuator switch been reset to "Latch"?
Asset: [210C01437] ISCO 3700 Sampler
- 250**
Does ISCO display "Sampler Inhibited" on departure?
Asset: [210C01437] ISCO 3700 Sampler

Refresh List

Work Order Task Page – Maintenance Information (Sections 4.1.6, Steps 1-3)

MC Express

WORK ORDER: MSGP-59941

Tasks

Maintenance information

- 330**
Is any maintenance not described above completed during inspection? If Yes, describe.
- 340**
Is any follow-on maintenance not described above required? If Yes, describe.

Refresh List

| | | |
|---|--------------------|----------------------------|
| Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples | No: EPC-CP-TP-2103 | Page 23 of 27 |
| | Revision: 0 | Effective Date: 02/24/2020 |

Attachment 1: Screenshot Examples of EPC-CP-TP-2103 R0 Form 1, ISCO Sampler Inspection and Sample Retrieval in MC Express (cont.)

(Page 5 of 6)

Work Order Task Page – Bottle Information (Sections 4.1.7, Step 1)

MC Express

WORK ORDER: MSGP-59941

Tasks

Bottle information: IF bottle collected record bottle type (P or G), collection date & time, volume, and/or any ISCO messages

| | |
|---|---|
| 360 Bottle #1? Asset: [210C01437] ISCO 3700 Sampler | → |
| 370 Bottle #2? Asset: [210C01437] ISCO 3700 Sampler | → |
| 380 Bottle #3? Asset: [210C01437] ISCO 3700 Sampler | → |
| 390 Bottle #4? Asset: [210C01437] ISCO 3700 Sampler | → |

Refresh List

MC Express

WORK ORDER: MSGP-59941

Edit Task

360
Bottle #1?
[210C01437] ISCO 3700 Sampler

Reading

2/10/17 14:32: 1L poly; no more liquid detected

Initials

Failed?

No

Not Applicable?

No

Complete?

Yes

Comments

Cancel Save

| | | |
|--|--------------------|----------------------------|
| Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples | No: EPC-CP-TP-2103 | Page 24 of 27 |
| | Revision: 0 | Effective Date: 02/24/2020 |

Attachment 1: Screenshot Examples of EPC-CP-TP-2103 R0 Form 1, *ISCO Sampler Inspection and Sample Retrieval* in MC Express (cont.)

(Page 6 of 6)

Work Order Status Update Page (Section 4.4, Steps 4 and 5)

MC Express

WORK ORDER: MSGP-59941
Status Update

Issued

New Status **21**

Completed

Date

03/16/2017 12:03 PM

Percent Complete 100%

Labor Report Update **22**

Select Comments to Add.....

Jane Admin

Cancel Save

Work Order Status Update Page (Section 4.4, Step 7)

MC Express

WORK ORDER: MSGP-59941
Status Update

Signature **23**

(Remove)

Jane Admin

Cancel Save

Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples

No: EPC-CP-TP-2103

Page 25 of 27

Revision: 0

Effective Date: 02/24/2020

Attachment 2: EPC-CP-TP-2103 R0 Form 1, ISCO Sampler Inspection and Sample Retrieval Hard Copy Example

(Page 1 of 2)

Los Alamos National Lab - ADESH

Work Order MSGP-59941

MSGP Monitoring Stations
Printed 8/10/2017 - 11:25 AM (Duplicate Copy)

Maintenance Details

Requested By: Admin, Jane on 8/10/2019 11:23:00 AM
 Procedure: MSGP ISCO Sampler Inspection and Sample Retrieval (EPC-CP-TP-2103 R0 Form 1)
 Last PM: 7/20/2019
 Project:
 Reason: Example ISCO Sampler Inspection and Sample Retrieval

Target: 12/31/2019
 Priority/Type: / Inspection
 Department: Utilities and Infrastructure

MSGP Program
 RG121.9
 TA-3-38 Carpenter Shop
 Monitored Outfall (073)
 MSGP07302

Contact: Admin, Jane
 Phone: 123-4567

Tasks

| # | Description | Meas | No | N/A | Yes |
|------------------------------|---|------|--------------------------|--------------------------|--------------------------|
| ON ARRIVAL | | | | | |
| 1 20 | ISCO 3700 Sampler [210C01437] Is sampler ON and functioning properly upon arrival? | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 2 30 | ISCO 3700 Sampler [210C01437] Does the sampler display "Sampler Inhibited"? If No, record specific message(s). | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 3 40 | ISCO 3700 Sampler [210C01437] Is sampler time delta < 1 min (MST)? If No, record adjustment | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 50 | ISCO Avalanche Sampler [210J01522] Is sampler ON and functioning properly upon arrival? | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 60 | ISCO Avalanche Sampler [210J01522] Does the Avalanche display "Program Disabled"? If No, record specific message(s). | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 70 | ISCO Avalanche Sampler [210J01522] Is sampler time delta < 1 min (MST)? If No, record adjustment | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Water Collection information | | | | | |
| 4 90 | Is there evidence of flow? If YES (but no water collected), describe and record date/time of discharge. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 5 100 | Is any water collected? If YES, complete Bottle Information section. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 6 110 | ISCO Avalanche Sampler [210J01522] If water was collected, record current refrigerator temperature (C). | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 7 120 | ISCO pH and Temp Module [211C01137] If water was collected, record the pH measurement corresponding to the sample date/time. AVERAGE. MINIMUM. MAXIMUM. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Water Retrieval information | | | | | |
| 8 140 | Was sample volume RETRIEVED? If Yes, record total volume retrieved. | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 9 150 | Was a Visual Assessment performed? If Yes, complete the MSGP Visual Assessment form (EPC-CP-QP-2105). | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| ON DEPARTURE | | | | | |
| 10 170 | Are electrical connections secure? | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 11 180 | Record voltage of battery(ies) powering sampler. Voltage(s) >= 11.7V? | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Equipment specific tasks | | | | | |
| 12 200 | ISCO 3700 Sampler [210C01437] Does the sampler pass the ISCO diagnostics test? | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 13 210 | ISCO 3700 Sampler [210C01437] Is intake tubing free/clear of debris? | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 14 220 | ISCO 3700 Sampler [210C01437] Does sample tubing pass suction test? | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 15 230 | ISCO 3700 Sampler [210C01437] Is sampler on upon departure? | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 16 240 | ISCO 3700 Sampler [210C01437] Has the actuator switch been reset to "Latch"? | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 17 250 | ISCO 3700 Sampler [210C01437] Does ISCO display "Sampler Inhibited" on departure? | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

EPC-CP-TP-2103 R0 Form 1

Inspecting ISCO Stormwater Runoff Samplers & Retrieving Samples

No: EPC-CP-TP-2103


Page 26 of 27

Revision: 0

Effective Date: 02/24/2020

Attachment 2: EPC-CP-TP-2103 R0 Form 1, ISCO Sampler Inspection and Sample Retrieval Hard Copy Example (cont.)

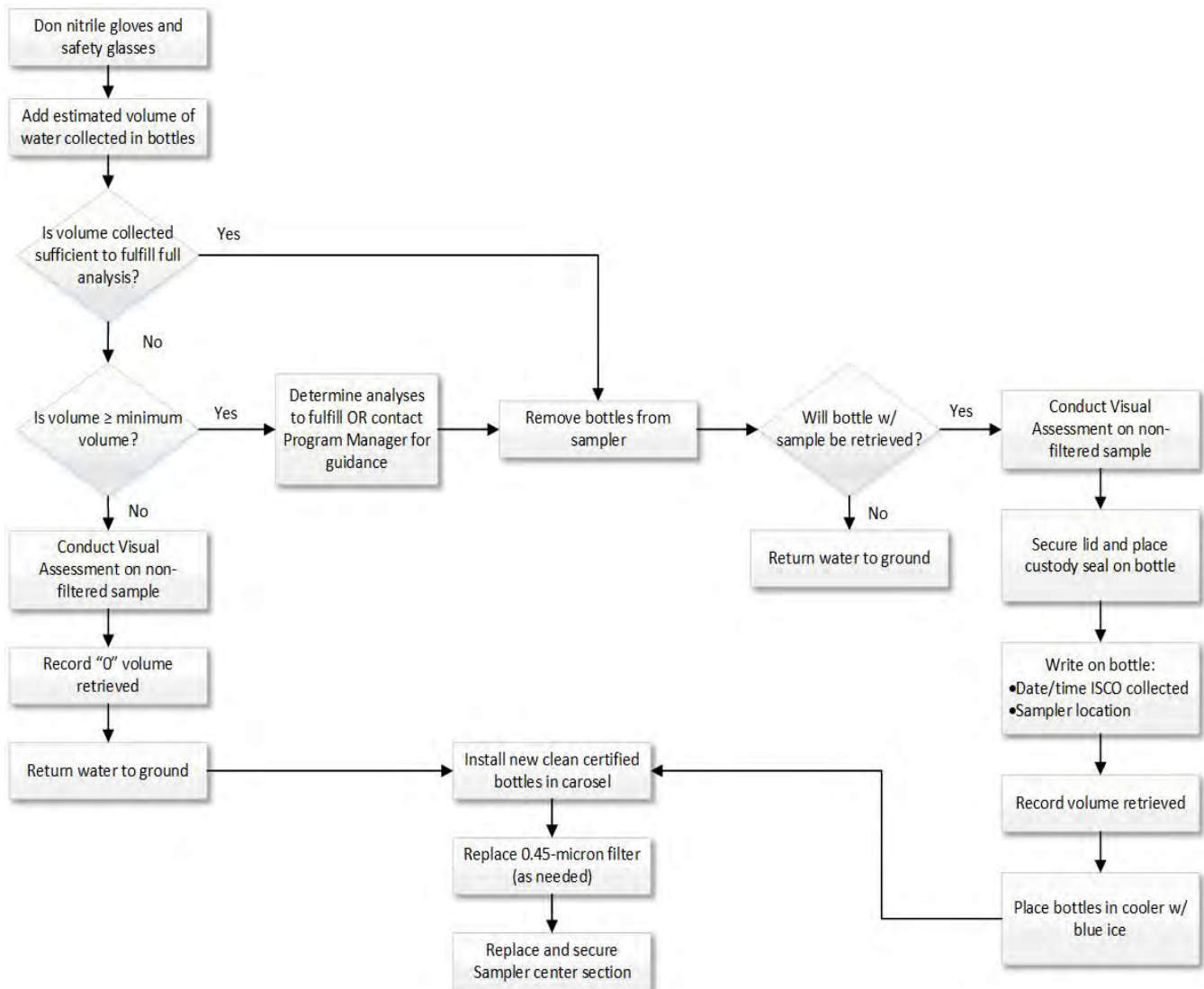
(Page 2 of 2)

| | | | | |
|--|---|--------------------------|--------------------------|--------------------------|
| 260 | ISCO Avalanche Sampler [210J01522] Does the sampler pass the ISCO diagnostics test? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 270 | ISCO Avalanche Sampler [210J01522] Is intake tubing free/clear of debris? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 280 | ISCO Avalanche Sampler [210J01522] Does sample tubing pass suction test? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 290 | ISCO Avalanche Sampler [210J01522] Is sampler on upon departure? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 300 | ISCO Avalanche Sampler [210J01522] Has the actuator switch been reset to "Latch"? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 310 | ISCO Avalanche Sampler [210J01522] Does Avalanche display "Program Disabled" on departure? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Maintenance Information | | | | |
| 18 | 330 Is any maintenance not described above completed during inspection? If Yes, describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 19 | 340 Is any follow-on maintenance not described above required? If Yes, describe. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Bottle Information: IF bottle collected record bottle type (P or G), collection date & time, volume, and/or any ISCO messages | | | | |
| 20 | 360 ISCO 3700 Sampler [210C01437] Bottle #1? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | 370 ISCO 3700 Sampler [210C01437] Bottle #2? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | 380 ISCO 3700 Sampler [210C01437] Bottle #3? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | 390 ISCO 3700 Sampler [210C01437] Bottle #4? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | 400 ISCO 3700 Sampler [210C01437] Bottle #5? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | 410 ISCO 3700 Sampler [210C01437] Bottle #6? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | 420 ISCO 3700 Sampler [210C01437] Bottle #7? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | 430 ISCO 3700 Sampler [210C01437] Bottle #8? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | 440 ISCO 3700 Sampler [210C01437] Bottle #9? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | 450 ISCO 3700 Sampler [210C01437] Bottle #10? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | 460 ISCO 3700 Sampler [210C01437] Bottle #11? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | 470 ISCO 3700 Sampler [210C01437] Bottle #12? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | 480 ISCO Avalanche Sampler [210J01522] Bottle #1? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | 490 ISCO Avalanche Sampler [210J01522] Bottle #2? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | 500 ISCO Avalanche Sampler [210J01522] Bottle #3? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | 510 ISCO Avalanche Sampler [210J01522] Bottle #4? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Labor Report | | | | |
| 21 | Completed: 5/30/2019 4:44:00 PM | | | |
| 22 | Report: Jane Admin | | | |
| 23 |  Signature / Name | 5/30/2019 Date | Signature / Name | Date |
| I confirm the information as recorded is true, accurate and complete. | | | | |


EPC-CP-TP-2103 R0 Form 1

Attachment 3: Sample Retrieval Flow Diagram

(Page 1 of 1)



ATTACHMENT 20: EPC-CP-QP-2106, *PROCESSING MSGP STORMWATER SAMPLES*

| | | |
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| EPC-CP-QP-2106 | Revision: 0 |  |
| Effective Date: 10/18/2019 | Next Review Date: 10/18/2022 | |

Environment, Safety, Health, Quality, Safeguards, and Security Directorate

Environment Protection and Compliance – Compliance Programs Group

Quality Procedure

Processing MSGP Stormwater Samples

Hazard Grading: ☒ Low ☐ Moderate ☐ High/Complex

Usage Level: ☒ Reference ☐ UET ☐ Mixed: UET Sections: _____

Status: ☐ New ☐ Major Revision ☐ Minor Revision

☐ Review w/No Changes ☒ Other: New EPC-CP format and numbering system

Safety Basis: ☒ N/A ☐ USQ ☐ USI Number: _____

Document Author/Subject Matter Expert:

| | | | |
|------------------|---------------|-------------------|----------|
| Name: | Organization: | Signature: | Date: |
| Holly L. Wheeler | EPC-CP | Signature on File | 10-17-19 |

Derivative Classifier: ☒ **Unclassified** or ☐ _____

| | | | |
|------------------|---------------|-------------------|----------|
| Name: | Organization: | Signature: | Date: |
| Steven E. Wolfel | EPC-CP | Signature on File | 10-17-19 |

Approval Signatures:

| | | | |
|-----------------------|---------------------|-------------------|----------|
| EPC-CP Reviewer: | Organization: | Signature: | Date: |
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| Processing MSGP Stormwater Samples | No: EPC-CP-QP-2106 | Page 2 of 19 |
| | Revision: 0 | Effective Date: 10/18/2019 |

REVISION HISTORY

| Document Number and Revision <i>[Include revision number, beginning with Revision 0]</i> | Effective Date <i>[Document Control Coordinator inserts effective date]</i> | Description of Changes <i>[List specific changes made since the previous revision]</i> |
|--|---|--|
| ENV-RCRA-QP-048, Rev. 0 | 07/2011 | New document |
| ENV-CP-QP-048, Rev. 1 | 09/2013 | Annual Review and Revision, new format, process change, and new organization name. |
| EPC-CP-QP-048, Rev. 2 | 06/05/2017 | Review and Revision, new format, and new organization name, clarified steps, updated attachments. |
| EPC-CP-QP-048 R3 | 10/05/2017 | Updated Sample Collection Log instructions, added a step describing evidence of flow, and added section for addressing excess stormwater material. |
| EPC-CP-QP-048 R4 | 01/31/2019 | Sample Collection Log form and associated text updated. Added text for collecting quality control samples. |
| EPC-CP-QP-2106 R0 | 10/18/2019 | Supersedes EPC-CP-QP-048 R4. New EPC-CP procedure format and numbering system. Minor editorial updates. |

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|---|--------------------|----------------------------|
| Processing MSGP Stormwater Samples | No: EPC-CP-QP-2106 | Page 3 of 19 |
| | Revision: 0 | Effective Date: 10/18/2019 |

Table of Contents

| | |
|---|----|
| Revision History | 2 |
| 1.0 Introduction | 4 |
| 1.1 Purpose | 4 |
| 1.2 Scope | 4 |
| 1.3 Applicability | 4 |
| 2.0 Precautions and Limitations | 4 |
| 3.0 Prerequisite Actions..... | 5 |
| 3.1 Planning and Coordination..... | 5 |
| 3.2 Performance Documents | 5 |
| 3.3 Special Tools, Equipment, Parts and Supplies..... | 5 |
| 4.0 Processing Samples..... | 6 |
| 4.1 Preparation for Processing Samples | 6 |
| 4.2 Filtering Samples | 9 |
| 4.3 Preserving Unfiltered and Filtered Samples..... | 9 |
| 4.4 Quality Control Samples..... | 10 |
| 4.4.1 Field Blank Samples..... | 10 |
| 4.4.2 Field Duplicate Samples | 11 |
| 4.5 Handling Excess Stormwater | 12 |
| 4.6 Submit Samples for Shipping to Offsite Analytical Laboratory | 12 |
| 5.0 Training | 14 |
| 6.0 Records | 14 |
| 7.0 Definitions and Acronyms..... | 15 |
| 7.1 Definitions | 15 |
| 7.2 Acronyms..... | 15 |
| 8.0 References | 15 |
| 9.0 Attachments | 16 |
| Attachment 1: Water Sample Collection and Processing Log/Field Chain of Custody Example | 17 |
| Attachment 2: Sample Container Labels Example..... | 18 |
| Attachment 3: Chain of Custody/Analysis Request Example | 19 |

| | | |
|---|--------------------|----------------------------|
| Processing MSGP Stormwater Samples | No: EPC-CP-QP-2106 | Page 4 of 19 |
| | Revision: 0 | Effective Date: 10/18/2019 |

1.0 INTRODUCTION

Triad LLC, the operator for Los Alamos National Laboratory (LANL or the Laboratory), conducts stormwater monitoring activities pursuant to the National Pollutant Discharge Elimination System (NPDES), Multi-Sector General Permit (MSGP). As part of this monitoring, Environmental Protection and Compliance, Compliance Programs (EPC-CP) personnel collect stormwater discharge samples from outfalls at industrial sites and prepare them for analysis.

1.1 Purpose

This procedure describes the process for filtering, preserving and preparing stormwater samples for shipment to an analytical laboratory from locations where EPC-CP conducts stormwater monitoring activities required pursuant to the NPDES MSGP. This procedure may also be used for other Associate Laboratory Directorate for Environment, Safety, Health, Quality, Safeguards, and Security (ALDESHQSS) stormwater monitoring activities as needed.

1.2 Scope

Stormwater samples are collected in the field with either a refrigerated Avalanche® or ISCO 3700 automated sampler, single stage sampler, or by hand. When in-line filtration is not possible, sample filtration, along with chemical preservation (as required) is conducted immediately following sample retrieval in the field or in the EPC-CP Stormwater Laboratory (TA-59-01).

Sample collection, submission, and analysis is conducted using Environmental Protection Agency (EPA) and New Mexico Water Quality Control Commission guidelines. MSGP monitoring samples are collected and analyzed according to test procedures approved under Title 40 of the Code of Federal Regulations Part 136 unless other test procedures have been specified in the MSGP. Quantitation limits associated with these test procedures are sufficiently sensitive to meet MSGP limits.

1.3 Applicability

This procedure applies to EPC-CP technical staff and subcontractor personnel (as applicable) who conduct processing and chemical preservation of stormwater samples either in the EPC-CP Stormwater Laboratory or in the field.

The MSGP Program Lead is the primary person responsible for this procedure. EPC-CP personnel are appointed responsibility for a subset of sampling stations. Other stormwater monitoring programs or projects utilizing this procedure will refer to program or project specific roles and responsibilities.

2.0 PRECAUTIONS AND LIMITATIONS

The hazard level for the activities in this procedure is **LOW**. An Integrated Work Document Part II (2101 Form) will address any site-specific requirements and training for Facility Operations Divisions (FOD) if required by the FOD.

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|---|--------------------|----------------------------|
| Processing MSGP Stormwater Samples | No: EPC-CP-QP-2106 | Page 5 of 19 |
| | Revision: 0 | Effective Date: 10/18/2019 |

Use only sample containers that are documented to meet or exceed “US EPA Specification and Guidance for Contaminant-Free Sample Container” (Publication 9240.05A, EPA/540/R-93/051, December 1992). Never clean or re-use sample containers. Keep containers in a clean, dry place until a sample is ready for processing and transfer to the appropriate container(s).

3.0 PREREQUISITE ACTIONS

3.1 Planning and Coordination

Refer to the most current revision of the MSGP or program/project specific Sampling and Analysis Plan (SAP) to determine the need for collecting quality control samples. Collect the types and quantities of quality control samples at the locations specified.

Schedule and complete stormwater processing to meet the analytical holding time requirements identified in the MSGP SAP or as requested by the MSGP Program Lead. Other stormwater monitoring programs or projects utilizing this procedure will refer to their program or project specific SAP.

The MSGP Data Manager will generate Water Sample Collection and Processing Log/Field Chain of Custody (SCPL) form(s) at the beginning of the MSGP monitoring season and/or the beginning of each MSGP monitoring quarter. The MSGP Data Manager will generate Chain of Custody/Analysis Request(s) from the Environmental Information Management (EIM) database as stormwater is collected. If the MSGP Data Manager is not available, forms will be obtained from the EPC-CP Sample Management Office (SMO).

3.2 Performance Documents

Personnel performing this procedure will be familiar with the most current versions of the following documents if the equipment or chemicals are utilized.

- Peristaltic Pump User Manual (e.g., GeoTech)
- Material Safety Data Sheet or Safety Data Sheet for preservation chemicals

3.3 Special Tools, Equipment, Parts and Supplies

Ensure the following equipment is available:

- Safety glasses with side shields
- Nitrile gloves
- Lab coat
- Eyewash in Stormwater Lab (or portable eyewash in the field)
- Water SCPL form
- Chain of Custody/Analysis Request
- EPC-CP MSGP SAP most recent revision for the current monitoring year OR project specific monitoring plan

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|---|--------------------|----------------------------|
| Processing MSGP Stormwater Samples | No: EPC-CP-QP-2106 | Page 6 of 19 |
| | Revision: 0 | Effective Date: 10/18/2019 |

- Sample containers (glass and poly bottles)
- Sample container lids
- Acid and base preservatives
- Clean silicon (e.g., Tygon) tubing
- Portable peristaltic pump (e.g., Geopump or equivalent)
- 0.45 micron (µm) and/or 0.10 µm cartridge filters (where applicable)
- Deionized water (where applicable)
- Paper towels
- Coolers with ice, Blue Ice®, or equivalent
- Ball point pen
- Permanent marker
- Chain-of-custody seals/tape
- Copy of this procedure
- Cell phone (only government cell phones are allowed in secure areas) (See <https://int.lanl.gov/policy/documents/P217.pdf> for requirements for using portable electronic devices on Laboratory property.

4.0 PROCESSING SAMPLES

In this procedure, sample collection bottles are the bottles in which the sample was collected in the field. Sample containers are containers into which the original sample is transferred (as necessary) during processing and shipped to the analytical laboratory.

NOTE: Prior to performing any of the steps in the following sub-sections, ensure that you are wearing the proper clothing. Don nitrile gloves, safety glasses with side shields, and a lab coat. Confirm that the eyewash station is operational prior to processing samples.

4.1 Preparation for Processing Samples

Sample Retriever

- [1] Arrange sample collection bottles on the workbench in order by MSGP sampling location, ensuring to distinguish bottles collected via in-line filtration from non-filtered bottles, where applicable.

CAUTION

Process only one sample set (i.e., samples listed on one SCPL form or samples from one location) at a time to ensure stormwater from different locations is not co-mingled.

| | | |
|---|--------------------|----------------------------|
| Processing MSGP Stormwater Samples | No: EPC-CP-QP-2106 | Page 7 of 19 |
| | Revision: 0 | Effective Date: 10/18/2019 |

- [2] Cross-check the Location ID (e.g., MSGP00201) on the sample bottles with the LOCATION ID on the SCPL form (see example in Attachment 1).
- [3] Ensure the pre-populated information on the SCPL form is correct. Document any changes [e.g., change FIELD MATRIX code from rain (WT) to snowmelt (WM)].
- [4] Write the following information on the SCPL.
 - [a] Sampler Inspection and Sample Retrieval form (refer to EPC-CP-QP-2103) identification number (e.g., Work Order: MSGP-xxxx);
 - [b] Date/time the sample was collected in the field (e.g., date/time automated sampler filled sample bottles or a grab sample was taken);
 - [c] Date/time the sample was retrieved from the field;
 - [d] “Not Applicable” (N/A) in the LOCATION SYNONYM(S) field unless the information is required by the SAP;
 - [e] N/A in the PRIORITY box if box is not pre-populated;
 - [f] Any pertinent information regarding sample collection and/or retrieval in the SAMPLE COMMENTS field (e.g., grab sample collected by hand, recent erosion observed up-gradient of sampler) or N/A;
 - [g] N/A for FIELD PARAMETER Sample Time (this is documented at the top of the form as COLLECTION TIME);
 - [h] pH measurement taken at the time the sample was collected in the field (if applicable) or N/A;
 - [i] Indicate if a visual assessment was performed.
 - IF a visual assessment **WAS NOT** performed, THEN write N or No in the Visual Inspection space.
 - IF a visual assessment **WAS** performed, THEN write Y or Yes in the Visual Inspection space and the identification number from the MSGP Visual Assessment form (refer to EPC-CP-QP-2105) (e.g., MSGP-xxxx).
 - [j] The printed name and signature of the person who retrieved the sample in the COLLECTED BY box and date/time the sample was retrieved from field
- [5] IF the person who retrieved the sample is processing, THEN write N/A in the first RELINQUISHED BY and RECEIVED BY boxes.
- [6] IF the person who retrieved the sample is NOT processing, THEN
 - [a] He/she will print and sign his/her name and the date/time samples are relinquished to the processor in the RELINQUISHED BY box.

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|---|--------------------|----------------------------|
| Processing MSGP Stormwater Samples | No: EPC-CP-QP-2106 | Page 8 of 19 |
| | Revision: 0 | Effective Date: 10/18/2019 |

- [b] The processor will print and sign his/her name and the date/time samples are received in the first RECEIVED BY box.

Sample Processor

- [7] Ensure the following information is correct for the analysis requested on the SCPL.
 - [a] Sample container volume and type [e.g., 500 milliliter (mL) POLY].
 - [b] Preservation type (e.g., ICE, HNO₃).
 - [c] Note any deviation from the planned sample container volume, type, or preservation on the SCPL.
- [8] Determine which samples require filtration and chemical preservation as requested on the SCPL.
 - [a] Mark each container lid with the 3-digit outfall ID, required analysis, filtration requirement, and preservative requirement.

NOTE 2: Requirements are also identified in the most current SAP revision.
- [9] For split samples, follow these steps:
 - [a] Turn the sample collection bottle upside down multiple times to ensure sediment is loose from the bottom of the bottle.
 - [b] Pour sample into sample containers ensuring the sample remains homogenized throughout the transfer.
- [10] Refer to Section 4.2 Filtering Samples, Section 4.3 Preserving Unfiltered and Filtered Samples, and Section 4.4 Quality Control Samples as needed.
- [11] Indicate if each sample on the SCL was collected by writing Y for Yes or N for No in the COLLECTED Y/N box.
- [12] IF the SPECIAL INSTRUCTIONS box is not pre-populated, THEN write N/A in the box.
- [13] Document any other deviations from the planned sample processing on the SCPL (e.g., turbid sample required extra filtration step, used standard deionized water in lieu of ultrapure water for field blank) under PROCESSING COMMENTS or SAMPLING COMMENTS,

OR write N/A.
- [14] IF no further processing is required (e.g., chemical preservation), THEN apply a chain-of-custody seal/tape around the bottle and lid and sign and date the seal/tape.
- [15] The person processing the sample will print and sign his/her name and indicate the date/time samples were processed in the PROCESSED BY box.
- [16] Proceed to Section 4.5.

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|---|--------------------|----------------------------|
| Processing MSGP Stormwater Samples | No: EPC-CP-QP-2106 | Page 9 of 19 |
| | Revision: 0 | Effective Date: 10/18/2019 |

4.2 Filtering Samples

Filter samples if specified on the SCPL or if an in-line filter was not used during sample collection.

- [1] Select the appropriate sized cartridge filter (e.g., 0.10µm or 0.45µm).
- [2] Set up the filter assembly.
 - [a] Attach an appropriate amount of silicone tubing to both ends of the cartridge filter.
 - [b] Place the filter upstream of the peristaltic pump to prevent over-pressurization.
 - [c] IF the sample contains a significant amount of sediment, THEN a pre-filter of the same size or larger micron capacity may be used.
- [3] For split filtered samples, follow these steps:
 - [a] Move the intake tube up and down through the sample during filtration.

NOTE 1: A sample collected solely for filtration can be filtered without being homogenized by gently shaking.
- [4] Replace the filter if any of the following conditions occur:
 - flow diminishes,
 - the pump begins to make a grinding sound, or
 - the tubing is forced off the filter by backpressure.
- [5] Place the lid on the container.
 - [a] Ensure the lid is securely affixed to the container.
 - [b] Add a check mark next to the filtered requirement previously marked on the lid to indicate that filtration has been completed.
 - [c] Clean and dry the exterior of sample container.
 - [d] Check sample container for leakage and breakage.
- [6] Remove and dispose of filter and tubing when filtration of one sample set (location) has been completed.

NOTE 2: A new filter must be used with each new sample set.
- [7] Return to Section 4.1, Step 11.

4.3 Preserving Unfiltered and Filtered Samples

Preservation entails the addition of acid or base to a sample. Acids currently used include hydrochloric acid (HCl), nitric acid (HNO₃), and sulfuric acid (H₂SO₄). Bases currently used in preservation include sodium hydroxide (NaOH). Review the appropriate Material Safety Data Sheet or Safety Data Sheet for specific guidelines prior to preserving samples. Specific acids/bases used

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|---|--------------------|----------------------------|
| Processing MSGP Stormwater Samples | No: EPC-CP-QP-2106 | Page 10 of 19 |
| | Revision: 0 | Effective Date: 10/18/2019 |

depend on the required monitored parameters and are subject to change (e.g., biennial Clean Water Act §303(d)/305(b) Integrated Report updates).

WARNING

Preservatives are strong acids and bases that can cause severe burns. Take extreme care when using these acids and bases.

- [1] Review the analysis requested on the SCPL or SAP.
- [2] Select the pre-measured preservative type and size that matches the sample container size.
 - [a] IF you only have one size pre-measured preservative that does not match the sample container size, THEN you will use more than one. For example, if you have a 1-liter sample container and 500 mL pre-measured preservative vial, you will need to add two preservative vials to the sample container.

NOTE: Never "split" a larger volume pre-measured vial to preserve a smaller volume container (e.g., do not pipette from a 1-liter, pre-measured preservative vial to preserve a 500 mL sample). Error in measurement precision may lead to a risk of violating Department of Transportation shipping requirements.
- [3] Add the preservative (acid or base) to the sample.
 - [a] Securely affix the lid to the container.
 - [b] Agitate the preserved sample by turning the container upside down two to three times.
- [4] Add a check mark next to the preservation type previously marked on the lid to indicate that preservation has been completed.
 - [a] Clean and dry the exterior of sample container.
 - [b] Check sample container for leakage and breakage.
- [5] Return to Section 4.1, Step 11.

4.4 Quality Control Samples

Refer to the SCPL or the program specific SAP for the types and quantities of quality control samples and the locations where these samples will be collected.

4.4.1 Field Blank Samples

- [1] Review the analysis requested on the SCPL or SAP.
 - [a] Ensure the sample container volume, type, and preservation type is correct for the analysis requested (e.g., 500 mL POLY, HNO₃).

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|---|--------------------|----------------------------|
| Processing MSGP Stormwater Samples | No: EPC-CP-QP-2106 | Page 11 of 19 |
| | Revision: 0 | Effective Date: 10/18/2019 |

- [b] Note any deviation from the planned sample container volume or type on the SCPL.

CAUTION

DO NOT use tap, distilled, or drinking water purchased from a local store. These sources may not meet the water quality standards specified in the New Mexico Administrative Code (Title 20, Chapter 6, Part 4).

- [2] Obtain analyte free water (e.g., High Performance Liquid Chromatography grade ultrapure in amber glass) in sealed bottle(s) in sufficient quantity to fulfill the analysis requested.
- [3] Select another empty sample container(s) of the same type and volume for the analysis requested.
- [4] Mark the bottle and container lids with the 3-digit outfall ID and "Field Blank".
- [5] Transport both the field blank bottle(s) and container(s) to the sampling location.
- [6] During retrieval of samples, open the field blank bottle(s) and pour the analyte free water into the field blank sample container(s).
- [7] Securely affix the lid(s) to the container(s).
- [8] Replace the lid on the analyte free water bottle.
 - [a] IF 500 mL or greater remain in the bottle, THEN replace lid and mark the bottle with the date it was opened and "For Decon Use Only".
 - [b] IF less than 500 mL remain in the bottle, THEN dispose of water in the EPC-CP Stormwater Laboratory sink and dispose of the bottle.
- [9] Return the field blank containers with retrieved samples to the EPC-CP Stormwater Laboratory (TA-59-01) for any further required processing.
- [10] Return to Section 4.1, Step 11 to complete sample processing.

4.4.2 Field Duplicate Samples

- [1] Review the analysis requested on the SCPL or SAP.
 - [a] Ensure the sample container volume, type, and preservation type is correct for the analysis requested (e.g., 500 mL POLY, HNO₃).
 - [b] Note any deviation from the planned sample container volume, type, or preservation on the SCPL.
- [2] Field duplicate samples must be samples collected from the same location, at the same time, and in the same manner:

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|---|--------------------|----------------------------|
| Processing MSGP Stormwater Samples | No: EPC-CP-QP-2106 | Page 12 of 19 |
| | Revision: 0 | Effective Date: 10/18/2019 |

- Select two sample collection bottles next to each other in the automated sampler carousel.

OR

- Select one sample collection bottle to split into separate sample containers

[3] For split samples, follow these steps:

- [a] Turn the sample collection bottle upside down multiple times to ensure sediment is loose from the bottom of the bottle.
- [b] Pour sample into sample containers ensuring the sample remains homogenized throughout the transfer.

[4] Return to Section 4.1, Step 11 to complete sample processing.

4.5 Handling Excess Stormwater

Minimize the amount of stormwater sample brought into the EPC-CP Stormwater Laboratory. Field personnel will attempt to retrieve only the volumes needed to fulfill the requested analyses from the current MSGP SAP or program/project specific SAP.

- [1] IF any excess stormwater sample exists after processing has been completed, THEN perform the following steps.

Sample Processor

- [a] Ensure the container is labeled with the site of origin, date and time sample was collected, and "Return to Site."
- [b] Place the container in the designated storage location in the EPC-CP Stormwater Laboratory.

EPC-CP technical staff

- [c] Return the sample to the site of origin as soon as possible.
- [d] Discharge at the sampler location.
- [2] IF the excess stormwater has been altered (e.g., tap water or preservative added), THEN contact the TA-59-0001 Waste Management Coordinator for further instruction.

4.6 Submit Samples for Shipping to Offsite Analytical Laboratory

Sample Processor

- [1] Deliver completed SCPL(s) to the MSGP Data Manager.

MSGP Data Manager

- [2] Process the sample information in the EIM system.

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|---|--------------------|----------------------------|
| Processing MSGP Stormwater Samples | No: EPC-CP-QP-2106 | Page 13 of 19 |
| | Revision: 0 | Effective Date: 10/18/2019 |

- [a] Capture any documented deviations from planned conditions (as noted on the SCPLs).
- [b] Generate Chain of Custody/Analysis Request (COC) form(s) and sample container labels to reflect the processed samples (see examples in Attachments 2 and 3).

Sample Processor

- [3] Ensure the sample containers are securely sealed and wiped dry.
- [4] Cross-check to ensure the Sample ID on the SCPL matches the Field Sample ID on the COC.
- [5] Compare the information from the SCPL and lid of each container and apply the correct labels to the sample containers.
- [6] IF the person who processed the sample is NOT submitting the samples to the SMO, THEN
 - [a] He/she will print and sign his/her name and the date/time samples are relinquished to the submitter in the second RELINQUISHED BY box.
 - [b] The submitter will print and sign his/her name and the date/time samples are received in the second RECEIVED BY box.

EPC-CP technical staff

- [7] Place the sample(s) in a cooler with sufficient Blue Ice® (or equivalent) to maintain the required preservation temperature ($\leq 4^{\circ}\text{C}$).
NOTE: Cushioning material (e.g., bubble wrap) may be used to separate containers to avoid breakage during transport
- [8] Place the SCPL(s) and COC(s) in a zip lock type bag, seal, and place in the cooler with samples.
- [9] Transport samples to the SMO.
 - [a] Deliver samples during SMO business hours by 2pm for same day shipping.
 - [b] Coordinate with the SMO for delivery during other times or for delivery of samples that have limited holding times.
 - [c] If delivery of samples to the SMO will be delayed, place sample containers with SCPL(s) in the EPC-CP Stormwater Laboratory refrigerator and ensure the refrigerator is locked.
- [10] Complete the COC form as follows:
 - [a] On the Relinquished By line, the person submitting the sample(s) will sign and print his/her name and date/time samples are relinquished to the SMO.

| | | |
|---|--------------------|----------------------------|
| Processing MSGP Stormwater Samples | No: EPC-CP-QP-2106 | Page 14 of 19 |
| | Revision: 0 | Effective Date: 10/18/2019 |

- [b] The SMO personnel accepts the sample(s) by signing and printing his/her name and recording the date/time on the Received By line.
- [11] Complete the SCPL form as follows:
 - [a] Ensure all fields are filled out with sample information or N/A. Do not leave blank fields.
 - [b] In the RELINQUISHED BY box, the person submitting the sample(s) will sign and print his/her name. Sign and print your name on the SCPL in the "Relinquished By" box.
 - [c] Record the date/time that matches the data and time RELINQUISHED BY on the COC.
 - [d] Record the COC number (e.g., 2017-xxxx) in the RECEIVED BY box.
- [12] Ensure the following steps are taken:
 - [a] SMO makes a copy of the SCPL(s) to accompany the COC and samples.
 - [b] Keep the original SCPL(s) for the MSGP program.
 - [c] Make a copy of the signed Chain of Custody/Analysis Request.
- [13] Deliver the copy of the signed COC and original SCPL(s) to the MSGP Data Manager for record keeping.

5.0 TRAINING

All EPC-CP personnel that execute the activities specified in this procedure must meet the minimum qualification and training requirements for their position as identified EPC-CP-PIP-2101, NPDES Multi-Sector General Permit Program. This will include “self-study” (required reading) for this procedure as assigned and documented in accordance with ENV-DO-QP-115, *Personnel Training*. Other participating LANL groups may require training documentation pursuant to local procedures.

Contract personnel that execute the activities specified in this procedure will be qualified and trained as required by the Exhibit D and Exhibit F. In addition, contract personnel will be required to complete “self-study” (required reading) of this procedure.

Personnel performing this procedure will be familiar with the most current versions of the following procedures and operation manuals:

- EPC-CP MSGP SAP for the current monitoring year
- EPC-CP-QP-2103 Inspecting Stormwater Runoff Samplers and Retrieving Samples for the MSGP

6.0 RECORDS

EPC-CP is the Office of Record for this document and must be maintained in accordance with [PD1020](#), *Document Control and Records Management* and ADESH-AP-006, *Records Management*

| | | |
|---|--------------------|----------------------------|
| Processing MSGP Stormwater Samples | No: EPC-CP-QP-2106 | Page 15 of 19 |
| | Revision: 0 | Effective Date: 10/18/2019 |

Plan. Records generated by this document will be submitted to the Records Management designated point of contact or document manager for document management.

Below are records generated as a result of implementing this procedure. Records generated are identified by title and type.

| Record Title | QA Record | Non-QA Record |
|---|-------------------------------------|--------------------------|
| *Water Sample Collection and Processing Log/Field Chain of Custody | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| *Chain of Custody/Analysis Request | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| Copy of log book entry(s) (if a log book is used) | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| Other pertinent field or lab notes (if additional notes are required) | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

*The original document is part of the data package QA records for the SMO. MSGP retains a copy for tracking purposes only.

7.0 DEFINITIONS AND ACRONYMS

7.1 Definitions

See LANL [Definition of Terms](#).

7.2 Acronyms

See LANL [Acronym Master List](#).

| | |
|--------|---|
| COC | Chain of Custody/Analysis Request |
| EIM | Environmental Information Management |
| EPA | Environmental Protection Agency |
| EPC-CP | Environmental Protection and Compliance – Compliance Programs |
| LANL | Los Alamos National Laboratory |
| µm | Micron |
| mL | Milliliter |
| MSGP | Multi-Sector General Permit |
| N/A | Not Applicable |
| NPDES | National Pollutant Discharge Elimination System |
| SAP | Sample Analysis Plan |
| SCPL | Water Sample Collection and Processing Log/Field Chain of Custody |
| SMO | Sample Management Office |

8.0 REFERENCES

None.

| | | |
|---|--------------------|----------------------------|
| Processing MSGP Stormwater Samples | No: EPC-CP-QP-2106 | Page 16 of 19 |
| | Revision: 0 | Effective Date: 10/18/2019 |

9.0 ATTACHMENTS

Attachment 1: *Water Sample Collection and Processing Log/Field Chain of Custody Example*

Attachment 2: *Sample Container Labels Example*

Attachment 3: *Chain of Custody/Analysis Request Example*

| | | |
|------------------------------------|--------------------|----------------------------|
| Processing MSGP Stormwater Samples | No: EPC-CP-QP-2106 | Page 17 of 19 |
| | Revision: 0 | Effective Date: 10/18/2019 |

Attachment 1: Water Sample Collection and Processing Log/Field Chain of Custody Example

(Page 1 of 1)

Los Alamos National Laboratory

WATER SAMPLE COLLECTION AND PROCESSING LOG/FIELD CHAIN OF CUSTODY

EVENT ID: 11743 **EVENT NAME:** MSGP 2018
SAMPLE ID: MSGP-18-153015 **WORK ORDER:** MSGP-12345
COLLECTION DATE/TIME: 07/01/18 16:03 **RETRIEVAL DATE/TIME:** 07/03/18 09:25
LOCATION ID: MSGP04301 **SAMPLER TYPE:** APS-R
LOCATION TYPE: WCS **SAMPLE PREP:** UF
LOCATION SYNONYM(S): N/A **FIELD QC TYPE:** REG
FIELD MATRIX: WT **SAMPLE USAGE:** COMP

| PRIORITY | ORDER | CONTAINER | # | PRESERVATIVE | COLLECTED Y/N | SPECIAL INSTRUCTIONS | PROCESSING COMMENTS |
|----------|----------|---------------------------------|---|--------------|---------------|----------------------|---------------------|
| N/A | MSGP-TSS | 250 500 ML POLY to 7/1/18 | 1 | ICE | X | N/A | N/A |

SAMPLE COMMENTS: N/A

FIELD PARAMETERS:

Sample Time N/A HH:MM pH 6.2 SU Visual Inspection Y SU
 Visual Inspection WO# MSGP-67890

| | | | |
|---|--|---|--|
| COLLECTED BY (Printed Name) <u>Jane Doe</u> (Signature) <u>[Signature]</u> | Date/Time <u>07/03/18</u> <u>09:25</u> | | |
| RELINQUISHED BY (Printed Name) <u>Jane Doe</u> (Signature) <u>[Signature]</u> | Date/Time <u>07/03/18</u> <u>10:05</u> | RECEIVED BY (Printed Name) <u>John Smith</u> (Signature) <u>[Signature]</u> | Date/Time <u>07/03/18</u> <u>10:05</u> |
| PROCESSED BY (Printed Name) <u>John Smith</u> (Signature) <u>[Signature]</u> | Date/Time <u>07/03/18</u> <u>13:00</u> | | |
| RELINQUISHED BY (Printed Name) <u>John Smith</u> (Signature) <u>[Signature]</u> | Date/Time <u>07/04/18</u> <u>08:35</u> | RECEIVED BY (Printed Name) <u>See COC #</u> (Signature) <u>2017-1326</u> | Date/Time |
| RELINQUISHED BY (Printed Name) <u>N/A</u> (Signature) | Date/Time | RECEIVED BY (Printed Name) <u>N/A</u> (Signature) | Date/Time |

Report Date: 08/01/2018

| | | |
|---|--------------------|----------------------------|
| Processing MSGP Stormwater Samples | No: EPC-CP-QP-2106 | Page 18 of 19 |
| | Revision: 0 | Effective Date: 10/18/2019 |

Attachment 2: Sample Container Labels Example

(Page 1 of 1)

| Los Alamos National Laboratory | |
|--------------------------------------|-------------|
| Sample ID: MSGP-17-131786 | |
| Container: 500 ML POLY | 1 of 1 |
| Preservative: HNO3 ICE | |
| Analysis: NPDES-AI-Total Recoverable | |
| Date: 04/01/2017 | Time: 16:03 |

| Los Alamos National Laboratory | |
|--------------------------------------|-------------|
| Sample ID: MSGP-17-131787 | |
| Container: 500 ML POLY | 1 of 1 |
| Preservative: HNO3 ICE | |
| Analysis: NPDES-AI-Total Recoverable | |
| Date: 04/01/2017 | Time: 16:03 |

ATTACHMENT 21: EPC-DO-QP-101, *ENVIRONMENTAL REPORTING REQUIREMENTS FOR RELEASES*
OR EVENTS

EPC-DO-QP-101Revision: **3**

Effective Date: 08/07/2017

Next Review Date: 08/07/2020

Environment, Safety, and Health Directorate**Environmental Protection and Compliance Division – Compliance Programs****Quality Procedure****Environmental Reporting Requirements for Releases or Events****Document Owner/Subject Matter Expert:**

| | | | |
|--------------|---------------|-------------------|---------|
| Name: | Organization: | Signature: | Date: |
| Brian Iacona | EPC-CP | Signature on File | 4-27-17 |

Derivative Classifier: ☒ **Unclassified** or ☐ **DUSA ENVPRO**

| | | | |
|---------------|---------------|-------------------|--------|
| Name: | Organization: | Signature: | Date: |
| Jacob Meadows | EPC-CP | Signature on File | 5-2-17 |

Approval Signatures:

| | | | |
|---------------------------|-------------------------|-------------------|---------|
| Subject Matter Expert: | Organization: | Signature: | Date: |
| Brian Iacona | EPC-CP | Signature on File | 4-27-17 |
| Responsible Line Manager: | Organization: | Signature: | Date: |
| Michael Saladen | EPC-CP, Team Leader | Signature on File | 7-21-17 |
| Responsible Line Manager: | Organization: | Signature: | Date: |
| | EPC-CP, Group Leader | Signature on File | 8-3-17 |
| Responsible Line Manager | Organization | Signature: | Date: |
| | EPC-DO, Division Leader | Signature on File | 8-7-17 |

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|--|---------------|----------------------------|
| Environmental Reporting Requirements for Releases or Events | EPC-DO-QP-101 | Page 2 of 23 |
| | Revision: 3 | Effective Date: 08/07/2017 |

REVISION HISTORY

| Document Number and Revision <i>[Include revision number, beginning with Revision 0]</i> | Effective Date <i>[Document Control Coordinator inserts effective date]</i> | Description of Changes <i>[List specific changes made since the previous revision]</i> |
|--|---|--|
| 0 | 02/09 | New document |
| 1 | 4/10 | Revision and update |
| ENV-DO-QP-101 R2 | 6/12 | Biennial Review/Revision, new template implemented. |
| EPC-DO-QP-101 R3 | 08/07/17 | Revision and update. This document replaces ENV-DO-QP-101 R2. New document number reflects organizational name change. |

| | | |
|--|---------------|----------------------------|
| Environmental Reporting Requirements for Releases or Events | EPC-DO-QP-101 | Page 3 of 23 |
| | Revision: 3 | Effective Date: 08/07/2017 |

Table of Contents

| | |
|---|----|
| Quality Procedure..... | 1 |
| Revision History | 2 |
| Table of Contents | 3 |
| 1.0 Introduction | 4 |
| 1.1 Purpose | 4 |
| 1.2 Applicability | 4 |
| 2.0 Precautions and Limitations | 4 |
| 3.0 Responsibilities | 4 |
| 4.0 Work Processes..... | 5 |
| 4.1 Responsibility of On-Call Representative..... | 5 |
| 4.2 Follow-Up Reporting | 6 |
| 4.3 Summary of Policy Reporting..... | 6 |
| 4.4 Using this Procedure | 6 |
| 4.5 Determining if a Release is Reportable under RCRA..... | 7 |
| 4.6 Determining if a Release is Reportable under TSCA | 7 |
| 4.7 Determining if a Release is Reportable under the NM Water Quality Act or the CWA..... | 8 |
| 4.7.1 Reporting Requirement for Petroleum Storage Tanks | 9 |
| 4.7.2 Additional Reporting Requirements under the NPDES Pesticide General Permit..... | 10 |
| 4.8 Determining if a Release is Reportable under CERCLA or EPCRA | 11 |
| 4.8.1 Regulatory Classification of the Released Material | 11 |
| 4.9 Determining Release Impacts to Biological or Cultural Resources | 13 |
| 4.10 Reporting a Release or Event | 13 |
| 4.10.1 Steps to Notify LANL Management and DOE..... | 14 |
| 5.0 Records | 15 |
| 6.0 Definitions and Acronyms..... | 16 |
| 6.1 Definitions | 16 |
| 7.0 References | 18 |
| 8.0 Attachments or Appendices | 19 |
| Attachment 1: Emergency Notification Requirements for RCRA | 20 |
| Attachment 2: Summary of Emergency Release or Event Reporting Requirements | 21 |

| | | |
|--|---------------|----------------------------|
| Environmental Reporting Requirements for Releases or Events | EPC-DO-QP-101 | Page 4 of 23 |
| | Revision: 3 | Effective Date: 08/07/2017 |

1.0 INTRODUCTION

This Environmental Protection and Compliance Division (EPC-DO) procedure describes how to determine whether an unplanned release, spill, fire, or other event needs to be reported under environmental regulations and how to fulfill all immediate reporting requirements (within the first 24 hours). Emergency and abnormal event notification requirements for reporting to Laboratory and DOE management are specified in [PD1200, *Emergency Management*](#), and [P322-4, *Performance Improvement from Abnormal Events*](#). Environmental reporting requirements regarding releases or other events are included in this procedure.

1.1 Purpose

This procedure describes the actions that must be performed within the first 24 hours of the release. This procedure does **not** cover the response procedures for “continuous releases” under Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) and Emergency Planning and Community Right-to-Know Act (EPCRA) (see definitions) nor the follow-up notifications and reports.

1.2 Applicability

This procedure applies to EPC-DO on-call representatives and subject matter experts (SMEs) who must respond to any release, spill, or event at the Laboratory that may require immediate notification to local, state or federal regulatory agencies. For notifications to Pueblo Environmental Departments refer to [ENV-DO-QP-111, *Reporting Environmental Releases to Pueblo Governments*](#).

2.0 PRECAUTIONS AND LIMITATIONS

The work described in this procedure includes field work that does not require an Integrated Work Document (IWD) and is rated as having a **LOW hazard** level.

3.0 RESPONSIBILITIES

The following personnel require training before implementing this procedure:

- EPC managers, designated on-call representatives, and SMEs who may be asked to fulfill immediate reporting requirements during release-related exercises or during actual releases

Annual retraining to this procedure is required. This procedure will be reviewed biennially by all affected personnel and updated as necessary.

Training to this procedure will be by “self-study” (reading) and is documented in accordance with the trainee’s organization’s procedure for training.

Actions specified within this procedure, unless preceded with “should” or “may”, are to be considered mandatory (i.e., “shall”, “will”, “must”).

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|--|---------------|----------------------------|
| Environmental Reporting Requirements for Releases or Events | EPC-DO-QP-101 | Page 5 of 23 |
| | Revision: 3 | Effective Date: 08/07/2017 |

4.0 WORK PROCESSES

Events covered by this procedure include detonation or burns of unstable material, leaking or compromised gas cylinders, puncturing of bulging containers, fires, explosions, chemical or radiological spills, wastewater spills, potable water discharges, and other unplanned releases at the Laboratory.

On a semi-annual basis, EPC-DO will prepare a list of individuals designated as on-call representatives and will designate the week each will be on-call. This list will be distributed to on-call representatives and Laboratory managers including Principal Associate Directorate for Operations (PADOPS), Associate Directorate for Environment, Safety, and Health (ADESH), Associate Directorate for Environmental Management (ADEM), Emergency Operations (SEO-DO), EPC-DO, Environmental Protection and Compliance Division Compliance Programs Group (EPC-CP), and Environmental Protection and Compliance Division Environmental Stewardship Group (EPC-ES). The on-call representative can be reached by pager at 505-664-7722.

4.1 Responsibility of On-Call Representative

The EPC on-call representative is the party primarily responsible for:

- determining if the incident will require immediate notification to external agencies in accordance with LANL, state, and federal regulatory reporting requirements
- notifying EPC Division management of immediate reporting requirements
- if needed, coordinating with other on-call SMEs and the Emergency Operations Center (EOC) to ensure the required notifications for environmental reporting and abnormal events are being addressed for the Laboratory

The EPC on-call representative is not responsible for the following and EOC will make these determinations:

- determining if the Resource Conservation Recovery Act (RCRA) Contingency Plan must be implemented
- if a shock-sensitive material or leaking or compromised gas cylinder constitutes an emergency

However, in order to ensure that the appropriate expertise is available for the affected media, the EPC on-call representative may immediately confer with an SME of the EPC group that has programmatic responsibility. If an SME from the responsible group is able to respond to the event, the remaining steps in this procedure may be passed to that person.

A list of contact numbers for on-call representatives and SMEs for EPC-CP and EPC-ES groups is available in the EPC-CP group office. The EPC-DO and SEO-DO may also be contacted to determine the on-call representative for each group.

| | | |
|--|---------------|----------------------------|
| Environmental Reporting Requirements for Releases or Events | EPC-DO-QP-101 | Page 6 of 23 |
| | Revision: 3 | Effective Date: 08/07/2017 |

4.2 Follow-Up Reporting

This procedure describes the initial external notifications (within the first 24 hours) to regulatory agencies. After completion of the steps in this procedure, the EPC group specifically responsible for compliance with the relevant regulations will complete the required notifications and reports, as applicable under the appropriate regulations, according to established procedures.

4.3 Summary of Policy Reporting

The EPC on-call representative and spill response SMEs have the authority and responsibility for deciding when to report an event and for making notifications to regulatory agencies within the applicable regulatory deadlines.

LANL management and Department of Energy Los Alamos Field Office (DOE LAFO) must be informed as soon as possible that a report was or will be made, but their approval is not required prior to the report being made to the regulatory agency. LANL management, with input from EPC SMEs, will determine if an ORPS (Occurrence Reporting Processing System) report or other type of Lessons Learned will be necessary.

NOTE: SEO-DO maintains a current list of on-call LANL managers.

4.4 Using this Procedure

This procedure has seven separate paths (and corresponding sections) to follow for determining if a release or event is reportable. Follow each of these paths to determine if one or more are applicable:

- Resource Conservation and Recovery Act (RCRA)
- Toxic Substances Control Act (TSCA)
- Clean Water Act (CWA), New Mexico Water Quality Act (NMWQA), and New Mexico Water Quality Control Commission (NMWQCC) Regulations
- Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) and Emergency Planning and Community Right-to-Know Act (EPCRA)
- Clean Air Act
- Endangered Species Act
- Bald and Golden Eagle Protection Act
- Migratory Bird Treaty Act
- New Mexico Wildlife Conservation Act
- National Environmental Policy Act
- National Historic Preservation Act
- Native American Graves Protection and Repatriation Act

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|--|---------------|----------------------------|
| Environmental Reporting Requirements for Releases or Events | EPC-DO-QP-101 | Page 7 of 23 |
| | Revision: 3 | Effective Date: 08/07/2017 |

- Archaeological Resources Protection Act

Each release needs to be evaluated for all potential reporting requirements. For example, a Reportable Quantity (RQ), defined under CERCLA or EPCRA may not be met, **but the release may be reportable** under RCRA, New Mexico Water Quality Control Commission (NMWQCC), and/or Clean Water Act (CWA) requirements.

NOTE: The 24-hour deadline (immediate in some cases) applies regardless of whether it occurs during business hours, after business hours or on non-business days.

4.5 Determining if a Release is Reportable under RCRA

Follow the flow chart in Attachment 1 to determine if an event is reportable under RCRA regulations.

Under the RCRA permit requirements, the SEO-DO manager determines if the “RCRA Contingency Plan” provisions should be implemented. The EPC on-call representative or an EPC-CP SME performs notifications that may be required.

The SEO-DO Manager will normally attempt to contact the EPC-CP SME for guidance in making this decision. If the EPC-CP SME is successfully contacted, the completion of the remainder of this procedure may be passed on to this individual.

The EPC on-call representative makes the determination that one or more of these conditions occurred through consultation with EPC-CP and appropriate SMEs. 24-hour notification can be made by the EPC on-call representative or by an EPC SME.

The Emergency Operations Center (EOC) manager makes the determination that unstable chemicals, leaking or compromised gas cylinders represent an emergency situation and, typically with EPC-CP, how best to respond. 24-hour notification can be made by the on-call representative or EPC-CP SME.

If a release/event is reportable under RCRA rules, determine if the release/event is reportable under other rules and proceed to the Section 4.10 *Reporting a Release or Event*.

4.6 Determining if a Release is Reportable under TSCA

In practice, only spills of Polychlorinated Biphenyls (PCBs) or PCB-suspect untested mineral oil to the environment (generally outdoors or with the potential to reach the outdoors) are reportable. Spills that are contained indoors are generally not reported.

A discharge of PCBs is reportable to the Environmental Protection Agency (EPA) under TSCA if 1 pound of PCBs by weight is released [40 Code of Federal Regulations (CFR) 761.125(a)(1)]. Notify the EPA regional office and proceed with the immediate clean up requirements noted in 40 CFR 761.125(a)(1) in the shortest possible time after discovery, but in no case later than 24 hours after discovery. Additionally, reporting requirements are triggered if over 270 gallons of untested mineral oil suspected of containing PCBs has been spilled.

Follow the steps in *Determining if a Release is Reportable under CERCLA, EPCRA, or Other Regulations* to determine if the RQ for PCBs has also been exceeded.

| | | |
|--|---------------|----------------------------|
| Environmental Reporting Requirements for Releases or Events | EPC-DO-QP-101 | Page 8 of 23 |
| | Revision: 3 | Effective Date: 08/07/2017 |

There are six items containing PCBs that are out of service at the Chemistry and Metallurgy Research (CMR) Building. All other known PCB equipment at the Laboratory has been taken out of service and disposed of in accordance with TSCA regulations.

If a release is reportable under TSCA, continue through the next sections to determine if the release/event is reportable under other rules and proceed to *Reporting a Release or Event* and determine if additional reporting is necessary.

| If the spill is ... | Then... |
|--|--|
| equal to or over 1 pound by weight of PCBs (TSCA) or greater than 270 gallons of untested mineral oil suspected of containing PCBs | Report to the National Response Center (1-800-242-8802) immediately (within 15 minutes of discovery). Additionally, contact EPA Region 6 (Office of Prevention, Pesticides and Toxic Substances Branch) through EPA's 24-hour spill response number 866-372-7745 as soon as possible after discovery but no later than 24 hours after discovery. |

4.7 Determining if a Release is Reportable under the NM Water Quality Act or the CWA

20.6.2.1203 New Mexico Administrative Code (NMAC) Reporting

The NM Water Quality Act (NMWQA) does not use Reportable Quantities (as described in the next section). Instead the NM Water Quality Control Commission (NMWQCC) regulations state: *"With respect to any discharge from any facility of oil or other water contaminant, in such quantity as may with reasonable probability injure or be detrimental to human health, animal or plant life, or property, or unreasonably interfere with the public welfare or the use of property, notifications (to the New Mexico Environment Department (NMED)) and corrective actions are required."*

The above rule requires the use of professional judgment to determine if reporting is required. No quantifiable metric is available to assist in making this determination. The EPC on-call representative or SME has the authority and responsibility to make this determination.

Additionally, unplanned releases of potable water or steam condensate require reporting pursuant to 20.6.2.1203 NMAC if the release is greater than 5,000 gallons, reaches a watercourse, or if the release adversely impacts a Solid Waste Management Unit (SWMU) or Area of Concern (AOC) as directed in the LANL Liquid Discharge Reporting Guidance (Decision Tree), dated March 10, 2009. Contact ADEM to confirm the location and potential impacts to SWMUs or AOCs from any releases that may occur.

Groundwater Discharge Permit Reporting

The Laboratory has four current Groundwater Discharge Permits (DPs) that include notification and reporting requirements in the event of an unpermitted discharge. Spills of **any volume** associated with any of the Groundwater DPs require reporting to NMED pursuant to 20.6.2.1203 NMAC.

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|--|---------------|----------------------------|
| Environmental Reporting Requirements for Releases or Events | EPC-DO-QP-101 | Page 9 of 23 |
| | Revision: 3 | Effective Date: 08/07/2017 |

1. DP-857: Sanitary Waste Water System (SWWS) Plant, Sanitary Effluent Reclamation Facility (SERF), and Sigma Mesa Evaporation Basins. Permit Condition No. 44.

The unauthorized release of untreated and treated sanitary wastewater, reuse wastewater, blended wastewater, and reject wastewater would be subject to reporting under Condition No. 44.

2. DP-1589: Septic Tank/Disposal Systems. Permit Condition No. 23.

The unauthorized release of untreated wastewater, septage, treated wastewater surfacing from failing disposal systems (leach fields), and treated wastewater surfacing from overflowing septic tanks would be subject to reporting under Condition No. 23.

3. DP-1793: Land Application of Treated Groundwater. Permit Condition No. 17.

The unauthorized release of untreated or treated groundwater that does not constitute land application, as defined in [EPC-CP-QP-010: Land Application of Groundwater](#), would be subject to reporting under Condition No. 17.

4. DP-1835: Injection of Treated Groundwater to Class V Underground Injection Control (UIC) Wells. Permit Condition No. 22.

The unauthorized release of treated or untreated groundwater that does not constitute injection into a Class V UIC well, as defined in Discharge Permit DP-1835, would be subject to reporting under Condition No. 22.

Clean Water Act Reporting

Oil discharges (film/sheen/discoloration) to water in stream channels must also be reported to the National Response Center (NRC) immediately (within 15 minutes of discovery) pursuant to 40 CFR §110.6.

National Pollutant Discharge Elimination System (NPDES) Outfall Reporting

The EPC-DO on-call SME must provide notification to the NPDES Outfall Permit Program Lead and/or the EPC-CP Water Quality Team Leader in the event of a leak or unplanned release from an NPDES permitted outfall upon discovery in order to meet applicable reporting requirements.

4.7.1 Reporting Requirement for Petroleum Storage Tanks

As defined in 20.5.7 NMAC, the NMED requires verbal reporting within 24 hours of a petroleum product release from regulated tanks to the NMED Petroleum Storage Tank Bureau (PSTB) when there is:

- any suspected or confirmed release of regulated substances
- evidence of release of regulated substances
- unusual operational conditions (that would cause concern about a release)
- monitoring results that show loss from the system

| | | |
|--|---------------|----------------------------|
| Environmental Reporting Requirements for Releases or Events | EPC-DO-QP-101 | Page 10 of 23 |
| | Revision: 3 | Effective Date: 08/07/2017 |

Regulated tanks include those with a capacity between 1,320 gallons and 55,000 gallons. Regulated substances for Aboveground Storage Tanks includes, but is not limited to petroleum and petroleum-based substances comprised of a complex blend of hydrocarbons derived from crude oil through processes of separation, conversion, upgrading and finishing, such as motor fuels (including ethanol-based motor fuels), jet fuels, distillate fuel oils, residual fuel oils, lubricants, petroleum solvents, and used oils.

Notice of any suspected or confirmed release from a storage tank system needs to be completed within 24 hours. Contact the EPC-CP Aboveground Storage Tank (AST) Program Lead and/or the EPC-CP Water Quality Team Leader prior to completing any external notifications. The PSTB can be reached at 476-4397 during business hours and 827-9329 (NMED Emergency Spill Hotline) during non-business hours. A written report describing the spill, release or suspected release and any investigation or follow-up action needs to be submitted to the PSTB within 14 days of the incident.

4.7.2 Additional Reporting Requirements under the NPDES Pesticide General Permit

Adverse incidents require reporting to the EPA under the NPDES Pesticide General Permit (PGP). An adverse incident is defined as an unusual or unexpected incident resulting from pesticide applications that an Operator has observed upon inspection or of which the Operator otherwise becomes aware, in which:

1. There is evidence that a person or non-target organism has likely been exposed to a pesticide residue, and
2. The person or non-target organism suffered a toxic or adverse effect.

The phrase toxic or adverse effect includes effects that occur within Waters of the United States on non-target plants, fish, or wildlife that are unusual or unexpected (e.g., effects are to organisms not otherwise described on the pesticide product label or otherwise not expected to be present) as a result of exposure to a pesticide residue, and may include:

- Distressed or dead juvenile and small fishes
- Washed up or floating fish
- Fish swimming abnormally or erratically
- Fish lying lethargically at water surface or in shallow water
- Fish that are listless or nonresponsive to disturbance
- Stunting, wilting, or desiccation of non-target submerged or emergent aquatic plants
- Other dead or visibly distressed non-target aquatic organisms (amphibians, turtles, invertebrates, etc.)

The phrase toxic or adverse effects also includes any adverse effects to humans (e.g. skin rashes) or domesticated animals that occur either from direct contact with or as a secondary effect from a discharge (e.g., sickness from consumption of plants or animals containing pesticides) to Waters of the United States that are temporally and spatially related to exposure to a pesticide residue (e.g. vomiting, lethargy).

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|--|---------------|----------------------------|
| Environmental Reporting Requirements for Releases or Events | EPC-DO-QP-101 | Page 11 of 23 |
| | Revision: 3 | Effective Date: 08/07/2017 |

If an Operator observes or otherwise becomes aware of an adverse incident due to pesticide application, the Operator must notify the EPA Incident Reporting contact within 24 hours of the Operator becoming aware of the adverse incident. EPA Incident Reporting Contacts are listed at <https://www.epa.gov/npdes/pesticide-permitting>.

If an Operator becomes aware of an adverse incident affecting a federally listed threatened or endangered species or its federally designated critical habitat, which may have resulted from a discharge from the Operator's pesticide application, the Operator must immediately (within 15 minutes of discovery) notify the U. S Fish and Wildlife Service. This notification must be made by phone to the contact listed on the EPA's website (<https://www.epa.gov/npdes/pesticide-permitting>).

4.8 Determining if a Release is Reportable under CERCLA or EPCRA

Under CERCLA or EPCRA, an RQ is the threshold which requires regulatory notification of a release. An RQ is based on the quantity of chemical released within any 24-hour period. CERCLA RQs of hazardous substances are listed in 40 CFR § 302.4. If an RQ is met or exceeded, an immediate (within 15 minutes of discovery) notification must be made to the NRC (1-800-424-8802) pursuant to 40 CFR §302.6. If a release of an airborne radioactive material exceeds an RQ, the EPA Region 6 Health Physicist (Office-(214) 665-8541; Mobile-(214) 755-1530; Home-(972) 937-1900) must also be verbally notified after the NRC notifications have been completed.

A release is reportable under EPCRA if a release of a hazardous or extremely hazardous substance listed in 40 CFR Part 355 Appendices A and B occurs. The chemicals that have not been assigned RQs by the EPA have been given statutory RQs of one pound by Congress. If an RQ established under EPCRA is met or exceeded, an immediate (within 15 minutes of discovery) notification must be made to the Local Emergency Planning Committee (LEPC) community emergency coordinator and to the State Emergency Response Commission (SERC) (see Attachment 2).

The lists of CERCLA hazardous substances and EPCRA extremely hazardous substances are two separate lists that include a number of common substances. However, not all extremely hazardous substances are listed hazardous substances. In some instances, a release of an extremely hazardous substance may be reportable under EPCRA but not reportable under CERCLA.

Releases that occur within a closed space with no emissions to the ambient environment are exempt from EPCRA and CERCLA reporting requirements.

NOTE: Response procedures for "Continuous Releases" are not covered in this procedure.

4.8.1 Regulatory Classification of the Released Material

The on-call EPC SME will determine the regulatory classification of the substance released with respect to the hazard classifications:

- Extremely Hazardous Substance (EHS) and/or Hazardous Substance (HS)

Often during the course of an emergency, complete information will not be available regarding type and amount of material released. In this case, best professional judgment must be used to establish the level of confidence associated with the estimates. If the uncertainty is high enough that future

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|--|---------------|----------------------------|
| Environmental Reporting Requirements for Releases or Events | EPC-DO-QP-101 | Page 12 of 23 |
| | Revision: 3 | Effective Date: 08/07/2017 |

estimates may require reporting, it is best to be conservative and report the release following the reporting requirements detailed in Section 4.10 *Reporting a Release or Event*.

After determining the RQ of a released material, the EPC on-call representative or SME will perform the following steps to determine if an RQ has been released.

| Step | Action | | | | | | |
|-------------------------------------|---|-------------------------------------|----------------|------------------------------------|---|---------------------|---|
| 1 | Obtain an estimate of the quantity and type of material released (e.g. 4 pounds of chlorine gas or 150 curies of tritium). | | | | | | |
| 2 | Compare this quantity against the RQs provided in 40 CFR Table 302.4 and 40 CFR §355, Appendices A and B. | | | | | | |
| 3 | <p>If this is an airborne release of radioactive materials, immediate (within 15 minutes of discovery) reporting to the NRC and the EPA Region 6, Regional Health Physicist is required if the RQ has been exceeded. Note that for radioactive materials, the RQ is provided in activity units (curies or becquerels). Also note that some materials have an RQ value for both chemical exposure (Table 302.4) and for radiological exposure (Appendix B to §302.4). In these cases, the RQ applying to the smallest quantity of material will apply.</p> <p>For all radioactive material releases, a radiological dose assessment must also be performed within 24 hours of the release. This dose assessment should be made by an environmental health physicist in EPC-CP or EPC-ES. The on-call individual should contact an EPC health physicist for this evaluation.</p> <p>Immediate evaluation – RQ comparison (of a radioactive material release)</p> <table> <tr> <td>If the release...</td><td>Then...</td></tr> <tr> <td>Is equal to or greater than the RQ</td><td>Proceed to section 4.10 <i>Reporting a Release or Event</i>.</td></tr> <tr> <td>Is less than the RQ</td><td>No immediate reporting is required; contact EPC environmental health physicist to complete follow-up dose assessment.</td></tr> </table> | If the release... | Then... | Is equal to or greater than the RQ | Proceed to section 4.10 <i>Reporting a Release or Event</i> . | Is less than the RQ | No immediate reporting is required; contact EPC environmental health physicist to complete follow-up dose assessment. |
| If the release... | Then... | | | | | | |
| Is equal to or greater than the RQ | Proceed to section 4.10 <i>Reporting a Release or Event</i> . | | | | | | |
| Is less than the RQ | No immediate reporting is required; contact EPC environmental health physicist to complete follow-up dose assessment. | | | | | | |
| 4 | <p>If this is a release of non-rad material, it is reportable if the RQ is exceeded.</p> <table> <tr> <td>If the amount released is..,</td><td>Then...</td></tr> <tr> <td>Equal to or greater than the RQ</td><td>Proceed to Section 4.10 <i>Reporting a Release or Event</i>.</td></tr> <tr> <td>Less than the RQ</td><td>Proceed to Step 5</td></tr> </table> | If the amount released is.., | Then... | Equal to or greater than the RQ | Proceed to Section 4.10 <i>Reporting a Release or Event</i> . | Less than the RQ | Proceed to Step 5 |
| If the amount released is.., | Then... | | | | | | |
| Equal to or greater than the RQ | Proceed to Section 4.10 <i>Reporting a Release or Event</i> . | | | | | | |
| Less than the RQ | Proceed to Step 5 | | | | | | |
| 5 | Continue to re-evaluate the release as new data becomes available. Perform Steps 1 through 4 as necessary. | | | | | | |

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|--|---------------|----------------------------|
| Environmental Reporting Requirements for Releases or Events | EPC-DO-QP-101 | Page 13 of 23 |
| | Revision: 3 | Effective Date: 08/07/2017 |

4.9 Determining Release Impacts to Biological or Cultural Resources

There are laws and regulations related to protection of biological and cultural resources which are applicable to the Laboratory. These laws and regulations include:

- National Environmental Policy Act
- Endangered Species Act
- Bald and Golden Eagle Protection Act
- Migratory Bird Treaty Act
- New Mexico Wildlife Conservation Act
- New Mexico Endangered Species Act
- National Historic Preservation Act
- Native American Graves Protection and Repatriation Act
- Archaeological Resources Protection Act

Reporting of impacts to biological or cultural resources under the preceding federal laws is not specifically defined. However, the EPC on-call SME should utilize the Decision Support Application (DSA) to determine if the release impacted a Biological or Cultural Site. The DSA layer 'Federally Listed Species Habitat' contains Endangered Species habitat boundaries. The DSA 'Cultural Resources-Buffered Sites' layer contains the boundaries of the Cultural Sites (Please note- information contained in these layers is Official Use Only). Notify the respective Biological or Cultural SME within one business day if the release impacted either of these areas. The Biological or Cultural SMEs will handle any additional reporting requirements.

Additionally, if there is a release of contaminants to a wetland or destruction of a wetland, OR if the event could result in the "take" of a threatened or endangered species (i.e., a wildfire), the EPC on-call representative or SME will notify the Biological SME within one business day of the event. The Biological SME will complete any additional reporting requirements.

4.10 Reporting a Release or Event

If a release or event is reportable (as determined by one or more of the previous sections), the Laboratory is required to meet certain reporting requirements. The emergency notification requirements must be followed upon determination that a release or event is reportable.

For informational purposes, a Summary of Emergency Release or Event Reporting Requirements is provided in Attachment 2. This document summarizes the primary statutes and the associated reporting requirements.

Maintain a notebook to record pertinent information about the release and to document the actions taken (see Section 5.0 *Records*).

Any release to the environment that has been determined to be reportable by the EPC on-call representative or SME shall be reported through the LANL management chain in accordance with [PD1200, Emergency Management](#) and [P322-4, Performance Improvement from Abnormal Events](#).

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|--|---------------|----------------------------|
| Environmental Reporting Requirements for Releases or Events | EPC-DO-QP-101 | Page 14 of 23 |
| | Revision: 3 | Effective Date: 08/07/2017 |

Los Alamos National Security (LANS) management and DOE shall be notified if a release notification to state or federal regulatory agencies is required. Management approval is not required prior to completing environmental notifications to the regulatory agencies in order to assure that the deadline for reporting is not exceeded.

Perform the following steps immediately after establishing that reporting is required:

| Step | Action |
|-------------|---|
| 1 | Compile release information including : <ul style="list-style-type: none"> • The source, cause, type and quantity of the release • Time and duration of the release • Extent of any protective and corrective actions taken • Name, address, and telephone number of the person to contact for further information • Whether the substance is an HS or EHS • Associated health risks and medical attention necessary for exposed individuals • If available, information concerning the release of any hazardous and/or mixed waste which may endanger public or private drinking water supplies • Assessment of actual or potential hazards to human health or the environment outside the facility • If available, estimated quantity and disposition of recovered material that resulted from the incident • Precautions to take due to the release/event, including, in the case of fire, those associated with special hazards due to hazardous and/or mixed waste • Any other information which may help emergency personnel responding to the incident • Environmental media impacted from the release |
| 2 | Notify LANL management, DOE, and the respective Facilities Operations Division (FOD). Note: Management approval is not required prior to completing environmental notifications to the regulatory agencies in order to assure that the deadline for reporting is not exceeded. |
| 3 | Provide notification to the regulatory agency as required by the applicable regulation(s) detailed in Sections 4.5 - 4.9. Reference Attachment 2 for a summary of the applicable reporting requirements. |
| 4 | Notify programmatic SMEs that may be impacted or required to complete follow up reporting. |

4.10.1 Steps to Notify LANL Management and DOE

The EPC on-call representative will complete the following steps to provide notification to LANL Management and DOE.

| Step | Action |
|-------------|---|
| 1 | Determine that a release to the environment is reportable to state or federal entities as |

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|--|---------------|----------------------------|
| Environmental Reporting Requirements for Releases or Events | EPC-DO-QP-101 | Page 15 of 23 |
| | Revision: 3 | Effective Date: 08/07/2017 |

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| | required under applicable regulations. NOTE: Occurrence Reporting and Procession System (ORPS) reporting is a FOD and Responsible Associate Director (RAD) responsibility and commonly they will seek advisement from EPC SMEs. |
| 2 | Provide notification to the EPC-CP Water Quality Team Leader, the EPC-CP Group Leader, the EPC-DO Division Leader, and DOE LAFO program contact of the release and the required external notifications. |
| 3 | Complete environmental reporting to state and federal agencies in accordance with all applicable regulations. |
| 4 | Notify the appropriate program SME that may be impacted or be required to complete following up release reporting. |

After all the above notifications have been made, or when requested, the EPC on-call representative or SME will hand off responsibility for additional actions and follow-up to the affected environmental group. (The group that will be responsible will depend on the type and location of the release and the governing regulations or statutes.)

In order to communicate events at LANL which may impact the public and or the environment, EPC staff may provide a courtesy notification to New Mexico Environment Department of events that may not require formal regulatory notification. Examples of such events in the past have been small wild land fires.

5.0 RECORDS

The following records are generated as a result of this procedure and are maintained in accordance with ADESH-AP-006 Records Management Plan and [P1020-1, Laboratory Records Management:](#)

- Field documentation of the release, including:
 - Time and date of the release
 - Time, date, and description of notifications
 - Location and source of the release
 - Type of material released
 - Quantity of material released
 - Impacted media
 - Time release was stopped
 - Any immediate mitigation actions taken to contain or control the release
 - Documentation of any verbal notifications
 - Samples taken
- Copies of any written notifications generated

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|--|---------------|----------------------------|
| Environmental Reporting Requirements for Releases or Events | EPC-DO-QP-101 | Page 16 of 23 |
| | Revision: 3 | Effective Date: 08/07/2017 |

- Documentation of any analytical results, and quality assurance of results
- Contingency and / or emergency plan documentation
- Documentation of any RCRA permit non-compliance that threatens human health and environment
- Documentation of treatment of any RCRA unstable chemicals, leaking or compromised gas cylinders

6.0 DEFINITIONS AND ACRONYMS

6.1 Definitions

ADESH – Associate Directorate for Environment, Safety, and Health

ADEM – Associate Directorate for Environmental Management

AOC – Area of Concern

AST – Aboveground Storage Tank

CAA – Clean Air Act

CERCLA – Comprehensive Environmental Response, Compensation, and Liability Act

CMR – Chemistry and Metallurgy Research

CFR – Code of Federal Regulations

Continuous Release – A release is continuous if it “occurs without interruption or abatement or if it is routine, anticipated, intermittent, and incidental to normal operations or treatment processes.” The release must also be “stable in quantity and rate,” which means that it must be predictable and regular in the amount and rate of emission. The response procedures for continuous releases are not covered by this document. See guidance in Reporting Continuous Releases of Hazardous and Extremely Hazardous Substances under CERCLA and EPCRA.

CWA – Clean Water Act

DOE LAFO – Department of Energy Los Alamos Field Office

DSA – Decision Support Application

Environment – Includes "water, air, land, and the interrelationship which exists among and between water, air, land, and all living things." (40 CFR 355.20)

EOC – Emergency Operations Center

EPA – Environmental Protection Agency

EPC-DO – Environmental Protection and Compliance Division

EPCRA – Emergency Planning and Community Right-to-Know Act

EPC-CP – Environmental Protection and Compliance Division Compliance Programs Group

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|--|---------------|----------------------------|
| Environmental Reporting Requirements for Releases or Events | EPC-DO-QP-101 | Page 17 of 23 |
| | Revision: 3 | Effective Date: 08/07/2017 |

EPC-ES – Environmental Protection and Compliance Division Environmental Stewardship Group

Extremely Hazardous Substance (EHS) – EPCRA establishes emergency reporting requirements for extremely hazardous substances in 40 CFR 355, Appendix A. All of these substances are also CWA and CERCLA “hazardous” substances.

FOD – Facility Operations Director

GWDP-Ground Water Discharge Permit

Hazardous Substance (HS) – These substances are summarized in 40 CFR Part 302. As used in this context, refers to: (1) any elements, compounds, mixtures, solutions, or substances specially designated by EPA under Section 311 of the Clean Water Act (CWA) (40 CFR 116.4); (2) any toxic pollutants listed under Section 307(a) of the CWA; (3) any hazardous substances regulated under Section 311 (b)(2)(A) of the CWA; (4) any listed or characteristic RCRA hazardous waste (40 CFR 261), (5) any hazardous air pollutants listed under Section 112 of the Clean Air Act (CAA); or (6) any imminently hazardous chemical substances or mixtures regulated under Section 7 of the Toxic Substances Control Act (TSCA).

IWD – Integrated Work Document

LANL – Los Alamos National Laboratory

LANS – Los Alamos National Security

LEPC – Local Emergency Planning Committee

NMAC – New Mexico Administrative Code

NMED – New Mexico Environment Department

NMWQA – New Mexico Water Quality Act

NMWQCC – New Mexico Water Quality Control Commission

NPDES – National Pollutant Discharge Elimination System

NRC – National Response Center

ORPS – Occurrence Reporting and Processing System

OSC – On-Scene Commander

PADOPS – Principal Associate Directorate Operations

PCBs – Polychlorinated Biphenyls

PGP – Pesticide General Permit

PST – Petroleum Storage Tank

PSTB – Petroleum Storage Tank Bureau

RAD – Responsible Associate Director

RCRA – Resource Conservation and Recovery Act

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|--|---------------|----------------------------|
| Environmental Reporting Requirements for Releases or Events | EPC-DO-QP-101 | Page 18 of 23 |
| | Revision: 3 | Effective Date: 08/07/2017 |

Release – Any unpermitted spilling, leaking, pumping, pouring, emitting, emptying, discharging, injecting, escaping, leaching, dumping, or disposing of contaminants into the environment, excluding: (1) emissions from the engine exhaust of any vehicle, (2) certain releases of source, byproduct, or special nuclear material from a nuclear incident, or (3) normal application of fertilizer.

RQ – Reportable Quantity

SARA – Superfund Amendments and Reauthorization Act

SDS – Safety Data Sheet

SERC – State Emergency Response Commission

SERF – Sanitary Effluent Reclamation Facility

SEO-DO –Security and Emergency Operations Division

SME – Subject Matter Expert

SWMU – Solid Waste Management Unit

SWWS - Sanitary Waste Water System

TSCA – Toxic Substances Control Act

UIC – Underground Injection Control

7.0 REFERENCES

The following documents are referenced in this procedure:

- 40 CFR 302, Designation, Reportable Quantities, and Notification
- 40 CFR 261, 264 Subpart D 270.30
- DOE guidance document PCB Spill Response and Notification Requirements
- (EH-231-059/1294), available on the EPC-CP web page
- DOE – Office of Environmental Guidance, CERCLA Information Brief, EH-231-001-0490 (April 1990)
- EPA Web Site: <http://www.epa.gov/>
- EPCRA Information Web Site: <http://www.chemicalspill.org/EPCRA-facilities/spill.html>
- Federal Register, Volume 67, No. 47, Notices FRL-7172-4, Guidance on the CERCLA Section 101(10)H, Federally Permitted Release Definition for Certain Air Emissions
- [PD1200, Emergency Management](#)
- P322-3, Performance Improvement from Abnormal Events
- LANL RCRA Permit No. NM0890010515-1
- LANL NPDES Permit No. NM0028355

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|--|---------------|----------------------------|
| Environmental Reporting Requirements for Releases or Events | EPC-DO-QP-101 | Page 19 of 23 |
| | Revision: 3 | Effective Date: 08/07/2017 |

- National Response Center (NRC) Web Site: <http://www.nrc.uscg.mil/>
- NMWQCC Regulations, 20.6.2 NMAC, dated December 1, 2001
- P407, Water Quality
- P1020-1, Laboratory Records Management
- ADESH-AP-006, Records Management Plan

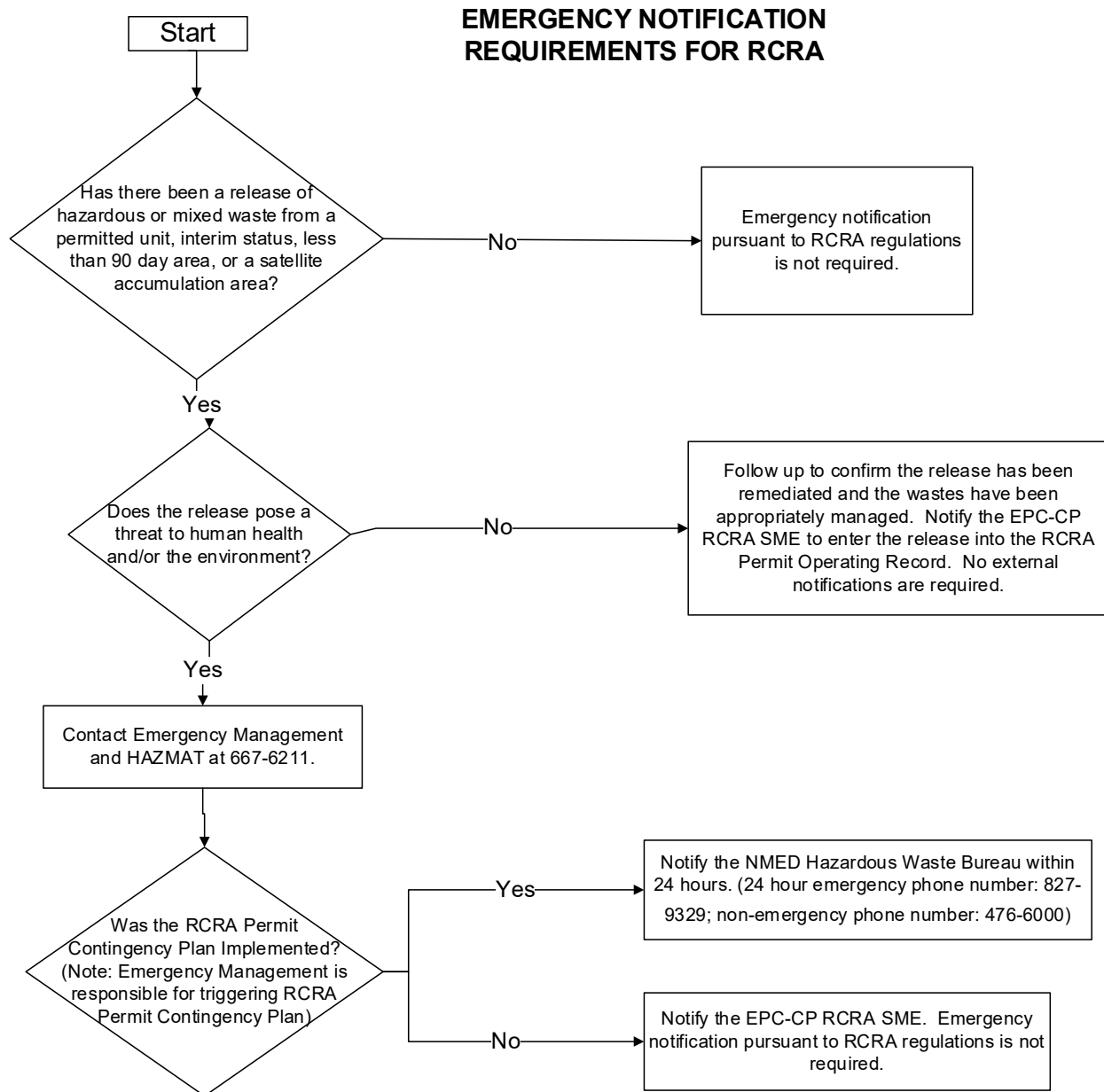
8.0 ATTACHMENTS OR APPENDICES

Attachment 1: Emergency Notification Requirements for RCRA

Attachment 2: Summary of Emergency Release or Event Reporting Requirements

| | | |
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| Environmental Reporting Requirements for Releases or Events | EPC-DO-QP-101 | Page 20 of 23 |
| | Revision: 3 | Effective Date: 08/07/2017 |

Attachment 1: Emergency Notification Requirements for RCRA



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|--|---------------|----------------------------|
| Environmental Reporting Requirements for Releases or Events | EPC-DO-QP-101 | Page 21 of 23 |
| | Revision: 3 | Effective Date: 08/07/2017 |

Attachment 2: Summary of Emergency Release or Event Reporting Requirements

NOTE: This is only a guide and does not cover all federal, state, or permit reporting requirements. Refer to the Code of Federal Regulations and the RCRA Permit for more details regarding these regulations.

| STATUTE | REGULATIONS | INCIDENT | Immediate Reporting Requirements | Follow Up Reporting Requirements |
|--|--|---|--|---|
| Clean Water Act | 40 CFR §110.6 | Oil discharge (film/sheen/discoloration) to water surface or shoreline, or violation of water quality standards. | Immediately (within 15 minutes of discovery) notify the National Response Center. | Follow-up not required. |
| Clean Water Act | Part III of NPDES Permit No. NM0028355 | Leak or unplanned release from an NPDES permitted outfall. | Notify the NPDES Outfall Permit Program Lead and EPC-CP Water Quality Team Leader upon discovery. The program lead or the EPC-CP Water Quality Team Leader will complete initial reporting requirements as required. | Required follow up reporting will be completed by the NPDES Outfall Permit Program Lead and EPC-CP Water Quality Team Leader. |
| Clean Water Act (CWA)-NPDES Pesticide General Permit | 40 CFR §122.28 | Adverse incident which includes evidence that a person or non-target organism has been exposed to a pesticide residue or the person or non-target organism suffered a toxic or adverse effect. | Notify the EPA Region 6 Pesticide Permitting contact (214)665-7500 within 24 hours. | Submit a 30 Day Adverse Incident Written Report to the EPA Regional Office. |
| New Mexico Water Quality Control Commission Regulations (NMWQCC Regulations) | 20.6.2.1203 NMAC | Discharge from any facility of oil or other water contaminant, in such quantity as may with reasonable probability injure or be detrimental to human health, animal or plant life, or property, or unreasonably interfere with the public welfare or use of the property. | Notify the New Mexico Environment Department 505-827-9329 within 24 hours. | Submit 7 and 15 Day written follow up Corrective Action Reports (Copy EPA Region 6 on the 7 and 15 Day Reports). |


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|--|---------------|----------------------------|
| Environmental Reporting Requirements for Releases or Events | EPC-DO-QP-101 | Page 22 of 23 |
| | Revision: 3 | Effective Date: 08/07/2017 |

| STATUTE | REGULATIONS | INCIDENT | Immediate Reporting Requirements | Follow Up Reporting Requirements |
|---|--------------------|--|---|---|
| New Mexico Water Quality Control Commission Regulations (NMWQCC Regulations) | 20.6.2.3104 NMAC | Unplanned release of any volume from an activity or facility covered under an active Groundwater DP: DP-857: SWWS Plant, SERF, and Sigma Mesa Evaporation Basins DP-1589: Septic Tank/Disposal Systems DP-1793: Land Application of Treated Groundwater DP-1835: Injection of Treated Groundwater to Class V UIC Wells | Notify the New Mexico Environment Department 505-827-9329 within 24 hours. | Submit 7 and 15 Day written follow up Corrective Action Reports (Copy EPA Region 6 on the 7 and 15 Day Reports) |
| New Mexico Environmental Improvement Board Regulation | 20.5.7 NMAC | A release of a petroleum product from regulated aboveground storage tank. | Contact the EPC-CP AST Program Lead and/or the EPC-CP Water Quality Team Leader prior to completing any external notifications. If required, the Petroleum Storage Tank Bureau (476-4397) or NMED Emergency Spill Hotline (827-9329) must be contacted within 24 hours. | A written report describing the spill, release or suspected release and any investigation or follow-up action needs to be submitted to the PSTB within 14 days of the incident. |
| Comprehensive Environmental, Response, Compensation, and Liability Act (CERCLA) | 40 CFR §302.6(a) | Hazardous substance (listed in 40 CFR Table 302.4) release (Equal to or greater than an RQ). | Immediately (within 15 minutes of discovery) notify the National Response Center 1-800-424-8802. | Follow-up not required. |
| Emergency Planning and Community Right-to-Know Act (EPCRA) | 40 CFR§ 355.40 | Release of an extremely hazardous substance (listed in 40 CFR Part 355 Appendices A and B) or CERCLA hazardous substance (listed in 40 CFR Table 302.4) equal to or greater than RQ. | Immediately (within 15 minutes of discovery) notify the LEPC (505-662-8283) the SERC (505-476-9635). Immediately notify the 911 operator for a release that occurs during transportation or from storage incident to transportation. | A written follow-up emergency notice must be submitted to the LEPC and SERC as soon as practicable after the release. |

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|--|---------------|----------------------------|
| Environmental Reporting Requirements for Releases or Events | EPC-DO-QP-101 | Page 23 of 23 |
| | Revision: 3 | Effective Date: 08/07/2017 |

| STATUTE | REGULATIONS | INCIDENT | Immediate Reporting Requirements | Follow Up Reporting Requirements |
|---|--|---|--|--|
| Resource Conservation and Recovery Act (RCRA) | 40 CFR 262.34, 263.30, 264.51, 264.56 & .196, 265.51, .56 & .196, 270.14, & .30, 273.17, .37 & .54, 279.43 & .53, 280.50, .52, .53, .60, & .61 | Release of hazardous or mixed waste from a permitted unit, interim status, less than 90 day area or a satellite accumulation area which the RCRA Permit Contingency Plan was triggered. | Notify NMED Hazardous Waste Bureau within 24 hours (24 hour emergency phone number: 827-9329; Non-emergency phone number: 476-6000) See Attachment 1 for additional details. | Submit written report to NMED HWB within 5 days. |
| Clean Air Act/ Radionuclide NESHAP | 40 CFR 61, Subpart H | Airborne release of radioactive material in excess of an RQ. | Notify the EPA Region 6 Health Physicist (Office- (214) 665-8541; Mobile- (214) 755-1530; Home – (972) 937-1900) immediately after providing notification to the NRC. | Follow-up not required. |
| Toxic Substance Control Act (TSCA) | 40 CFR 761.120, 761.125 | Over 1 pound by weight of PCBs (TSCA) or greater than 270 gallons of untested mineral oil suspected of containing PCBs. | Contact the National Response Center (1-800-242-8802) and the EPA Region 6 Office of Prevention, Pesticides, and Toxic Substances Branch (1-866-372-7745) as soon as possible after discovery, but no later than 24 hours after discovery. | Within 24 hours. Follow-up: as required by agency. |

ATTACHMENT 22: EPC-CP-QP-1007, *SPILL INVESTIGATION*

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| EPC-CP-QP-1007 | Revision: 0 |  |
| Effective Date: 06/03/2020 | Next Review Date: 06/03/2023 | |

Environment, Safety, Health, Quality, Safeguards, and Security Directorate

Environment Protection and Compliance – Compliance Programs Group

Quality Procedure

Spill Investigations

Hazard Grading: ☒ Low ☐ Moderate ☐ High/Complex

Usage Level: ☒ Reference ☐ UET ☐ Mixed: UET Sections: _____

Status: ☐ New ☒ Major Revision ☐ Minor Revision

☐ Review w/No Changes ☐ Other: _____

Safety Basis: ☒ N/A ☐ USQ ☐ USI Number: _____

Document Author/Subject Matter Expert:

| | | | |
|---------------|---------------|-------------------|----------|
| Name: | Organization: | Signature: | Date: |
| Steve Pearson | EPC-CP | Signature on File | 05-21-20 |

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| | | | |
|--------------|---------------|-------------------|----------|
| Name: | Organization: | Signature: | Date: |
| Steve Wolfel | EPC-CP | Signature on File | 05-27-20 |

Approval Signatures:

| | | | |
|-----------------------|---------------|-------------------|----------|
| EPC-CP Reviewer: | Organization: | Signature: | Date: |
| Michael Saladen | EPC-CP | Signature on File | 05-27-20 |
| EPC-CP RLM: | Organization: | Signature: | Date: |
| Taunia Van Valkenburg | EPC-CP | Signature on File | 06-03-20 |

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| Spill Investigations | No: EPC-CP-QP-1007 | Page 2 of 20 |
| | Revision: 0 | Effective Date: 06/03/2020 |

REVISION HISTORY

| Document Number and Revision <i>[Include revision number, beginning with Revision 0]</i> | Effective Date <i>[Document Control Coordinator inserts effective date]</i> | Description of Changes <i>[List specific changes made since the previous revision]</i> |
|--|---|--|
| 0 | 12/98 | New Document. |
| 1 | 06/00 | Annual review, added Cerro Grande fire hazards |
| 2 | 07/01 | Annual review. |
| 3 | 06/03 | Annual review. |
| 4 | 04/04 | Annual review, changes to HCPs. |
| 5 | 02/07 | Annual review, changes to reflect organizational restructure. |
| 6 | 07/08 | Annual review. |
| 7 | 09/10 | Biennial Review and revision. |
| 8 | 04/11 | Removed prerequisites, added note re: on-call spill reporting. |
| 9 | 07/13 | Biennial review and revision, implemented new procedure format. |
| 10 | 09/30/15 | Biennial review and revision, implemented new procedure format. Controlled the updated LANL ENV-CP Unplanned Release Report. |
| EPC-CP-QP-1007, Rev. 0 | 06/03/2020 | Format document into new template and update content. This document was formerly ENV-CP-QP-007 R10. |
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| Spill Investigations | No: EPC-CP-QP-1007 | Page 3 of 20 |
| | Revision: 0 | Effective Date: 06/03/2020 |

Table of Contents

| | |
|--|----|
| Revision History | 2 |
| 1.0 Introduction | 4 |
| 1.1 Purpose | 4 |
| 1.2 Scope | 4 |
| 1.3 Applicability | 4 |
| 1.4 Authority | 4 |
| 2.0 Precautions and Limitations | 4 |
| 2.1 Precautions..... | 4 |
| 2.2 Limitations | 5 |
| 3.0 Prerequisite Actions..... | 5 |
| 3.1 Planning and Coordination..... | 5 |
| 3.2 Performance Documents | 6 |
| 3.3 Special Tools, Equipment, Parts, and Supplies | 6 |
| 4.0 Performing Spill Investigations | 6 |
| 4.1 Notification of a Spill or Unplanned Release..... | 6 |
| 4.2 Emergency Spill/Unplanned Release - Responding with EMD-EO | 8 |
| 4.2 Non-Emergency Spill or Unplanned Release | 9 |
| 4.3 Reporting Spills and/or Unplanned Releases | 11 |
| 4.3.1 Immediate Notification | 11 |
| 4.3.2 Non-Reportable Spills/Unplanned Releases | 12 |
| 4.3.3 Reportable Spills/Unplanned Releases | 12 |
| 5.0 Training | 13 |
| 6.0 Records | 13 |
| 7.0 Definitions and Acronyms..... | 14 |
| 7.1 Definitions | 14 |
| 7.2 Acronyms..... | 14 |
| 8.0 References | 15 |
| 9.0 Attachments | 15 |
| Attachment 1: Release Notification Phone List | 16 |
| Attachment 2: Unplanned Release Report, EPC-CP-QP-1007-Form 1..... | 17 |
| Attachment 3: 7/15 Day Release Report, EPC-CP-QP-1007-Form 2 | 18 |

| | | |
|-----------------------------|--------------------|----------------------------|
| Spill Investigations | No: EPC-CP-QP-1007 | Page 4 of 20 |
| | Revision: 0 | Effective Date: 06/03/2020 |

1.0 INTRODUCTION

All spills and unplanned releases that occur at Los Alamos National Laboratory (LANL) must be evaluated, remediated, and documented to ensure corrective actions are completed and reporting requirements are fulfilled. The investigation of spills and coordination of corrective actions are delegated to the Environmental Protection and Compliance Division's Compliance Programs Group (EPC-CP).

1.1 Purpose

This EPC-CP procedure describes the steps for performing spill investigations throughout LANL.

1.2 Scope

The scope of this procedure is limited to the performance of spill and unplanned release response by EPC-CP personnel and/or authorized subcontractors. Activities include frequent and unscheduled site visits to any area of the Laboratory upon discovery of a spill or unplanned release as support staff for the on-scene Incident Response Commander, deployed environmental staff, or Facility Operations Directorate (FOD) designated facility representative. Support activities include evaluation and documentation of the spill/unplanned release; guidance regarding remediation; and reporting to regulatory agencies.

1.3 Applicability

This procedure applies to all EPC-CP personnel and after hours on-call personnel responsible for conducting spill investigations.

1.4 Authority

The EPC-CP Group Leader is the issuing authority for this document.

2.0 PRECAUTIONS AND LIMITATIONS

A Hazard Analysis was performed for the tasks associated with this procedure. The hazard rating for the activities described in this procedure is **LOW** and does not require an Integrated Work Document.

2.1 Precautions

Precautions apply to abnormal conditions or hazards to personnel or equipment that can be encountered while performing this procedure. The following precautions shall be taken when performing work using this quality technical procedure:

- Personnel shall wear appropriate clothing (e.g., boots, long pants, gloves, etc.) to perform spill investigations in the field. This may also include safety glasses, a hardhat, a safety vest, and/or safety shoes/boots as required by the location of the tank, equipment, and area to be inspected.

| | | |
|-----------------------------|--------------------|----------------------------|
| Spill Investigations | No: EPC-CP-QP-1007 | Page 5 of 20 |
| | Revision: 0 | Effective Date: 06/03/2020 |

- Work may be paused or discontinued due to conditions that make a location dangerous for worker safety or prevent personnel from safely accessing a site (i.e., flash floods, lightning, wildfires, hail, icy roads, deep snow, extreme temperatures, or hazardous LANL Operations such as firing shots, burns, or security).

2.2 Limitations

Limitations are defined boundaries (i.e., training, hold points) that are NOT to be exceeded while performing the activities defined in this procedure. The following limitations are applicable to performing work using this technical procedure:

- Perform field activities in accordance with EPC-DO-QP-100, General Field Safety, and/or be escorted by Emergency Management Division – Emergency Operations Group (EMD-EO) or site personnel at all times.
- Spills or unplanned releases that occur on Department of Energy property due to activities performed by an organization not associated with Triad National Security, LLC (e.g., Los Alamos County, Newport News Nuclear BWXT Los Alamos (N3B), etc.) are the responsibility of that organization. The respective organization is responsible for site remediation, completion of corrective actions, and fulfillment any external reporting requirements.
- Some spills or unplanned releases have 15-minute and 24-hour notification requirements. Personnel using this procedure must be familiar with the reporting requirements of [EPC-CP-QP-0903, Environmental Reporting Requirements for Releases](#).

3.0 PREREQUISITE ACTIONS

3.1 Planning and Coordination

The response to spills and/or unplanned releases requires frequent and unscheduled site visits to any area of the Laboratory. Certain facilities and Laboratory locations require additional training and have specific access requirements that must be followed. Specific activities may include one or more of the following:

- Site-Specific Training (e.g., burn grounds).
- Coordination with Access Control and/or Security for escort, keys, safety (e.g., explosives areas, burn grounds, between security fences).
- Security Clearance (i.e., TA-3-66, TA-55, TA-16).

Site access for spill/unplanned release response will require that the Spill Investigator maintain multiple site-specific training requirements. It will also require that the Spill Investigator coordinate with the Emergency Operations Center (EOC), designated FOD representative, and/or Deployed Environmental Professional (DEP).

| | | |
|-----------------------------|--------------------|----------------------------|
| Spill Investigations | No: EPC-CP-QP-1007 | Page 6 of 20 |
| | Revision: 0 | Effective Date: 06/03/2020 |

3.2 Performance Documents

The following documents are required to perform this procedure:

- EPC-CP-QP-1007 Form 1, Unplanned Release Report.
- EPC-CP-QP-1007 Form 2, 7/15 Day Release Report.
- [EPC-CP-QP-0903, Environmental Reporting Requirements for Releases.](#)

3.3 Special Tools, Equipment, Parts, and Supplies

Ensure the following are available for spill investigations and field visits:

- Personal protective equipment (PPE) as required by each specific site location (e.g., hardhat, safety vest, safety glasses, safety shoes, etc.)
- Cell phone (only government cell phones are allowed in secure areas.) See <https://int.lanl.gov/policy/documents/P217.pdf> for requirements for using portable electronic devices on Laboratory property.
- EPC-CP Spills Pager – ***Note:** Spills Pager can be configured to forward notifications to a government cell phone and email address.
- External dosimeter (as required by site or facility).
- Field Logbook (maintained to record pertinent information about the spill, i.e., time and date of release, location and source of release, type of material released, quantity of material released, impacted media, time release was stopped, any immediate mitigation actions taken to contain or control the release, time, date and description of notifications, etc.).
- Physical or electronic maps (e.g., utility line locations, Solid Waste Management Unit (SWMU) / Area of Concern (AOC) boundaries, land ownership boundaries).

4.0 PERFORMING SPILL INVESTIGATIONS

4.1 Notification of a Spill or Unplanned Release

The EPC-CP personnel that conduct spill investigations ensure the immediate mitigation of spills and timely notification to appropriate regulatory organizations in the event of a spill or unplanned discharge that has or may adversely affect the environment. Spills/unplanned releases are typically reported by a designated FOD representative (i.e., operations, maintenance) or DEP. If the spill/unplanned release is an emergency (i.e., unknown chemical, toxic chemical, flammable chemical, large volume), it will be reported to the EOC at 667-2400 and the EOC will contact the spill investigator using the EPC Spill pager. If the spill/unplanned release is not an emergency, (potable water, small volume, non-toxic), it will be reported via the EPC Spill pager (664-7722) or by phone call from the DEP or other designated FOD representative (i.e., operations, maintenance, security, health and safety). The EPC-CP Spill Program maintains an on-call schedule for after-hours support

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|-----------------------------|--------------------|----------------------------|
| Spill Investigations | No: EPC-CP-QP-1007 | Page 7 of 20 |
| | Revision: 0 | Effective Date: 06/03/2020 |

for incidents and unplanned releases. This listing is updated every three months with contact information for trained EPC-CP personnel (see Attachment 1). This schedule is submitted electronically to update the Primary On-Call List available through the Laboratory's EMD-EO Organizations.

Spill Investigator/On Call

- [1] Receive notification of a spill or unplanned release from one of the following:
 - Spill Pager (664-7722) or forwarded cell phone.
 - Emergency Operations Center (667-2400).
 - Phone call from the DEP or other designated FOD representative (i.e., operations, maintenance, security, health and safety).
- [2] Document the following information, at a minimum, in the Spill Logbook:
 - Time, Date, and Location of the spill/unplanned release
 - Owner of Spill and Site Contact
 - Material Spilled
 - Approximate Volume of the Spill/Unplanned Release
 - Source of the Spill
- [3] Request that the EOC identify a safe route to the site/location of the spill or unplanned release.

CAUTION

Spills or unplanned releases that occur on Department of Energy property from an organization not associated with Triad National Security, LLC (e.g., Los Alamos County, N3B etc.) are the responsibility of that organization. The respective organization is responsible for site remediation, corrective actions, and external reporting requirements.

- [4] If the owner of the spill is not associated with Triad National Security, LLC, refer the caller to one of the following, as appropriate:
 - Los Alamos County (LAC) Department of Public Utilities at 662-8333 for releases discovered during normal work hours from LAC owned equipment or infrastructure.
 - After Hours LAC – Call Police Dispatch at 662-8222 for releases outside of normal work hours from LAC owned equipment or infrastructure.
 - N3B Operations Center at 551-2954 for releases from N3B owned equipment or infrastructure.
- [5] If the owner of the spill is associated with Triad National Security, LLC, prepare for a site visit as follows:

| | | |
|-----------------------------|--------------------|----------------------------|
| Spill Investigations | No: EPC-CP-QP-1007 | Page 8 of 20 |
| | Revision: 0 | Effective Date: 06/03/2020 |

- [a] Based upon location of the spill/unplanned release, determine what access requirements are applicable (i.e., Q/L Clearance, Site Specific Training) (see Section 3.1).
- [b] Based upon the location and material spilled, determine the appropriate PPE for the site visit (e.g., boots, safety glasses, long pants/shirt, hardhat, safety vest).
- [6] If the spill is de Minimis (low volume); of a known material (potable water, sanitary waste; and personnel have the appropriate knowledge/training, instruct the following:
 - [a] The delegated FOD representative, DEP and/or Waste Management Coordinator (WMC) may remediate the spill without the Spill Investigator being present.
 - [b] The designated FOD representative, DEP, and/or WMC must complete an Unplanned Release Report (Attachment 2) and submit a copy of the report to the Spill Investigator for recordkeeping.

4.2 Emergency Spill/Unplanned Release - Responding with EMD-EO

The Spill Investigator will respond to emergency spills/unplanned releases when notified. Emergency spills/unplanned releases typically include unknown materials leaking from bins, drums, and containers, hazardous materials (i.e., acid, caustic, fuel), or large volumes of petroleum products (i.e., leaking tanks, tanker truck accidents). Emergency spills/unplanned releases are managed by the EOC. The following provides the steps a Spill Investigator will follow when responding to support the EOC for an emergency spill/unplanned release.

Spill Investigator/On Call Spill Responder

- [1] Travel to the location of the spill or unplanned release.
- [2] Report to designated Incident Response Coordinator and receive site-specific safety and security briefing.
- [3] Assess and evaluate nature and extent of the release.
- [4] Provide support and guidance to EMD-DO, Hazmat, and Facility personnel on release mitigation measures and requirements. Examples of the types of support and guidance are:
 - [a] Provide the final inspection of the site to ensure that corrective actions were adequate and are complete.
 - [b] Recommend corrective actions.
 - [c] Inspect the site to ensure that the extent of the spill/unplanned release is adequately defined.

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|-----------------------------|--------------------|----------------------------|
| Spill Investigations | No: EPC-CP-QP-1007 | Page 9 of 20 |
| | Revision: 0 | Effective Date: 06/03/2020 |

- [d] Recommend how to stabilize the site for further remediation (i.e., secure the site from storm water).
 - [e] Identify watercourse boundaries near the spill/unplanned release.
 - [f] Determine if samples need to be collected.
 - [g] Recommend sample types and analysis.
 - [h] Recommend sample locations and the number of samples to determine extent of condition.
- [5] If sample collection is required, have the DEP/WMC contact the waste management organization and complete a Request for Analysis (RFA), <http://int.lanl.gov/environment/waste/sampling.shtml>, to schedule sampling. Specify the analytical suite and turn-around time needed for the sample in the RFA.
- [6] Document the following information regarding the spill or unplanned release in the Logbook:
- Timeline of spill/unplanned release response as it occurs.
 - Nature and extent of the spill/unplanned release (i.e., inside a building, on asphalt, nearest watercourse/drainage area, proximity to SWMU/AOC and/or outfalls).
 - Steps taken to contain the spill.
 - Samples collected, if any. Include number, type, location, and analysis.
 - Spill and control equipment used to remediate the spill.
 - Corrective actions completed and the amount of waste material.

4.2 Non-Emergency Spill or Unplanned Release

The Spill Investigator will respond to non-emergency spills/unplanned releases when notified. Non-emergency spills/unplanned releases typically include potable water leaks; sanitary wastewater leaks, spills, overflows; and small volumes of known chemicals (e.g., hydraulic fluid leaks, vehicle oil leaks). Non-Emergency Spills/Unplanned Releases are typically handled by a designated FOD representative (i.e., operations, maintenance), DEP, or WMC assigned to the area. The following provides the steps a Spill Investigator will follow when responding a non-emergency spill/unplanned release.

Spill Investigator/On Call

- [1] Coordinate with the FOD designee and/or waste management coordinator to visit the location of the spill/unplanned release.
- [2] Travel to the location of the spill/unplanned release.

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|-----------------------------|--------------------|----------------------------|
| Spill Investigations | No: EPC-CP-QP-1007 | Page 10 of 20 |
| | Revision: 0 | Effective Date: 06/03/2020 |

CAUTION

The Spill Investigator may respond to the spill or unplanned release and determine whether the containment and remediation is beyond the capability of the designated FOD representative, DEP, and/or WMC to respond. The EOC should be contacted if additional technical expertise or materials are needed to remediate the release.

- [3] Assess and evaluate the nature and extent of the release as follows:
 - [a] If the spill/release is a small volume or known material (e.g., sanitary waste, potable water, small hydraulic leak), proceed to step 4.
 - [b] If the spill/release is an unknown (e.g., leaking fluid from a metal recycling bin, drum, battery, or other container), stop work and notify the EOC at 667-2400.
 - [c] If the spill/release is a hazardous material or large volume of petroleum product (i.e., battery acid, chemical tank, fuel, hydraulic fluid, oil), stop work and notify the EOC at 667-2400.
 - [d] If the spill/release appears to be beyond the capability of the designated FOD representative, DEP, and/or WMC to contain and/or remediate, the Spill Investigator shall stop work and notify the EOC at 667-2400 to obtain the appropriate resources.
- [4] Provide guidance to the FOD designee and/or waste management coordinator regarding the containment and/or cleanup of the release. Examples of the types of guidance provided include the following:
 - [a] Provide the final inspection of the site to ensure that corrective actions were adequate and are complete.
 - [b] Recommend corrective actions.
 - [c] Inspect the site to ensure that the extent of the spill/unplanned release is adequately defined.
 - [d] Recommend how to stabilize the site for further remediation (i.e., secure the site from storm water).
 - [e] Identify watercourse boundaries near the spill/unplanned release.
 - [f] Determine if samples need to be collected.
 - [g] Recommend sample types and analysis.
 - [h] Recommend sample locations and the number of samples to determine extent of condition.
- [5] If sample collection is required, have the DEP/WMC contact WM-SVS and complete a RFA, <http://int.lanl.gov/environment/waste/sampling.shtml>, to schedule sampling. Specify the analytical suite and turn-around time needed for the sample in the RFA.

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|-----------------------------|--------------------|----------------------------|
| Spill Investigations | No: EPC-CP-QP-1007 | Page 11 of 20 |
| | Revision: 0 | Effective Date: 06/03/2020 |

- [6] Document the following information regarding the spill or unplanned release in the Logbook:
 - Timeline of spill/unplanned release response as it occurs.
 - Nature and extent of the spill/unplanned release (i.e., inside a building, on asphalt, nearest watercourse/drainage area, proximity to SWMU/AOC and/or outfalls).
 - Steps taken to contain the spill.
 - Samples collected, if any. Include number, type, location, and analysis.
 - Spill and control equipment used to remediate the spill.
 - Corrective actions completed and the amount of waste material.
- [7] Coordinate and document all required follow up corrective actions with the FOD designees, DEP, and/or WMC.
- [8] Determine the applicable internal and external reporting requirements as outlined in Section 4.3.

4.3 Reporting Spills and/or Unplanned Releases

This section describes how to determine whether an unplanned release, spill, or other event needs to be reported under environmental regulations and how to fulfill all immediate reporting requirements (within the first 24-hours).

4.3.1 Immediate Notification

Spill Investigator/On Call Spill Responder

- [1] Identify which of the following internal stakeholders that should receive a report of the spill/unplanned release:
 - EPC-CP Group and Division Management
 - Compliance Subject Matter Experts (SME). This includes Resource Conservation and Recovery Act, National Pollution Discharge Elimination System, Storm water, Groundwater, and/or Waste Management compliance personnel that potentially have permit specific reporting requirements.
 - FOD where the spill/unplanned release occurred.
 - Designated FOD Representative (i.e., DEP, Operations, and Maintenance).

CAUTION

Spills/unplanned releases may have EXTERNAL reporting requirements that must be completed within 15 minutes or 24-hours of discovery based upon EPC-CP-QP-0903, Environmental Reporting Requirements for Releases.

| | | |
|-----------------------------|--------------------|----------------------------|
| Spill Investigations | No: EPC-CP-QP-1007 | Page 12 of 20 |
| | Revision: 0 | Effective Date: 06/03/2020 |

- [2] Identify the verbal and written EXTERNAL reporting requirements in accordance with [EPC-CP-QP-0903, Environmental Reporting Requirements for Releases](#).

4.3.2 Non-Reportable Spills/Unplanned Releases

Spill Investigator/On Call Spill Responder

- [1] Notify the internal stakeholders (i.e., EPC-CP, SME, FOD, and designated FOD Representative) by phone and/or email (Attachment 1). Include the following pertinent facts as recorded in the logbook:
- Date, Time, Location of the release.
 - Quantity and type of material.
 - Status of corrective actions.
- [2] Document the spill/unplanned release in the spills database.
- [3] Document spills/unplanned releases that are NOT reportable to an external regulatory agency on EPC-CP-QP-1007-Form 1, Unplanned Release Report (Attachment 2).
- [a] If the Form 1 is completed by a DEP or other designated FOD representative, request a copy of the signed form.
- [b] Attach completed EPC-CP-QP-1007-Form 1 to the spill database record.
- [4] Submit copies of the accumulated EPC-CP-QP-1007-Form 1's, (annually), to records in accordance with [ADESH-AP-006, Records Management](#).

4.3.3 Reportable Spills/Unplanned Releases

Spill Investigator/On Call Spill Responder

- [1] Notify the internal stakeholders (i.e., EPC-CP, SME, FOD, and designated FOD Representative) by phone and/or email (Attachment 1). Include the following pertinent facts as recorded in the logbook:
- [a] Date, Time, Location of the release.
 - [b] Quantity and type of material.
 - [c] Status of corrective actions.
- [2] Notify National Nuclear Safety Administration (NNSA)/Los Alamos Site Office (LASO).
- [3] Perform the required EXTERNAL verbal notifications to the appropriate regulatory agencies (i.e., New Mexico Environment Department [NMED], Environmental Protection Agency [EPA]) in accordance with [EPC-CP-QP-0903, Environmental Reporting Requirements for Releases](#).

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|-----------------------------|--------------------|----------------------------|
| Spill Investigations | No: EPC-CP-QP-1007 | Page 13 of 20 |
| | Revision: 0 | Effective Date: 06/03/2020 |

- [4] Document spills/unplanned release on EPC-CP-QP-1007-Form 2, *7/15 Day Release Report* (Attachment 3).
 - [a] Ensure that the EPC-CP-QP-1007-Form 2 is reviewed and assigned an LA-UR document release number.
 - [b] Attach the final EPC-CP-QP-1007-Form 2 to the spill database record.
 - [c] Submit the final EPC-CP-QP-1007-Form 2 as an e-mail attachment to the appropriate regulatory agency.
 - [d] Submit a copy of the EPC-CP-QP-1007-Form 2 to the internal stakeholders and NNSA/LASO.
- [5] Document the spill/unplanned release in the spills database.
- [6] Attach completed EPC-CP-QP-1007-Form 2 to the spill data base record.
- [7] Electronically file a copy of the EPC-CP-QP-1007-Form 2 in Spills folder located at ENV(\\dcstorage.lanl.gov):\\CP\\WQ\\WQCC COMP PROG.
- [8] Submit copies of the accumulated EPC-CP-QP-1007-Form 2's, (annually), to records in accordance with [ADESH-AP-006, Records Management](#).

5.0 TRAINING

All EPC-CP personnel that execute the activities specified in this procedure must meet the minimum qualification and training requirements for their position as identified in [EPC-CP-PIP-1001, New Mexico Water Quality Control Commission \(WQCC\) Program Implementation Plan \(PIP\)](#). This will include "self-study" (required reading) for this procedure as assigned and documented in accordance with [ADESH-TPP-301, ADESH Training Program Plan \(TPP\)](#).

6.0 RECORDS

EPC-CP is the Office of Record for this document and must be maintained in accordance with [PD1020, Document Control and Records Management](#) and [ADESH-AP-006, Records Management Plan](#). Records generated by this document will be submitted to the Records Management designated point of contact or document manager for document management. The following records are generated by this procedure.

| Record Title | QA Record | Non-QA Record |
|---|-------------------------------------|-------------------------------------|
| EPC-CP-QP-1007 Form 1, <i>EPC-CP Unplanned Release Report</i> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| EPC-CP-QP-1007 Form 2, <i>EPC-CP 7/15 Day Release Report</i> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| Correspondence (i.e., E-mail Notifications to LANL Management, DOE, and other EPC-CP permit subject matter experts) | <input type="checkbox"/> | <input checked="" type="checkbox"/> |

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|-----------------------------|--------------------|----------------------------|
| Spill Investigations | No: EPC-CP-QP-1007 | Page 14 of 20 |
| | Revision: 0 | Effective Date: 06/03/2020 |

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| Correspondence - E-mail Submittals of 7/15 Day Release Reports to NMED | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| Logbook | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

7.0 DEFINITIONS AND ACRONYMS

7.1 Definitions

See LANL [Definition of Terms](#).

Release – Any unpermitted spilling, leaking, pumping, pouring, emitting, emptying, discharging, injecting, escaping, leaching, dumping, or disposing of contaminants into the environment, excluding: (1) emissions from the engine exhaust of any vehicle, (2) certain releases of source, byproduct, or special nuclear material from a nuclear incident, or (3) normal application of fertilizer.

7.2 Acronyms

See LANL [Acronym Master List](#).

| | |
|------------------------|---|
| AOC | Area of Concern |
| DEP | Deployed Environmental Professional |
| EMD-EO | Emergency Management Division -Emergency Operations Group |
| EOC | Emergency Operations Center |
| EPC-CP | Environmental Protection and Compliance Group |
| FOD | Facility Operations Directorate |
| LAC | Los Alamos County |
| LANL or the Laboratory | Los Alamos National Laboratory |
| LASO | Los Alamos Site Office (LASO). |
| N3B | Newport News Nuclear BWXT Los Alamos |
| NMED | New Mexico Environment Department |
| NNSA | National Nuclear Safety Administration |
| PIP | Program Implementation Plan |
| PPE | Personal Protective Equipment |
| SWMU | Solid Waste Management Unit |
| TPP | Training Program Plan |
| WMC | Waste Management Coordinator |
| WQCC | Water Quality Control Commission |
| SME | Subject Matter Expert |

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|-----------------------------|--------------------|----------------------------|
| Spill Investigations | No: EPC-CP-QP-1007 | Page 15 of 20 |
| | Revision: 0 | Effective Date: 06/03/2020 |

8.0 REFERENCES

ADESH-AP-006, Records Management Plan

ADESH-TPP-301, ADESH Training Program Plan (TPP)

EPC-CP-PIP-1001, New Mexico Water Quality Control Commission (WQCC) Program Implementation Plan

EPC-CP-QP-0903, Environmental Reporting Requirements for Releases

EPC-DO-QP-100, General Field Safety

P217, Controlled Portable Electronic Devices

9.0 ATTACHMENTS

Attachment 1: Release Notification Phone List

Attachment 2: EPC-CP-QP-1007-Form 1, *Unplanned Release Report*

Attachment 3: EPC-CP-QP-1007-Form 2, *7/15 Day Release Report*

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|-----------------------------|--------------------|----------------------------|
| Spill Investigations | No: EPC-CP-QP-1007 | Page 16 of 20 |
| | Revision: 0 | Effective Date: 06/03/2020 |

Attachment 1: Release Notification Phone List

Los Alamos National Laboratory

- | | |
|---|----------------|
| (1) Emergency Operations Support Center | (505) 667-2400 |
| (2) EPC-ES Group Office | (505) 665-8855 |
| (3) EPC-CP Group Office | (505) 667-0666 |
| (4) EPC-DO | (505) 667-2211 |
| (5) EPC-CP Spills Pager | (505) 664-7722 |

New Mexico Environment Department

- | | |
|--|------------------|
| (1) NMED Emergency Hotline (24 hours a day) | (505) 827-9329 |
| (2) NMED Non-Emergency Hotline (Voicemail; 24 hours a day) | 1 (866) 428-6535 |
| (3) NMED Surface Water Quality Bureau | (505) 827-0187 |
| Jennifer Foote | (505) 827-0596 |
| (4) NMED Ground Water Quality Bureau | (505) 827-2900 |
| Gerald (Jake) Knutson | (505) 827-2996 |
| Steve Pullen | (505) 827-2962 |
| (5) NMED Hazardous Waste Bureau | (505) 476-6000 |
| Stephen Connolly | (505) 476-6025 |

U.S Environmental Protection Agency

- | | |
|---|------------------|
| (1) US EPA Region 6 Spill Reporting (During business hours) | 1 (800) 887-6063 |
| Emergencies- Contact the NRC | 1 (800) 424-8802 |
| (2) Nancy Williams | 1 (214) 665-7179 |

Los Alamos Fire Department

(505) 662-8301

U.S. Department of Energy

- | | |
|------------------|----------------|
| (1) Karen Armijo | (505) 665-7314 |
|------------------|----------------|

Newport News Nuclear BWXT Los Alamos (N3B)

- | | |
|---------------------------|----------------|
| (1) N3B Operations Center | (505) 551-2954 |
|---------------------------|----------------|

New Mexico State Police

- | | |
|-------------------------|----------------|
| New Mexico State Police | (505) 827-9604 |
|-------------------------|----------------|

EPC-CP On-Call Environmental Representative for Release Assessment and Notifications to External Agencies

- | | |
|-------------------|-------------------------|
| (1) Terrill Lemke | (505) 665-2397 (Office) |
| | (505) 699-0725 (Cell) |
| (2) Steve Pearson | (505) 667-3005 (Office) |
| | (505) 699-3684 (Cell) |
| (3) Mike Saladen | (505) 665-6085 (Office) |
| | (505) 699-1284 (Cell) |
| (4) Tim Zimmerly | (505) 664-0105 (Office) |
| | (505) 699-7621 (Cell) |

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|-----------------------------|--------------------|----------------------------|
| Spill Investigations | No: EPC-CP-QP-1007 | Page 17 of 20 |
| | Revision: 0 | Effective Date: 06/03/2020 |

Attachment 2: Unplanned Release Report, EPC-CP-QP-1007-Form 1

| Los Alamos National Laboratory Environmental Compliance Program (EPC-CP) Unplanned Release Report | | |
|--|--|---|
| Form Completed By: | | Telephone: |
| Spill Owner Details (Specify): | | Group: |
| <input type="checkbox"/> TRIAD, LLC | <input type="checkbox"/> Subcontractor: | <input type="checkbox"/> Other: |
| Date of Spill/Date Spill Discovered: | | |
| Location: | | |
| Material Spilled: | | |
| <input type="checkbox"/> Hydraulic Fluid | <input type="checkbox"/> Anti-freeze/coolant | <input type="checkbox"/> Refrigerant Oil |
| <input type="checkbox"/> Potable Water | <input type="checkbox"/> Steam Condensate | <input type="checkbox"/> Gasoline |
| <input type="checkbox"/> Diesel | <input type="checkbox"/> Lubricants/Oils | <input type="checkbox"/> Other: |
| Volume Spilled: | Waste Volume Generated: | |
| Source of Spill: | <input type="checkbox"/> Potable Water Line | <input type="checkbox"/> Radiator |
| Vehicle ID: | <input type="checkbox"/> Fire Suppression System | <input type="checkbox"/> Condensate Line |
| Equipment ID: | <input type="checkbox"/> Fuel Tank | <input type="checkbox"/> Other: |
| Describe the spill response in chronological order. Include response personnel, steps taken to contain the spill, and steps/spill control equipment used to clean it up. Please indicate if corrective actions have been completed and describe actions taken to prevent spill recurrence: | | |
| | | |
| Date Corrective Actions Completed: | | |
| Did the spill enter or impact any of the following? (Check as many as apply) | | <input type="checkbox"/> Floor Drain, if so please indicate affected facility _____ <input type="checkbox"/> Watercourse/drainage area, if so please indicate _____ <input type="checkbox"/> Solid Waste Management Unit/Area of Concern, if so please indicate _____ <input type="checkbox"/> None |
| <input type="checkbox"/> RCRA Treatment Storage Disposal Facility <input type="checkbox"/> RCRA Satellite Accumulation Area <input type="checkbox"/> RCRA <90 Day Storage Area <input type="checkbox"/> NPDES MSGP Facility | | |
| Did the spill occur inside or outside a building? <input type="checkbox"/> Inside <input type="checkbox"/> Outside | | |
| Did the spill occur on: | | |
| (Check as many as apply) | | |
| <input type="checkbox"/> Concrete | <input type="checkbox"/> Asphalt | |
| <input type="checkbox"/> Carpeted Floor | <input type="checkbox"/> Graveled/Rocky Area | |
| <input type="checkbox"/> Tile | <input type="checkbox"/> Soil/Vegetated Area | |
| <input type="checkbox"/> Wooden Floor/Deck | <input type="checkbox"/> Other: | |
| Samples Collected: | | |
| <input type="checkbox"/> None | <input type="checkbox"/> Soil | If samples were collected, indicate analytical suite: |
| <input type="checkbox"/> Water | <input type="checkbox"/> Air | |
| <input type="checkbox"/> Other: | | |
| Certification | | |
| I certify that I am knowledgeable about the information on this form. The information, to my knowledge, is true, accurate, and complete. | | |
| Name of Certifying Official: | Organization: | Date: |
| Certification: | | |
| Completed by EPC-CP Personnel | | <input type="checkbox"/> Non-Reportable |
| Date Received: | Severity Index: | <input type="checkbox"/> Reportable |
| Causal Analysis: | | |

| | | |
|-----------------------------|--------------------|----------------------------|
| Spill Investigations | No: EPC-CP-QP-1007 | Page 11 of 20 |
| | Revision: 0 | Effective Date: 06/03/2020 |

Release/Discharge Mitigation Method:

Weather Conditions:

Duration of Release/
Discharge, in HOURS:

Est. Volume released, in
gallons:

Est. Volume Recovered,
in gallons.

Corrective Actions Taken (ie, type of BMPs, etc):

Nearest Watercourse (Canyon Name)

If the release/discharge reached a watercourse, describe the estimated surface area affected, presence of release/discharge now in the watercourse, and the media the release/discharge was detected in:

Depth to Groundwater, in FT, if known:

Distance to Nearest Drinking Water Well, in FT, if known:

Well ID#

24-HOUR RELEASE / DISCHARGE NOTIFICATIONS

| | Contact Person | Phone | Fax | Date & Time (or Comment) |
|--------------|----------------------|----------------------|----------------------|--------------------------|
| EPA: | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| NMED/SWQB: | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| NMED/GWQB: | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| NMED/HRMB: | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| NMED/DOE-OB: | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| EPC-CP: | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| DOE: | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| OTHER: | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| OTHER: | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |

Comments:

Form Completed By:

| | | |
|-----------------------------|--------------------|----------------------------|
| Spill Investigations | No: EPC-CP-QP-1007 | Page 12 of 20 |
| | Revision: 0 | Effective Date: 06/03/2020 |

7 DAY RELEASE / DISCHARGE ACTIONS

7 Day Notice ☐

7 Day Notice Date:

7 Day Notice By:

Mark "X" when done.

Comments:

15 DAY RELEASE / DISCHARGE ACTIONS

15 day Follow-up Due:

15-day Follow-Up By:

Comments:

NMED 30 DAY APPROVAL / DISAPPROVAL


NMED 30 Day Response Date:

Comments:

Peter Maggiore, Acting Assistant Manager
National Security Missions
Los Alamos Field Office
3747 West Jemez Road MS-A316
Los Alamos, New Mexico 87544
(505) 606-0397

Jennifer Payne, EPC Division Director
Triad National Security, LLC.
Los Alamos National Laboratory
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Los Alamos, New Mexico 87544
(505) 667-2211

**ATTACHMENT 23: EPC-CP-QP-2110, *MSGP STORMWATER POLLUTION PREVENTION PLAN
PREPARATION AND MAINTENANCE***

| | | |
|----------------------------|------------------------------|---|
| EPC-CP-QP-2110 | Revision: 0 |  |
| Effective Date: 01/07/2020 | Next Review Date: 01/07/2023 | |

Environment, Safety, Health, Quality, Safeguards, and Security Directorate
Environment Protection and Compliance – Compliance Programs Group
Quality Procedure

MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance

| | | | |
|------------------------|---|---|---|
| Hazard Grading: | <input checked="" type="checkbox"/> Low | <input type="checkbox"/> Moderate | <input type="checkbox"/> High/Complex |
| Usage Level: | <input checked="" type="checkbox"/> Reference | <input type="checkbox"/> UET | <input type="checkbox"/> Mixed: UET Sections: _____ |
| Status: | <input checked="" type="checkbox"/> New | <input type="checkbox"/> Major Revision | <input type="checkbox"/> Minor Revision |
| | <input type="checkbox"/> Review w/No Changes | <input type="checkbox"/> Other: _____ | |
| Safety Basis: | <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> USQ | <input type="checkbox"/> USI Number: _____ |

Document Author/Subject Matter Expert:

| | | | |
|------------------|---------------|-------------------|----------|
| Name: | Organization: | Signature: | Date: |
| Holly L. Wheeler | EPC-CP | Signature on File | 1-6-2020 |

Derivative Classifier: ☒ **Unclassified** or ☐ _____

| | | | |
|------------------|---------------|-------------------|----------|
| Name: | Organization: | Signature: | Date: |
| Steven E. Wolfel | EPC-CP | Signature on File | 1-6-2020 |

Approval Signatures:

| | | | |
|-------------------------------------|---------------|-------------------|----------|
| EPC-CP Reviewer: | Organization: | Signature: | Date: |
| Terrill W. Lemke, Team Leader | EPC-CP | Signature on File | 1-7-2020 |
| EPC-CP RLM: | Organization: | Signature: | Date: |
| Taunia Van Valkenburg, Group Leader | EPC-CP | Signature on File | 1-7-2020 |

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To document a required read, Login to [UTrain](#), and go to the Advanced Search.

| | | |
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| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 2 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

REVISION HISTORY

| Document Number and Revision <i>[Include revision number, beginning with Revision 0]</i> | Effective Date <i>[Document Control Coordinator inserts effective date]</i> | Description of Changes <i>[List specific changes made since the previous revision]</i> |
|--|---|--|
| EPC-CP-QP-2110, Rev. 0 | 01/07/2020 | New document |

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|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 3 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Table of Contents

| | |
|---|----|
| Revision History | 2 |
| 1.0 Introduction | 4 |
| 1.1 Purpose | 4 |
| 1.2 Scope | 4 |
| 1.3 Applicability | 4 |
| 2.0 Precautions and Limitations | 4 |
| 3.0 Preparing an MSGP Stormwater Pollution Prevention Plan | 4 |
| 3.1 Gathering Information for the SWPPP | 5 |
| 3.2 Preparing the SWPPP | 5 |
| 4.0 Maintaining the MSGP SWPPP | 7 |
| 4.1 Availability of the MSGP SWPPP | 7 |
| 4.2 Additional Documentation Requirements | 7 |
| 5.0 Revising the MSGP SWPPP | 8 |
| 6.0 Training | 9 |
| 7.0 Records | 10 |
| 8.0 Definitions and Acronyms | 10 |
| 8.1 Definitions | 10 |
| 8.2 Acronyms | 11 |
| 9.0 References | 11 |
| 10.0 Attachments | 11 |
| Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example | 12 |
| Attachment 2: MSGP SWPPP Review Guidance Checklist Example | 62 |

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 4 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

1.0 INTRODUCTION

The Environmental Protection Agency (EPA) National Pollutant Discharge Elimination System (NPDES) Multi-Sector General Permit (MSGP), also referred to as the Permit, contains specific requirements for industrial activities of Los Alamos National Laboratory (LANL) covered by the permit. One requirement is the preparation, maintenance, and routine revision of a Stormwater Pollution Prevention Plan (SWPPP).

1.1 Purpose

Active MSGP facilities must be included in a SWPPP. The SWPPP is intended to document the selection, design, and installation of control measures to meet permit effluent limits. Additional documentation required by the Permit is to be kept with the SWPPP (including inspection maintenance, monitoring, and corrective action) and is intended to document the implementation of permit requirements.

1.2 Scope

This procedure contains information and specific steps for preparing a SWPPP, and identifying and documenting conditions in order to meet Permit requirements. Part 5 of the Permit contains specific requirements for developing, maintaining, and revising a SWPPP for facilities with stormwater discharge associated with industrial activities permitted under an MSGP. Part 5.5 describes the additional documentation required to be kept with the SWPPP.

1.3 Applicability

This procedure applies to Environmental Protection and Compliance-Compliance Programs (EPC-CP) technical staff, Deployed Environmental Professionals (DEPs), and subcontractor personnel (as applicable) who develop and maintain SWPPPs at MSGP regulated LANL facilities operated by Triad, LLC.

2.0 PRECAUTIONS AND LIMITATIONS

The hazard rating for the activities described in this procedure is **LOW** and does not require an Integrated Work Document.

3.0 PREPARING AN MSGP STORMWATER POLLUTION PREVENTION PLAN

Part 5 of the Permit contains the specific requirements for developing, maintaining, and revising a SWPPP. At a minimum, the SWPPP must contain the following elements:

- Stormwater pollution prevention team (Stormwater PPT);
- Site description (including a site map);
- Summary of potential pollutant sources;
- Description of control measures;

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| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 5 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

- Schedules and procedures;
- Documentation to support eligibility considerations under other federal laws; and
- Signature requirements.

Where the SWPPP refers to procedures in other facility documents, such as a Spill Prevention, Control and Countermeasure Plan or an Environmental Management System, copies of the relevant portions of those documents must be kept with the SWPPP.

The template provided in Attachment 1, EPC-CP-QP-2110 R0 Form 1, *MSGP SWPPP Template Example* contains the elements required in a LANL MSGP SWPPP. Contact the MSGP Program Lead for questions regarding content.

3.1 Gathering Information for the SWPPP

SWPPP Preparer

- [1] Contact the MSGP Program Lead for a copy of the most current SWPPP template.
- [2] Obtain a copy of the previous year's SWPPP for reference (if one is available).
- [3] Review the SWPPP template.
 - [a] Identify information that will need to be included in the SWPPP (e.g., MSGP sector, operational areas, Pollution Prevention Team member names, etc.).
 - [b] Identify documents that will need to be attached to the SWPPP (e.g., certifications, memorandums, maps, data summaries, endangered species reports, etc.).
- [4] Identify documents and/or reports that are provided by EPC-CP.
 - [a] Contact the MSGP Program Lead with a request for needed information.
- [5] Obtain maps as specified in the SWPPP template.
 - [a] Request a new map or update to existing map from the MSGP Program Lead.
 - [b] Provide a draft or map markup with information as required in the Permit.

3.2 Preparing the SWPPP

SWPPP Preparer

- [1] Use a copy of the most current SWPPP template.
- [2] Add information to the relevant sections.
- [3] Text highlighted in yellow indicate areas to be replaced with facility specific information.

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 6 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

- [a] IF text is part of an instruction (e.g., **Insert site description text here.**)
THEN delete the entire line and replace with the appropriate information.
 - [b] IF text is embedded as part of the line,
THEN replace just the yellow highlighted text with appropriate information (e.g., delete **Sector XX-(Insert Sector Title)** and replace with *Sector P – Land Transportation & Warehousing*).
 - [4] Delete attachments that are not applicable to the active facility specific SWPPP.
 - [5] Attach other documentation (e.g., Spill Prevention, Control and Countermeasure Plan, Environmental Management System, copies of relevant portions of documents) as necessary.
 - [6] Send the draft SWPPP to the EPC-CP MSGP Program Lead and request a review.
- NOTE 1:** The EPC-CP MSGP Program Lead may delegate the review to personnel in the Storm Water Permitting/Compliance Team.

MSGP Program Lead or Designee

- [7] Review the SWPPP to ensure information required by the Permit is included.
 - [a] Encourage the use of the *MSGP SWPPP Review Guidance Checklist* as a best management practice to cross-check SWPPP content with the Permit. See checklist example in Attachment 2.
 - [b] Provide comments to the SWPPP Preparer.

SWPPP Preparer

- [8] The Preparer must resolve review comments with the MSGP Program Lead.
 - [9] Obtain the signature of a duly authorized representative (refer to Appendix B, Subsection 11 of the Permit) on the certification statements associated with the SWPPP and attachments (refer to Attachment 9 of the *MSGP SWPPP Template Example*).
- NOTE 2:** The Review & Approval System for Scientific and Technical Information (RASSTI) system requires upload of only PDF documents. It is highly recommended that all final certifications obtained contain a written signature rather than electronic signature. The RASSTI system adds a cover page to the document containing the LA-UR number, which obviates all electronic signatures due to the document change.

| | | |
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| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 7 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

4.0 MAINTAINING THE MSGP SWPPP

4.1 Availability of the MSGP SWPPP

A complete copy of the current SWPPP is required to be kept at the active facility in an accessible format. The SWPPP must be immediately available to facility employees, EPA, and other entities identified in the Permit. The SWPPP must also be made available to the public. LANL meets this requirement by posting SWPPPs to the Public Reading Room internet web page. Refer to Part 5.4 of the Permit for more information.

SWPPP Preparer

- [1] Submit the final certified SWPPP in PDF format to the RASSTI system at *rassti.lanl.gov*.
 - [a] The SWPPP must be identified as Los Alamos Unlimited Release, or LA-UR, to be posted to the Public Reading Room.
 - [b] Identify a derivative classifier to review the document.
 - [c] Identify the document for a **full classification review**. The Designated Unclassified Subject Area, or DUSA, system may **NOT** be used.
 - [d] Identify a line manager for an approval signature.
 - [e] Identify the document for release to Public Reading Room.
- [2] Add the cover page containing the LA-UR number generated by the RASSTI system to the SWPPP.
- [3] Contact the RASSTI staff for questions and assistance using this system.

4.2 Additional Documentation Requirements

The Permit requires additional documentation to be kept with the SWPPP that together keep records complete and up-to-date, and demonstrate full compliance with the conditions of the Permit. Some documents may be generated when a SWPPP is first written (e.g., copy of the permit). Other documents may be generated on an ongoing basis throughout a calendar year (e.g., inspections). Refer to Part 5.5 of the Permit for additional information.

SWPPP Preparer or Owner

- [1] IF any of the following documents are generated, THEN add the document to the facility SWPPP as soon as the document is generated and finalized (i.e., all signatures have been obtained).
 - A copy of the Notice of Intent to Discharge (NOI) submitted to EPA and correspondence exchanged between Triad, LLC and EPA specific to coverage under the permit;

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|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 8 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

NOTE: There may be several modifications to the NOI during a permit term. Ensure you coordinate with the MSGP Program Lead to confirm all modifications are included in the SWPPP.

- A copy of the acknowledgement received from the EPA assigning the NPDES permit identification number
- A copy of the permit;
- Documentation of maintenance and repairs of control measures (refer to Part 2.1.2.3 of the Permit);
- All inspections, including Routine Facility Inspections and Quarterly Visual Assessments (refer to Parts 3.1.2 and 3.2.2 of the Permit);
- Description of any deviations from the schedule for visual assessments and/or monitoring, and the reason for the deviations (refer to Parts 3.2.3 and 6.1.5 of the Permit);
- Corrective action documentation (refer to Part 4.4 of the Permit);
- Documentation of any benchmark exceedances and the type of response to the exceedance employed;
- Documentation to support any determination that pollutants of concern are not expected to be present above natural background levels if stormwater is discharged directly to impaired waters; and
- Documentation to support any claim that the facility has changed its status from active to inactive and unstaffed.

5.0 REVISING THE MSGP SWPPP

The Permit specifies conditions that trigger a SWPPP review to ensure numeric and non-numeric effluent limits are met and to determine if modifications to stormwater controls are necessary (refer to Parts 4.1 and 4.2 of the Permit).

The SWPPP must also be modified based on corrective actions and deadlines required under Part 4.3 of the Permit, and documented in accordance with Part 4.4 of the Permit.

At a minimum, the SWPPP must be reviewed and revised once per calendar year, and no later than 45 days after conducting the final routine facility inspection for the year.

SWPPP Preparer or Owner

- [1] The Stormwater PPT will review the SWPPP for the following at a minimum.
- The selection, design, installation, and implementation of control measures.
 - Sources of pollution.

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 9 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

- Spill and leak procedures.
 - Non-stormwater discharges (as applicable).
- [2] IF any of the following conditions occur or are detected during an inspection, monitoring or other means,
THEN the Stormwater PPT must **immediately** review the SWPPP as specified above.
- Unauthorized release or discharge (e.g., spill, leak, discharge of non-stormwater not authorized by the permit);
 - A discharge violates a numeric effluent limit (refer to Table 2-1 of the Permit);
 - Controls measures are not stringent enough for discharge to meet applicable water quality standards or the non-numeric effluent limits in the permit;
 - A required control measure was never installed, installed incorrectly, or not in accordance with Parts 2 and/or 8, or is not properly operated or maintained;
 - Whenever a visual assessment shows evidence of stormwater pollution (e.g., foam, oil sheen, etc.).
 - Construction or a change in design, operation, or maintenance at the facility that significantly changes the nature of pollutants discharged in stormwater from the facility , or significantly increases the quantity of pollutants discharged;
- NOTE 1:** Changes include building removal or replacement, BMP removal or installation, outfall removal or creating a new outfall, changing drainage pathways or the path of stormwater flow.
- The average of four quarterly sampling results exceeds an applicable benchmark.
- NOTE 2:** If less than four benchmark samples have been taken, but the results are such that an exceedance of the four quarter average is mathematically certain this is considered a benchmark exceedance.
- [3] The Stormwater PPT must determine the modification(s) to be made to implement or maintain control measures and/or take corrective action.
- [4] The revision/modification(s) will be implemented at the facility.
- [5] The SWPPP will be revised/modified within 14 days of completion of a modification or corrective action to reflect the modification(s) made.
- [6] Obtain a signature and date from a duly authorized representative on all SWPPP revisions/modifications in accordance with Appendix B, Subsection 11 of the Permit.

6.0 TRAINING

The following personnel require training before implementing this procedure.

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 10 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

- Deployed Environment, Safety, and Health Group and Team Leaders
- EPC-CP MSGP stormwater compliance personnel
- DEPs
- Other LANL or subcontract personnel identified as being required to prepare and maintain MSGP SWPPPs as part of their job duties

All EPC-CP personnel that execute the activities specified in this procedure must meet the minimum qualification and training requirements for their position as identified EPC-CP-PIP-2101, NPDES Multi-Sector General Permit Program. This will include “self-study” (required reading) for this procedure as assigned and documented in accordance with ADOSH-TPP-301, *ADESH Training Program Plan*. Other participating LANL groups may require training documentation pursuant to local procedures.

Contract personnel that execute the activities specified in this procedure will be qualified and trained as required by the Exhibit D and Exhibit F. In addition, contract personnel will be required to complete “self-study” (required reading) of this procedure.

7.0 RECORDS

MSGP SWPPPs are signed and certified by a duly authorized representative of the individual facilities. These completed documents are maintained at the permitted facility, managed by the facility’s Records Management designated point-of-contact or document manager, and posted to the LANL public reading room. The MSGP team may retain a copy for reference purposes.

Below, are records generated as a result of implementing this procedure. Records generated are identified by title and type.

| Record Title | QA Record | Non-QA Record |
|--------------------------------------|-------------------------------------|--------------------------|
| Stormwater Pollution Prevention Plan | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| MSGP SWPPP Review Guidance Checklist | N/A | N/A |

8.0 DEFINITIONS AND ACRONYMS

8.1 Definitions

See LANL [Definition of Terms](#).

Best Management Practice (BMP) – Schedules of activities, prohibitions of practices, maintenance procedures, and other management practices to prevent or reduce the pollution of “waters of the United States.” BMPs also include treatment requirements, operating procedures, and practices to control plant site runoff, spillage or leaks, sludge or waste disposal, or drainage from raw material storage (*40 CFR Part 122.2*).

Control Measure – Any BMP or other method (including effluent limitations) used to prevent or reduce the discharge of pollutants to waters of the United States.

| | | |
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| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 11 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

8.2 Acronyms

See LANL [Acronym Master List](#).

| | |
|------------------------|---|
| EPA | Environmental Protection Agency |
| EPC-CP | Environmental Protection and Compliance-Compliance Programs |
| DEP | Deployed Environmental Professional |
| DUSA | Designated Unclassified Subject Area |
| LANL or the Laboratory | Los Alamos National Laboratory |
| LA UR | Los Alamos Unlimited Release |
| MSGP or Permit | Multi-Sector General Permit |
| NPDES | National Pollutant Discharge Elimination System |
| NOI | Notice of Intent to Discharge |
| SWPPP | Stormwater Pollution Prevention Plan |
| PDF | Portable Document Format |
| PPT | Pollution Prevention Team |

9.0 REFERENCES

Unites States Environmental Protection Agency (EPA) National Pollutant Discharge Elimination System (NPDES) Multi-Sector General Permit for Stormwater Discharges Associated With Industrial Activity (MSGP)

Federal Register, Final National Pollutant Discharge Elimination System (NPDES) General Permit for Stormwater Discharges from Industrial Activities. Federal Register: June 16, 2015, Volume 80, Number 115

Clean Water Act, Title 33 U.S.C. 1251

10.0 ATTACHMENTS

Attachment 1: EPC-CP-QP-2110 R0 Form 1, *MSGP SWPPP Template* Example

Attachment 2: *MSGP SWPPP Review Guidance Checklist* Example

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 12 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example
(Page 1 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

MSGP Stormwater Pollution Prevention Plan

Insert Facility Name

Triad National Security, LLC
Los Alamos National Laboratory

XX/XX/XXXX

Revision X

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 13 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, *MSGP SWPPP Template Example* (cont.)
(Page 2 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

Page intentionally blank

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 14 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 3 of 50)

Insert Facility Name
MSGP Stormwater Pollution Prevention Plan
Document Reference Number
Revision X, Date

TABLE OF CONTENTS

| | |
|--|----|
| PREFACE..... | 6 |
| 1.0 FACILITY DESCRIPTION | 6 |
| 1.1 Facility Information..... | 6 |
| 1.2 Stormwater Pollution Prevention Team (PPT)..... | 7 |
| 1.3 Site Description | 8 |
| 1.4 General Location Map..... | 8 |
| 1.5 Site Map..... | 8 |
| 2.0 POTENTIAL POLLUTANT SOURCES..... | 9 |
| 2.1 Potential Pollutants Associated with Industrial Activity | 9 |
| 2.2 Spills and Leaks..... | 9 |
| 2.3 Unauthorized Non-Stormwater Discharges | 10 |
| 2.4 Salt Storage..... | 10 |
| 2.5 Historical Data Summary | 10 |
| 3.0 STORMWATER CONTROL MEASURES..... | 11 |
| 3.1 Non-Numeric Technology-Based Effluent Limits | 11 |
| 3.1.1 Minimize Exposure..... | 11 |
| 3.1.2 Good Housekeeping..... | 11 |
| 3.1.3 Maintenance..... | 11 |
| 3.1.4 Spill Prevention and Response..... | 12 |
| 3.1.5 Erosion and Sediment Control..... | 12 |
| 3.1.6 Management of Runoff..... | 12 |
| 3.1.7 Salt Storage Piles or Piles Containing Salt..... | 13 |
| 3.1.8 Dust Generation and Vehicle Tracking of Industrial Materials..... | 13 |
| 3.2 Numeric Effluent Limitations Based on Effluent Limitations Guidelines..... | 13 |
| 3.3 Water Quality-Based Effluent Limitations and Water Quality Standards..... | 13 |
| 4.0 SCHEDULES AND PROCEDURES | 13 |
| 4.1 Good Housekeeping | 13 |
| 4.2 Maintenance..... | 13 |
| 4.3 Spill Prevention and Response | 14 |
| 4.4 Erosion and Sediment Control | 14 |
| 4.5 Employee Training..... | 14 |
| 4.6 Routine Facility Inspections and Quarterly Visual Assessments | 15 |

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 15 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)

(Page 4 of 50)

Insert Facility Name

MSGP Stormwater Pollution Prevention Plan

Document Reference Number

Revision X, Date

4.6.1

Routine Facility Inspections

15

4.6.2

Quarterly Visual Assessments

16

4.7

Monitoring

16

5.0

DOCUMENTATION FOR ELIGIBILITY CONSIDERATIONS UNDER OTHER FEDERAL LAWS

20

5.1

Endangered Species

20

5.2

Historic Properties

20

6.0

CORRECTIVE ACTIONS AND DEADLINES

20

6.1

Immediate Actions

21

6.2

Subsequent Actions

21

6.3

Corrective Action Documentation

21

7.0

ACRONYMS

22

8.0

SWPPP CERTIFICATION

23

FIGURE A:

GENERAL LOCATION MAP

24

FIGURE B:

MAP(S)

25

ATTACHMENT 1:

NOTICE OF INTENT, SUPPORTING DOCUMENTATION, AND UPDATES

26

ATTACHMENT 2:

SWPPP AMENDMENTS

27

ATTACHMENT 3:

CERTIFICATION OF NO UNAUTHORIZED STORMWATER DISCHARGES

28

ATTACHMENT 4:

DULY AUTHORIZED SIGNATORY MEMORANDUM

29

ATTACHMENT 5:

DISCHARGE MONITORING REPORTS

30

ATTACHMENT 6:

ANNUAL REPORTS

31

ATTACHMENT 7:

ROUTINE FACILITY INSPECTIONS

32

ATTACHMENT 8:

QUARTERLY VISUAL ASSESSMENTS

33

ATTACHMENT 9:

CORRECTIVE ACTION DOCUMENTATION AND CERTIFICATION

34

ATTACHMENT 10:

SCHEDULED MAINTENANCE LOG

35

ATTACHMENT 11:

TRAINING DOCUMENTATION

36

ATTACHMENT 12:

MSGP (OR ACTIVE URL)

37

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 16 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 5 of 50)

| | |
|---|---|
| | <small> Insert Facility Name MSGP Stormwater Pollution Prevention Plan Document Reference Number Revision X, Date </small> |
| ATTACHMENT 13: THREATENED AND ENDANGERED SPECIES HABITAT MANAGEMENT PLAN FOR LOS ALAMOS NATIONAL LABORATORY | 38 |
| ATTACHMENT 14: MSGP IPAC TRUST RESOURCES REPORT | 39 |
| ATTACHMENT 15: EPC-CP-PIP-2101, NPDES MULTI-SECTOR GENERAL PERMIT | 40 |
| ATTACHMENT 16: EPC-CP-QP-023, MSGP ROUTINE FACILITY INSPECTIONS | 41 |
| ATTACHMENT 17: EPC-CP-QP-022, MSGP CORRECTIVE ACTIONS..... | 42 |
| ATTACHMENT 18: EPC-CP-QP-064, MSGP STORMWATER VISUAL ASSESSMENTS | 43 |
| ATTACHMENT 19: EPC-CP-QP-047, INSPECTING STORMWATER RUNOFF SAMPLERS AND RETRIEVING SAMPLES FOR THE MSGP | 44 |
| ATTACHMENT 20: EPC-CP-QP-2106, PROCESSING MSGP STORMWATER SAMPLES | 45 |
| ATTACHMENT 21: EPC-DO-QP-101, ENVIRONMENTAL REPORTING REQUIREMENTS FOR RELEASES OR EVENTS | 46 |
| ATTACHMENT 22: EPC-CP-QP-007, SPILL INVESTIGATIONS..... | 47 |
| ATTACHMENT 23: EPC-CP-QP-2110, MSGP STORMWATER POLLUTION PREVENTION PLAN PREPARATION AND MAINTENANCE..... | 48 |
| ATTACHMENT 24: LOCAL PROCEDURE..... | 49 |
| ATTACHMENT 25: LOCAL PROCEDURE..... | 50 |

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 17 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 6 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

Insert Name of Facility
STORMWATER POLLUTION PREVENTION PLAN

PREFACE

This Stormwater Pollution Prevention Plan (SWPPP) was developed in accordance with the provisions of the Clean Water Act (33 U.S.C. §§1251 et seq., as amended), and the *United States Environmental Protection Agency (EPA) National Pollutant Discharge Elimination System (NPDES) Multi-Sector General Permit for Stormwater Discharges Associated with Industrial Activity (MSGP)* (U.S. EPA, June 2015) issued by EPA. The SWPPP uses the industry specific permit requirements for Sector XX-(Insert Sector Title) as a guide. The applicable stormwater discharge permit is EPA General Permit Identification Tracking Number NMR050013 [Triad National Security, LLC (Triad)]. Click here to view contents of the [2015 Multi-Sector General Permit](#).

This SWPPP applies to discharges of stormwater from the operational areas of (List the operational areas) at Los Alamos National Laboratory. Los Alamos National Laboratory (also referred to as LANL or the "Laboratory") is owned by the Department of Energy (DOE), and is operated by Triad. Throughout this document, the term "facility" refers to (Insert facility name). The current MSGP expires at midnight on June 4, 2020.

1.0 FACILITY DESCRIPTION

1.1 Facility Information

| | | |
|--|-----------|-----------------|
| Name of Facility: <u>(Insert facility name e.g., TA-3-22 Power and Steam Plant)</u> | | |
| Street: P.O. Box 1663 | | |
| City: Los Alamos | State: NM | ZIP Code: 87545 |
| County: Los Alamos | | |
| NPDES ID (i.e., permit tracking number): NMR050013 | | |
| Primary Industrial Activity SIC code, and Sector and Subsector (2015 MSGP, Appendix D and Part 8): SIC <u>XXXX</u> , Sector <u>X</u> , Subsector <u>XX</u> | | |
| Estimated area of industrial activity at site exposed to stormwater: <u>XX</u> acres | | |
| Discharge Information | | |
| Name(s) of surface water(s)/segment that receives stormwater from your facility: Sandia Canyon (Sigma Canyon to NPDES outfall 001). Note: For Roads and Grounds also add "and Mortandad Canyon (within LANL)". Note: For Asphalt Batch Plant alone, delete Sandia Canyon information and insert only "Mortandad Canyon (within LANL)." | | |
| Does this facility discharge industrial stormwater directly into any segment of an "impaired water" (see definition in 2015 MSGP, Appendix A)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | |
| Pollutants causing the impairment: <u>(Insert pollutants: list can be found in the Triad Notice of Intent (NOI))</u> | | |

| | | |
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| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 18 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 7 of 50)

Insert Facility Name
MSGP Stormwater Pollution Prevention Plan
Document Reference Number
Revision X, Date

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|---|
| Pollutants causing the impairment (see above) that may be present in industrial stormwater discharges from this Facility: |
| Are any of your stormwater discharges subject to effluent limitation guidelines (ELGs) (2015 MSGP Table 1-1)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| If Yes, which guidelines apply? (Note: Asphalt Batch Plant is subject to ELGs) Not applicable. |

1.2 Stormwater Pollution Prevention Team (PPT)

Insert a description of the team

The specific duties of individual team members of the PPT are listed in the table below.

| Staff Names | Individual Responsibilities |
|---|--|
| Group Leader: Name Title, Organization | Responsible for the management of all environmental, safety, health, and quality programs for the yards, buildings and facilities within this Plan. This includes performing oversight and periodic walk downs to ensure implementation of the requirements of the MSGP and this SWPPP including overseeing the assigned duties of other PPT members. The Group Leader is responsible for ensuring problems noted during inspections are corrected. The Group Leader must also ensure adequate resources are obtained to ensure compliance requirements of the MSGP and this SWPPP are met. |
| Deployed Environmental Professional (DEP): Name Title, Organization | Responsible for the management of all environmental programs and issues for the yards, buildings and facilities listed within this Plan. The DEP is responsible for training, recordkeeping, and SWPPP revision. The DEP ensures documentation of inspections and other required MSGP records relative to the SWPPP are managed in accordance with the Permit and established document control procedures and that the SWPPP is kept current. The DEP provides technical and regulatory support to facility and operations personnel regarding implementation of the MSGP and this SWPPP. Lastly, the DEP conducts routine facility inspections and if necessary, visual assessments, in accordance with the Permit. Identified conditions requiring corrective actions from routine facility inspections are entered into the Environmental Protection and Compliance-Compliance Programs (EPC-CP) Corrective Action Report (CAR) database. The DEP is responsible for tracking and updating the status of corrective actions that cannot be implemented immediately. |
| Facility Operations Division (FOD) Manager: Name Title, Organization | Responsible for managing the maintenance and operation of all aspects of the yards, buildings and facilities listed within this Plan. The manager shall provide review and ensure coordination with core personnel and the PPT, as appropriate, when tenants within |

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 19 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 8 of 50)

Insert Facility Name
MSGP Stormwater Pollution Prevention Plan
Document Reference Number
Revision X, Date

| | |
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| | the FOD propose new processes, operations, features, or a new site that may be subject to the MSGP. |
| EPC Core: Name Title, Organization | The MSGP Program Lead is responsible for managing and administering the MSGP Program for all industrial facilities operated by Triad within Los Alamos National Laboratory. The MSGP Program Lead advises and provides guidance to facility or operations personnel on NPDES MSGP regulations/requirements. The Program Lead also acts as the institutional point of contact for all interactions with the regulatory authority (EPA) and supervises personnel implementing stormwater monitoring requirements for the facility. |
| Operations Manager(s): Name Title, Organization | Responsible for day-to-day operations at the facility. Assists the DEP and EPC with inspections; spill reporting; implementing, installing and maintaining storm water controls (also known as Best Management Practices) (BMPs); and providing documentation as requested by other team members. The Operations Manager is key to ensuring adequate communication and coordination of issues regarding implementation of the MSGP and this Plan. Operations Managers also assist the DEP/EPC with SWPPP training and/or briefings, as requested. |

1.3 Site Description

Insert text with site description. Include information on type of operation(s), industrial operating equipment (associated with the Asphalt Batch Plant and the TA-3-22 Power and Steam Plant), main structures, activities, outfalls, and substantially identical outfalls.

1.4 General Location Map

The general location map for the facility can be found in Figure A. Figure B-X (if you have more than one site map, list them all here) contains all site maps and identifies all receiving waters associated with stormwater discharges from the facility. X percent of the site flows to (Insert canyon name). The canyon at this location is a (Insert stream type e.g., perennial, ephemeral, intermittent) and eventually flows to the Rio Grande approximately X miles southeast of the site.

1.5 Site Map

The site map is provided as Figure B-X (if you have more than one site map, list them all here) and illustrates the facility's activities: including facility boundary, structures, impervious surfaces, industrial activity areas, spills, operational areas, drainage patterns, stormwater controls, monitoring locations, outfalls and nearby receiving streams.

As required by the 2015 MSGP, the following information specific to the facility is either shown on the site map or contained with additional information provided in this SWPPP.

- **Site boundaries and acreage.** The site covers approximately X acres.
- **Significant structures and impervious surfaces.** The site is X percent impervious, primarily structures and paved lots.

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 20 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 9 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

- **Direction of stormwater flow and site drainage.** Direction of flow is indicated with arrows.
- **Locations of stormwater control measures.**
- **Locations of all receiving waters.** In the immediate vicinity of the facility, (Indicate if any of the waters are Impaired and, if so, whether the waters have TMDLs established for them. See paragraph below this list). Also, indicate if the receiving water includes a wetland. A map of nearby receiving waters is provided as Figure B-X.
- **Locations of all stormwater conveyances.** This includes all ditches, pipes, and swales.
- **Locations of potential pollutant sources.**
- **Locations of significant spills or leaks.**
- **Locations of all stormwater monitoring points.**
- **Locations of stormwater inlets and outfalls.** Of which each will require a unique identification code for each outfall (e.g., Outfall 005, etc.), indicating if you are treating one or more outfalls as "substantially identical" and an approximate outline of the areas draining to each outfall.
- This facility is not associated with a municipal separate storm sewer system (MS4).
- **Areas of designated critical habitat for endangered or threatened species.** There are (Insert "no areas" or a number of areas) in the direct vicinity of the facility. However, a map for threatened and endangered species within LANL property is included as Figure B-X.
- **Locations of the following activities where such activities are exposed to precipitation:**
 - Insert all facility activities exposed to stormwater (e.g., fueling locations; loading/unloading areas; locations used for the treatment, storage, or disposal of wastes; liquid storage tanks; processing and storage areas; machinery; location and sources of run-on to the site; transfer areas for substances in bulk; immediate access roads used or traveled by carriers of raw materials, manufactured products, waste material, or by-products used or created by the facility; and vehicle and equipment maintenance and/or cleaning areas. Only include the activity areas specific to the facility (for example, if you do not refuel within the active facility boundary, do not include "fueling locations" in this bulleted list). Use a secondary bullet list level in this section.

2.0 POTENTIAL POLLUTANT SOURCES

Industrial activities that could potentially result in releases to the environment are summarized in 2.1 below. The site map for the facility is provided in Figure B-1.

Insert text describing structures and industrial activities that could potentially result in a release to the environment. Include information on location (e.g. inside, outside), associated containment, protection (e.g., roofed areas or coverings), and other devices or practices to prevent or contain spills, prevent run-on and run-off.

2.1 Potential Pollutants Associated with Industrial Activity

List specific areas and activities that could potentially result in a release to the environment and the constituents that may be released. Include a list of any Solid Waste Management Units and Areas of Concern (also known as Consent Order Sites or Potential Release Sites) with a description of each and associated potential pollutants/contaminants.

2.2 Spills and Leaks

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 21 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 10 of 50)

Insert Facility Name
MSGP Stormwater Pollution Prevention Plan
Document Reference Number
Revision X, Date

Insert information on spill and leak history at the facility, if any. Text may be in table format as shown below.

| Date | Description | Outfall(s) Affected |
|------|-------------|---------------------|
| | | |
| | | |

Insert information on areas where spills and leaks could occur at the facility. Text may be in table format as shown below.

| Specific Equipment/Industrial Activity Areas and Location | Outfall(s) Affected |
|---|---------------------|
| | |
| | |

In the event of any future spill or leak at any of the facility areas, a spill report, documenting the occurrence and the nature of the spill or leak, will be completed. The spill report will be filed promptly upon completion and documentation of the spill clean-up, and will be summarized in this section of the SWPPP. In addition, spills within MSGP facility boundaries will be entered as conditions requiring corrective action in the MSGP CAR database and will be updated as corrective action occurs, in accordance with EPC-CP-QP-022, *MSGP Corrective Actions*.

The probability of spills or releases at the facility is minimized by (Insert information on how the facility will minimize spills and leaks).

2.3 Unauthorized Non-Stormwater Discharges

Insert information describing any NPDES permitted non-stormwater discharges, unpermitted outfalls, or unauthorized discharges associated with the facility. Describe any potential sources of non-stormwater discharges (e.g., testing of fire hydrants) and where wastewater drains to. Include a reference to the "Non-Stormwater Discharge Assessment and Certification" and indicate that it is provided in Attachment 3.

2.4 Salt Storage

Insert text describing salt storage areas at the facility, if present. If none exists, state salt is not stored at the facility.

2.5 Historical Data Summary

The following tables provide monitoring data at the facility for the past X years.

Permitted Facility: (insert facility name)

Calendar Year XXXX

Contact MSGP Program Lead to obtain this information formatted for insertion.

Note: This information will be updated every year during the annual SWPPP update, to include the 3 most current years of monitoring data.

| | | |
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| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 22 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 11 of 50)

Insert Facility Name
MSGP Stormwater Pollution Prevention Plan
Document Reference Number
Revision X, Date

3.0 STORMWATER CONTROL MEASURES

Control measures at the facility are designed to minimize the potential release of pollutants that could adversely affect water quality. Insert text with stormwater control measure information.

3.1 Non-Numeric Technology-Based Effluent Limits

Insert text with non-numeric technology-based effluent limits information. Note: This is specific to Sectors A, AA, N, O and P.

3.1.1 Minimize Exposure

Insert text describing all structural controls (structures or covers) or practices used to minimize the exposure of industrial activities to precipitation. The SWPPP must describe where the controls or practices are being implemented at the facility. Examples of exposure-minimizing control measures include: location and extent of grading, berms, curbs used to contain contaminated stormwater or divert it around areas of industrial activity, materials stored within secondary containment, location of spill cleanup kits, schedule for employee spill abatement and cleanup training, procedure or practices for storage of leaky vehicles and equipment.

3.1.2 Good Housekeeping

Good housekeeping practices specifically applicable to the prevention of stormwater contamination include the following measures: Insert text describing any practices implemented to keep exposed areas at the facility clean. Describe where each practice is being implemented at the facility. Examples of good housekeeping control measures include how workspaces are maintained; routine inspections of heavy equipment, other equipment and waste containers; inspections of material storage areas; identifying specific personnel/positions responsible for emptying drip pans, etc. Refer to Section 4.1 of this document for specific schedules for waste and recyclable material pickup and sweeping.

All site areas exposed to precipitation are walked down during daily operations and monthly routine facility inspections to ensure that the grounds are kept in an orderly condition. The outdoor metal storage areas are inspected to ensure all piping and metal raw material is off the ground on storage racks and covered, or stored inside buildings, sheds or transportable containers. Vehicle and forklift parking areas are inspected for leaks or spills as well as storage areas containing oil-filled equipment. The entire site, including loading areas and outfalls, are inspected for floatable debris, garbage, waste and all other potential pollutants. All dumpsters and roll-off bins are inspected to ensure they are closed.

3.1.3 Maintenance

Control measures at the facility will be kept in effective operating condition by the implementation of scheduled preventive maintenance, standard operating procedures (SOPs), engineering guidance, and manufacturer's specifications as applicable. If control measures need to be replaced or repaired to maintain compliance with the 2015 MSGP, necessary modifications will be made according to the timelines specified in the *Corrective Action and Deadlines* requirements of Section 6.0 of this SWPPP.

Deficient items identified during routine facility inspections, walk-downs, or by any other means of identification, will be documented on the routine facility inspection forms and entered into the MSGP CAR database. The condition requiring corrective action will remain open until proper maintenance or

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|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 23 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 12 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

corrective action has been completed. CAR information, along with documentation of maintenance/repair of control measures, is in Attachment 9 of the SWPPP.

Insert text identifying how industrial equipment is maintained to avoid leaks or other releases. Also, include information on how site-specific control measures are maintained to ensure effective operating condition.

3.1.4 Spill Prevention and Response

Spills, leaks, or other releases will be prevented and minimized by (insert information on how the facility prevents and minimizes unauthorized releases).

Insert text describing the general facility approach to spill cleanup.

All spills or releases are reported to EPC-CP by using the spills pager (505) 664-7722. Although incidental spills may be cleaned up by facility personnel, all emergency spills or releases are reported to Emergency Management Division-Emergency Response (EMD-ER) and/or the Facility Duty Officer by calling 667-2400. If fire or explosion is present, or if the potential for such exists, the situation must be reported by dialing 911 from a non-cellular phone or by activating a fire pull box. In the event of a spill, EMD-ER will coordinate appropriate cleanup procedures and EPC-CP will notify the individuals or organizations responsible for completing spill reports and providing information needed to fulfill regulatory reporting requirements.

Unauthorized releases or discharges within industrial facility boundaries are entered into the MSGP Corrective Action Reporting database in accordance with EPC-CP-QP-022, *MSGP Corrective Actions*. In addition, the completion of an Unplanned Release Report is required in the event of a spill. The report will be submitted to EPC-CP personnel and handled according to internal spill record keeping procedures. Spills may be "reportable" (requiring external agency notification) depending on the nature of the spilled material and the location of the release. External agency notification may consist of verbal and/or written notification to the National Response Center, Environmental Protection Agency Region VI, or the New Mexico Environment Department (NMED). EMD-ER, the FOD and EPC-CP, in accordance with Laboratory and DOE policies and federal and state regulatory reporting requirements, will make the determination for the type of reporting required. EPC-DO-QP-101, *Environmental Reporting Requirements for Releases or Events* is used for this purpose (see Attachment 21).

Copies of internal spill reports are maintained by the responsible organization and in the EPC-CP database. The EPC-CP procedure for spill reporting and response, ENV-CP-QP-007, *Spill Investigations*, can be found in Attachment 22 of this SWPPP.

3.1.5 Erosion and Sediment Control

Insert text describing how erosion at the facility and sediment transport off the facility is prevented/minimized. Erosion control measures that prevent soil or sediment from becoming mobilized should be used as the primary line of defense. Sediment control measures that trap, infiltrate, or settle out mobilized sediments, should be used to back-up the erosion control measures.

3.1.6 Management of Runoff

Insert text describing how the facility manages stormwater runoff. This will include a description of controls used to divert, infiltrate, reuse, contain, or otherwise reduce stormwater runoff. Installed or utilized control measures may be listed with a description of their function at the facility.

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|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 24 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 13 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

3.1.7 Salt Storage Piles or Piles Containing Salt

Insert text describing how the facility manages salt storage piles or piles containing salt. Offloading operations should occur within contained areas with appropriate measures in place to prevent off-site migration or track out of salt from the contained area. Installed or utilized control measures may be listed with a description of their function at the facility. If none exists, state salt is not stored at the facility.

3.1.8 Dust Generation and Vehicle Tracking of Industrial Materials

Insert text describing how the facility manages dust generation and vehicle tracking.

3.2 Numeric Effluent Limitations Based on Effluent Limitations Guidelines

Insert information identifying the facility as meeting or not meeting the industrial category requirements for effluent monitoring as listed in Part 2.1.3 (*Table 2-1 Applicable Effluent Limitation Guidelines*) of the 2015 MSGP and if benchmark monitoring is or is not required.

If the permit does identify sector-specific requirements for the facility, insert a description of specific controls implemented at the facility to ensure numeric effluent limits are met.

3.3 Water Quality-Based Effluent Limitations and Water Quality Standards

Impaired waters monitoring is performed annually at the facility as listed in Section 4.7 of this SWPPP. The pollutants monitored can change yearly based on the requirements of the MSGP. The table in Section 4.7 lists the current year monitoring requirements and standards.

Stormwater from (insert facility name) discharges to (insert canyon name). Insert information on canyon reaches identified as impaired waters, pollutants causing the impairment, and approved or established TMDLs for the canyon. Also, insert specific information relative to the controls measures used to ensure discharges from industrial activities meet the water quality standards.

Refer to Section 4.7 for specific actions that will be taken when a water quality standard is exceeded.

4.0 SCHEDULES AND PROCEDURES

Preventative maintenance of control measures used to comply with the Permit effluent limits can avoid situations that result in discharges to the environment. Part 5.2.5 of the 2015 MSGP specifies control measures will have a schedule or frequency for maintenance and procedures specifying how maintenance is conducted. Part 5.5 requires documentation of maintenance and repairs including the date(s) of regular maintenance. See Attachment 10 for the Scheduled Maintenance Log.

4.1 Good Housekeeping

Insert a schedule for housekeeping activities such as waste and recyclable material (scrap metal, wood tires) pickup, street sweeping, etc. and identify any procedures used to ensure this occurs.

4.2 Maintenance

Insert a discussion of and schedule for preventative or regular maintenance of equipment such as oil/water separators, culvert clean outs, other control measures, etc. Note: Industrial equipment will be

| | | |
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| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 25 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 14 of 50)

Insert Facility Name
MSGP Stormwater Pollution Prevention Plan
Document Reference Number
Revision X, Date

maintained so that leaks and other releases are avoided. All control measures will be maintained in effective operation condition.

4.3 Spill Prevention and Response

Insert a discussion of and schedule for preventing and responding to spills and leaks such as regular maintenance of equipment, placing pans under heavy equipment, and maintaining spill kits. Also, specify cleanup equipment, procedures and spill logs, and identify how often employees are trained in spill response procedures, as appropriate.

4.4 Erosion and Sediment Control

Insert a discussion of and schedule for preventative or regular maintenance of erosion, sediment and velocity control measures. If polymers and/or other chemical treatments are used as erosion or sediment control measures, identify them and include a regular schedule for reapplication. Also, include a schedule for restocking these materials to ensure the facility does not run out.

4.5 Employee Training

Employee training is essential for effective implementation of the SWPPP and MSGP requirements. The goals for the training program are to ensure that employees: (1) are aware of what happens when pollutants come in contact with stormwater; (2) are familiar with and will implement the requirements of this SWPPP; (3) are capable of preventing spills; (4) respond safely and effectively to an accident when one occurs; (5) recognize when there is an issue with a control measure; (6) recognize when additional control measure are necessary; and (7) identify situations that could lead to stormwater contamination.

Per Part 2.1.2.8 of the 2015 MSGP, training relevant to the SWPPP and MSGP is required for all workers at the facility that work in areas where industrial materials or activities are exposed to stormwater (MSGP sites); workers, managers, and supervisors who are responsible for implementing activities necessary to meet the conditions of this permit (e.g., inspectors, maintenance personnel); and all members of the PPT. Training is designed to ensure these personnel understand the MSGP and SWPPP requirements, as well as their specific responsibilities regarding these requirements.

Training provided and assigned to these personnel cover both the specific control measures used at the facility; along with monitoring, inspection, planning, reporting, and documentation requirements described in this SWPPP. Training will be conducted at least annually. The DEP, Deployed Environment Safety and Health (DESH) Group Leader and Pollution Prevention Team members are responsible for ensuring all appropriate personnel receive this training. It is suggested to add a list of job titles per facility that require training (e.g., Mechanics, Heavy Equipment Operators, PPT members, Operations Manager(s), etc.).

Training activities are documented in accordance with LANL's Training Standards. In cases where training is formalized enough to require specific curricula and reoccurrence, the training activity will be recorded in LANL's official U-TRAIN database. Informal briefings, such as those included in-group safety meetings are not typically recorded in U-TRAIN. Sign-in sheets are used to document attendance and will be kept on file in Attachment 11 of this SWPPP.

The topics in this SWPPP that are covered in the latest version of the facility-specific annual MSGP training (see Attachment 11) include the following:

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 26 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 15 of 50)

Insert Facility Name
MSGP Stormwater Pollution Prevention Plan
Document Reference Number
Revision X, Date

- Overview of the SWPPP contents;
- Spill response and cleanup procedures, good housekeeping, maintenance requirements, and material management practices to prevent stormwater pollution;
- The location of all controls on the site required by this permit and how they are maintained;
- The proper procedures to follow with respect to the permit's pollution prevention requirements; and
- When and how to conduct inspections, record applicable findings, and take corrective actions.

4.6 Routine Facility Inspections and Quarterly Visual Assessments

Routine inspections at this facility are conducted and documented monthly in accordance with EPC-CP-QP-023, *MSGP Routine Facility Inspections* (Attachment 16).

Visual assessments are conducted in accordance with EPC-CP-QP-064, *MSGP Stormwater Visual Assessments* (Attachment 18).

4.6.1 Routine Facility Inspections

At least once each calendar year, the routine facility inspection is conducted during a period when a stormwater discharge is occurring. A qualified member of the PPT (typically the DEP, a representative from the EPC-CP Storm Water Permitting/Compliance Team or EPC-CP Program Lead) performs the inspection. The 2015 MSGP consolidates the different and separate documentation requirements in the Comprehensive Site Inspection Procedures and Routine Facility Inspection Procedures from the 2008 MSGP. EPC-CP will perform at least one routine inspection per year in order to evaluate corrective action status for the Annual Report requirements.

Routine inspections will evaluate the following areas, at a minimum:

- Areas where industrial materials or activities are exposed to stormwater;
- Areas identified in the SWPPP and those that are potential pollutant sources;
- Areas where spills and leaks have occurred in the last three years;
- Discharge points(outfalls/Substantially Identical Outfalls (SIOs); and
- Control measures used to comply with the effluent limits contained in this permit.
- Specific areas of the facility to be inspected are described in Section 2.1.

During routine inspections, the following must be examined and looked for:

- Industrial materials, residue or trash that may have or could come into contact with stormwater;
- Leaks or spills from industrial equipment, drums, tanks and other containers;
- Offsite tracking of industrial or waste materials, or sediment where vehicles enter or exit the site;
- Tracking or blowing of raw, final or waste materials from areas of no exposure to exposed areas; and
- Control measures needing maintenance, repairs or replacement.

Inspections performed by the PPT member are documented by completing the routine facility inspection form, which identifies all conditions requiring corrective action and other potential stormwater pollution issues that were encountered. All conditions requiring corrective actions identified during the inspection are addressed in accordance with Section 6.0 *Corrective Actions and Deadlines* of this plan. Facility personnel or the DEP may also perform daily, weekly, or other periodic facility surveys (walk downs)

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 27 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 16 of 50)

Insert Facility Name
MSGP Stormwater Pollution Prevention Plan
Document Reference Number
Revision X, Date

between monthly routine inspections to ensure compliance with the SWPPP and MSGP. Completed routine facility inspection forms are provided in Attachment 7 of this SWPPP and meet the requirements listed in the 2015 MSGP (Part 3.1.2.).

4.6.2 Quarterly Visual Assessments

Once each quarter, (April 1-May 31, June 1-July 31, August 1-September 30, October 1-November 30) a stormwater sample is obtained and visual assessment performed at each outfall, if a measureable storm event occurred. A qualified member of the PPT (DEP, EPC-CP field team member or MSGP Program Lead) conducts the visual assessment. The visual assessment will be:

- Of a sample in a clean, clear colorless glass or plastic container and examined in a well-lit area;
- On samples collected within the first 30 minutes of an actual discharge from a storm event or as soon as practicable thereafter. Alternatively, document why it was not possible to collect the sample within the first 30 minutes (i.e. adverse conditions, not enough flow, etc.); and
- Conducted at least 72 hours since the last storm event; or document that the 72-hour period is representative of local storm events during the sampling period.

Note: Snowmelt samples need only be collected during a period of measurable discharge.

The visual assessment will inspect for the following water quality characteristics: color, odor, clarity, floating solids, settled solids, suspended solids, foam, oil sheen, and other obvious indicators of stormwater pollution.

Exceptions to visual assessments:

- Document rationale if a visual assessment is unable to be collected in a quarter (no precipitation event or adverse conditions, etc.);
- Perform an additional assessment during the next qualifying storm event if unable to perform in a particular quarter; and
- Perform one quarterly assessment during snowmelt discharge (taken during a measurable discharge from the site).

For facilities with substantially identical outfalls, quarterly visual assessments may be performed at only one of the outfalls, provided that you perform visual inspections on a rotating basis at each substantially identical outfall.

The PPT member performing the visual assessment documents potential stormwater pollution problems that were observed during the assessment on the quarterly visual assessment form. Any required corrective actions identified during the assessment are addressed in accordance with Section 6.0 *Corrective Actions and Deadlines* of this plan. Completed quarterly visual assessments are provided in Attachment 8 of this SWPPP and meet the requirements listed in the 2015 MSGP (Part 3.2.2).

4.7 Monitoring

Analytical monitoring comprised of Impaired Waters [insert Effluent Limitation Guideline monitoring for industrial activity identified in Tables 1-1 and 6-1 of the 2015 MSGP (for example the Asphalt Batch Plant)] monitoring is performed annually on stormwater discharges from the site. Benchmark constituents are monitored quarterly. Monitoring occurs when storm events result in an actual discharge from the site and follow the preceding measurable storm event by at least 72 hours (3 days), unless documented that the storm event is representative of local storm events during the sampling

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|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 28 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 17 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

period. For runoff from snowmelt, the monitoring is performed at a time when a measurable discharge from the site occurs.

Monitoring is conducted according to test procedures approved under 40 CFR Part 136. Runoff samples are collected by taking a minimum of one grab sample from a discharge, collected within the first 30 minutes of a measurable storm event. If it is not possible to collect the sample within the first 30 minutes of a measurable storm event, the sample is collected as soon as practicable after the first 30 minutes and documentation is kept with the SWPPP explaining why it was not possible.

LANL is located in a high elevation, semi-arid climate where the majority of rainfall occurs during a period between July and September. Freezing conditions that would prevent runoff from occurring for extended periods may also occur during the winter months. If adverse weather conditions prevent the collection of a sample according to the relevant monitoring schedule, a sample will be collected during the next qualifying storm event or as soon as practicable.

Monitoring occurs at automated sampling station [insert automated sampler identifier (e.g., MSGP07501)] as identified in Section 1.5. Discharge from the facility is (insert cardinal direction) to (insert canyon name) (impaired waters), which is a tributary of the Rio Grande located approximately X miles east of the facility.

Outfall (insert substantially identical outfall identification number) is "substantially identical" to Outfall (insert monitored outfall identification number) based on (insert the following information: industrial activities conducted in the drainage area, description of control measures implemented in the drainage area of each outfall, description of exposed material located in the drainage area of each outfall that are likely to be significant contributors of pollutants to stormwater discharges, and an estimate of the runoff coefficient of the drainage areas). Outfall locations are shown on the site map provided in Figure B-1. Note: Delete this paragraph if the facility has no substantially identical outfalls. If the facility has multiple maps, reference them all.

Monitoring will continue annually for constituents associated with impaired waters until a constituent is no longer detected in stormwater samples.

If the impaired water or benchmark constituent value exceeds the New Mexico Water Quality criterion (insert or ELG value is exceeded, if applicable), the Pollution Prevention Team will:

- Review the selection, design, installation, and implementation of control measures to determine if modifications are necessary to meet the effluent limits;
- Implement the necessary modifications within the timeframe specified for corrective action; and
- Continue benchmark or annual monitoring of the constituent (as required by Part 6.2 of the 2015 MSGP);
- If an ELG is exceeded, follow-up monitoring within 30 calendar days (or during the next qualifying runoff event) of implementing corrective action(s) is required. When follow-up monitoring exceeds the applicable effluent limitation, an exceedance report is submitted to EPA and monitoring continues at least quarterly, until the discharge complies with the effluent limit.

For each monitoring event, except snowmelt monitoring, the following information will be recorded and maintained through work orders, LANL database systems, and Discharge Monitoring Records:

- The date, exact place, and time of sampling or measurements;
- The date and duration (in hours) of the rainfall event
- Rainfall total (in inches) for that rainfall event

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|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 29 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 18 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

- The individual(s) who performed the sampling or measurements;
- The date(s) analyses were performed
- The individual(s) who performed the analyses;
- The analytical techniques or methods used; and
- The results of such analyses.

All records of monitoring information, including all calibration and maintenance records are maintained for a minimum period of at least three years from the date the permit expires.

Insert information on quarterly benchmark and annual Impaired Waters or Effluent Limitation Guideline monitoring required for facility and benchmark pollutants to be sampled.

LANL's applicable stormwater monitoring procedures can be found in the following Attachments:

- EPC-CP-QP-047, *Inspecting Stormwater Runoff Samplers and Retrieving Samples for the MSGP* (Attachment 19)
- EPC-CP-QP-2106, *Processing MSGP Stormwater Samples* (Attachment 20).

The table on the following page lists the current Summary of Monitoring Requirements. The monitoring values have been modified to reflect New Mexico water quality standards and are based on the most protective water quality standards from the Standards for Interstate and Intrastate Surface Waters (effective on February 28, 2018), 20.6.4.900 NMAC; and as set forth in Part 9.6.2.1 of the 2015 MSGP.

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|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 30 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, *MSGP SWPPP Template Example* (cont.)
(Page 19 of 50)

Summary of Monitoring Requirements

Outfalls: (insert outfall numbers)

Contact MSGP Program Lead to obtain this information formatted for insertion.

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 31 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 20 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

5.0 DOCUMENTATION FOR ELIGIBILITY CONSIDERATIONS UNDER OTHER FEDERAL LAWS

5.1 Endangered Species

The Final Site-Wide Environmental Impact Statement (EIS) for the Operation of Los Alamos National Laboratory (DOE/EIS-0380) was issued in May 2008, and a Record of Decision in September 2008. Stormwater issues and associated pollution prevention requirements and activities at LANL are analyzed in Chapters 4 and 5 of the 2008 Site-Wide EIS. These activities are integrated into environmental reviews on a project-specific level through LANL's Integrated Review Tool (IRT), which incorporates both the Excavation Permit (EX-ID) and Permit Requirements Identification (PR-ID) process. Stormwater issues are identified and pollution prevention activities are implemented during the design and construction phases of all LANL projects, and as part of facility operations, including routine maintenance. LANL staff monitors stormwater pollution prevention compliance at MSGP sites in accordance with Section 4.7 *Monitoring* of this plan. Corrective actions are taken as necessary as described in Section 6.0 *Corrective Actions and Deadlines* of this plan.

Part 5.2.2 of the 2015 MSGP requires areas of designated critical habitat for endangered or threatened species, as applicable, be included in the SWPPP. The *Threatened and Endangered Species Habitat Management Plan for Los Alamos National Laboratory* (LA-UR-17-29454) was last updated in October 2017 (see Attachment 13). This document provides a management strategy for the protection of threatened and endangered species and their habitats on LANL property. The MSGP IPaC Trust Resource Report (see Attachment 14) is also attached for informational purposes.

5.2 Historic Properties

In August, 2015 and December 2008, the Cultural Resources Team (using GPS spatial data as well as conducting visual inspections), reviewed the Laboratory industrial sites (see list below) and their associated outfalls and monitoring stations subject to the 2015 Multi-Sector General Permit (Permit #NMR050000) for effects on historic properties. All of these sites were found to be undertakings of no effect and in compliance with Section 106 of the National Historic Preservation Act (i.e., Criterion B).

- TA-3-22 Power and Steam Plant
- TA-3-38 Metals Fabrication Shop
- TA-3-38 Wood Shop
- TA-3-39 and 102 Metal Shop
- TA-3-66 Sigma Complex
- TA-60 Asphalt Batch Plant
- TA-60-1 Heavy Equipment Yard
- TA-60 Material Recycle Facility
- TA-60 Roads and Grounds
- TA-60-2 Warehouse
- TA-54 RANT

6.0 CORRECTIVE ACTIONS AND DEADLINES

When any of the following conditions occur or are detected during an inspection, monitoring or any other means, this SWPPP (e.g., sources of pollution; spill and leak procedures; non-stormwater discharges; the selection, design, installation and implementation of control measures) is reviewed and

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 32 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 21 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

revised (as appropriate). The purpose is to ensure effluent limits of the 2015 MSGP permit are met and pollutant discharges are minimized:

- An unauthorized release or discharge (e.g., spill, leak, or discharge of non-stormwater not authorized by this or another NPDES permit to a water of the U.S.) occurs at the facility;
- A discharge violates a numeric effluent limit;
- Control measures are not stringent enough for the discharge to meet applicable water quality standards or non-numeric effluent limits;
- An inspection identifies that a required control measure was never installed, was installed incorrectly or is not being properly operated or maintained; and
- Whenever a visual assessment shows evidence of stormwater pollution.

When any of the following conditions occur, a review of the selection, design, installation, and implementation of control measures is performed to determine if modifications are necessary to meet the effluent limits in this permit:

- Construction or a change in design, operation, or maintenance at the facility significantly changes the nature of pollutants discharged in stormwater from the facility, or significantly increases the quantity of pollutants discharged; or
- The average of 4 quarterly sampling results exceeds an applicable benchmark. If less than 4 benchmark samples have been taken, but the results are such that an exceedance of the 4 quarter average is mathematically certain (i.e., if the sum of quarterly sample results to date is more than 4 times the benchmark level) this is considered a benchmark exceedance, triggering this review (see Section 4.7); or
- If an impaired water constituent exceeds the NM Water Quality criterion (see Section 4.7).

When the review identifies the need to modify the SWPPP, it will be revised within 14 calendar days of completion of the associated condition requiring corrective action.

6.1 Immediate Actions

When a condition requiring corrective action is identified, all reasonable steps necessary to minimize or prevent the discharge of pollutants are immediately taken (i.e. spill clean-up, scheduling repairs) until a permanent solution (if needed) can be implemented. Immediate action means all reasonable steps are taken the same workday or no later than the following workday (when it is too late in the day to take corrective action).

6.2 Subsequent Actions

When additional corrective actions are required (e.g. installing or making operational a new or modified control, completing repairs, ordering BMPs) they will be completed by the next storm event, if possible, or within 14 calendar days (from initial discovery). When it is determined that it is infeasible to complete corrective actions within 14 days, documentation of infeasibility and a schedule for completion of the work is documented in the CAR database, which will be completed no later than 45 days (from initial discovery). When it is determined that corrective actions will exceed 45 days, EPA is notified and provided justification of why actions will exceed the timeframe; and a minimal amount of additional time to complete the work may be approved.

6.3 Corrective Action Documentation

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|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 33 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)

(Page 22 of 50)

Insert Facility Name
MSGP Stormwater Pollution Prevention Plan
Document Reference Number
Revision X, Date

Upon discovery, conditions requiring corrective action are documented by the DEP or EPC-CP on a Routine Facility Inspection Form and/or entered into the CAR database. The action will be kept open in the database until the issue has been resolved. Documentation of maintenance and repairs of stormwater control measures (BMPs) will be kept in Attachment 10 of this SWPPP. Where corrective actions result in changes to procedures or controls documented in this SWPPP, modifications to the SWPPP are made accordingly within 14 calendar days of completing the corrective action(s). LANL procedure EPC-CP-QP-022, *MSGP Corrective Actions* can be found in Attachment 17.

7.0 ACRONYMS

| | |
|------------------------|---|
| BMP | Best Management Practice |
| CAR | Corrective Action Report |
| DEP | Deployed Environmental Professional |
| DESH | Deployed Environmental Safety and Health |
| DOE | Department of Energy |
| EIS | Environmental Impact Statement |
| ELG | Effluent Limitation Guidelines |
| EMD-ER | Emergency Management Division-Emergency Response |
| EPA | Environmental Protection Agency |
| EPC-CP | Environmental Protection and Compliance – Compliance Programs |
| FOD | Facility Operations Division |
| IPaC | Information for Planning and Consultation |
| LANL or the Laboratory | Los Alamos National Laboratory |
| MSGP or Permit | Multi-Sector General Permit |
| NOI | Notice of Intent |
| NPDES | National Pollutant Discharge Elimination System |
| PPT | Pollution Prevention Team |
| SWPPP | Stormwater Pollution Prevention Plan |
| URL | Uniform Resource Locator |

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 34 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 23 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

8.0 SWPPP CERTIFICATION

STORMWATER POLLUTION PREVENTION PLAN
 (Insert Facility Name)
 Los Alamos National Laboratory

CERTIFICATION STATEMENT

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

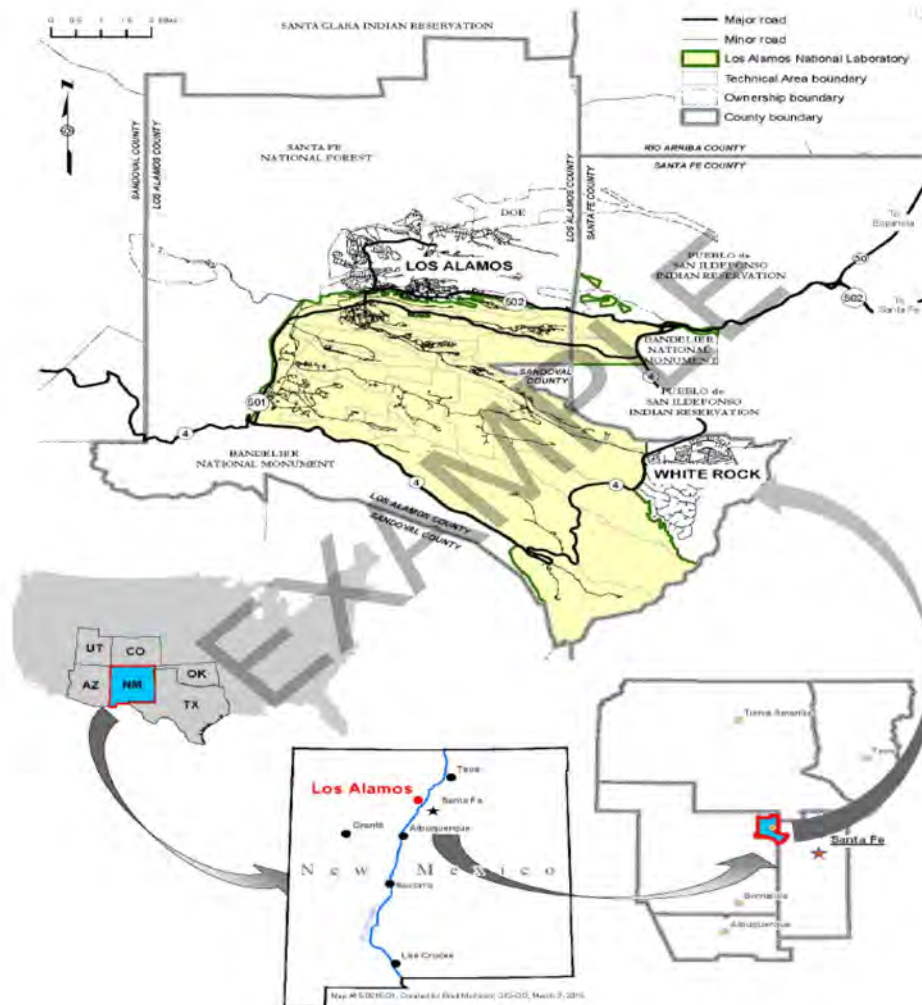
Signature _____ Date _____
 (Insert Printed Name)
 (Insert Title)

| | | |
|---|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 35 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 24 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

FIGURE A: GENERAL LOCATION MAP



| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 36 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, *MSGP SWPPP Template Example* (cont.)
(Page 25 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

FIGURE B: MAP(S)

Label the figures as Figure B-1, Figure B-2, etc.

Insert maps in the following order:

- Facility specific site map(s),
- Receiving waters maps, and
- Threatened Endangered Species Map.

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 37 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 26 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 1: NOTICE OF INTENT, SUPPORTING DOCUMENTATION, AND UPDATES

Insert the appropriate attachment. Note: There may be several "Change NOIs" submitted to EPA within a permit term. Contact the MSGP Program Lead to ensure all are included in this attachment.

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 38 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 27 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 2: SWPPP AMENDMENTS

Insert text documenting all changes or updates made to the SWPPP. Text may be in table format as shown below.

| Date | Plan Section | Reason for Amendment | Amendment |
|------|--------------|----------------------|-----------|
| | | | |
| | | | |

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 39 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, *MSGP SWPPP Template Example* (cont.)
(Page 28 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 3: CERTIFICATION OF NO UNAUTHORIZED STORMWATER DISCHARGES

Insert the appropriate attachment.

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 40 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, *MSGP SWPPP Template Example* (cont.)
(Page 29 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 4: DULY AUTHORIZED SIGNATORY MEMORANDUM

Insert the appropriate attachment.

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 41 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, *MSGP SWPPP Template Example* (cont.)
(Page 30 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 5: DISCHARGE MONITORING REPORTS

Insert the discharge monitoring reports.

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 42 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, *MSGP SWPPP Template Example* (cont.)
(Page 31 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 6: ANNUAL REPORTS

Insert the annual reports. The MSGP Program Lead provides these.

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 43 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, *MSGP SWPPP Template Example* (cont.)
(Page 32 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 7: ROUTINE FACILITY INSPECTIONS

Insert completed Routine Facility Inspection forms.

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 44 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, *MSGP SWPPP Template Example* (cont.)
(Page 33 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 8: QUARTERLY VISUAL ASSESSMENTS

Insert completed Quarterly Visual Assessment forms. EPC-CP provides these by memorandum as they are produced.

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 45 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, *MSGP SWPPP Template Example* (cont.)
(Page 34 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 9: CORRECTIVE ACTION DOCUMENTATION AND CERTIFICATION

Contact the EPC-CP MSGP Program Lead for an excel spreadsheet of all corrective actions and a certification statement for signature.

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 47 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, *MSGP SWPPP Template Example* (cont.)
(Page 36 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 11: TRAINING DOCUMENTATION

Insert the appropriate documentation.

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 48 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 37 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 12: MSGP (OR ACTIVE URL)

Either insert a copy of the most current Permit, or insert the URL address (see example below):

A copy of the 2015 MSGP is kept on file with the SWPPP in hard copy.

The active URL for the permit is <https://www.epa.gov/npdes/final-2015-msgp-documents>

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 49 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, *MSGP SWPPP Template Example* (cont.)
(Page 38 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 13: THREATENED AND ENDANGERED SPECIES HABITAT MANAGEMENT PLAN FOR
 LOS ALAMOS NATIONAL LABORATORY

Insert the most current revision of the management plan for LANL.

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 50 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 39 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 14: MSGP IPAC TRUST RESOURCES REPORT

Contact the EPC-CP MSGP Program Lead for this information formatted for insertion.

NOTE: The Permit requires this information. However, LANL EPC-ES has completed consultation with U.S. Fish and Wildlife Service. Letters of Consultation are contained in the NOI (see Attachment 1). Refer to Attachment 13 for the species habitat management plan.

EXAMPLE

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|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 51 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, *MSGP SWPPP Template Example* (cont.)
(Page 40 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 15: EPC-CP-PIP-2101, *NPDES MULTI-SECTOR GENERAL PERMIT*

Insert the appropriate plan into this SWPPP. Ensure the most current revision of this plan is inserted.

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 52 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 41 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 16: EPC-CP-QP-023, MSGP ROUTINE FACILITY INSPECTIONS

Insert the appropriate procedure or parts of the procedure that pertain to this SWPPP. Ensure the most current revision of this procedure is inserted.

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 53 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 42 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 17: EPC-CP-QP-022, *MSGP CORRECTIVE ACTIONS*

Insert the appropriate procedure or parts of the procedure that pertain to this SWPPP. Ensure the most current revision of this procedure is inserted.

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 54 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 43 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 18: EPC-CP-QP-064, MSGP STORMWATER VISUAL ASSESSMENTS

Insert the appropriate procedure or parts of the procedure that pertain to this SWPPP. Ensure the most current revision of this procedure is inserted.

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 55 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 44 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 19: EPC-CP-QP-047, INSPECTING STORMWATER RUNOFF SAMPLERS AND RETRIEVING SAMPLES FOR THE MSGP

Insert the appropriate procedure or parts of the procedure that pertain to this SWPPP. Ensure the most current revision of this procedure is inserted.

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 56 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 45 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 20: EPC-CP-QP-2106, PROCESSING MSGP STORMWATER SAMPLES

Insert the appropriate procedure or parts of the procedure that pertain to this SWPPP. Ensure the most current revision of this procedure is inserted.

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 57 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 46 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 21: EPC-DO-QP-101, ENVIRONMENTAL REPORTING REQUIREMENTS FOR RELEASES OR EVENTS

Insert the appropriate procedure or parts of the procedure that pertain to this SWPPP. Ensure the most current revision of this procedure is inserted.

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 58 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, *MSGP SWPPP Template Example* (cont.)
(Page 47 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 22: EPC-CP-QP-007, *SPILL INVESTIGATIONS*

Insert the appropriate procedure or parts of the procedure that pertain to this SWPPP. Ensure the most current revision of this procedure is inserted.

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 59 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 48 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 23: EPC-CP-QP-2110, *MSGP STORMWATER POLLUTION PREVENTION PLAN PREPARATION AND MAINTENANCE*

Insert the appropriate procedure or parts of the procedure that pertain to this SWPPP. Ensure the most current revision of this procedure is inserted.

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 60 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 49 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 24: LOCAL PROCEDURE

Insert the appropriate procedure or parts of the procedure that pertain to this SWPPP. If this section is used, ensure the most current revision of the attached procedure is inserted. Delete section if not needed.

EXAMPLE

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 61 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 1: EPC-CP-QP-2110 R0 Form 1, MSGP SWPPP Template Example (cont.)
(Page 50 of 50)

Insert Facility Name
 MSGP Stormwater Pollution Prevention Plan
 Document Reference Number
 Revision X, Date

ATTACHMENT 25: LOCAL PROCEDURE

Insert the appropriate procedure or parts of the procedure that pertain to this SWPPP. If this section is used, ensure the most current revision of the attached procedure is inserted. Delete section if not needed.

EXAMPLE

| | | |
|---|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 62 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 2: MSGP SWPPP Review Guidance Checklist Example
(Page 1 of 11)

MSGP SWPPP Review Guidance Checklist

SWPPP Title _____

| REQUIREMENT | YES/NO | NOTES |
|---|--------|-------|
| Stormwater Pollution Prevention Team | | |
| Is the SWPPP being developed or updated by a qualified person? | | |
| Does the SWPPP list Stormwater Pollution Prevention Team members (by name or title) and each individual's responsibilities? | | |
| Is a copy of the SWPPP immediately available at the site and on-line? | | |
| Contents of the SWPPP | | |
| If the SWPPP refers to procedures or other documents, are copies of the relevant portions of these procedures or documents present in the SWPPP? | | |
| Site Description | | |
| Does the SWPPP include the following information? | | |
| • Identify a description of the nature of the industrial activities at the site | | |
| Provide a general location map (e.g., U.S. Geological Survey (USGS) quadrangle map) with enough detail to identify the location of the site and all receiving waters for industrial stormwater discharges. | | |
| Site map showing the following: | | |
| • Boundaries of the property and size of the property in acres | | |
| • Location and extent of significant structures and impervious surfaces | | |
| • Direction(s) of stormwater flow (using arrows) | | |
| • Locations of all stormwater control measures | | |
| • Locations of all receiving waters, including wetlands, in the immediate vicinity of the site. Indicate which water bodies are listed as impaired and which are identified as Tier 2, Tier 2.5, or Tier 3 waters (for LANL, none) | | |
| • Locations of all stormwater conveyances including ditches, pipes, and swales | | |
| • Locations of potential pollutant sources associated with each industrial activity (see Part 5.2.3.2) that could be exposed to rainfall or snowmelt and could be discharged from the site. | | |
| • Locations where significant spills or leaks have occurred (see Part 5.2.3.3) | | |
| • Location(s) of all stormwater monitoring points | | |
| • Location of each stormwater inlet and outfall, with a unique identification code for each outfall (i.e., 001, 002, 003, etc.), indicating if you are treating one or more outfalls as "substantially identical" (see Parts 3.2.3, 5.2.5.3, and 6.1.1) | | |
| • If applicable, location of the MS4 and where your stormwater discharges to it. NOTE: Although LANL does not currently have an MS4, EPA has published a draft permit. | | |
| • Areas of designated critical habitat for endangered or threatened species | | |
| • Locations of the following activities where such activities are exposed to precipitation: | | |

| | | |
|---|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 63 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 2: MSGP SWPPP Review Guidance Checklist Example (cont.)
(Page 2 of 11)

MSGP SWPPP Review Guidance Checklist

| REQUIREMENT | YES/NO | NOTES |
|--|--------|-------|
| - Fueling station(s) | | |
| - Vehicle and equipment maintenance and/or cleaning area | | |
| - Loading/unloading areas | | |
| - Locations used for the treatment, storage, or disposal of wastes | | |
| - Liquid storage tanks | | |
| - Processing and storage areas | | |
| - Immediate access roads used by carriers of raw materials, manufactured products, waste material, or by-products used or created by the site | | |
| - Transfer areas for substances in bulk | | |
| - Machinery | | |
| - Locations and sources of run-on to the site from adjacent property that contains significant quantities of pollutants | | |
| Potential Pollutant Sources | | |
| Are areas described in the SWPPP where industrial material or activities are exposed to stormwater or from which allowable non-stormwater discharges originate? <i>NOTE 1: Industrial material or activities include material handling equipment or activities; industrial machinery; raw material; industrial production and processes; and intermediate products; by-products; final products, and waste products. Material handling activities include the storage, loading and unloading, transportation, disposal or conveyance of any raw material, intermediate product, final product or waste product.</i> | | |
| Are all pollutants or pollutant constituents (e.g., zinc, sulfuric acid, cleaning solvents, motor oil, diesel, gasoline, brake fluid, etc.) associated with each activity identified? <i>NOTE 2: The list must include all pollutants/materials that have been handled, treated, stored, or disposed and that have been exposed to stormwater in the three years prior to the date the SWPPP is prepared or amended.</i> | | |
| Are areas where potential spills and leaks could occur that could contribute pollutants to stormwater discharges and the corresponding outfall(s) that would be affected by such spills and leaks identified in the SWPPP? | | |
| Are all significant spills and leaks of oil or toxic or hazardous substances identified that actually occurred at exposed areas, or that drained to a stormwater conveyance, in the three years prior to the date the SWPPP was prepared or amended? | | |
| Has an evaluation for the presence of unauthorized non-stormwater discharges (see Part 1.1.3) been done and does it include the following information? | | |
| • Date of the evaluation | | |
| • A description of the evaluation criteria used | | |
| • A list of the outfall or onsite drainages points that were directly observed during the evaluation | | |

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| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 64 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 2: MSGP SWPPP Review Guidance Checklist Example (cont.)

(Page 3 of 11)

MSGP SWPPP Review Guidance Checklist

| REQUIREMENT | YES/NO | NOTES |
|---|--------|-------|
| <ul style="list-style-type: none"> The action(s) taken, such as a list of control measures used to eliminate unauthorized discharge(s), or documentation that a floor drain was sealed, re-routed to sanitary, or an NPDES permit application was submitted for an unauthorized cooling water discharge. | | |
| Is there documentation of the location of any salt storage piles used for deicing or other commercial or industrial purposes? | | |
| Is all stormwater discharge sampling data collected at the site during the previous permit term summarized in a narrative description? This may include data tables and figures. | | |
| Control Measures to Meet Effluent Limits | | |
| Does the SWPPP indicate whether the following control measure selection and design criteria were considered? | | |
| <ul style="list-style-type: none"> Preventing stormwater from coming into contact with polluting materials is generally more effective, and less costly, than trying to remove pollutants from stormwater | | |
| <ul style="list-style-type: none"> Using control measures in combination which may be more effective than using control measures in isolation for minimizing pollutants in stormwater discharge | | |
| <ul style="list-style-type: none"> Assessing the type and quantity of pollutants, including their potential to impact receiving water quality, is critical to designing effective control measures that will achieve the limits in this permit | | |
| <ul style="list-style-type: none"> Minimizing impervious areas at the facility and infiltrating runoff onsite (including bio-retention cells, green roofs, and impervious pavement, among other approaches) can reduce runoff and improve ground water recharge and stream base flows in local streams, although care must be taken to avoid ground water contamination | | |
| <ul style="list-style-type: none"> Attenuating flow using open vegetated swales and natural depressions can reduce in-stream impacts of erosive flows | | |
| <ul style="list-style-type: none"> Conserving and/or restoring riparian buffers will help protect streams from stormwater runoff and improve water quality | | |
| <ul style="list-style-type: none"> Using treatment interceptors (e.g., swirl separators and sand filters) may be appropriate in some instances to minimize the discharge of pollutants. | | |
| Does the SWPPP indicate how the control measure addresses the potential pollutant sources? | | |
| Are the selection and design considerations for control measures to meet the following non-numeric technology-based effluent limits (see Part 2.1.2) identified in the SWPPP? | | |
| <ul style="list-style-type: none"> Minimize Exposure: All manufacturing, processing and material storage areas (including loading and unloading, storage, disposal, cleaning, maintenance, and fueling operations) must have controls that minimize exposure to pollutant discharges by either locating these industrial materials and activities inside or protecting them with storm resistant coverings. | | |
| <ul style="list-style-type: none"> Use grading, berming or curbing to prevent runoff of contaminated flows and divert run-on away from these areas; | | |

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|---|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 65 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 2: MSGP SWPPP Review Guidance Checklist Example (cont.)
(Page 4 of 11)

MSGP SWPPP Review Guidance Checklist

| REQUIREMENT | YES/NO | NOTES |
|--|--------|-------|
| - Locate materials, equipment, and activities so that potential leaks and spills are contained or able to be contained or diverted before discharge; | | |
| - Clean up spills and leaks promptly using dry methods (e.g., absorbents) to prevent the discharge of pollutants; | | |
| - Store leaky vehicles and equipment indoors or, if stored outdoors, use drip pans and absorbents; | | |
| - Use spill overflow protection equipment; | | |
| - Perform all vehicle and/or equipment cleaning operations indoors, under cover, or in bermed areas that prevent runoff and run-on and also that capture any overspray; and | | |
| - Drain fluids from equipment and vehicles that will be decommissioned, and, for any equipment and vehicles that will remain unused for extended periods of time, inspect at least monthly for leaks. | | |
| • Good housekeeping (all areas where potential pollutants are exposed to stormwater must be kept clean). | | |
| - Sweep or vacuum at regular intervals or wash down the area and collect and/or treat and properly dispose of the wash down water. | | |
| - Store materials in appropriate containers. | | |
| - Keep all dumpster lids closed when not in use. For dumpsters and roll off boxes that do not have lids and could leak, ensure that discharges have a control (e.g., secondary containment). Part 1.1.3 of the permit does not authorize dry weather discharges from dumpsters or roll off boxes.* | | |
| * You may include extra information, or you may just "cut-and-paste" the effluent limits verbatim into the SWPPP w/out providing additional documentation. | | |
| - Minimize the potential for waste, garbage, and floatable debris to be discharged by keeping exposed areas free of such materials. | | |
| • Maintenance (All industrial equipment, systems and control measures must be maintained in effective operating condition in order to minimize pollutant discharges). | | |
| Perform inspections and preventive maintenance of stormwater drainage, source controls, treatment systems, and plant equipment and systems that could fail and result in contamination of stormwater. | | |
| - Diligently maintain non-structural control measures (e.g., keep spill response supplies available, and personnel appropriately trained). | | |
| - Inspect and maintain baghouses at least quarterly to prevent the escape of dust from the system and immediately removing any accumulated dust at the base of the exterior baghouse.* | | |
| - Cleaning catch basins when the depth of debris reached two thirds (2/3) of the sump depth and keeping the debris surface at least six inches below the lowest outlet pipe.* | | |
| Does the SWPPP contain language indicating immediate action must be taken to minimize pollutant discharges if control measures need routine maintenance? | | |
| Is there language in the SWPPP indicating in instances where control measures need repair or replacement that the facility (or associated representatives thereof) must immediately take all | | |

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|---|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 66 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 2: MSGP SWPPP Review Guidance Checklist Example (cont.)

(Page 5 of 11)

MSGP SWPPP Review Guidance Checklist

| REQUIREMENT | YES/NO | NOTES |
|---|--------|-------|
| reasonable steps (see Part 4.3.1 for definition) to prevent or minimize the discharge of pollutants until the final repair or replacement is implemented, including cleaning up any contaminated surfaces so that the material will not be discharged during subsequent storm events. Final repairs/replacement of stormwater controls should be completed as soon as feasible but must be no later than the timeframes established in Part 4.3 for corrective actions, i.e., within 14 days or, if that is infeasible, within 45 days. | | |
| Is there language in the SWPPP indicating corrective action must be taken (in accordance with Part 4.0) if a control measure was never installed, was installed incorrectly or not in accordance with Parts 2 and/or 8, or isn't being properly operated or maintained? | | |
| <ul style="list-style-type: none"> • Spill Prevention and Response - The potential for leaks, spills, and other release must be minimized by the development of plans for effective response to such spills if or when they occur in order to minimize pollutant discharges. | | |
| - Plainly label containers (e.g., "Used Oil," "Spent Solvents," "Fertilizers and Pesticides") that could be susceptible to spillage or leakage to encourage proper handling and facilitate rapid response if spills or leaks occur:* | | |
| - Implement procedures for material storage and handling including use of secondary containment and barriers between material storage and traffic areas. | | |
| - Develop training on the procedures for expeditiously stopping, containing, and cleaning up leaks, spills, and other releases as soon as possible. | | |
| - Keep spill kits on-site, located near areas where spills may occur or where a rapid response can be made | | |
| - Notify appropriate facility personnel when a leak, spill, or other release occurs. Where a leak, spill or other release containing a hazardous substance or oil in an amount equal to or in excess of a reportable quantity established under either 40 CFR Part 110, 40 CFR Part 117, or 40 CFR part 302, occurs during a 24-hour period, you must notify the National Response Center (NRC) at (800) 424-8802 in accordance with the above referenced requirements as soon as you have knowledge of the discharge. | | |
| - In the event of a spill, does the SWPPP indicate where the contact information is so that it is readily accessible and available? | | |
| <ul style="list-style-type: none"> • Erosion and Sediment Controls | | |
| - Does the SWPPP identify how exposed soils will be stabilized to minimize pollutant discharges? | | |
| - Does the SWPPP identify flow velocity dissipation devices placed at discharge locations to minimize channel and streambank erosion and scour in the immediate vicinity of discharge points? | | |
| - Does the SWPPP identify structural and non-structural control measure to minimize the discharge of sediment? | | |

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|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 67 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 2: MSGP SWPPP Review Guidance Checklist Example (cont.)
(Page 6 of 11)

MSGP SWPPP Review Guidance Checklist

| REQUIREMENT | YES/NO | NOTES |
|---|--------|-------|
| - If polymers and/or other chemical treatments are used for dust control or stabilization, does the SWPPP must identify the polymers and/or chemicals used and the purpose? | | |
| • Management of Runoff - Does the SWPPP identify how stormwater runoff is diverted, infiltrated, reused, contained, or otherwise reduced to minimize pollutants in the discharge? | | |
| • Salt Storage Piles or Piles Containing Salt - Does the SWPPP identify how salt piles are enclosed or covered? | | |
| - Are controls in place to minimize exposure to stormwater resulting from adding to or removing materials from the salt pile? | | |
| • Non-Stormwater Discharges - Does the SWPPP indicate that personnel will evaluate the site for non-stormwater discharges not explicitly authorized in Part 1.1.3 or covered by another NPDES permit and eliminate the discharge?) | | |
| • Dust Generation and Vehicle Tracking of Industrial Materials - Does the SWPPP indicate dust generation and off-site tracking of raw, final, or waste materials must be minimized in order to minimize pollutant discharges?) | | |
| Control Measures to Meet Numeric Effluent Limitations Guidelines-Based Limits (see Part 2.1.3 and Part 8) | | |
| Are effluent limitations identified for the Sector D facility (Asphalt Paving) (see Part 8.D.4)? | | |
| Are effluent limitations identified for the Sector A facility (Timber Products) (see Part 8.A.7)? | | |
| Control Measures to Meet Water Quality Based Effluent Limits (see Part 2.2 and Part 9.6.2) | | |
| Are the benchmark values (i.e., the Lowest New Mexico Water Quality Standard) listed in MSGP Section 9.6.2.1 identified in the SWPPP? | | |
| Schedules and Procedures - Control Measures | | |
| Does the SWPPP contain a schedule or convention used for determining when pickup or disposal of waste materials occurs? | | |
| Are preventative maintenance procedures (including regular inspections, testing, maintenance and repair) for all control measures included in the SWPPP to avoid situations that may result in leaks, spills, and other releases? | | |
| Are backup practices in place should a runoff event occur while a control measure is off line? | | |
| Is there a schedule or frequency for maintaining all control measures? | | |
| Are procedures included in the SWPPP for preventing and responding to spills and leaks, including notification procedures? | | |
| Are control measures for material handling and storage identified? | | |
| Are clean-up equipment, procedures and spill logs (i.e., reportable and non-reportable spill reports and the MSGP Corrective Action Reporting database) identified? | | |
| Schedules and Procedures - Employee Training | | |
| Are the following employees identified as requiring training? | | |

| | | |
|--|--------------------|----------------------------|
| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 68 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 2: MSGP SWPPP Review Guidance Checklist Example (cont.)

(Page 7 of 11)

MSGP SWPPP Review Guidance Checklist

| REQUIREMENT | YES/NO | NOTES |
|---|--------|-------|
| • Personnel who are responsible for the design, installation, maintenance and/or repair of controls (including pollution prevention measures) | | |
| • Personnel responsible for the storage and handling of chemicals and materials that could become contaminants in stormwater discharges | | |
| • Personnel who are responsible for conducting and documenting monitoring and inspections | | |
| • Personnel who are responsible for taking and documenting corrective actions. | | |
| Are the following identified as elements of required training? | | |
| • An overview of what is in the SWPPP | | |
| • Spill response procedures, good housekeeping, maintenance requirements, and material management practices | | |
| • The location of all controls on the site required by this permit and how they are to be maintained | | |
| • The proper procedures to follow with respect to the permit's pollution prevention requirements | | |
| • When and how to conduct inspections, record applicable findings, and take corrective actions | | |
| Are the following elements of the training plan documented in the SWPPP? | | |
| • Content of the training | | |
| • Frequency/schedule of training | | |
| Are records of completed training kept in the SWPPP? | | |
| Schedules and Procedures - Inspections and Assessments | | |
| Is the procedure identified for conducting routine facility inspections? | | |
| Is the procedure identified for conducting visual assessments? | | |
| For each type of inspection performed (i.e., routine inspection and visual assessments) does the SWPPP identify the person (s) or positions of person(s) responsible for the inspection? | | |
| Does the SWPPP contain an alternative schedule for conducting visual assessments in climates with irregular stormwater runoff discharges (see Part 3.2.3)? | | |
| Are specific items to be covered by the inspection, including schedules for specific outfalls identified in the SWPPP? | | |
| Is the facility claiming an exception as an inactive and unstaffed site? If yes, the facility must include information in the SWPPP that supports this claim as required by Parts 3.1.1, 3.2.3, 6.2.1.3 and 6.2.4.2. That is, the SWPPP must contain a signed certification indicating that there are no industrial materials or activities exposed to precipitation at the site and the NOI must be modified and re-certified. | | |
| Schedules and Procedures - Monitoring | | |
| Does the SWPPP contain documentation of procedures used to conduct benchmark, effluent limitations guidelines and impaired waters monitoring? | | |
| Are locations where samples are collected, including any determination that two or more outfalls are substantially identical, in the SWPPP? | | |
| Are parameters for sampling and the frequency of sampling for each parameter listed? | | |

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| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 69 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 2: MSGP SWPPP Review Guidance Checklist Example (cont.)

(Page 8 of 11)

MSGP SWPPP Review Guidance Checklist

| REQUIREMENT | YES/NO | NOTES |
|--|--------|-------|
| Does the SWPPP contain schedules for monitoring at the facility, including a schedule for alternate monitoring periods for climates with irregular stormwater runoff (see Part 6.1.6)? | | |
| Are numeric control values (benchmark, effluent limitations guidelines, water quality standards) applicable to discharges from each outfall identified? | | |
| Does the SWPPP list procedures for gathering storm event data (see Part 6.1)? | | |
| Schedules and Procedures - Substantially Identical Outfalls (SIOs) | | |
| Does the SWPPP contain the following relative to SIOs? | | |
| • Location of each of the substantially identical outfalls | | |
| • Description of the general industrial activities conducted in the drainage area of each outfall | | |
| • Description of the control measures implemented in the drainage area of each outfall | | |
| • Description of the exposed material located in the drainage area of each outfall that are likely to be significant contributors of pollutants to stormwater discharges | | |
| • An estimate of the runoff coefficient of the drainage areas (low = under 40%, medium = 40% to 65%, high = above 65%) | | |
| • Justification as to why the outfalls are expected to discharge substantially identical effluents | | |
| Do Substantially Identical Outfalls identified on the SWPPP map match those identified in MDMRs? | | |
| Is there language indicating quarterly visual assessments of substantially identical outfalls will be performed on a rotating basis throughout the permit term? | | |
| Is there language indicating quarterly visual assessment of the discharge at one SIO will also apply to the other SIOs? | | |
| Corrective Action Documentation - If an event triggering corrective action is associated with an SIO, did the review of the need for action encompass all related substantially identical outfalls? | | |
| Documentation | | |
| Does the SWPPP contain the following up-to-date and complete inspection, monitoring, and certification records? | | |
| • Copy of NOI submitted to EPA along with any correspondence exchanged between the facility and EPA specific to coverage under this permit. | | |
| • Copy of the acknowledgement you receive from the EPA assigning your NPDES ID. | | |
| • Copy of the MSGP Permit (an electronic copy easily available to SWPPP personnel is also acceptable). | | |
| • Documentation of maintenance and repairs of control measures, including the date(s) of regular maintenance, date(s) of discovery of areas in need of repair/replacement, and for repairs, date(s) that the control measure(s) returned to full function, and the justification for any extended maintenance/repair schedules (See Part 2.1.2.3). | | |
| • All inspection reports, including the Routine Facility Inspection Reports (see Part 3.1.2) and Quarterly Visual Assessment Reports (see Part 3.2.2). | | |

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| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 70 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 2: MSGP SWPPP Review Guidance Checklist Example (cont.)

(Page 9 of 11)

MSGP SWPPP Review Guidance Checklist

| REQUIREMENT | YES/NO | NOTES |
|--|--------|-------|
| • Description of any deviations from the schedule for visual assessments and/or monitoring, and the reason for the deviations (e.g., adverse weather or it was impracticable to collect samples within the first 30 minutes of a measurable storm event) (see Parts 3.2.3 and 6.1.5) | | |
| • Corrective action documentation (see Part 4.4) | | |
| • Documentation of any benchmark exceedances and the type of response to the exceedance employed including the following: | | |
| - The corrective action taken; | | |
| - A finding that the exceedance was due to natural background pollutant levels; | | |
| - A determination from EPA that benchmark monitoring can be discontinued because the exceedance was due to run-on; OR | | |
| - A finding that no further pollutant reductions were technologically available and economically practicable and achievable in light of best industry practice consistent with Part 6.2.1.2 | | |
| • Documentation to support any determination that pollutants of concern are not expected to be present above natural background levels if you discharge directly to impaired waters and that such pollutants were not detected in your discharge or were solely attributable to natural background sources. (see Part 6.2.4.1) | | |
| • Documentation supporting that stormwater discharges, allowable non-stormwater discharges, and stormwater discharge-related activities are not likely to adversely affect any species that are federally listed as endangered or threatened ("listed") and are not likely to adversely affect habitat that is designated as "critical habitat" under the Endangered Species Act (see Part 1.1.4.5). | | |
| • Documentation supporting the determination that stormwater discharges, allowable non-stormwater discharges, and stormwater discharge-related activities meet one of the eligibility criteria for historic property preservation (Criterion A, B, C or D) (see Part 1.1.4.6). | | |
| • All Discharge Monitoring Reports and Annual Reports | | |
| • Support for claim that facility has changed its status from active to inactive and is unstaffed with respect to the requirements to conduct routine facility inspections, quarterly visual assessments, benchmark monitoring, and/or impaired waters monitoring. | | |
| Is the SWPPP signed and dated by a duly authorized representative (per Part B.11)? | | |
| Is the Annual Report signed by a duly authorized representative (per Part B.11)? | | |
| SWPPP Modifications | | |
| Where a corrective action triggers a change in any of the control measures or procedures, has the SWPPP been updated within 14 calendar days of completing the corrective action (see Part 4.4)? | | |
| Are SWPPP modifications signed and dated by a duly authorized representative? | | |
| Has the SWPPP been reviewed and does documentation exist as to the modifications made or why none were needed under the following circumstances? | | |

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| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 71 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 2: MSGP SWPPP Review Guidance Checklist Example (cont.)

(Page 10 of 11)

MSGP SWPPP Review Guidance Checklist

| REQUIREMENT | YES/NO | NOTES |
|--|--------|-------|
| • An unauthorized release or discharge (e.g., spill leak, or discharge of non-stormwater not authorized by this or another NPDES permit to a water of the U.S.) occurs at your facility. | | |
| • A discharge violates a numeric effluent limit listed in Table 2-1 and in the sector specific requirements. | | |
| • The control measures are not stringent enough for the discharge to meet applicable water quality standards or the non-numeric effluent limits in this permit. | | |
| • A required control measure was never installed, was installed incorrectly, or not in accordance with Parts 2 and/or 8, or is not being properly operated or maintained. | | |
| • Whenever a visual assessment shows evidence of stormwater pollution (e.g., color, odor, floating solids, settled solids, suspended solids, foam). | | |
| • Construction or a change in design, operation, or maintenance at your facility that significantly changes the nature of pollutants discharged in stormwater from the facility, or significantly increases the quantity of pollutants discharged. | | |
| • The average of four quarterly sampling results exceeds an applicable benchmark (see Part 6.2.1.2). If less than four benchmark samples have been taken, but the results are such that an exceedance of the four quarter average is mathematically certain (i.e., the sum of quarterly sample results to date is more than four times the benchmark level) this is considered a benchmark exceedance. | | |
| Public Accessibility of SWPPP | | |
| Is your SWPPP uploaded to the URL provided in the NOI? | | |
| Are subsequent SWPPP modifications (updates), records and all other reporting elements required for the previous year updated no later than 45 days after conducting the final routine facility inspection for the year? | | |
| If you did not upload your SWPPPs to a URL, was the following information provided in the NOI and documented in the SWPPP? | | |
| • Onsite industrial activities exposed to stormwater, including potential spill and leak areas (see Parts 5., 2.3.1, 5.2.3.3 and 5.2.3.5); | | |
| • Pollutants or pollutant constituents associated with each industrial activity exposed to stormwater that could be discharged in stormwater and/or any authorized non-stormwater discharges listed in Part 1.1.3 (see Part 5.2.3.2) | | |
| • Stormwater control measures employed to comply with the non-numeric technology-based effluent limits required in Part 2.1.2 and Part 8, and any other measures taken to comply with the requirements in Part 2.2, Water Quality Based Effluent Limitations. If polymers and/or other chemical treatments are used as controls, these must be identified and the purpose explained. | | |
| • The schedule for good housekeeping, maintenance, and schedule for all inspections required in Part 3. | | |

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| MSGP Stormwater Pollution Prevention Plan Preparation and Maintenance | No: EPC-CP-QP-2110 | Page 72 of 72 |
| | Revision: 0 | Effective Date: 01/07/2020 |

Attachment 2: MSGP SWPPP Review Guidance Checklist Example (cont.)

(Page 11 of 11)

MSGP SWPPP Review Guidance Checklist

| REQUIREMENT | YES/NO | NOTES |
|---|--------|-------|
| Are modifications to the SWPPP information required in the four bullets above submitted on a "Change NOI" form no later than 45 days after conducting the final routine facility inspection for the year? | | |
| Corrective Actions | | |
| Are corrective actions documented within 24 hours of becoming aware of such condition? | | |
| Is the condition triggering the need for the corrective action identified? | | |
| Is the date the corrective action was identified captured? | | |
| Was immediate action taken to minimize or prevent the discharge of pollutants? | | |
| In the case of leaks and spills, were response actions, date/time of clean up, notification, etc. documented? | | |